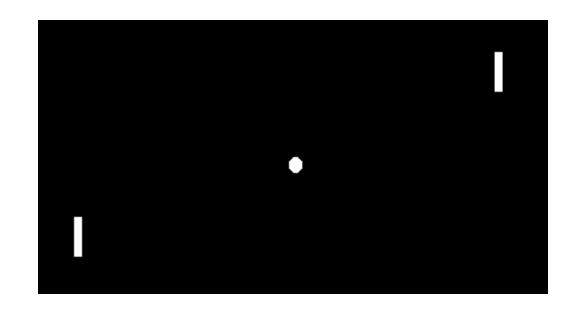


#### Overview

- Why Atari?
- Solving Pong without ML
- Solving Pong with RL
  - Markov Decision Processes (MDP)
  - Policies and Policy Networks
  - MDPs in Pong
- Gym/Gymnasium
- Demo

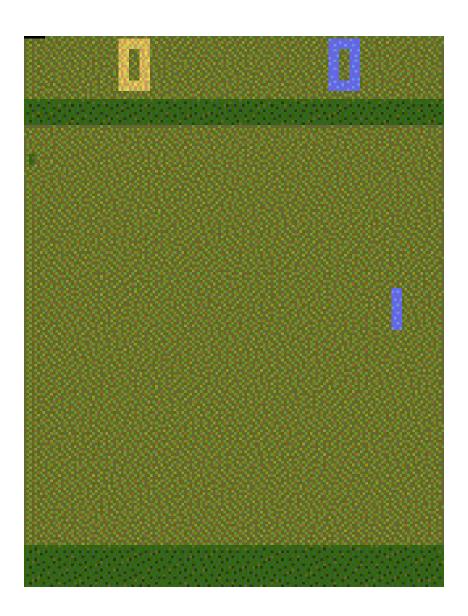


## Why Atari?

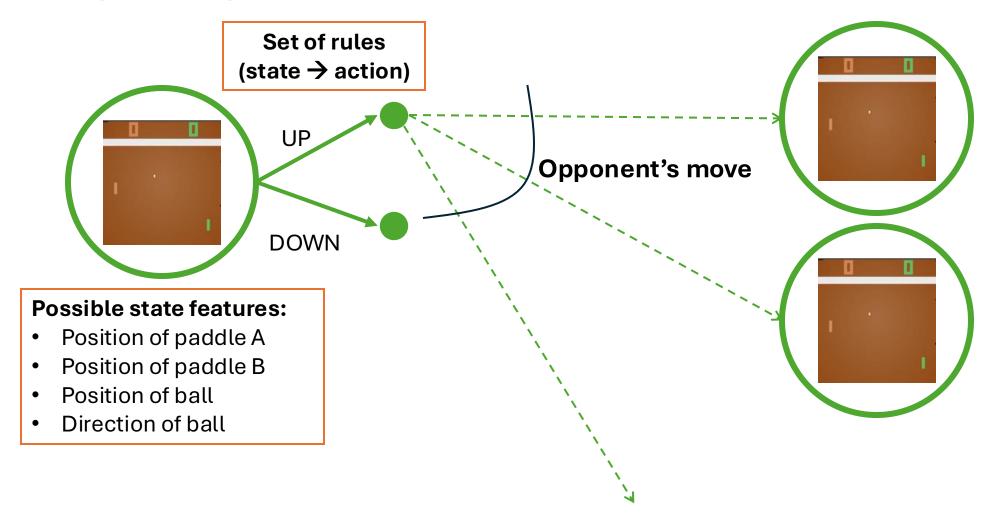
- Origins: The Arcade Learning Environment (ALE) bridging classic games and Al research
- Why Atari for RL?
  - Diverse tasks from high-dimensional pixel inputs
  - Challenging credit assignment & representation learning
  - Standardized evaluation & human performance baselines
- Historical paper: Deep Q-Network (DQN) achieving human level (Mnih et al. NeurIPS 2013; Nature 2015)
- Atari 2600 console (8-bit, 1977)
  - Fun tidbit: Wozniak worked on Pong; Wozniak and Jobs worked on Breakout together



# Pong

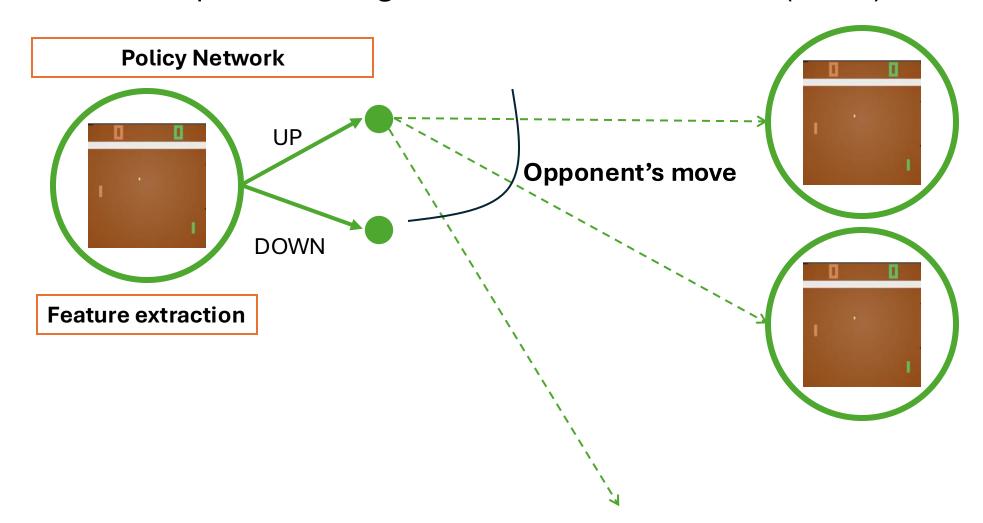


# Solving Pong without ML/RL



## Solving Pong with ML/RL

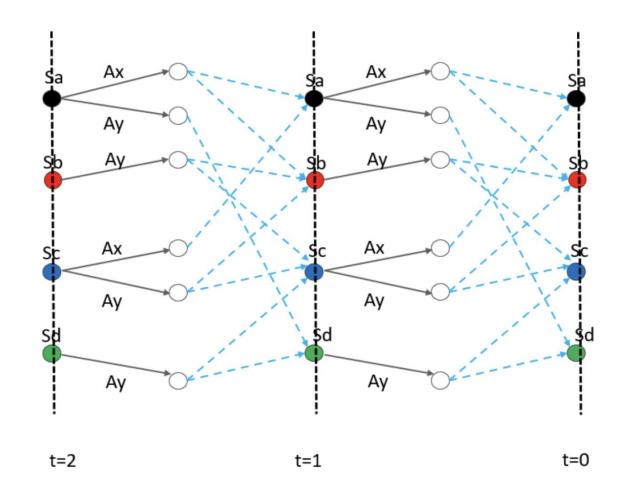
We formulate the problem using Markov Decision Processes (MDPs):



#### Markov Decision Processes (MDPs)

#### Consists of:

- **S:** Set of states
- A: Set of actions
- **P(s' | s, a):** Transition probability from state s to s' after action a
- **R(s, a, s'):** Reward received after taking action a in state s



#### Policies in RL

• Deterministic case: Policies map states to actions

$$\pi: S \to A$$

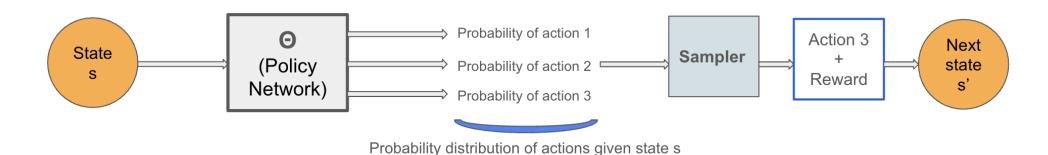
 Stochastic case: Policies map states to distributions over actions

$$\pi:S o \mathcal{P}(A)$$

• Goal: find optimal policies that maximize reward

### Policy Networks

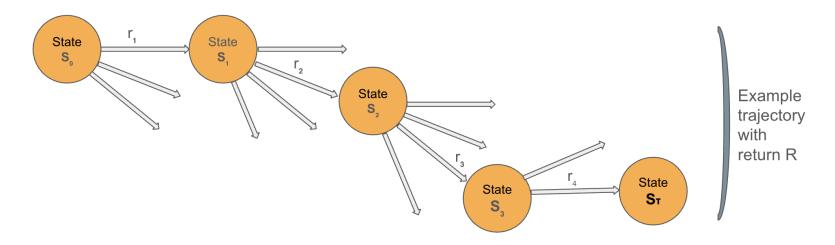
Policy networks implement stochastic policies



• Optimize policies by updating  $\theta$ 

#### Training Policy Networks

- How do we update Θ based on observed trajectories?
  - This is similar to **supervised learning** methods
- Sample multi-hop trajectories for policy  $\boldsymbol{\pi}$  and treat the sampled trajectories as training data



#### Policy Objective Function

• Use **gradient ascent** to iteratively adjust policy parameters  $\theta$  to maximize the expected return, **policy objective function J(\theta)** 

$$\max_{\theta} J(\theta) = \mathbb{E}_{\tau \sim \pi_{\theta}}[R(\tau)] = \sum_{\substack{\tau \text{ Probability of trajectory } \\ \text{consistent with policy } \pi}} P(\tau;\theta) \frac{R(\tau)}{P(\tau;\theta)} \frac{R(\tau)}{$$

Find the gradient of the policy objective function:

$$\nabla_{\theta} J(\theta) = \nabla_{\theta} \sum_{\tau} P(\tau; \theta) R(\tau)$$

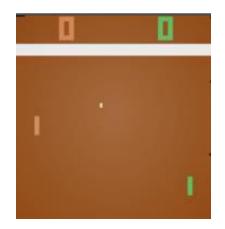
• Gradient ascent update, where α is the step size:

$$\theta \leftarrow \theta + \alpha \nabla_{\theta} J(\theta)$$

### MDPs in Pong

#### State:

210×160×3 RGB pixels → vector of D=80×80=6400 features



#### **Action Space:**

- UP
- DOWN

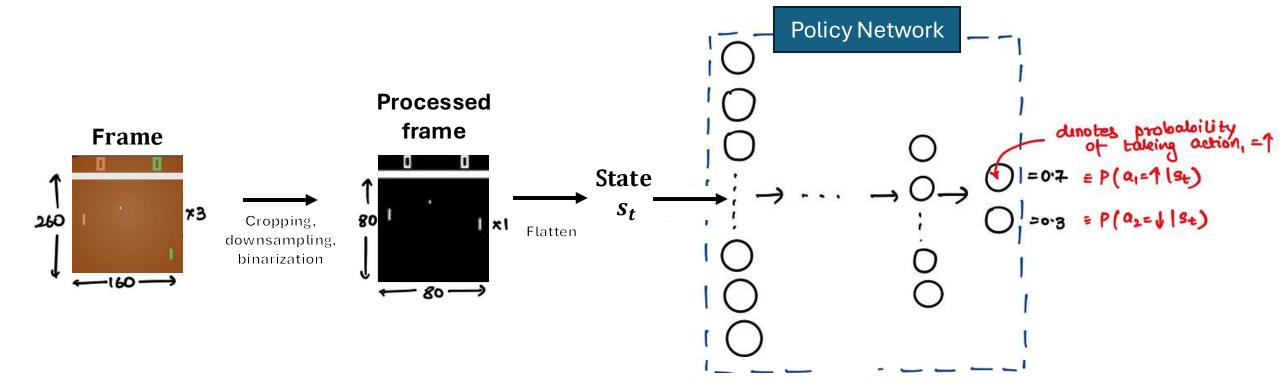
#### Rewards (Sparse):

- +1 if opponent misses ball
- -1 if agent misses ball
- Episode continues until one player scores 21 points

- Sequence of frames → state representation
- 2) State representation → action

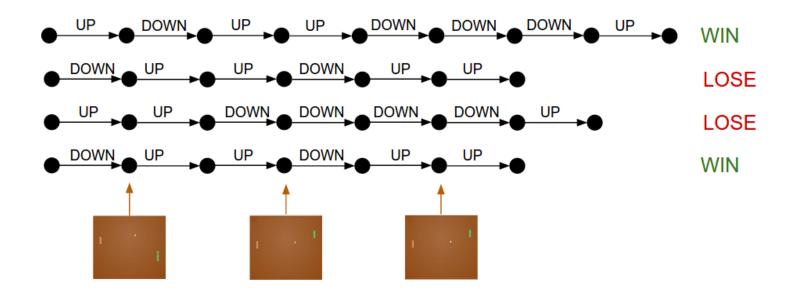
### Pong Policies

- Stochastic policy
- Two-layer fully connected multi-layer perceptron (MLP)



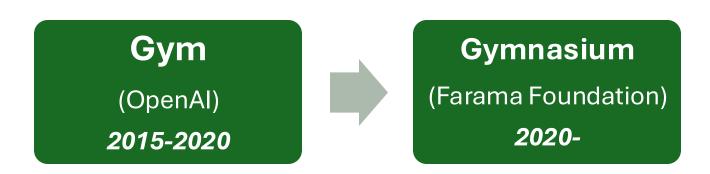
#### Training Pong Policies

- Four game examples (episodes)
- Input: difference between the current preprocessed frame and the previous preprocessed frame,  $x_t$ =prepro( $obs_t$ )-prepro( $obs_{t-1}$ )
  - Ideally, we want to use more than 2 frames

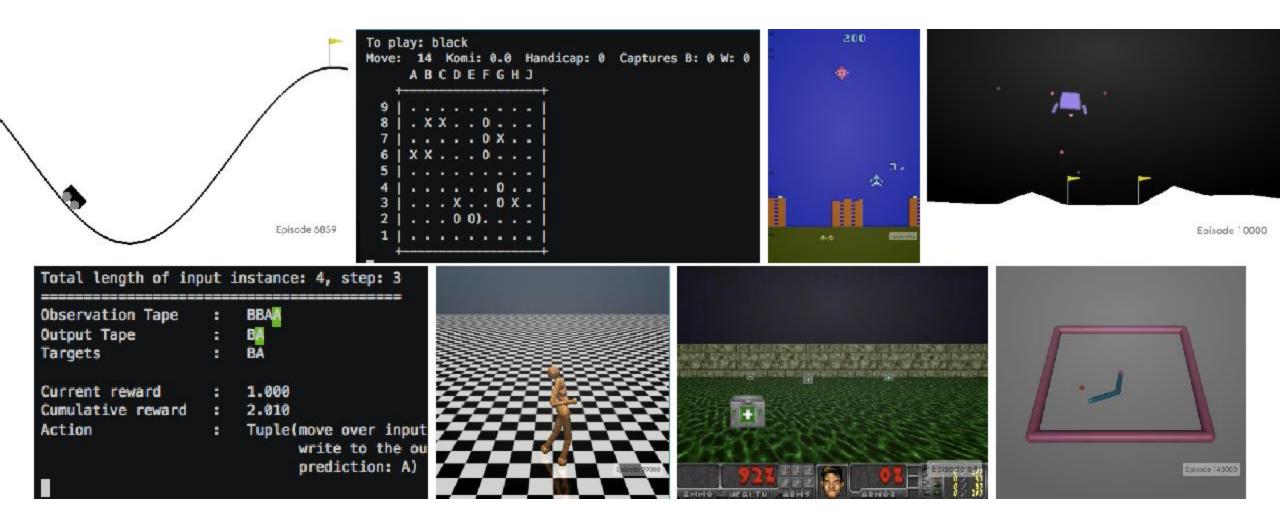


#### Gym/Gymnasium

- A foundational API for RL environments
- OpenAl Gym dates to 2015
- Since 2020: **Gymnasium** (Farama Foundation)
  - Community-maintained successor to Gym; nearly identical usage
  - Shimmy can be used for cross-compatibility across environments
- Pre-defined environments
  - Atari suite, MuJoCo, etc...



### **Gym Environments**



#### Demo

• Link here