Data Preprocessing

Dr. J. Ragaventhiran
Associate Professor, SoCSE
Presidency University, Bangalore



Data Preprocessing



- Data Preprocessing: An Overview
 - Data Quality
 - Major Tasks in Data Preprocessing
- Data Cleaning
- Data Integration
- Data Reduction
- Data Transformation and Data Discretization



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Data Quality: Why Preprocess the Data?

- Measures for data quality: A multidimensional view
 - Accuracy: correct or wrong, accurate or not
 - Completeness: not recorded, unavailable, ...
 - Consistency: some modified but some not, dangling, ...
 - Timeliness: timely update?
 - Believability: how trustable the data are correct?
 - Interpretability: how easily the data can be understood?



Major Tasks in Data Preprocessing

Data cleaning

 Fill in missing values, smooth noisy data, identify or remove outliers, and resolve inconsistencies

Data integration

• Integration of multiple databases, data cubes, or files

Data reduction

- Dimensionality reduction
- Numerosity reduction
- Data compression

Data transformation and data discretization

Normalization



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Data Cleaning

- Data in the Real World Is Dirty: Lots of potentially incorrect data, e.g., instrument faulty, human or computer error, transmission error
 - incomplete: lacking attribute values, lacking certain attributes of interest, or containing only aggregate data
 - e.g., *Occupation*=" " (missing data)
 - noisy: containing noise, errors, or outliers
 - e.g., Salary="-10" (an error)
 - inconsistent: containing discrepancies in codes or names, e.g.,
 - Age="42", Birthday="03/07/2010"
 - Was rating "1, 2, 3", now rating "A, B, C"
 - discrepancy between duplicate records
 - Intentional (e.g., disguised missing data)
 - Jan. 1 as everyone's birthday?



Incomplete (Missing) Data

- Data is not always available
 - E.g., many tuples have no recorded value for several attributes, such as customer income in sales data
- Missing data may be due to
 - equipment malfunction
 - inconsistent with other recorded data and thus deleted
 - data not entered due to misunderstanding
 - certain data may not be considered important at the time of entry
 - not register history or changes of the data
- Missing data may need to be inferred



How to Handle Missing Data?

- Ignore the tuple: usually done when class label is missing (when doing classification)—not effective when the % of missing values per attribute varies considerably
- Fill in the missing value manually: tedious + infeasible?
- Fill in it automatically with
 - a global constant : e.g., "unknown", a new class?!
 - the attribute mean
 - the attribute mean for all samples belonging to the same class:
 smarter
 - the most probable value: inference-based such as Bayesian formula or decision tree



Noisy Data

- Noise: random error or variance in a measured variable
- Incorrect attribute values may be due to
 - faulty data collection instruments
 - data entry problems
 - data transmission problems
 - technology limitation
 - inconsistency in naming convention
- Other data problems which require data cleaning
 - duplicate records
 - incomplete data
 - inconsistent data



How to Handle Noisy Data?

- Binning
 - first sort data and partition into (equal-frequency) bins
 - then one can smooth by bin means, smooth by bin median, smooth by bin boundaries, etc.
- Regression
 - smooth by fitting the data into regression functions
- Clustering
 - detect and remove outliers
- Combined computer and human inspection
 - detect suspicious values and check by human (e.g., deal with possible outliers)



Binning Methods for Data Smoothing

- Sorted data for price (in dollars): 4, 8, 9, 15, 21, 21, 24, 25, 26, 28, 29, 34
- * Partition into (equi-depth) bins:
 - Bin 1: 4, 8, 9, 15
 - Bin 2: 21, 21, 24, 25
 - Bin 3: 26, 28, 29, 34
- * Smoothing by bin means:
 - Bin 1: 9, 9, 9, 9
 - Bin 2: 23, 23, 23, 23
 - Bin 3: 29, 29, 29, 29
- * Smoothing by bin boundaries:
 - Bin 1: 4, 4, 4, 15
 - Bin 2: 21, 21, 25, 25
 - Bin 3: 26, 26, 26, 34



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Data Integration

- Data integration:
 - Combines data from multiple sources into a coherent store
- Schema integration: e.g., A.cust-id ≡ B.cust-#
 - Integrate metadata from different sources
- Entity identification problem:
 - Identify real world entities from multiple data sources, e.g., Bill Clinton =
 William Clinton
- Detecting and resolving data value conflicts
 - For the same real world entity, attribute values from different sources are different
 - Possible reasons: different representations, different scales, e.g., metric



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Correlation Analysis (Nominal Data)

X² (chi-square) test:

- A chi-square test is a statistical test that is used to compare observed and expected results.
- The goal of this test is to identify whether a disparity between actual and predicted data is due to chance or to a link between the variables under consideration.
- The chi-square test is an ideal choice for aiding in our understanding and interpretation of the connection between our two categorical variables.



Correlation Analysis (Nominal Data)

X² (chi-square) test

$$\chi^{2} = \sum \frac{(Observed - Expected)^{2}}{Expected}$$

- The larger the X² value, the more likely the variables are related
- The cells that contribute the most to the X² value are those whose actual count is very different from the expected count
- Correlation does not imply causality
 - # of hospitals and # of car-theft in a city are correlated
 - Both are causally linked to the third variable: population



Chi-Square Calculation: An Example

	Play chess	Not play chess	Sum (row)
Like science fiction	250(90)	200(360)	450
Not like science fiction	50(210)	1000(840)	1050
Sum(col.)	300	1200	1500

• X² (chi-square) calculation (numbers in parenthesis are expected counts calculated based on the data distribution in the two categories)

$$\chi^2 = \frac{(250 - 90)^2}{90} + \frac{(50 - 210)^2}{210} + \frac{(200 - 360)^2}{360} + \frac{(1000 - 840)^2}{840} = 507.93$$

 It shows that like_science_fiction and play_chess are correlated in the group



Correlation Analysis (Numeric Data)

 Correlation coefficient (also called Pearson's product moment coefficient)

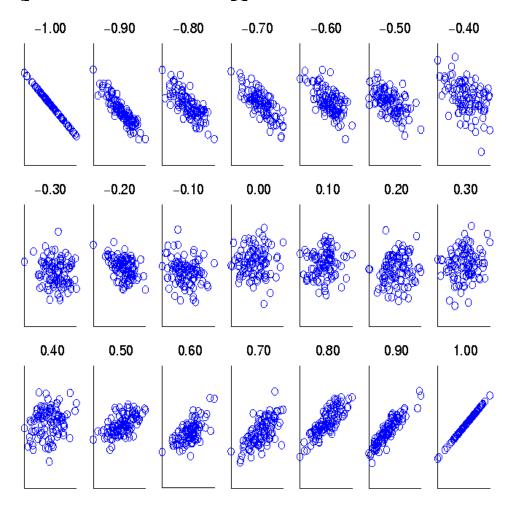
$$r_{A,B} = \frac{\sum_{i=1}^{n} (a_i - \overline{A})(b_i - \overline{B})}{(n-1)\sigma_A \sigma_B} = \frac{\sum_{i=1}^{n} (a_i b_i) - n\overline{A}\overline{B}}{(n-1)\sigma_A \sigma_B}$$

where n is the number of tuples, B and \overline{A} are the respective means of A and B, σ_A and σ_B are the respective standard deviation of A and B, and $\Sigma(a_ib_i)$ is the sum of the AB cross-product.

- If $r_{A,B} > 0$, A and B are positively correlated (A's values increase as B's). The higher, the stronger correlation.
- $r_{A,B} = 0$: independent; $r_{AB} < 0$: negatively correlated



Visually Evaluating Correlation



Scatter plots showing the similarity from -1 to 1.



Correlation (viewed as linear relationship)

- Correlation measures the linear relationship between objects
- To compute correlation, we standardize data objects, A and B, and then take their dot product

$$a'_{k} = (a_{k} - mean(A)) / std(A)$$

$$b'_k = (b_k - mean(B)) / std(B)$$

$$correlation(A, B) = A' \bullet B'$$



Covariance (Numeric Data)

Covariance is similar to correlation.

$$Cov(A, B) = E((A - \bar{A})(B - \bar{B})) = \frac{\sum_{i=1}^{n} (a_i - \bar{A})(b_i - \bar{B})}{n}$$

Correlation coefficient:
$$r_{A,B} = \frac{Cov(A,B)}{\sigma_A \sigma_B}$$

Covariance shows you how the two variables differ, whereas correlation shows you how the two variables are related

where n is the number of tuples, \overline{A} and B are the respective mean or **expected values** of A and B, σ_A and σ_B are the respective standard deviation of A and B.



Covariance (Numeric Data)

- Positive covariance: If Cov_{A,B} > 0, then A and B both tend to be larger than their expected values.
- Negative covariance: If Cov_{A,B} < 0 then if A is larger than its expected value, B is likely to be smaller than its expected value.
- Independence: Cov_{A,B} = 0 but the converse is not true:
 - Some pairs of random variables may have a covariance of 0 but are not independent. Only under some additional assumptions (e.g., the data follow multivariate normal distributions) does a covariance of 0 imply independence



Co-Variance: An Example

$$Cov(A, B) = E((A - \bar{A})(B - \bar{B})) = \frac{\sum_{i=1}^{n} (a_i - \bar{A})(b_i - \bar{B})}{n}$$

It can be simplified in computation as

$$Cov(A, B) = E(A \cdot B) - \bar{A}\bar{B}$$

- Suppose two stocks A and B have the following values in one week:
 (2, 5), (3, 8), (5, 10), (4, 11), (6, 14).
- Question: If the stocks are affected by the same industry trends, will their prices rise or fall together?
 - E(A) = (2 + 3 + 5 + 4 + 6)/5 = 20/5 = 4
 - E(B) = (5 + 8 + 10 + 11 + 14) / 5 = 48 / 5 = 9.6
 - $Cov(A,B) = (2 \times 5 + 3 \times 8 + 5 \times 10 + 4 \times 11 + 6 \times 14)/5 4 \times 9.6 = 4$
- Thus, A and B rise together since Cov(A, B) > 0.



Co-Variance: An Example

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$$E(A) = (2 + 3 + 5 + 4 + 6)/5 = 20/5 = 4$$

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$$E(B) = (5 + 8 + 10 + 11 + 14) / 5 = 48 / 5 = 9.6$$

- $Cov(A,B) = (2 \times 5 + 3 \times 8 + 5 \times 10 + 4 \times 11 + 6 \times 14)/5 4 \times 9.6 = 4$
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Data Reduction Strategies

- Data reduction: Obtain a reduced representation of the data set that is much smaller in volume but yet produces the same (or almost the same) analytical results.
- Why data reduction? A database/data warehouse may store terabytes
 of data. Complex data analysis may take a very long time to run on the
 complete data set.
- Data reduction strategies
 - Dimensionality reduction, e.g., remove unimportant attributes
 - Principal Components Analysis (PCA)
 - Feature subset selection, feature creation
 - Numerosity reduction (some simply call it: Data Reduction)
 - Regression Histograms, clustering, sampling
 - Data cube aggregation
 - Data compression



Data Reduction 1: Dimensionality Reduction

Curse of dimensionality

- When dimensionality increases, data becomes increasingly size
- Density and distance between points, which is critical to clustering, outlier analysis, becomes less meaningful

Dimensionality reduction

- Help eliminate irrelevant features and reduce noise
- Reduce time and space required in data mining
- Allow easier visualization

Dimensionality reduction techniques

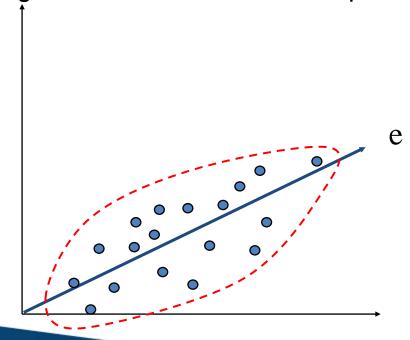
- Principal Component Analysis
- Supervised and nonlinear techniques (e.g., feature selection)



Principal Component Analysis (PCA)

 X_2

- Find a projection that captures the largest amount of variation in data
- The original data are projected onto a much smaller space, resulting in dimensionality reduction. We find the eigenvectors of the covariance matrix, and these eigenvectors define the new space





Eigenvector and Eigenvalue

 $Ax = \lambda x$

A: Square Matrix

λ: Eigenvector or characteristic vector

X: Eigenvalue or characteristic value



- The zero vector can not be an eigenvector
- The value zero can be eigenvalue



Eigenvector and Eigenvalue

 $Ax = \lambda x$

A: Square Matrix

λ: Eigenvector or characteristic vector

X: Eigenvalue or characteristic value

Show
$$x = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$
 is an eigenvector for $A = \begin{bmatrix} 2 & -4 \\ 3 & -6 \end{bmatrix}$

Example

Solution:
$$Ax = \begin{bmatrix} 2 & -4 \\ 3 & -6 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

But for
$$\lambda = 0$$
, $\lambda x = 0 \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$

Thus, x is an eigenvector of A, and $\lambda = 0$ is an eigenvalue.



Eigenvector and Eigenvalue

$$Ax = \lambda x \longrightarrow Ax - \lambda x = 0$$

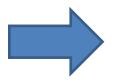
$$(A - \lambda I)x = 0$$

If we define a new matrix B: \longrightarrow $B = A - \lambda I$ Bx = 0

If B has an inverse:

$$\rightarrow$$
 $x = B^{-1}0 = 0$





x will be an eigenvector of A if and only if B does not have an inverse, or equivalently det(B)=0:

$$\det(A - \lambda I) = 0$$



Principal Component Analysis (Steps)

- Given N data vectors from n-dimensions, find k ≤ n orthogonal vectors (principal components) that can be best used to represent data
 - Normalize input data: Each attribute falls within the same range
 - Compute *k* orthonormal (unit) vectors, i.e., *principal components*
 - Each input data (vector) is a linear combination of the k principal component vectors
 - The principal components are sorted in order of decreasing "significance" or strength
 - Since the components are sorted, the size of the data can be reduced by eliminating the weak components, i.e., those with low variance (i.e., using the strongest principal components, it is possible to reconstruct a good approximation of the original data)



Attribute Subset Selection

- Another way to reduce dimensionality of data
- Redundant attributes
 - Duplicate much or all of the information contained in one or more other attributes
 - E.g., purchase price of a product and the amount of sales tax paid
- Irrelevant attributes
 - Contain no information that is useful for the data mining task at hand
 - E.g., students' ID is often irrelevant to the task of predicting students' GPA



Attribute Creation (Feature Generation)

- Create new attributes (features) that can capture the important information in a data set more effectively than the original ones
- Three general methodologies
 - Attribute extraction
 - Domain-specific
 - Mapping data to new space (see: data reduction)
 - Attribute construction
 - Combining features



Data Reduction 2: Numerosity Reduction

- Reduce data volume by choosing alternative, smaller forms of data representation
- Parametric methods (e.g., regression)
 - Assume the data fits some model, estimate model parameters, store only the parameters, and discard the data (except possible outliers)
- Non-parametric methods
 - Major families: histograms, clustering, sampling, ...



Parametric Data Reduction: Regression

- Linear regression
 - Data modeled to fit a straight line
 - Often uses the least-square method to fit the line
- Multiple regression
 - Allows a response variable Y to be modeled as a linear function of multidimensional feature vector



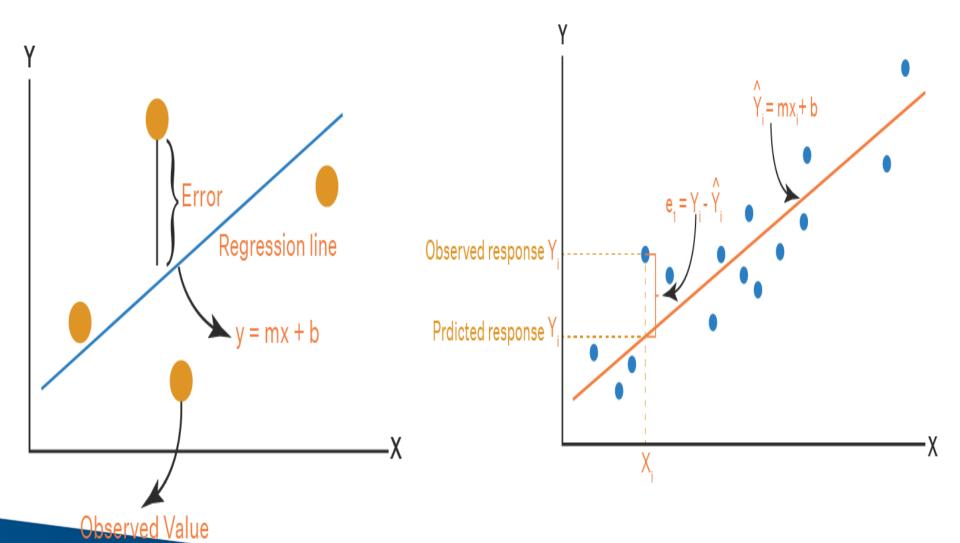
Least Square Method

Least square method is the process of finding a regression line or best-fitted line for any data set that is described by an equation.

This method requires reducing the sum of the squares of the residual parts of the points from the curve or line and the trend of outcomes is found quantitatively.

The method of curve fitting is seen while regression analysis and the fitting equations to derive the curve is the least square method.



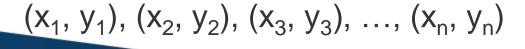




$$y = mx + b$$

$$m = (n\sum xy - \sum y\sum x)/n\sum x^2 - (\sum x)^2$$

$$b = (\sum y - m\sum x)/n$$





x 1 2 3 4 5 y 2 5 3 8 7

 x
 y
 xy
 X²

 1
 2
 2
 1

 2
 5
 10
 4

 3
 3
 9
 9

 4
 8
 32
 16

 $\sum x = 15$ $\sum y = 25$ $\sum xy = 88$ $\sum x^2 = 55$

35

25



5

Find the value of m by using the formula,

$$m = (n\sum xy - \sum y\sum x)/n\sum x^2 - (\sum x)^2$$

$$m = [(5 \times 88) - (15 \times 25)]/(5 \times 55) - (15)^2$$

$$m = (440 - 375)/(275 - 225)$$

$$m = 65/50 = 13/10$$

$$b = (\sum y - m \sum x)/n$$

$$b = (25 - 1.3 \times 15)/5$$

$$b = (25 - 19.5)/5$$

$$b = 5.5/5$$

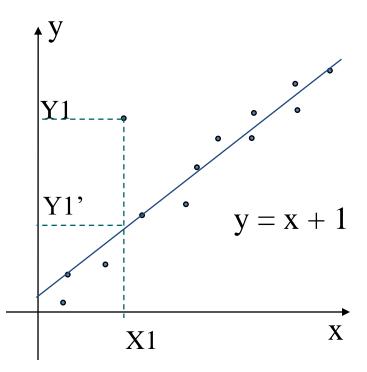
So, the required equation of least squares is

$$y = mx + b = 13/10x + 5.5/5$$
.



Regression Analysis

- Regression analysis is a set of statistical methods used for the estimation of relationships between a dependent variable and one or more <u>independent variables</u>.
- The parameters are estimated so as to give a "best fit" of the data
- Most commonly the best fit is evaluated by using the *least squares method*, but other criteria have also been used



 Used for prediction (including forecasting of time-series data), hypothesis testing, and modeling of causal relationships.



Regress Analysis

 <u>Linear regression</u>: Simple linear regression is a model that assesses the relationship between a dependent variable and an independent variable.

$$Y = a + bX + \epsilon$$

- Y Dependent variable, X Independent (explanatory) variable
- a Intercept, b Slope
- € Residual (error)
 - Using the least squares criterion to the known values of $Y_1, Y_2, ..., X_1, X_2$,
- Multiple regression: $Y = b_0 + b_1 X_1 + b_2 X_2 + \epsilon$
 - · Many nonlinear functions can be transformed into the above



Clustering

- Partition data set into clusters based on similarity, and store cluster representation (e.g., centroid and diameter) only
- Can be very effective if data is clustered but not if data is "smeared"
- Can have hierarchical clustering and be stored in multidimensional index tree structures
- There are many choices of clustering definitions and clustering algorithms

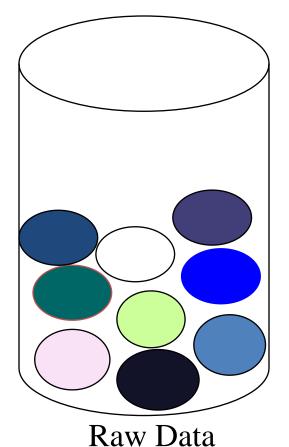


Sampling

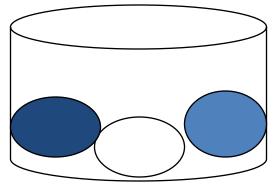
- Sampling: obtaining a small sample s to represent the whole data set N
- Allow a mining algorithm to run in complexity that is potentially sub-linear to the size of the data
- Key principle: Choose a representative subset of the data
 - Simple random sampling may have very poor performance in the presence of skew
 - Develop adaptive sampling methods, e.g., stratified sampling:



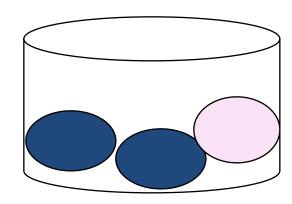
Sampling: With or without Replacement



SRSWOR
(simple random
sample without
replacement)





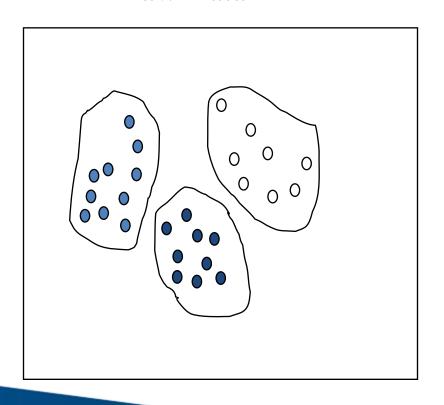


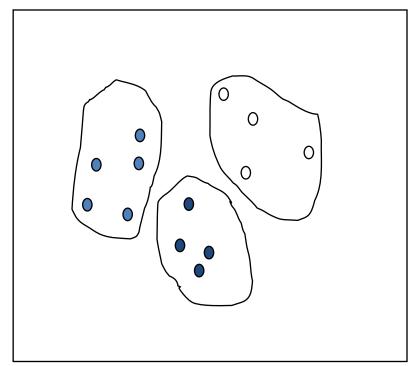


Sampling: Cluster or Stratified Sampling

Raw Data

Cluster/Stratified Sample







Data Cube Aggregation

- The lowest level of a data cube (base cuboid)
 - The aggregated data for an individual entity of interest
 - E.g., a customer in a phone calling data warehouse
- Multiple levels of aggregation in data cubes
 - Further reduce the size of the data
- Reference appropriate levels
 - Use the smallest representation which is enough to solve the task

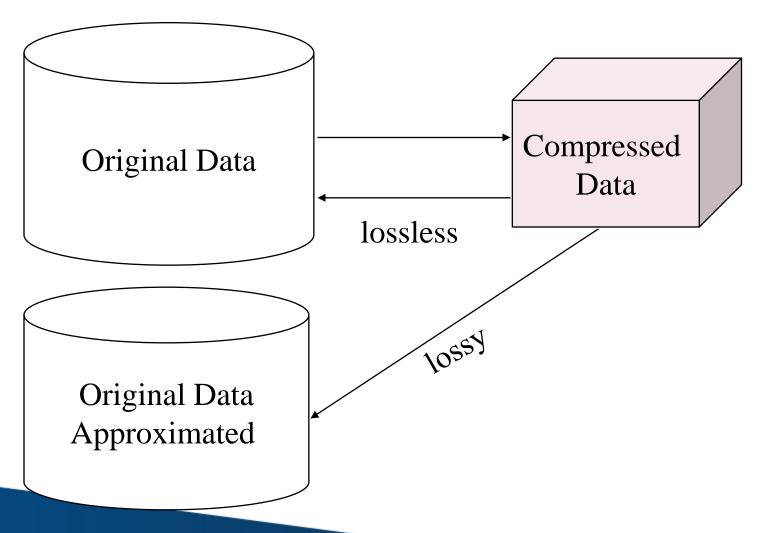


Data Reduction Data Compression

- String compression
 - There are extensive theories and well-tuned algorithms
 - Typically lossless, but only limited manipulation is possible without expansion
- Audio/video compression
 - Typically lossy compression, with progressive refinement
 - Sometimes small fragments of signal can be reconstructed without reconstructing the whole
 - Typically short and vary slowly with time



Data Compression





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Data Transformation

- A function that maps the entire set of values of a given attribute to a new set of replacement values s.t. each old value can be identified with one of the new values
- Methods
 - Smoothing: Remove noise from data
 - Attribute/feature construction
 - New attributes constructed from the given ones
 - Aggregation: Summarization, data cube construction
 - Normalization: Scaled to fall within a smaller, specified range
 - min-max normalization
 - z-score normalization
 - normalization by decimal scaling
 - Discretization: Concept hierarchy climbing



Normalization

Min-max normalization: to [new_min_A, new_max_A]

$$v' = \frac{v - min_A}{max_A - min_A} (new_max_A - new_min_A) + new_min_A$$

- Ex. Let income range \$12,000 to \$98,000 normalized to [0.0, 1.0].
 Then \$73,000 is mapped to
- Z-score normalization (μ: mean, σ: standard deviation):

$$v' = \frac{v - \mu_A}{\sigma_A}$$

$$\frac{73,600-12,000}{98,000-12,000}(1.0-0)+0=0.716$$

• Ex. Let $\mu = 54,000$, $\sigma = 16,000$. Then

$$\frac{73,600 - 54,000}{16,000} = 1.225$$

Normalization by decimal scaling

$$v' = \frac{v}{10^{j}}$$
 Where j is the smallest integer such that Max(|v'|) < 1



Discretization

- Three types of attributes
 - Nominal—values from an unordered set, e.g., color, profession
 - Ordinal—values from an ordered set, e.g., military or academic rank
 - Numeric—real numbers, e.g., integer or real numbers
- Discretization: Divide the range of a continuous attribute into intervals
 - Interval labels can then be used to replace actual data values
 - Reduce data size by discretization
 - Supervised vs. unsupervised
 - Split (top-down) vs. merge (bottom-up)
 - Discretization can be performed recursively on an attribute
 - Prepare for further analysis, e.g., classification



Data Discretization Methods

- Typical methods: All the methods can be applied recursively
 - Binning
 - Top-down split, unsupervised
 - Histogram analysis
 - Top-down split, unsupervised
 - Clustering analysis (unsupervised, top-down split or bottom-up merge)
 - Decision-tree analysis (supervised, top-down split)
 - Correlation (e.g., χ²) analysis (unsupervised, bottom-up merge)



Simple Discretization: Binning

- Equal-width (distance) partitioning
 - Divides the range into N intervals of equal size: uniform grid
 - if A and B are the lowest and highest values of the attribute, the width of intervals will be: W = (B A)/N.
 - The most straightforward, but outliers may dominate presentation
 - Skewed data is not handled well
- Equal-depth (frequency) partitioning
 - Divides the range into N intervals, each containing approximately same number of samples
 - Good data scaling
 - Managing categorical attributes can be tricky

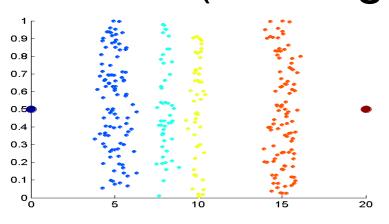


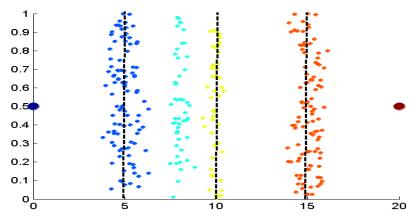
Binning Methods for Data Smoothing

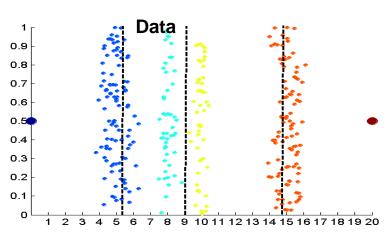
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 - Bin 1: 9, 9, 9, 9
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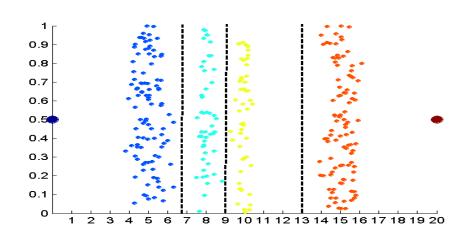


Discretization Without Using Class Labels (Binning vs. Clustering)











Discretization by Classification & Correlation Analysis

- Classification (e.g., decision tree analysis)
 - Supervised: Given class labels, e.g., cancerous vs. benign
 - Using entropy to determine split point (discretization point)
 - Top-down, recursive split
- Correlation analysis (e.g., Chi-merge: χ²-based discretization)
 - Supervised: use class information
 - Bottom-up merge: find the best neighboring intervals (those having similar distributions of classes, i.e., low χ^2 values) to merge
 - Merge performed recursively, until a predefined stopping condition



Concept Hierarchy Generation

- Concept hierarchy organizes concepts (i.e., attribute values)
 hierarchically and is usually associated with each dimension in a data
 warehouse
- Concept hierarchies facilitate <u>drilling and rolling</u> in data warehouses to view data in multiple granularity
- Concept hierarchy formation: Recursively reduce the data by collecting and replacing low level concepts (such as numeric values for age) by higher level concepts (such as youth, adult, or senior)
- Concept hierarchies can be explicitly specified by domain experts and/or data warehouse designers
- Concept hierarchy can be automatically formed for both numeric and nominal data. For numeric data, use discretization methods shown.



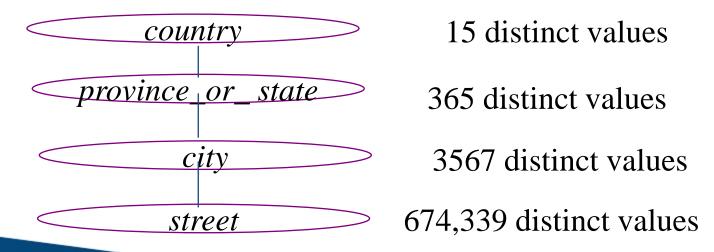
Concept Hierarchy Generation for Nominal Data

- Specification of a partial/total ordering of attributes explicitly at the schema level by users or experts
 - street < city < state < country
- Specification of a hierarchy for a set of values by explicit data grouping
 - {Urbana, Champaign, Chicago} < Illinois
- Specification of only a partial set of attributes
 - E.g., only *street < city*, not others
- Automatic generation of hierarchies (or attribute levels) by the analysis of the number of distinct values
 - E.g., for a set of attributes: { street, city, state, country}



Automatic Concept Hierarchy Generation

- Some hierarchies can be automatically generated based on the analysis of the number of distinct values per attribute in the data set
 - The attribute with the most distinct values is placed at the lowest level of the hierarchy
 - Exceptions, e.g., weekday, month, quarter, year





Data Preprocessing

- Data Preprocessing: An Overview
 - Data Quality
 - Major Tasks in Data Preprocessing
- Data Cleaning
- Data Integration
- Data Reduction
- Data Transformation and Data Discretization
- Summary





Summary

- Data quality: accuracy, completeness, consistency, timeliness, believability, interpretability
- Data cleaning: e.g. missing/noisy values, outliers
- Data integration from multiple sources:
 - Entity identification problem
 - Remove redundancies
 - Detect inconsistencies
- Data reduction
 - Dimensionality reduction
 - Numerosity reduction
 - Data compression
- Data transformation and data discretization
 - Normalization
 - Concept hierarchy generation

