

Technical Appendix

Mathematical Derivation of Pool Skip

The input setting:

1. $X_{H \times W} = \{x_{i,j}\}_{H \times W}$: input matrix.
2. $W_{M \times M} = \{w_{i,j}\}_{M \times M}$: the convolutional kernel before Pool Skip. Assume W is $M \times M$ kernel, and M is an odd number.
3. $Y_{(H-M+1) \times (W-M+1)} = \{y_{i,j}\}_{(H-M+1) \times (W-M+1)}$: the output of first convolutional computation.
4. e : max-pooling size which satisfies $e|H$, $e|W$, $e|H-M+1$ and $e|W-M+1$.
5. $A_{c \times d}$: the matrix obtained from max-pooling on Y . $c = (H-M+1)/e$ and $d = (W-M+1)/e$.
6. $\bar{W}_{3 \times 3} = \{\bar{w}_{i,j}\}_{3 \times 3}$: the convolutional kernel in the Pool Skip.
7. $O_{H-M+1, W-M+1} = \{o_{i,j}\}_{H-M+1, W-M+1}$: the output matrix.

Step 1: Apply W_1 to X to obtain Y .

$$y_{i,j} = \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{i+m,j+n} \quad (1)$$

Note now Y is a $(H-M+1) \times (W-M+1)$ matrix.

Step 2: Size e max-pooling.

We can write Y into $c \times d$ blocks, for each block we have

$$Y^{(u,v)} = \begin{pmatrix} y^{(ue,ve)} & \dots & y^{(ue,(v+1)e-1)} \\ \vdots & \ddots & \vdots \\ y^{((u+1)e-1,ve)} & \dots & y^{((u+1)e-1,(v+1)e-1)} \end{pmatrix}_{e \times e} \quad (2)$$

where $u \in \{0, 1, \dots, c-1\}$, $v \in \{0, 1, \dots, d-1\}$. For each block $Y^{(u,v)}$, the maximum element is

$$\tilde{y}^{(u,v)} = \max_{i^{(u,v)}, j^{(u,v)} \in \{0, 1, \dots, e-1\}} Y_{i^{(u,v)}, j^{(u,v)}}^{(u,v)}, \quad (3)$$

with the corresponding $\tilde{i}_a^{(u,v)}, \tilde{j}_a^{(u,v)}$ as

$$(\tilde{i}_a^{(u,v)}, \tilde{j}_a^{(u,v)}) = \arg \max_{i^{(u,v)}, j^{(u,v)} \in \{0, 1, \dots, e-1\}} Y_{i^{(u,v)}, j^{(u,v)}}^{(u,v)}. \quad (4)$$

Therefore, we can write A as $A = \{\tilde{y}^{(u,v)}\}_{c \times d}$.

Step 3: Size e max-unpooling.

First, we unpack A to the $(H-M+1) \times (W-M+1)$ matrix \hat{Y} such that the $c \times d$ blocks of \hat{Y} be defined as

$$\hat{Y}^{(u,v)} = \{\hat{y}_{i_a, j_a}^{(u,v)}\}_{e \times e}, \quad \hat{y}_{i_a, j_a}^{(u,v)} = Y_{i_a, j_a}^{(u,v)} \mathbb{1}(\{(i_a, j_a) = (\tilde{i}_a, \tilde{j}_a)^{(u,v)}\}) \\ , u \in \{0, 1, \dots, c-1\}, v \in \{0, 1, \dots, d-1\}. \quad (5)$$

Transfer local indices $(\tilde{i}_a, \tilde{j}_a)^{(u,v)}$ back to global indices $(\tilde{i}, \tilde{j})^{(u,v)}$, we have

$$\hat{y}_{i,j} = \begin{cases} \hat{y}_{(\tilde{i}_a^{(u,v)}, \tilde{j}_a^{(u,v)})}^{(u,v)}, & \text{if } e \bmod i = \tilde{i}_a^{(u,v)} \text{ and } e \bmod j = \tilde{j}_a^{(u,v)} \text{ in block } (u,v) \\ 0, & \text{o.w.} \end{cases} \quad (6)$$

where $u = \lfloor \frac{i}{e} \rfloor$, $v = \lfloor \frac{j}{e} \rfloor$, $\tilde{i}_a = i \bmod e$ and $\tilde{j}_a = j \bmod e$.

Add extra rows and columns of 0 outside \hat{Y} , we have a $(H - M + 3) \times (W - M + 3)$ matrix $Y' = \{y'_{i,j}\}$ and each $y'_{i,j}$ can be represented as

$$y'_{i,j} = \begin{cases} \hat{y}_{i+1,j+1} \\ 0, & \text{o.w.} \end{cases} \quad (7)$$

where $1 \leq i \leq H - M + 1$ and $1 \leq j \leq W - M + 1$.

Combine the Equation 7 and 6:

$$y'_{i,j} = \begin{cases} \tilde{y}_{(i_a, j_a)}^{(u,v)}, & \text{if } e \bmod (i-1) = \tilde{i}_a^{(u,v)} \text{ and } e \bmod (j-1) = \tilde{j}_a^{(u,v)} \text{ in block } Y^{(u,v)} \\ 0, & \text{o.w.} \end{cases} \quad (8)$$

where $1 \leq i \leq H - M + 1$ and $1 \leq j \leq W - M + 1$.

Combine the Equation 8 and 3:

$$y'_{i,j} = \begin{cases} \max_{i_a, j_a} Y_{i_a, j_a}^{(u,v)}, & \text{if } e \bmod (i-1) = \tilde{i}_a \text{ and } e \bmod (j-1) = \tilde{j}_a \text{ in block } (u,v) \\ 0, & \text{o.w.} \end{cases} \quad (9)$$

where $1 \leq i \leq H - M + 1$ and $1 \leq j \leq W - M + 1$.

Step 4: 3×3 Conv.

$$y_{out,i,j} = \sum_{s=0}^2 \sum_{t=0}^2 \tilde{w}_{s,t} \times y'_{i+s,j+t} \quad (10)$$

Note now Y' is a $(H - M + 1) \times (W - M + 1)$ matrix.

Combine the Equation 10 and 9:

$$y_{out,i,j} = \begin{cases} \sum_{s=0}^2 \sum_{t=0}^2 \tilde{w}_{s,t} \times \max_{i_a, j_a} Y_{i_a, j_a}^{(u,v)}, \\ \text{if } e \bmod (i-1-s) = \tilde{i}_a \text{ and } e \bmod (j-1-t) = \tilde{j}_a \text{ in block } (u,v) \\ 0, & \text{o.w.} \end{cases} \quad (11)$$

where $1 \leq i \leq H - M + 1$ and $1 \leq j \leq W - M + 1$.

Step 5: Add Pool skip.

$$o_{i,j} = y_{i,j} + y_{out,i,j} \quad (12)$$

If $e \bmod (i-1-s) = \tilde{i}_a^{(u,v)}$ and $e \bmod (j-1-t) = \tilde{j}_a^{(u,v)}$ in block (u,v) , where $1 \leq i \leq H - M + 1$ and $1 \leq j \leq W - M + 1$.

$$\begin{aligned} o_{i,j} &= \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{i-1+m,j-1+n} \\ &+ \sum_{s=0}^2 \sum_{t=0}^2 \tilde{w}_{s,t} \times \max \left\{ \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{ue+m+s,ve+n+t}, \right. \\ &\quad \left. \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{ue+1+m+s,ve+1+n+t}, \dots, \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{(u+1)e+m+s,(v+1)e+n+t} \right\} \\ &= \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{i-1+m,j-1+n} + \\ &\quad \sum_{s=0}^2 \sum_{t=0}^2 \tilde{w}_{s,t} \times \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{ue+\tilde{i}_a^{(u,v)}+m+s,ve+\tilde{j}_a^{(u,v)}+n+t} \end{aligned} \quad (13)$$

where $u \in \{0, 1, \dots, c-1\}, v \in \{0, 1, \dots, d-1\}$.

Denote set $K_i = \{(m, s) : ue + \tilde{i}_a^{(u,v)} + m + s \in ([i, i+M] \cap [ue + \tilde{i}_a^{(u,v)}, ue + \tilde{i}_a^{(u,v)} + M + 2])\}$ and $L_j = \{(n, t) : ve + \tilde{j}_a^{(u,v)} + n + t \in ([j, j+M] \cap [ve + \tilde{j}_a^{(u,v)}, ve + \tilde{j}_a^{(u,v)} + M + 2])\}$.

Note that when $(m, s) \in K_i$, $ue + \tilde{i}_a^{(u,v)} + m + s = i - 1 + m$, and when $(n, t) \in L_j$, $ve + \tilde{j}_a^{(u,v)} + n + t = j - 1 + n$. we have:

$$\begin{aligned}
o_{i,j} &= \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{i-1+m, j-1+n} \\
&+ \sum_{s=0}^2 \sum_{t=0}^2 \tilde{w}_{s,t} \times \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t} \\
&= \sum_{\mathbb{1}_{K_i}((m,s))=1 \text{ and } \mathbb{1}_{L_j}((n,t))=1} (w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t} + \tilde{w}_{s,t} \times w_{m,n} \\
&\times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t}) \\
&+ \sum_{\mathbb{1}_{K_i}((m,s)) \neq 1 \text{ or } \mathbb{1}_{L_j}((n,t)) \neq 1} (w_{m,n} \times x_{i+m, j+n} + \tilde{w}_{s,t} \times w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t}) \\
&= \sum_{\mathbb{1}_{K_i}((m,s))=1 \text{ and } \mathbb{1}_{L_j}((n,t))=1} [(1 + \tilde{w}_{s,t}) \times w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t}] \\
&+ \sum_{\mathbb{1}_{K_i}((m,s)) \neq 1 \text{ or } \mathbb{1}_{L_j}((n,t)) \neq 1} (w_{m,n} \times x_{i+m, j+n} + \tilde{w}_{s,t} \times w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t}) \\
&= \sum_{\mathbb{1}_{K_i}((m,s))=1 \text{ and } \mathbb{1}_{L_j}((n,t))=1} [(1 + \tilde{w}_{s,t}) \times w_{m,n} \times x_{i-1+m, j-1+n}] \\
&+ \sum_{\mathbb{1}_{K_i}((m,s)) \neq 1 \text{ or } \mathbb{1}_{L_j}((n,t)) \neq 1} (w_{m,n} \times x_{i-1+m, j-1+n} + \tilde{w}_{s,t} \times w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t})
\end{aligned} \tag{14}$$

Therefore:

Denote set $K_i = \{(m, s) : ue + \tilde{i}_a^{(u,v)} + m + s \in ([i, i+M] \cap [ue + \tilde{i}_a^{(u,v)}, ue + \tilde{i}_a^{(u,v)} + M + 2])\}$ and $L_j = \{(n, t) : ve + \tilde{j}_a^{(u,v)} + n + t \in ([j, j+M] \cap [ve + \tilde{j}_a^{(u,v)}, ve + \tilde{j}_a^{(u,v)} + M + 2])\}$.

Note that when $(m, s) \in K_i$, $ue + \tilde{i}_a^{(u,v)} + m + s = i + m$, and when $(n, t) \in L_j$, $ve + \tilde{j}_a^{(u,v)} + n + t = j + n$.

$$\begin{aligned}
o_{i,j} &= y_{i,j} + y_{out,i,j} \\
&= \begin{cases} \sum_{\mathbb{1}_{K_i}((m,s))=1 \text{ and } \mathbb{1}_{L_j}((n,t))=1} [(1 + \tilde{w}_{s,t}) \times w_{m,n} \times x_{i-1+m, j-1+n}] \\ + \sum_{\mathbb{1}_{K_i}((m,s)) \neq 1 \text{ or } \mathbb{1}_{L_j}((n,t)) \neq 1} (w_{m,n} \times x_{i-1+m, j-1+n} + \tilde{w}_{s,t} \times w_{m,n} \times x_{ue + \tilde{i}_a^{(u,v)} + m + s, ve + \tilde{j}_a^{(u,v)} + n + t}) \\ \text{if } e \bmod (i-1) = \tilde{i}_a^{(u,v)} \text{ and } e \bmod (j-1) = \tilde{j}_a^{(u,v)} \text{ in block } Y^{(u,v)}, \\ \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} w_{m,n} \times x_{i-1+m, j-1+n}, & \text{o.w.} \end{cases} \tag{15}
\end{aligned}$$

for all $i \in \{1, 2, \dots, H - M + 1\}$ and $j \in \{1, 2, \dots, W - M + 1\}$.