



**Sunbeam Institute of Information Technology  
Pune and Karad**

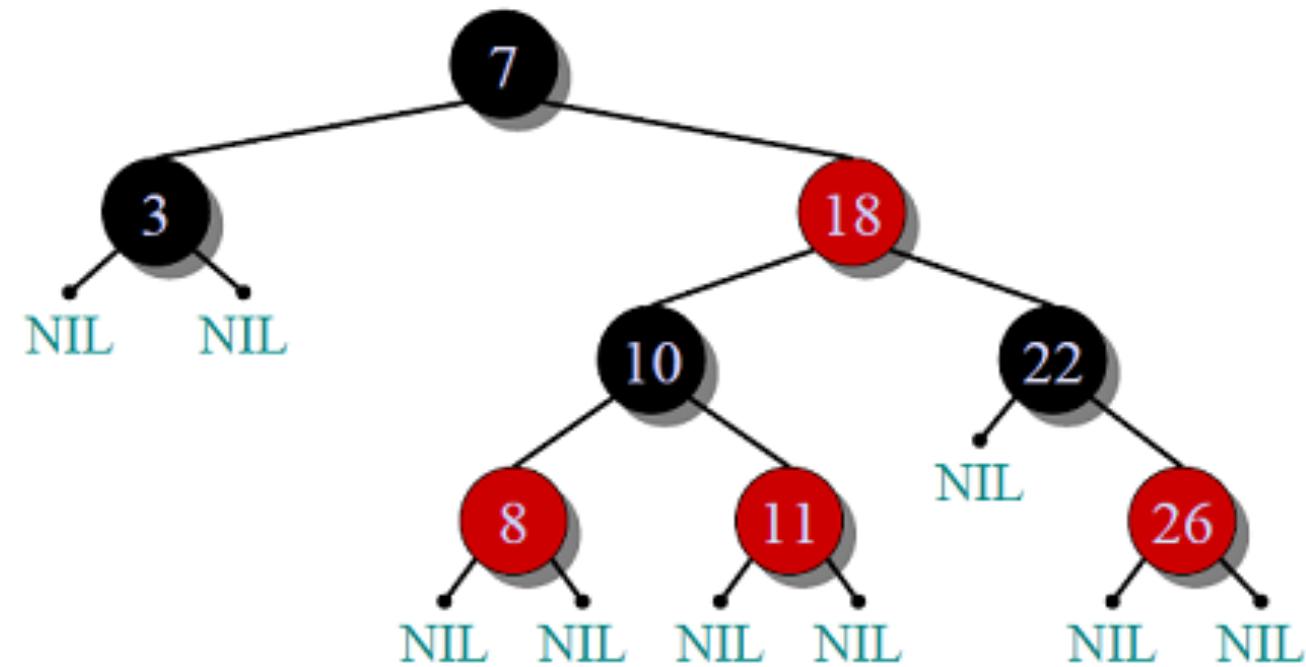
## **Data structures and Algorithms**

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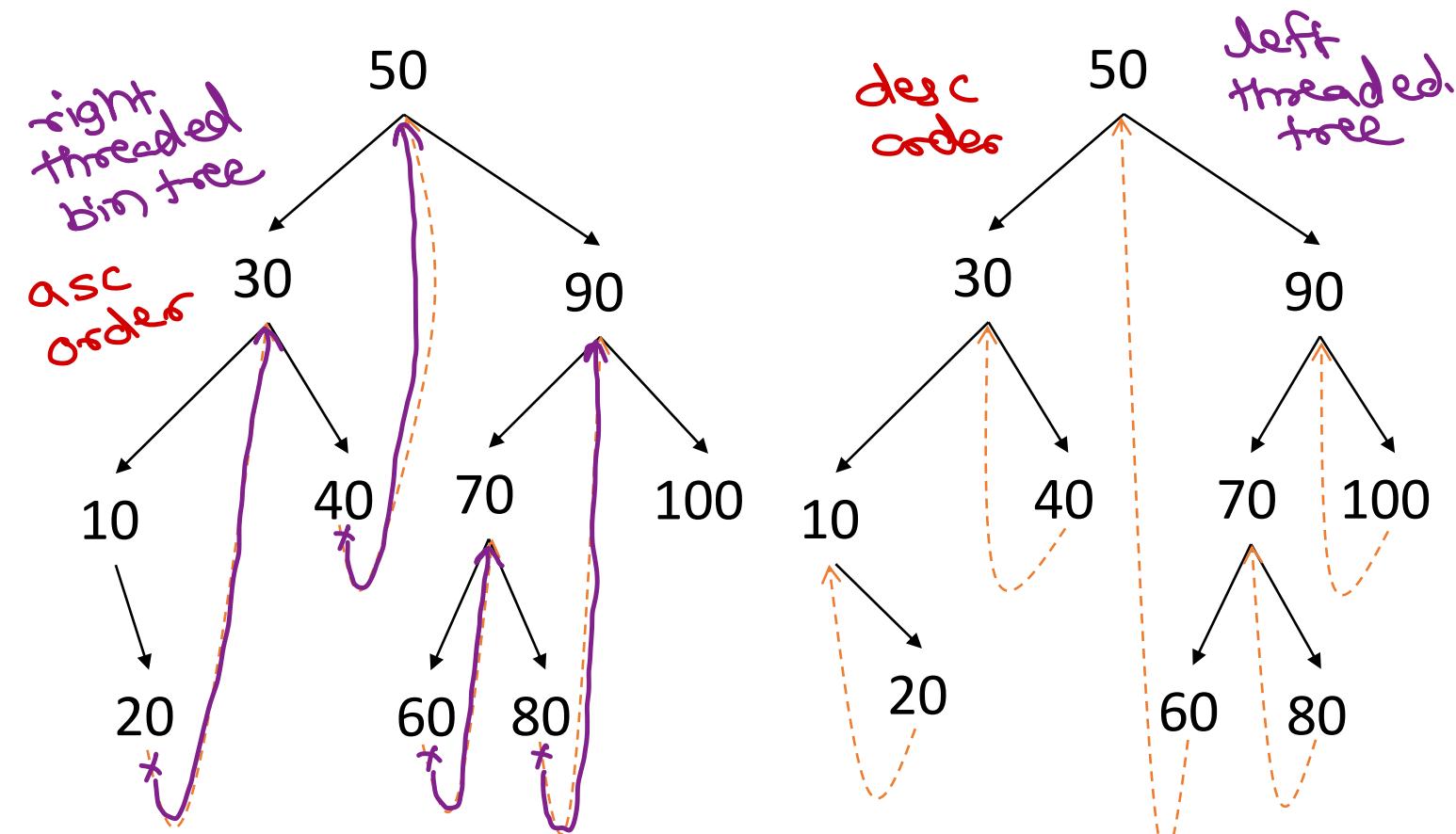
# Red & Black tree

- Red & Black tree is a self-balancing Binary Search Tree (BST).
- Each node follows some rules:
  - Every node has a color either red or black.
  - Root of tree is always black.
  - Two adjacent cannot be red nodes (Parent color should be different than child).
  - Every path from a node (including root) to any of its descendant NULL node has the equal number of black nodes.
- Most of BST operations are done in  $O(h)$  i.e.  $O(\log n)$  time.
- For frequent insert/delete, RB tree is preferred over AVL tree.

Java collection - TreeSet



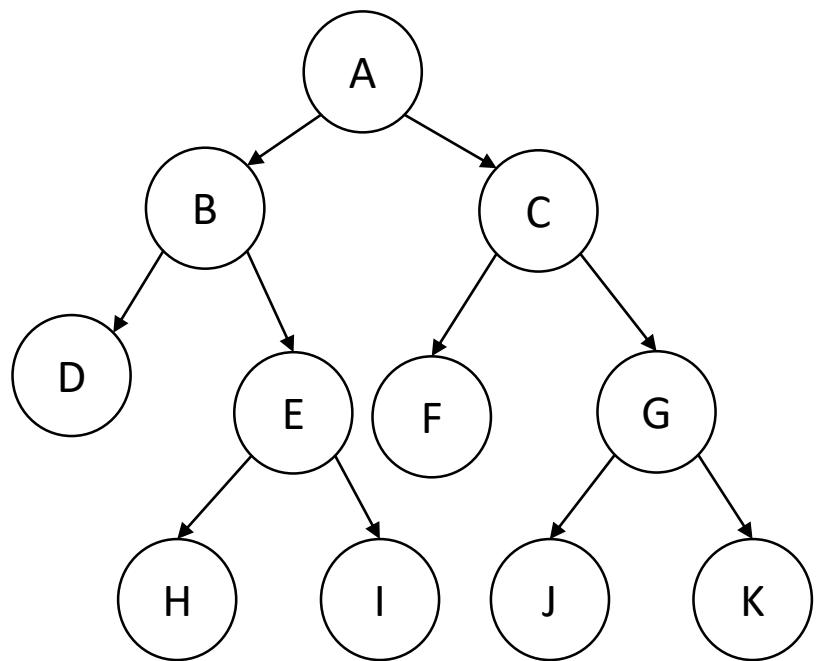
# Threaded BST



right threaded BST + left threaded BST = In-threaded BST

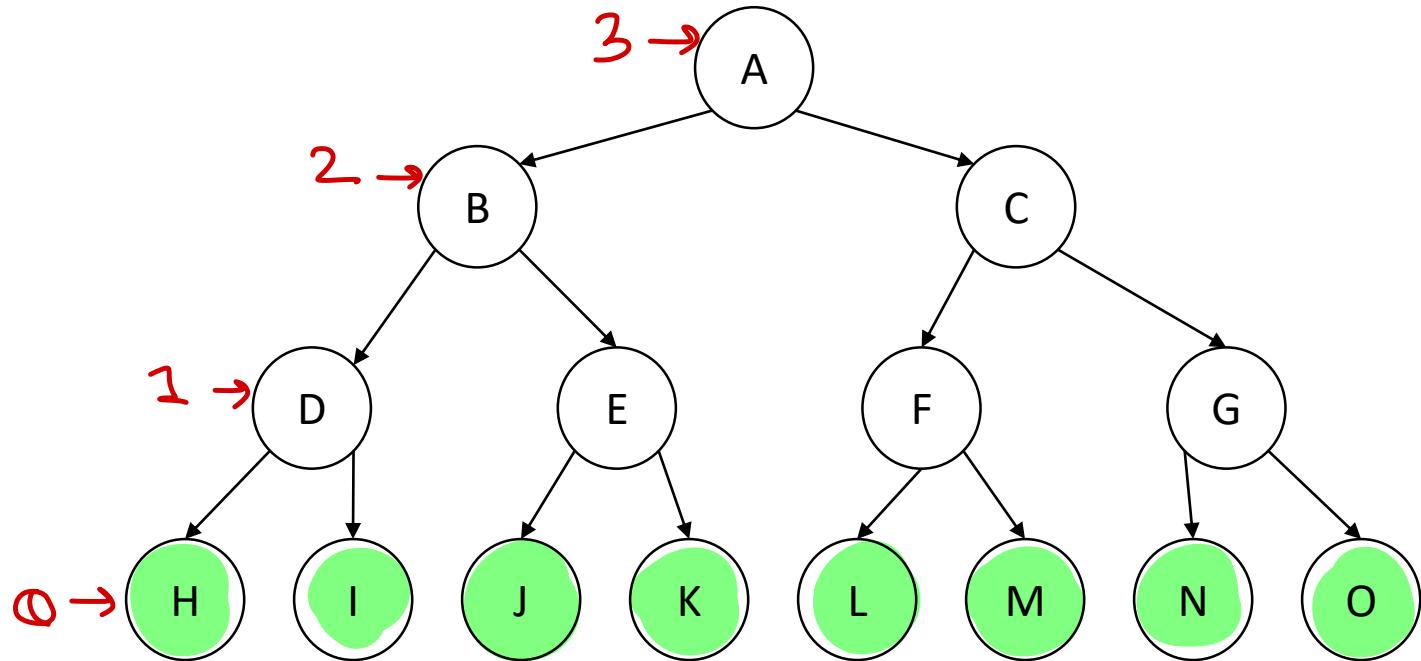
- Typical BST in-order traversal involves recursion or stack. It slows execution and also need more space.
- Threaded BST keep address of in-order successor or predecessor addresses instead of NULL to speed up in-order traversal (using a loop).
- Left threaded BST
- Right threaded BST
- In-threaded BST

## Strictly Binary Tree



- Binary tree in which each non-leaf node has exactly two child nodes.
- Strictly binary tree with  $n$  leaves always has  $2^n - 1$  nodes.

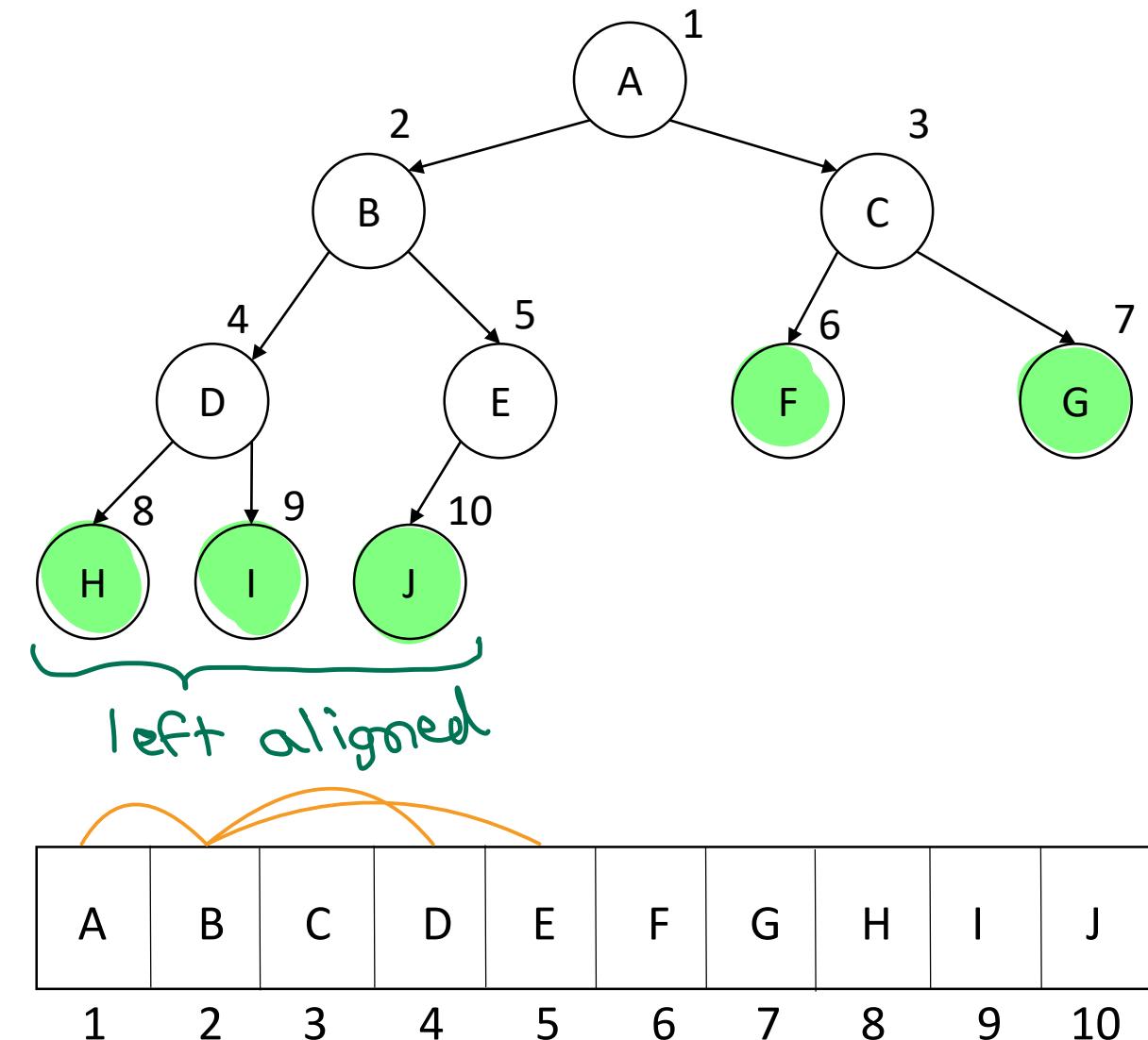
## Complete Binary Tree



- Strictly binary tree of height  $h$  whose all leaves are at same level. Also called as full tree.
- Number of nodes =  $2^{h+1} - 1$
- Number of leaf nodes =  $2^h$

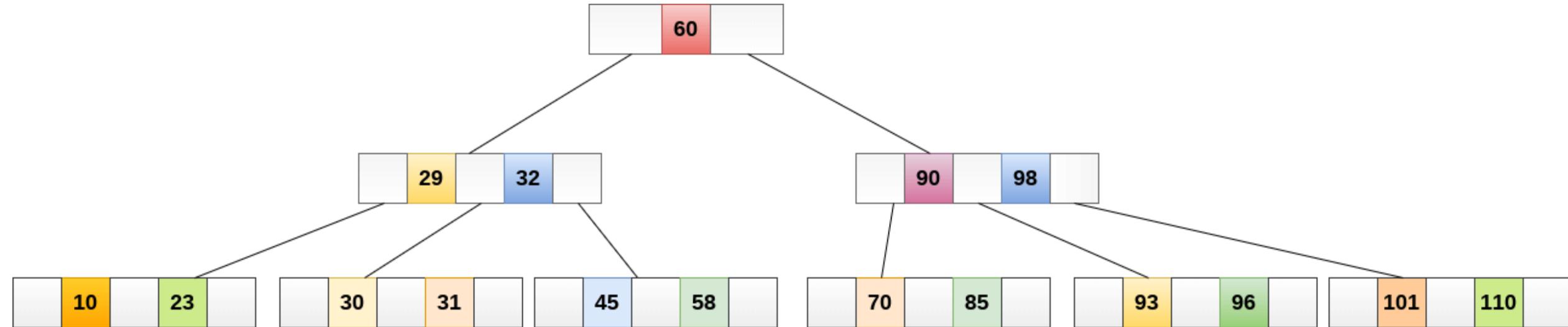
$$2^{3+1} - 1 = 15$$
$$2^3 = 8$$

# Almost Complete Binary Tree and Heap



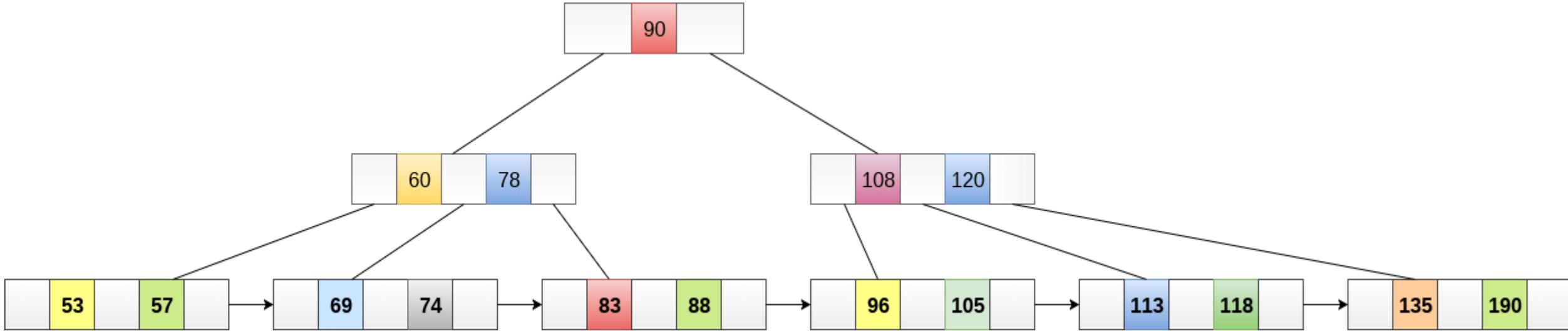
- Almost complete binary tree (of height d)
  - All leaf nodes must be at level d or d-1.
  - All leaf nodes at level d must be aligned as left as possible.
- Heap is array implementation of almost complete binary tree.
- Parent child relation is maintained through index calculations
  - parent index = child index / 2
  - left child index = parent index \* 2
  - right child index = parent index \* 2 + 1

# B Tree



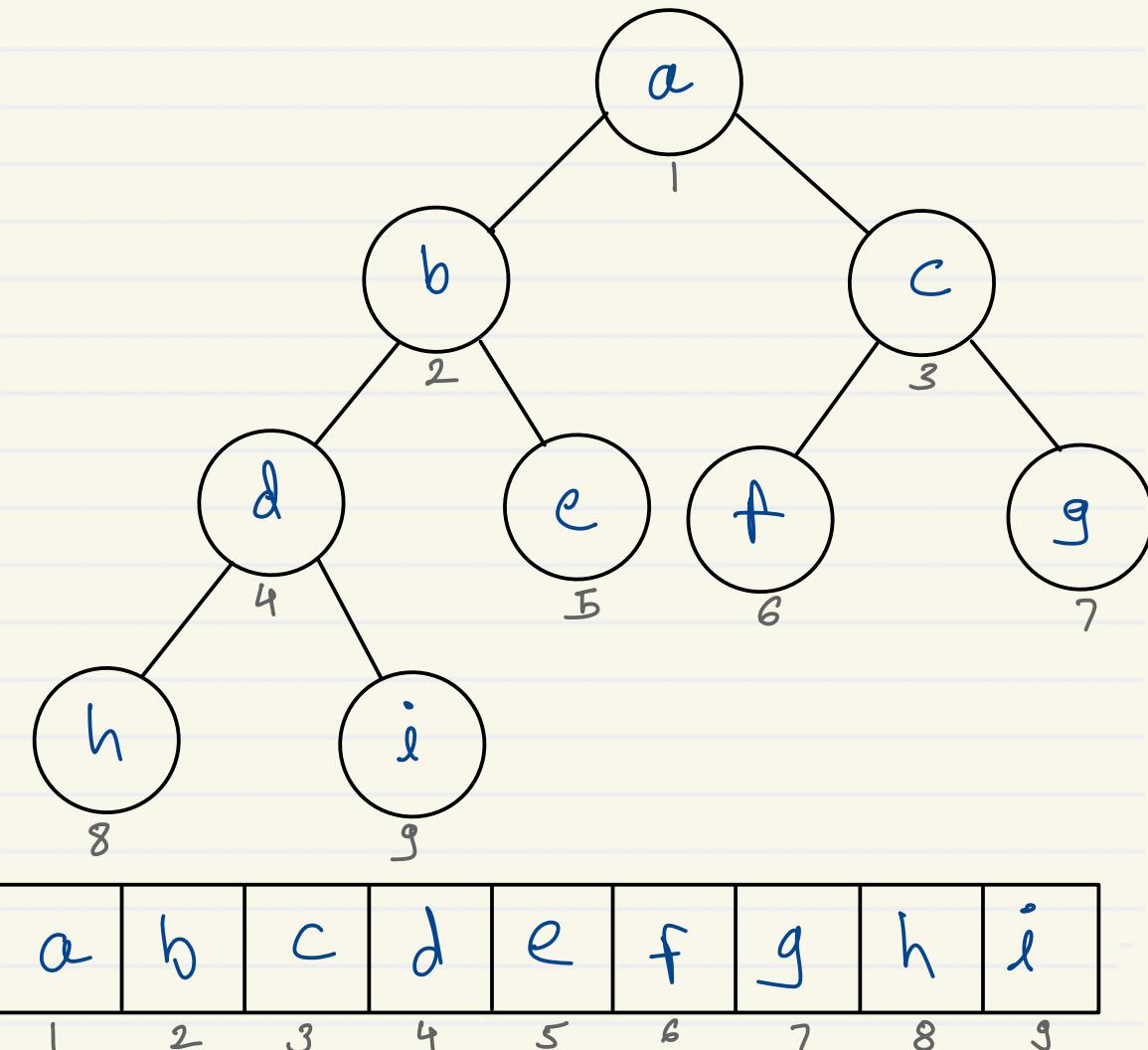
- A B-Tree of order  $m$  can have at most  $m-1$  keys and  $m$  children.
- B tree store large number of keys in a single node. This allows storing number of values keeping height minimal.
- Note that in B-Tree all leaf nodes are at same level.
- B-Tree is commonly used for indexing into file systems and databases. It ensures quick data searching and speed up disk access.

# B+ Tree



- Extension of B-Tree for efficient insert, delete and search operation.
- Data is stored in leaf nodes only and all leaf nodes are linked together for sequential access.
- Search keys may be redundant.
- Faster searching, simplified deletion (as only from leaf nodes).
- B+Tree is commonly used for indexing into file systems and databases. It ensures quick data searching and speed up disk access.

# Almost Complete Binary Tree or Heap

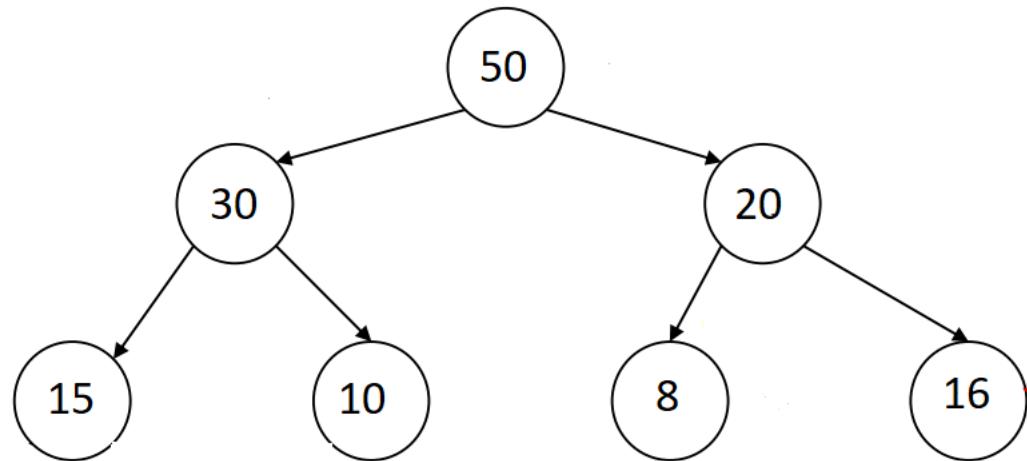


- Almost Complete Binary Tree ( height = h )
- All leaf nodes must be at level h or h-1
- All leaf nodes at level h must aligned as left as possible
- Array implementation of Almost Complete Binary Tree is called as heap

Node  $\rightarrow$   $i$ th index  
parent  $\rightarrow$   $i/2$  index  
left child  $\rightarrow$   $i*2$  index  
right child  $\rightarrow$   $i*2+1$  index

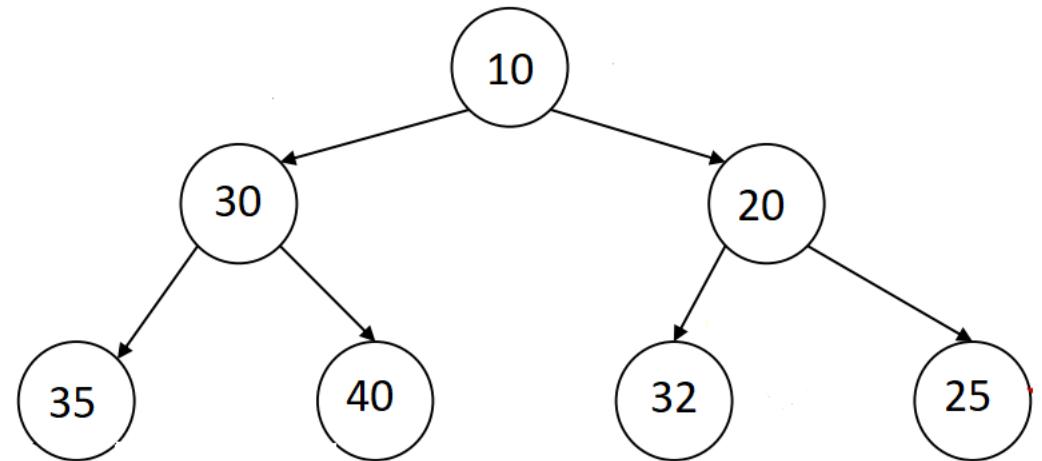
# Heap Types – Max and Min

**Max Heap**



|    |    |    |    |    |   |    |
|----|----|----|----|----|---|----|
| 50 | 30 | 20 | 15 | 10 | 8 | 16 |
| 1  | 2  | 3  | 4  | 5  | 6 | 7  |

**Min Heap**



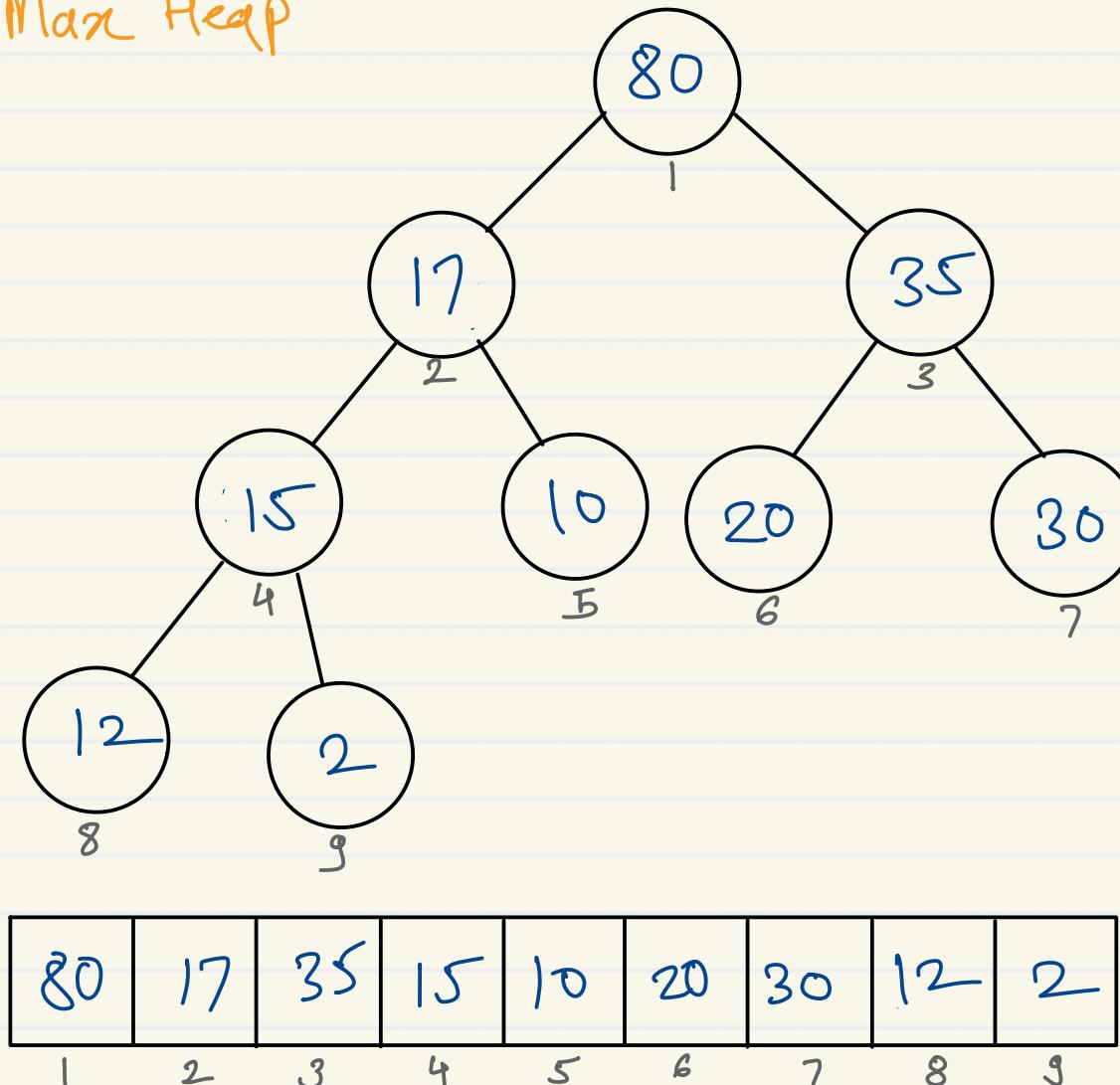
|    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|
| 10 | 30 | 20 | 35 | 40 | 32 | 25 |
| 1  | 2  | 3  | 4  | 5  | 6  | 7  |

- Max heap is a heap data structure in which each node is greater than both of its child nodes.

- Min heap is a heap data structure in which each node is smaller than both of its child nodes.

# Heap - Add heap

Max Heap



Keys : 20, 12, 35, 15, 10, 80, 30, 17, 2

Algorithm :

1. add new value at first empty index from left side
2. adjust position of the newly added value by comparing with all its ancestors one by one.

- to add value into heap , need to traverse from leaf to root position.

Time  $\propto$  height

$$T(n) = O(\log n)$$

|    |    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|----|
| 90 | 80 | 35 | 17 | 10 | 20 | 30 | 12 | 15 |
| 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  |

value = 90

|    |    |
|----|----|
| ci | pi |
| 9  | 4  |
| 4  | 2  |
| 2  | 1  |
| 1  | 0  |

↑ not valid index

value = 16

|    |    |
|----|----|
| ci | pi |
| 9  | 4  |
| 4  | 2  |

ci = index of newly added value

pi = ci / 2;  
while (pi > 0) {

if (parent is greater)  
break;

swap;

ci = pi;

pi = ci / 2

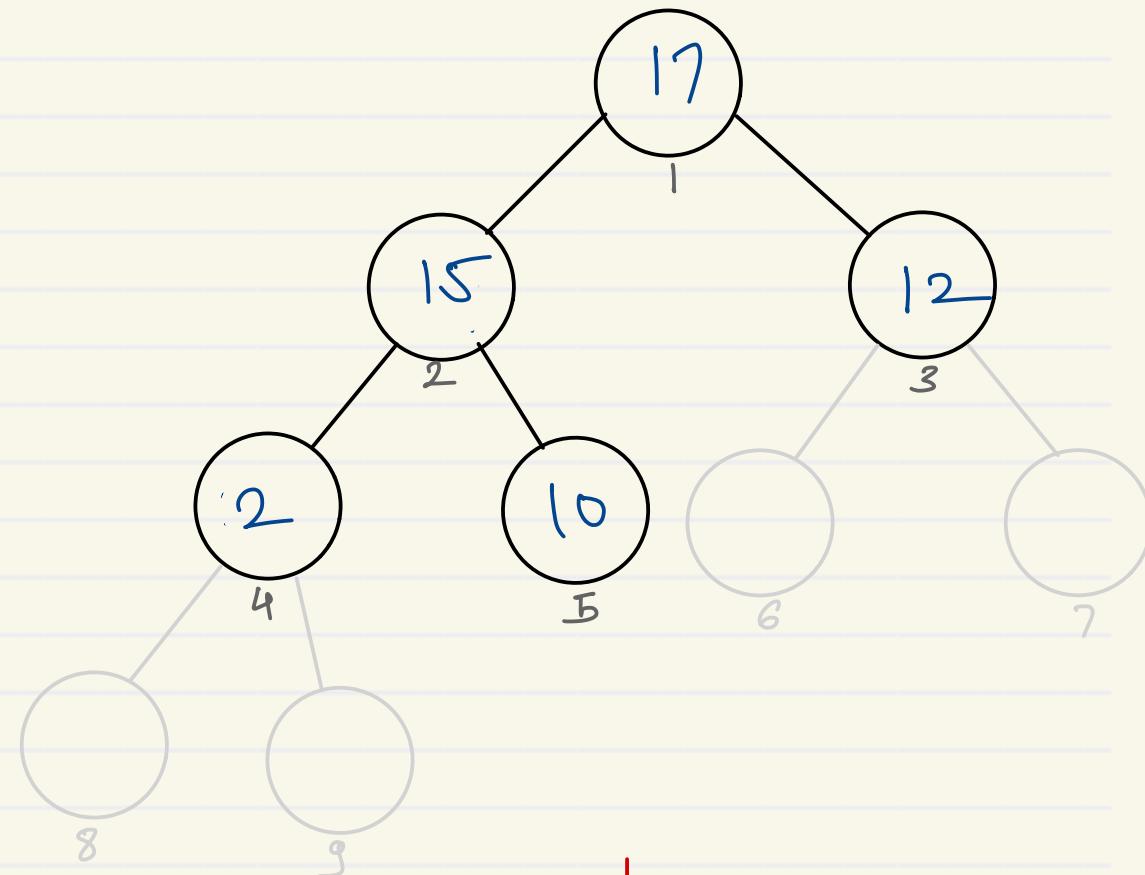
}

|    |    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|----|
| 80 | 17 | 35 | 16 | 10 | 20 | 30 | 12 | 15 |
| 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  |    |

1

↑ correct place for newly added value  
(further parent is greater)

# Heap - Delete heap



|    |    |    |   |    |   |   |   |   |
|----|----|----|---|----|---|---|---|---|
| 17 | 15 | 12 | 2 | 10 |   |   |   |   |
| 1  | 2  | 3  | 4 | 5  | 6 | 7 | 8 | 9 |

property : can delete only root element

Max heap : always higher element is deleted

Min heap : always lower element is deleted

Max : 80, 35, 30, 20

Algorithm :

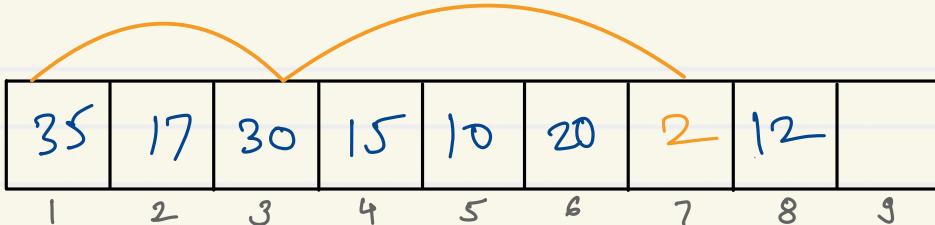
1. After deleting root, promote last element of heap to root place.
2. Adjust position of promoted element by comparing with all its descendants one by one.

- to delete element from heap, need to traverse from root to leaf.

Time  $\propto$  height

$$T(n) = O(\log n)$$

left child =  $pi * 2$       right child =  $pi * 2 + 1$   
 $= left child + 1$



$pi = 1;$

$ci = pi * 2;$

while ( $ci \leq size$ ) {

- find index of maximum child

- if (parent is greater than max child)  
 $break;$

- swap parent & max child:

$pi = ci;$

$ci = pi * 2;$

5

Max = 80

$pi$        $ci$

1      2, 3      ✓

3      6, 7      ✓

7      14      ✗

↑ invalid child index

- Queue where high priority data is always peeked or deleted.
- Priority can be implemented using array, linked list and heap data structures.
- An array is used to store a value and its associated priority. In some simpler implementations, the value itself might represent the priority (e.g., lower value means higher priority).
- Array and linked list implementation of priority queue often leads to less efficient performance compared to heap-based implementations for insertion and deletion operations.

- **Ordered vs. Unordered Array**

- **Ordered Array / linked list**

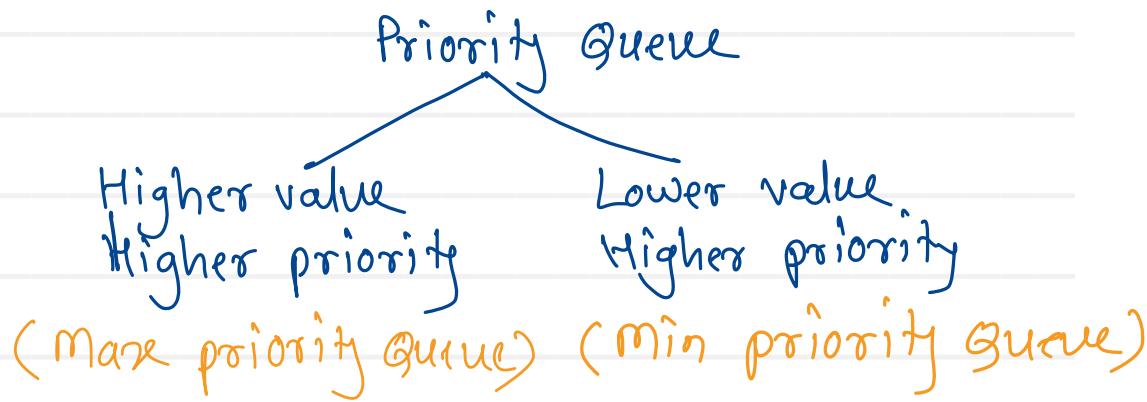
- Elements are kept sorted by priority. Insertion requires shifting existing elements to maintain order, leading to  $O(n)$  time complexity for insertion.
  - Deletion of the highest priority element is  $O(1)$  as it's typically at the beginning or end of the array.

- **Unordered Array/ linked list**

- Elements are inserted without regard to order, making insertion  $O(1)$ .
  - Deletion of the highest priority element requires searching the entire array to find it, resulting in  $O(n)$  time complexity for deletion.

# Priority Queue Implementation

- Priority : number associated with value
- Priority range is defined by programmer  
e.g. Priority range : 1 to 10



- Every element of priority queue will have two parts:  
value : any data type  
priority : integer

```
class item {  
    int value;  
    int priority;  
};
```

```
class priorityQueue {  
    class item arr[5];  
    int capacity;    (maxSize)  
    int size;  
};
```

## Operations :

- 1> Enqueue
- 2> Dequeue
- 3> Peek
- 4> isEmpty  $\rightarrow$  size == 0
- 5> isFull  $\rightarrow$  size == capacity

# Priority Queue Implementation

Ordered array:

| capacity | size     | arr | 0   | 1   | 2   | 3     | 4        |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|----------|----------|-----|---|-----|-----|-------|----------|---|----|---|-------|----------|---|----|---|-------|----------|---|----|---|-------|----------|--|--|--|-------|----------|
| 5        | 4        |     | <table><tr><td>10</td><td>2</td></tr><tr><td>value</td><td>priority</td></tr></table> | 10  | 2   | value | priority | <table><tr><td>40</td><td>3</td></tr><tr><td>value</td><td>priority</td></tr></table> | 40 | 3 | value | priority | <table><tr><td>20</td><td>5</td></tr><tr><td>value</td><td>priority</td></tr></table> | 20 | 5 | value | priority | <table><tr><td>30</td><td>7</td></tr><tr><td>value</td><td>priority</td></tr></table> | 30 | 7 | value | priority | <table><tr><td></td><td></td></tr><tr><td>value</td><td>priority</td></tr></table> |  |  | value | priority |
| 10       | 2        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 40       | 3        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 20       | 5        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 30       | 7        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|          |          |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|          |          |     | 400   | 408 | 416 | 424   | 432      |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |

insert -  $O(n)$

delete -  $O(1)$

for shifting -  $O(n)$

peek -  $O(1)$

Unordered array:

| capacity | size     | arr | 0   | 1   | 2   | 3     | 4        |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|----------|----------|-----|---|-----|-----|-------|----------|---|----|---|-------|----------|---|----|---|-------|----------|---|----|---|-------|----------|--|--|--|-------|----------|
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| 10       | 2        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 20       | 5        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 30       | 7        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| 40       | 3        |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|          |          |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
| value    | priority |     |   |     |     |       |          |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |
|          |          |     | 400   | 408 | 416 | 424   | 432      |   |    |   |       |          |   |    |   |       |          |   |    |   |       |          |  |  |  |       |          |

insert -  $O(1)$

delete -  $O(n)$

for shifting -  $O(n)$

peek -  $O(n)$