

# FSM minimisation

## Limitations of FSMs

### Computing $2^p \times 2^p$

- Result:  $2^{2p}$  i.e. 1 followed by  $2p$  zeroes, length  $2p + 1$
- Let the FSM have  $n$  states
- Input length:  $p + 1$
- As the numbers are input (serially)  $2p + 1$  zeroes should be output
- Next, in  $p - 1$  zeroes should be output and finally a 1
- Let  $p > n$ , the m/c must loop through the states to generate the  $p - 1$  zeroes
- The required 1 will never be generated

## State equivalence

### Basic notions

#### Distinguishable states

$S_i$  and  $S_j$  of  $M$  are distinguishable if and only if a finite input sequence applied to  $M$  produce distinct output sequence, depending of whether  $M$  is in  $S_i$  or  $S_j$

#### Distinguishing sequence for $S_i$ and $S_j$

A sequence that allows  $S_i$  and  $S_j$  to be distinguished

#### $k$ -distinguishable

$S_i$  and  $S_j$  are  $k$ -distinguishable if there exists a sequence of length  $k$  to distinguish  $S_i$  and  $S_j$

#### $k$ -equivalent

No sequence of length  $k$  to distinguish  $S_i$  and  $S_j$

#### Equivalent states

$S_i$  and  $S_j$  are equivalent if they are  $k$ -equivalent for all  $k$ ; for  $n$  state m/c sufficient if  $k$ -equivalent for all  $k \leq n - 1$  (in view of the limited memory of FSMs)

## Minimisation of completely specified FSMs

### Sample m/c and partition table

FSM specification			Equivalence partition table	
PS	NS, output		$k$	Partitions
	$x = 0$	$x = 1$	$P_0$	(ABCDEF)
A	E,0	D,1	$P_1$	
B	F,0	D,0	$P_2$	

C	E,0	B,1
D	F,0	B,0
E	C,0	F,1
F	B,0	C,0

$P_3$	
$P_4$	

### Equivalence partition is unique

- Let  $\mathcal{P}_1$  and  $\mathcal{P}_2$  be two distinct equivalence partitions
- There there must be two states  $s_i$  and  $s_j$  in the same partition of  $\mathcal{P}_1$  but in different partitions of  $\mathcal{P}_2$
- Since those are in different partitions in  $\mathcal{P}_1$ , those are distinguishable and cannot be in the same equivalence partition of  $\mathcal{P}_2$
- Thus, the two equivalence partitions cannot be distinct
- By corollary, the equivalence partition  $\mathcal{P}$  is minimum — a distinct equivalence partition  $\mathcal{P}'$  will not exist

### Minimisation of incompletely specified FSMs

#### Sample incompletely specified FSMs

FSM specification:  $M_A$ 

PS	NS, output	
	$x = 0$	$x = 1$
A	C,1	E,—
B	C,—	E,1
C	B,0	A,1
D	D,0	E,1
E	D,1	E,0

FSM specification:  $M_B$ 

PS	NS, output	
	$x = 0$	$x = 1$
A	B,1	—
B	—,0	C,0
C	A,1	B,0

FSM specification:  $M_C$ 

PS	NS, output	
	$x = 0$	$x = 1$
A	B,1	T,—
B	T,0	C,0
C	A,1	B,0
T	T,—	T,—

FSM specification:  $M_D$ 

PS	NS, output			
	$I_1$	$I_2$	$I_3$	$I_4$

FSM specification:  $M_E$ 

PS	NS, output	
	$I_1$	$I_2$

FSM specification:  $M_F$ 

PS	NS, output			
	$I_1$	$I_2$	$I_3$	$I_4$

A	—	C,1	E,1	B,1
B	E,0	—	—	—
C	F,0	F,1	—	—
D	—	—	B,1	—
E	—	F,0	A,0	D,1
F	C,0	—	B,0	C,1

A	E,0	B,0
B	F,0	A,0
C	E,—	C,0
D	F,1	D,0
E	C,1	C,0
F	D,—	B,0

A	—	D,0	D,0	C,—
B	A,1	—	—	D,—
C	B,1	C,0	E,0	—
D	E,1	C,0	—	D,0
E	—	—	—	A,1

## Basic notions

- For an completely specified m/c two states  $s_i$  and  $s_j$  of a machine  $M$  are compatible if and only if, for every input sequence the same output sequence will be produced regardless of whether  $s_i$  or  $s_j$  is the initial state
- However, for incompletely specified m/cs the next state may not be defined
- An input sequence is said to be applicable to a m/c if its state transitions can be definitely determined for a given input sequence (next state for each input symbol is specified)
- Behaviour of the m/cs may be observed when applicable sequences are applied when the m/c starts with either  $s_i$  or  $s_j$  as the initial state
- Outputs need not be specified for an applicable sequence; they need to match when specified

## Compatible states

Two states  $s_i$  and  $s_j$  of a machine  $M$  are compatible if and only if, for every input sequence applicable to both  $s_i$  and  $s_j$ , the same output sequence will be produced whenever both output symbols are specified and regardless of whether  $s_i$  or  $s_j$  is the initial state

## Compatibility issues

- Let  $s_i$ ,  $s_j$  and  $s_k$  be states whose compatibilities are to be determined
- Let  $s_i^x$  and  $s_j^x$  be their  $x$ —successors on application of applicable sequence  $x$
- What to do if the output of  $s_i^x$  is specified (as  $o_a$ ) but that of  $s_j^x$  is not specified?
  - Since it is unspecified, it can be set to the specified output ( $o_a$ ) so that  $s_i^x$  and  $s_j^x$  may be merged (consider merging of B and C of  $M_E$ )
- What to do if the output of  $s_k^x$  is specified (as  $o_b$ ,  $o_a \neq o_b$ ) but that of  $s_j^x$  is not specified, assuming that it is decided to merge  $s_i^x$  and  $s_j^x$ ?
  - State  $s_j^x$  may be split; let  $s'$  be resultant of splitting  $s_j^x$ ; now the output of  $s'$  can be set to the specified output ( $o_b$ ) so that  $s_k^x$  and  $s'$  may be merged (consider merging of D and C of  $M_E$ )
- What to do if, on input  $a$ , the next state of  $s_i^x$  is specified (as  $s_a$ ) but that of  $s_j^x$  is not specified?
  - Since it is unspecified, it can be set to the specified state ( $s_a$ ) so that  $s_i^x$  and  $s_j^x$  may be merged
- What to do if, on input  $a$ , the next state of  $s_k^x$  is specified (as  $s'_a$ , not compatible with  $s_a$ ) but that of  $s_j^x$  is not specified, assuming that it is decided to merge  $s_i^x$  and  $s_j^x$ ?

- State  $s_j^x$  may be split; let  $s'$  be resultant of splitting  $s_j^x$ ; now the next state of  $s'$  can be set to the specified state ( $s'_a$ ) so that  $s_k^x$  and  $s'$  may be merged

## Merger table

### Table construction

- If  $s_i$  and  $s_j$  have output conflict on the next state, they are incompatible, indicated by a  $\times$  in  $M_{ij}$ 
  - States A and D have output conflict on  $I_1$
  - States B and E have output conflict on  $I_1$
- For each pair of states  $\langle s_i, s_j \rangle$ ,  $M_{ij}$  indicates the required compatibilities of states
  - Compatibility of states A and B contingent of compatibility of E and F, also compatibility of A and B
  - Compatibility of states B and F contingent of compatibility of F and F, also compatibility of A and B
- Merger table is symmetric
  - Sufficient to have entries for  $s_i$  and  $s_j$  for  $i > j$
- May be equivalently represented by a merger graph

FSM specification:  $M_E$

PS	NS, output	
	$I_1$	$I_2$
A	E,0	B,0
B	F,0	A,0
C	E,—	C,0
D	F,1	D,0
E	C,1	C,0
F	D,—	B,0

Merger table

B	EF, AB				
C	BC, EE	AC,EF			
D	$\times$	$\times$	EF, CD		
E	$\times$	$\times$	CE, CC	CD,CF	
F	DE, BB	<del>AB,DF</del>	BC,DE	<del>BD,FD</del>	BC,CD
	A	B	C	D	E

### Table simplification

#### Unsatisfiable dependencies (direct)

DF depending of compatibility of BD

*Entries with (direct) unsatisfiable dependencies are crossed*

#### Unsatisfiable dependencies (transitive)

AF depending of compatibility of DF

*Entries with (transitive) unsatisfiable dependencies are crossed*

#### Self dependencies

AB depending on AB, CD depending on CD, FD depending on FD

*Self dependencies are dropped*

#### Reflexive dependencies

Depending on compatibility of E with E, B with B  
*Reflexive dependencies are dropped*

Merger table after simplification

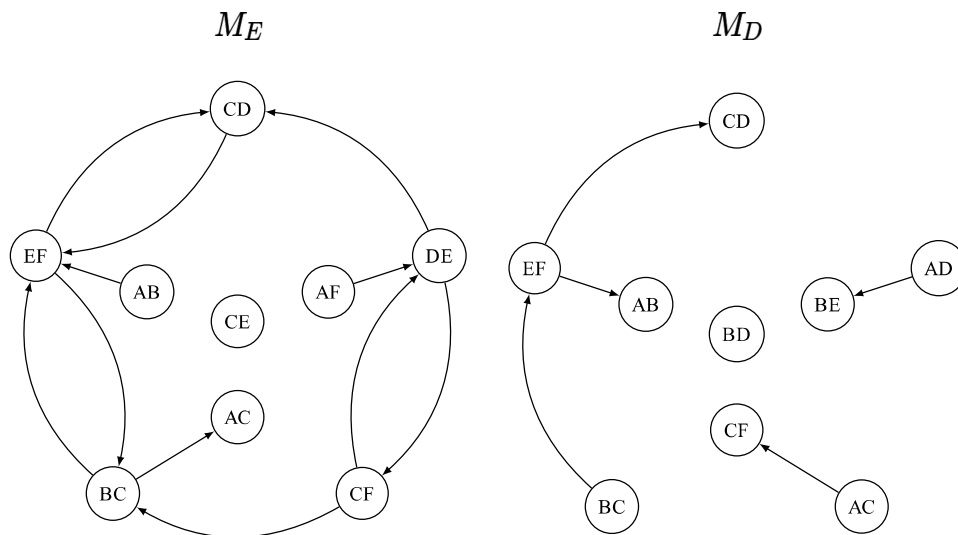
Merger table

B	EF				
C	BC	AC,EF			
D	×	×	EF		
E	×	×	✓	CD,CF	
F	DE	×	BC,DE	×	BC,CD
	A	B	C	D	E

### Compatibility graph

- Compatibility dependencies indicated in the merger table are depicted in the compatibility graph
- Each for each  $M_{ij}$  in the reduced merger table that is not crossed out, there is a node in compatibility graph
- If  $\langle s_p, s_q \rangle \in M_{ij}$ , there is a directed edge from the node for  $\langle s_i, s_j \rangle$  to the node for  $\langle s_p, s_q \rangle$ , note that  $\langle s_i, s_j \rangle \equiv \langle s_j, s_i \rangle$

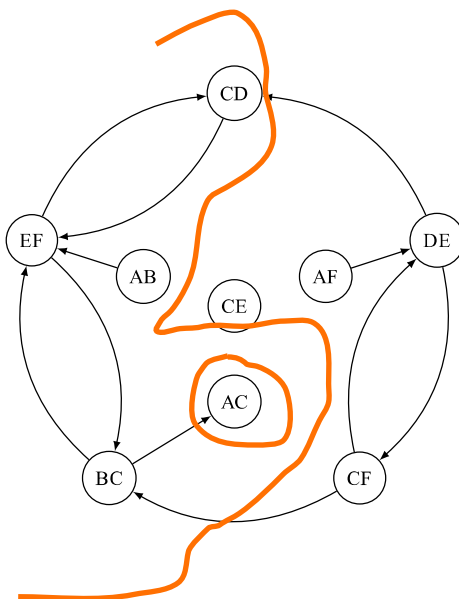
### Compatibility graphs



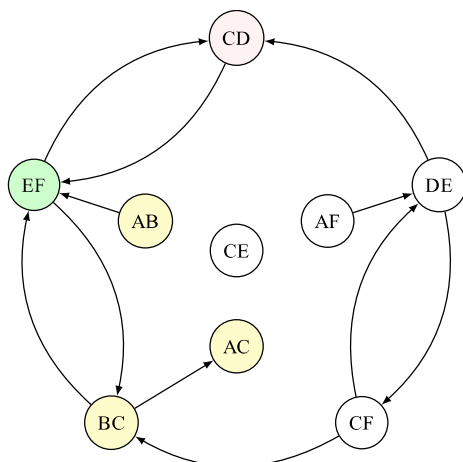
### Closed set of compatibles

- It is desirable to merge compatible states
- For  $M_E$ , states C and E are compatible and may be merged

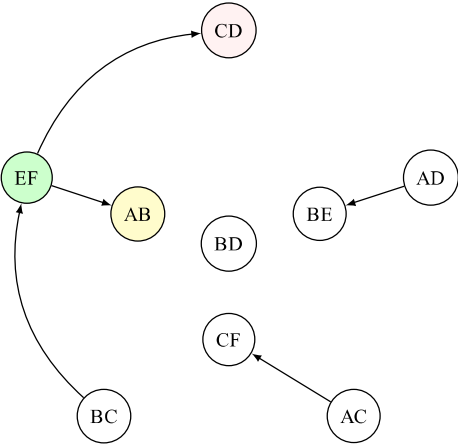
- Similarly, states A and C are compatible and may be merged
- However, states A and B are compatible subject to E and F being compatible; to merge A and B, E and F must also be merged
- States E and F are compatible subject to compatibility of BC and CD; to merge EF, BC and CD must also be merged
- States B and C are compatible subject to compatibility of AC; to merge BC, AC must also be merged
- Just CE may be merged without having to merge any other states, then there will be 5 states: A, B, CE, D, F so that all states are covered
- AB, EF, CD, BC and AC may be merged without having to merge any other states, then there will be states: AB, EF, CD, BC and AC, covering all states
  - However, AB, BC and AC together form a clique and may be merged to ABC
  - Then after, merging there will be only 3-states: ABC, CD and EF
  - Note that C had to be split between CD and ABC
- Thus, closed sets of compatibles need to be systematically (through branch and bound) explored and costed
- Cliques in a closed set of compatibles may be combined to a single state
- Each closed set of compatible needs to be costed to retain the closed set of compatibles (after clique reduction) of least cost
- The reduced FSM (NS and output function tables) need to be constructed

Costing of closed set of compatibles for  $M_E$ 

S1	Closed set of compatibles	Cost
1	A, B, CE, D, F	5
2	AB, EF, CD, BC, AC	<del>5</del>
3	ABC, EF, CD	3
4	DE, CD, EF, BC, AC, CF	6
5	DE, CD, EF, ABC, CF	5
6	CDE, EF, ABC, CF	4

Reduced FSM for  $M_E$ 

PS		NS, output	
Members	Symbol	$I_1$	$I_2$
ABC	$\alpha$	$\delta, 0$	$\alpha, 0$
CD	$\gamma$	$\delta, 1$	$\gamma, 0$
EF	$\delta$	$\gamma, 1$	$\alpha, 0$



Reduced FSM for  $M_D$

PS		NS, output			
Members	Symbol	$I_1$	$I_2$	$I_3$	$I_4$
AB	$\alpha$	$\gamma, 0$	$\beta, 1$	$\gamma, 1$	$\alpha, 1$
CD	$\beta$	$\gamma, 0$	$\gamma, 1$	$\alpha, 1$	—
EF	$\gamma$	$\beta, 0$	$\gamma, 0$	$\alpha, 0$	$\beta, 1$

Exercises

- Present the pseudocode for finding the minimum cost closed set of compatibles
- Work out the state minimisation for  $M_D$  and  $M_F$