

# Simultaneous Segmentation of Multiple Structures in Fundal Images using Multi-tasking Deep Neural Networks

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## **ABSTRACT**

Fundal imaging is the most commonly used non-invasive technique for early detection of many 3 retinal diseases like Diabetic Retinopathy(DR). An initial step in automatic processing of fundal images for detecting diseases is to identify and segment the normal landmarks: Optic Disc, Blood Vessels and Macula. In addition to these, various abnormalities like Exudates that help 7 in pathological analysis are also visible in fundal images. Segmenting structures like Blood Vessel, pose multiple challenges, such as being very fine grained structures that need to be captured at original resolution. Further, they are spread across the entire retina with varying 10 patterns and density. Similarly, Exudates appear as white patches of irregular shape that occur at multiple locations. They can be confused with Optic Disc, if features like brightness or color 11 are used for segmentation. Segmentation algorithms solely based on image processing involve 12 13 multiple parameters and thresholds that need to be tuned. Another approach is to use machine 14 learning models with hand-crafted features as input to segment the image. The challenge in this approach is to identify the right features and then devising algorithms to extract these features. 15 End-to-end deep neural networks take raw images (with minimal preprocessing such as resizing and normalization) as input and then learn a set of in the intermediate layers and perform the 17 segmentation in the last layer. These networks tend to have longer training and prediction time 18 because of complex architectures that involve millions of parameters which also necessitates 19 huge numbers of training images (2000-10000). For structures like Blood Vessels and Exudates 20 that are spread across the entire image, one approach used to increase the training data is 21 22 to generate multiple patches from a single training image, thus increasing the total number of 23 training samples. Patch-based time can not be applied to structures like Optic Disc and Fovea that appear only once per image. Also the prediction time is larger as segmenting a full image 24 involves segmenting multiple patches in the image. Most of the existing research focuses on 25 26 segmenting these structures independently aiming to achieve high performance metrics. In this 27 work, we propose a multi-tasking deep learning architecture for segmenting Optic Disc, Blood Vessels, Macula and Exudates simultaneously. Both training and prediction is performed using the 28 whole image. The objective is to improve the prediction results on Blood Vessels and Exudates, 29 30 which are relatively more challenging, while utilizing segmentation of Optic Disc and Macula as auxiliary tasks. Our experimental results on publicly available datasets show that simultaneous 31 segmentation of all these structures results in significant improvement in the performance. The proposed approach makes predictions of all the four structures, for the whole image, in a single 33 forward pass. We use modified U-Net architecture with only convolutional and de-convolutional layers with comparatively less number of parameters leading to faster (by a factor of 12) prediction

- 36 time, compared to the current AUC-wise best performing Exudate segmentation deep learning
- 37 model, ERUnet. The proposed approach has been evaluated on publicly available datasets
- 38 DRIVE, HRF, CHASE\_DB and IDRiD datasets. Comparison of results with the state-of-the-art
- 39 have also been presented. Among the segmented structures maximal improvement of 15% is
- 40 obtained on Exudates when trained using multi-tasking loss function.
- 41 Keywords: Fundal Image Segmentation, Deep Learning, Multi-task learning, Exudates Segmentation, Blood Vessels, Macula, Optic
- 42 Disc

## 1 INTRODUCTION

- 43 Fundal imaging, capturing images of retina using specialized cameras, is the most widely used non-invasive
- 44 technique for screening of retinal diseases. These images are used to identify common eye diseases like
- 45 Diabetic Retinopathy (DR) Hu et al. (2015) and Glaucoma which are the most common cause for blindness
- 46 and could be indicators of many other cardiovascular diseases. Blood Vessels (BV), Optic Disc (OD) and
- 47 Macula are the normal landmarks visible in a healthy fundal image. Certain features of BV like tortuosity
- 48 are widely used for early detection of various cardio-vascular diseases Krestanova et al. (2020). However,
- 49 manual identification and demarcation of fine structures like BV require domain expertise, besides being
- 50 prone to manual errors. Features extracted for OD like, Cup-to-Disc ratio can be used for detection of
- 51 Glaucoma. Hence automatic detection of major landmarks in fundal image has become an active research
- 52 area Kou et al. (2020); Guo et al. (2020); Nur and Tjandrasa (2018); Jiang et al. (2018); Joshua and et al.
- 53 (2020); Dash et al. (2020).
- Figure 1 shows a fundal image with normal landmarks like BV, OD and Macula marked. The OD is
- 55 the point of exit of the optic nerves that carry information from the eye to the brain. It is also the point
- 56 where all the BV enter the eye. Since there are no photo sensors (rods and cones) present in the OD, it
- 57 corresponds to a blind spot in the retina. Macula is a small region with a lot of cone cells packed together
- and hence this region is responsible for sharp vision Wikipedia (2022). The center point of Macula is called
- 59 Fovea. BV that carry blood to the eye are spread across the entire region of the retina and vary in thickness
- 60 and density.
- Figures 2a and 2b show a sample fundal image with Exudates and its corresponding ground truth.
- 62 Exudates are the fluid (pus) leaking out of Blood Vessels. They are indicative of an advanced stage of
- 63 Diabetic Retinopathy. Exudates appear as unstructured, scattered, bright patches in the fundal image.
- Segmentation of Blood Vessels is the most challenging task among the four structures considered as they
- are spread across the entire image with varying patterns and density. Further, they vary in thickness being
- 66 thicker and denser near OD and become fine-grained towards the end of the branch. Down-sampling the
- 67 image as required by some approaches like neural networks will result in loss of such fine-grained vessels
- 68 in the segmented image. Exudates, which are visible as white patches of irregular shape in fundal image,
- 69 can also be spread across the entire image. Exudates can further be confused with OD, especially those
- 70 that appear very close to OD, if features like brightness, color and position are used for segmentation. On
- 71 the other hand, Fovea and Optic Disc are present only once per fundal image at a fixed location. Further,
- 72 their shapes are also relatively predictable compared to Exudates and Blood Vessels. Because of these
- 73 characteristics, it is relatively easy to predict OD and Fovea.
- One of the approaches to segment these structures is to use image processing algorithms such as
- 75 thresholding, Edge and shape detection algorithms, morphological operations etc. One of the major
- drawbacks of such algorithms is the use of multiple parameters that need to be tuned for different types of

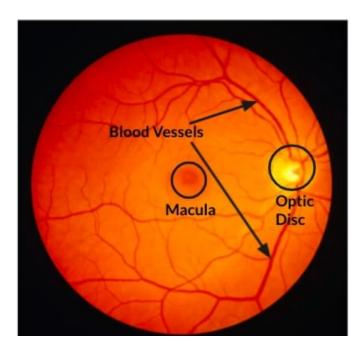


Figure 1. Sample fundal image showing normal landmarks BV, OD and Macula

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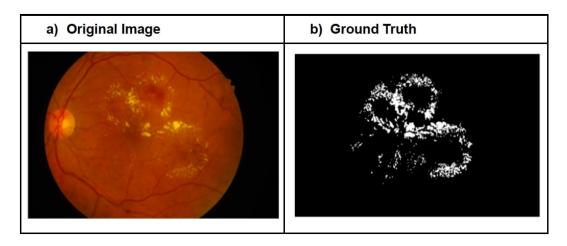


Figure 2. Sample fundal image with Exudates and corresponding ground truth image for Exudates

77 images with varying acquisition artifacts and pathologies. This can be resolved by a data-driven approach where one first identifies some generic features, such as edges, color, brightness, location etc, that are crucial for the segmentation task and then extract these features using image processing algorithms and finally using a machine learning model, such as clustering, Conditional Random Field etc. in order to segment the image using the features. The challenge in this approach is to identify the right features that can be easily extracted, which will work across tasks and across images (that vary in resolution, pathology and acquisition artifacts) and then devising algorithms to extract these features. End-to-end deep neural networks that take raw images (with minimal preprocessing such as resizing and normalization) as input and then learn a set of generic features in the initial layers, more task specific features towards the final layers and finally perform the segmentation task in the last layer, is becoming popular and shown to out-perform both the techniques mentioned earlier.

Since the break-through success of deep learning in solving tasks in domains like computer vision for classification Krizhevsky and et al. (2012) Simonyan and Zisserman (2014) the framework has been successfully extended to more complex tasks such as semantic segmentation Chen and et al. (2017); Yu et al. (2018); Wang et al. (2018). The primary reason for the success of these deep neural networks are that the features are learned from data in the initial layers of the network and the segmentation task is performed on these learned features in the final layer.

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Recently, several deep learning architectures that were successful in segmentation tasks (Chen and et al. (2017)) in natural images were tried for segmenting Blood Vessels in retinal images. Many deep learning architectures have been utilized for segmenting challenging structures, such as BV Vengalil et al. (2016) Zhuang (2018) Jiang et al. (2018) Park and et al. (2020) and Exudates Kou et al. (2020); Guo et al. (2020); Nur and Tjandrasa (2018) in fundal images. The results were significantly better than using conventional image processing techniques. However, One of the main challenges in using deep neural networks for segmentation tasks is that the reduction in resolution of featuremap as one goes deeper will result in loss of finer details like edges, which are crucial for segmentation tasks. Another major issue in using deep learning architecture for medical images is limited availability of annotated training data. Deep learning models for segmentation need a large number of training images as the models have a huge number of parameters (typically in the range of 60-100 million Long et al. (2015). The number of images required to train a model increases with the number of training parameters in the model. Our model has fewer (31M) parameters compared to other deep learning models as we have less number of layers and also there is no dense layer (i.e the encoder network is fully convolutional and the decoder network is fully de-convolutional). Hence we are able to get good performance, without overfitting, even with relatively less number of training images. Many approaches, like taking multiple training patches from a single image Vengalil et al. (2016) and transfer learning Vengalil et al. (2016), have been successfully explored. These methods also tend to have longer prediction times because of complex neural network architectures and patch-based training and prediction.

Most of the existing research focuses on segmenting different structures independently. However, in 113 almost all the practical use cases the end goal is to detect the early development of anomalies, like 1) 114 Exudates that are indicators of pathologies like diabetic retinopathy, 2) abnormal cup-to-disc ratio in OD 115 which is indicator of diseases like Glaucoma and 3) anomalies in tortuosity of BV which can be indicative 116 of cardiovascular diseases. When an ophthalmologist analyses a fundal image, it is customary to look for 117 all these anomalies. So for any automatic diagnostic system, that can reduce the manual intervention of an 118 ophthalmologist, it is necessary that all these structures be segmented as a first step. Further, note that even 119 if the use case is just to segment only one single anomaly like Exudates alone, the multi-tasking model does not add any additional overhead as opposed to a model that just predicts Exudates alone. Rather, the 121 segmentation of Exudates has shown a significant improvement by using a multi-tasking model due to the 122 correlation between other structures and Exudates. The remaining outputs can just be ignored. In general, 123 124 segmenting multiple structures using separate models suffers from the following issues.

1. It does not take care of the correlation between structures like, for example, a) BV and OD - Blood vessels are thicker and denser near and inside OD. b) OD and Macula - The line connecting the centroid of OD and Macula approximately lies along a diameter of the fundal image. c) Exudates and BV-Development of small exudates starts near the blood vessels as exudates are pus leaking out of blood vessels. d). OD and Exudates -the possibility of confusing OD Vs Exudates in fundal images with poor quality can be avoided if OD and Exudates are segmented together by a single model.

- 2. Segmenting each structure separately using different models will increase the training and prediction time as a separate DL model needs to be trained for each structure.
- In this work, we propose a multi-tasking deep learning architecture for simultaneous segmentation of BV, OD, Macula and Exudates. Our results show that a single network that predicts multiple structures performs better compared to detecting each structure independently using different networks, as the single network can make use of the correlation between the multiple tasks. This correlation is evident from Figure 1, where it is clear that BV is thicker and denser near and inside the OD. So the task of the OD segmentation can
- help the segmentation task for BV and vice versa. Similarly, the relative position of OD and Macula can
- 139 help to improve the segmentation performance of each of these structures. We perform experiments and
- 140 report results on four popular datasets DRIVE, HRF Budai et al. (2013), CHASE\_DB and IDRiD Porwal
- 141 and et al. (2018).
- We use publicly available and well evaluated datasets, namely DRIVE, HRF and CHASE\_DB, for our 142 studies on BV segmentation. Since the number of images in these datasets are relatively less (40, 45 and 28 143 respectively for the above mentioned datasets), we use data augmentation techniques such as horizontal flip, 144 vertical flip, rotations, elastic transformation, grid distortion and optic distortion to increase the number of 145 training images by a factor 4-6. For Macula segmentation, we used the IDRiD localization dataset, which 146 contains 413 training and 103 testing images. The IDRiD segmentation dataset for exudates segmentation 147 148 contains a total of 81 images out of which 54 images are used for training and 27 for testing. The major 149 contribution of this work is to propose a multi-tasking model for simultaneous segmentation of BV, OD, Macula and Exudates. The proposed multi-tasking model resulted in an improvement of F1 score by 15% 150
- 150 Wacuta and Extudates. The proposed multi-tasking model resulted in an improvement of
- 151 for Exudates besides being faster (by a factor of 12).

#### 2 RELATED WORK

## 2.1 Blood Vessel Segmentation

- Existing techniques for fundal image segmentation mainly fall under two categories 1) using traditional image processing techniques and 2) using deep learning techniques. Examples of image processing based
- 155 techniques include filter based: Zhang and et al. (2010), Yavuz and Köse (2011) and Aslan and et al.
- 156 (2018) and morphological operations based Hassan and et al. (2015) and Singh and et al. (2014). Image
- 157 processing based techniques have the advantage that domain knowledge can be easily incorporated through
- 158 hand crafted features, however they are not easily generalizable across diverse datasets. Further, these
- 159 algorithms are based on many customized parameters that may vary from dataset to dataset. Generalization
- 160 becomes challenging since there could be hardware differences, change in acquisition conditions, different
- 161 pathologies etc.
- Several deep learning architectures, that were successful in segmentation tasks (Chen and et al. (2017))
- 163 in natural images, were tried for segmenting Blood Vessels in retinal images and the results were
- 164 significantly better than using conventional image processing techniques. Vengalil et al. (2016) used
- a popular segmentation model deeplab (Chen and et al. (2017)) which was pre-trained on natural images
- a popular segmentation to the control of the contro
- 166 for semantic segmentation, to segment Blood Vessels at pixel level. Jiang et al. (2018) proposes a pre-
- 167 trained fully convolutional network for segmenting BV and reports accuracy of cross-dataset test on four
- different datasets. In M-GAN, proposed by Park and et al. (2020), a multi-kernel pooling block added
- 169 between stacked convolutional layers supports scale-invariance which is a highly desirable feature for BV
- 170 segmentation.

- One of the main challenges in using deep neural networks for segmentation tasks is that the reduction in resolution of featuremap as one goes deeper will result in loss of finer details like edges, which are crucial for segmentation tasks. In order to circumvent this, the U-Net(Ronneberger et al. (2015)) model
- 174 was introduced specifically for medical image segmentation which has multiple skip connections. In their
- 175 recent work, Joshua et.al. Joshua and et al. (2020) used a modified version of U-NET for segmenting BV
- in retinal images and reported state-of-the-art accuracies. Laddernet, introduced by Zhuang et.al. in 2018
- 177 Zhuang (2018), is a sequence of multiple U-Nets cascaded together.

# 178 2.2 Exudates Segmentation

Like BV segmentation, reported studies on Exudates segmentation were also performed both using 179 traditional image processing techniques and deep learning techniques. Existing works on Exudates 180 segmentation using deep learning approaches include Perdomo et al. (2017); Tan et al. (2017); Feng 181 et al. (2017); Zheng et al. (2018). The work reported in Perdomo et al. (2017); Kou et al. (2020) used a 182 convolutional neural network, LeNet LeCun et al. (1989), to classify patches extracted from fundal images 183 into classes of "with Exudates" or "without Exudates". They extract patches of size 48 × 48 and hence 184 185 the network does not provide a pixel-wise segmentation. The work reported in Kou et al. (2020) proposed a deep learning approach, called Enhanced Residual U-Net (ERU-Net). Their proposed model had three 186 U-paths each with three upsampling paths and one downsampling path. This structure enhances the feature 187 fusion capability of the networks capturing more details of fundal image. The proposed model also made 188 use of residual blocks. 189

#### 3 PROPOSED METHOD

#### 190 3.1 CNN architecture

- A modified version of the UNET architecture proposed by Ronneberger et al. (2015), shown in Fig 3, is used for segmenting various structures. The modifications are:
- Our proposed network retains the original dimensions of the input image, whereas the original U-Net
   mentioned in Ronneberger et al. (2015) reduced the original input size from 572 × 572 to 388 × 388.
- We use de-convolutional layers with a stride of 2 for up-sampling as opposed to upsampling layers
   used in original U-Net architecture. Our method has the advantage that the network also learns the
   interpolation weights using a deconvolutional layer.
- We add batch normalization after each convolutional layer in order to stabilize the training process aswell as for faster training.
- The encoder and decoder consists of 4 stages each. Each stage of the encoder comprises two convolution 200 layers, each followed by batch normalization and ReLU activation function. A max-pooling layer with a 201 stride of 2 is added at the end of each stage of the encoder which down samples the image by a factor of 202 two. Each decoder layer up-samples the image by a factor of 2 using a deconvolution layer followed by a 203 convolution layer. The sigmoid function is used in the final output channel with two filters instead of the 204 softmax activation function because the BV and OD features are not mutually exclusive. These features 205 share common connections in the fundal image, and hence, their simultaneous segmentation also yields the 206 best results. 207
- For multi-tasking experiments, the auxiliary task chosen was Optic Disc segmentation. We also evaluate models with different dimensions of latent representation and different number of channels in the bottleneck

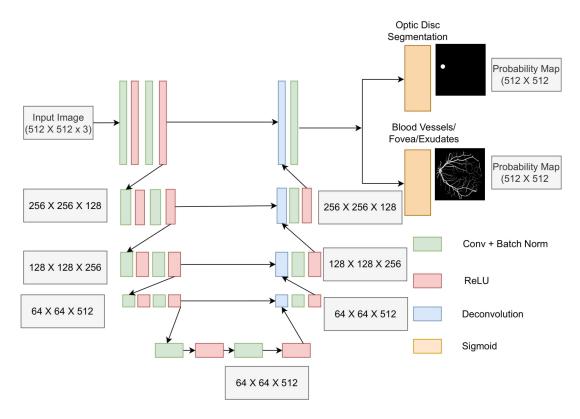


Figure 3. Architecture of the proposed multi-tasking U-Net model

- 210 layer and report the results for all combinations. For latent representation dimension, we experimented
- with different values  $16 \times 16$ ,  $32 \times 32$ ,  $64 \times 64$  and  $128 \times 128$ . For the number of channels, experiments
- 212 are carried out with 384, 512, 768, 1024 and 1280.

#### 213 3.2 Dataset

- We use the DRIVE, HRF Budai et al. (2013), CHASE\_DB and IDRiD Porwal and et al. (2018), datasets.
- 215 The DRIVE dataset contains 20 training images and 20 testing images of resolution  $565 \times 584$  pixels.
- 216 The dataset also provides ground truth images for BV segmentation annotated by a human expert. As the
- 217 DRIVE and CHASE\_DB dataset does not have Optic Disc annotations, we annotated the Optic Disc in each
- 218 image ourselves. HRF dataset has 15 high resolution fundal images along with ground truth annotation for
- 219 BV segmentation.
- 220 IDRiD, Porwal and et al. (2018), localization dataset which contains 413 training images and 103 test
- 221 images along with Fovea ground truth, was used for Macula localization. For Exudates segmentation, we
- 222 used the IDRiD segmentation dataset which contains a total of 81 images. OD ground truth is available as
- 223 part of the dataset but BV ground truths are not available. For training Exudates in multi-tasking mode,
- 224 we first predicted BV segmentation on these images using the model trained on images taken from HRF,
- 225 DRIVE and CHASE\_DB datasets. These predictions were used as BV ground truth for multi-tasking
- 226 training.
- Data-augmentation: horizontal and vertical flipping, grid and elastic distortion provided by the library
- 228 Albumentations, Buslaev and et al. (2020), was used to increase the number of training samples by a factor
- 229 of 4.
- No pre-processing, other than resizing the images to  $512 \times 512$ , was performed on the original images.

## 231 3.3 Experiments performed

- We use full images, as opposed to image patches, for training the network as a full image will have more
- 233 context and hence can be more effective for predicting structures like Optic Disc and Macula. We perform
- 234 multiple experiments, for individual and simultaneous prediction of Blood Vessels, Optic Disc, Macula and
- 235 Exudates.
- To start with, we perform BV segmentations on the individual datasets with the proposed U-Net
- 237 architecture to achieve the best possible results. To further improve the results obtained on the Blood Vessels,
- 238 we utilize simultaneous segmentation of Blood Vessels and Optic Disc. Apart from these experiments, we
- 239 also modify the U-Net architecture to find the best suitable parameters for both Blood Vessels and Optic
- 240 Disc.
- Another experiment we carried out is to train a model for BV segmentation using training set containing
- 242 images from HRF, DRIVE and CHASE datasets and we evaluate the performance of this trained model on
- 243 1) on a held out validation dataset which comprises images from HRF, DRIVE and CHASE\_DB 2) test
- 244 dataset containing images from IDRiD dataset. On the IDRiD dataset, we evaluate the model qualitatively
- 245 as no ground truth was available for this dataset. Further in order to measure the generalizability of the
- 246 trained model we also report across the dataset results for all tasks.
- 247 The obtained BV results on the IDRiD dataset is further utilized to improve the Optic Disc, Macula and
- 248 Exudates segmentation results.

## 249 3.4 Loss function and training

- A sigmoid activation function is used at the output layer. The model is trained with dice loss and with a
- 251 combination of dice loss and binary cross entropy loss. In most of our experiments, we noticed that the
- 252 combination of two losses gave a better F1-score.
- 253 For predicting the structures separately, four separate networks with the same architecture are trained
- 254 independently one for each of the structures: Blood Vessel, Optic Disc, Macula and Exudates.
- In the multi-tasking model, we train three separate networks each with two output channels, for predicting
- 256 the following three combinations:
- 257 1. BV and OD
- 258 2. Macula and OD
- 259 3. Exudates and OD
- 260 4. BV and Macula
- 261 5. BV and Exudates
- 262 6. Exudates, BV and OD
- 263 7. Macula, BV and OD
- The network is trained for 60 epochs in all the cases.

#### 4 RESULTS AND DISCUSSION

- 265 We use multiple metrics, Accuracy, Dice score, ROC-AUC and Jaccard Index (Intersection over Union)
- 266 for evaluating the model performance. Definition and mathematical expression of each of these metrics is
- 267 given below:

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- True Positive (TP): Number of positive pixels in the image which the model also correctly predicted as positive.
- 270 **True Negative (TN)**: Number of negative pixels which the model correctly predicted as negative.
- False positive (FP): Number of negative pixels in the image which the model predicted incorrectly as Positive.
- False Negative (FN): Number of pixels which are actually positive but the model predicted as negative.
- Accuracy: Accuracy is the ratio of the total number of correctly predicted positive and negative pixels
- 275 (sum of True Positives and True Negatives) to the total number of pixels in the image.

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN} \tag{1}$$

Dice Score: Dice score is the ratio of two times overlap (intersection of predicted and actual positive pixels) to that of sum of number of positively labelled pixels in ground truth and the number of pixels which the model predicted as positive.

$$Dice = \frac{2TP}{2TP + FP + FN} \tag{2}$$

Jaccard Index (JI): Also known as Intersection over Union (IoU), is the ratio of intersection of predicted and ground truth pixels (which is same as TP) to the union of prediction and ground truth.

$$Jaccard = \frac{TP}{TP + FP + FN} \tag{3}$$

True Positive Rate (TPR): Also known as Sensitivity or Recall, is the ratio of number of True Positives to the total number of positive samples.

$$TPR = \frac{TP}{TP + FN} \tag{4}$$

False Positive Rate (FPR): It is the ratio of the number of False Positives to the total number of negative pixels.

$$FPR = \frac{FP}{TN + FP} \tag{5}$$

- 285 **ROC-AUC**: Receiver Operating Characteristic (ROC) is a plot of True Positive Rate vs False Positive
- 286 Rate computed at various thresholds. Area under ROC curve is a measure of a model's ability to discriminate
- 287 between positive and negative samples and this metric independent of the threshold.
- In addition to above metrics, we also compare our approach with other state-of-the-art approaches with
- 289 inclusion of prediction time.
- 290 For comparison of multi-tasking segmentation with segmentation of individual structures, experiments on
- 291 individual prediction were performed. In this case, the network had one output channel corresponding to the
- 292 segmentation map. The network outputs a binary image, of the same resolution as the input, which indicates

**Table 1.** Comparison of results with and without multi-tasking. For all the tasks except OD, multi-tasking was done with OD as an auxiliary task. For OD, multi-tasking was done in combination with Blood Vessels.

		Individual		Multi-tasking		
	Dataset	Dice(%)	JI(%)	Dice(%)	JI(%)	
Blood Vessel	DRIVE	77.00	62.78	80.31	67.35	
	HRF	78.11	64.29	81.66	69.04	
	CHASE_DB	74.34	59.21	80.45	67.32	
Optic Disc	DRIVE	76.24	66.15	78.63	69.85	
	IDRiD	85.73	64.65	94.51	89.98	
Macula	IDRiD	68.13	60.16	70.52	61.77	
Exudates	IDRiD	50.34	34.56	61.37	46.33	

**Table 2.** Results of various multi-tasking experiments run on segmentation of Exudates. We got the best results when the model is trained with multi-tasking loss for a combination of Exudates, OD and BV.

<b>Experiments Run</b>	Dice(%)	AUC
Exudates Alone	50.34	0.8402
Exudates and OD	53.32	0.8078
Exudates and BV	61.37	0.8365
Exudates, OD and BV	65.00	0.9993

pixel by pixel segmentation. For multi-tasking models, additional channels for predicting additional 293 structures in combination with another structure are added at the output layer. 294

Table 1 compares segmentation performance of various structures when the model is trained with and without multi-tasking. The table provides a comparison of results when the model is trained in multi-tasking mode with two different tasks Vs the results with an individual task. In all these cases, OD is added as an auxiliary task along with other main tasks like BV, Macula and Exudates. The row for OD shows comparison of segmentation performance of OD when trained with OD alone Vs results of multi-tasking loss with BV. As evident from the table, Dice score for Exudates segmentation resulted in an improvement of 10% when the model was trained in combination with Optic Disc. A similar trend is observed in BV and OD segmentation with an improved Dice score of about 4% (for HRF) and 6% (for CHASE\_DB). For Macula, the segmentation results decreased by 2% upon addition of Optic Disc as an additional task during training. This happens because the Macula and Optic Disc are mutually exclusive as they appear at different locations in the image. In all our simultaneous segmentation experiments we use sigmoid as output activation function which is used for multi-label prediction which is not true in this case. However, when trained with Blood Vessels, Macula and OD together we observed a 3% increase in the score for Macula.

For Exudates we carried out multiple experiments which are tabulated in Table 2. As given in the table, 309 when multi-tasking was done with three tasks (OD, Exudates and BV segmentation) the score for Exudates 310 segmentation increased by 15% to a value of 65%. Also AUC improved from 0.8402, when trained 311 individually, to 0.9993 when trained in combination with BV and OD. Figure 4 shows the ROC-AUC curve 312

for all four Exudates segmentation experiments.

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Figure 5 shows sample BV segmentation results with and without multi-tasking for HRF, DRIVE and 314 CHASE\_DB. As evident from the figure, the increase in Dice score is due to less number of false negatives 315

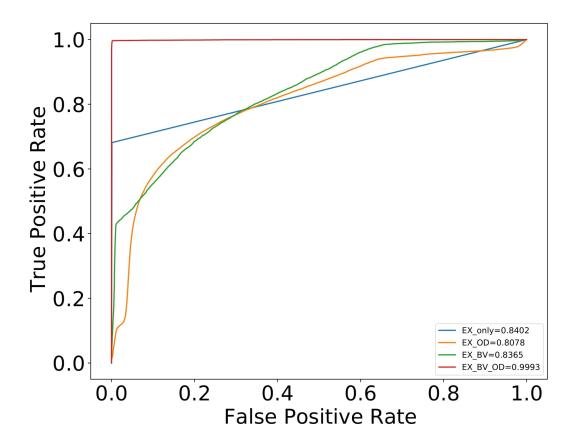


Figure 4. ROC-AUC curve for different experiments run on Exudates segmentation.

316 (red pixels) in the prediction (resulting in larger precision). Similarly Figures 6, 7 and 8 shows comparison 317 of segmentation results for OD, Macula and Exudates respectively.

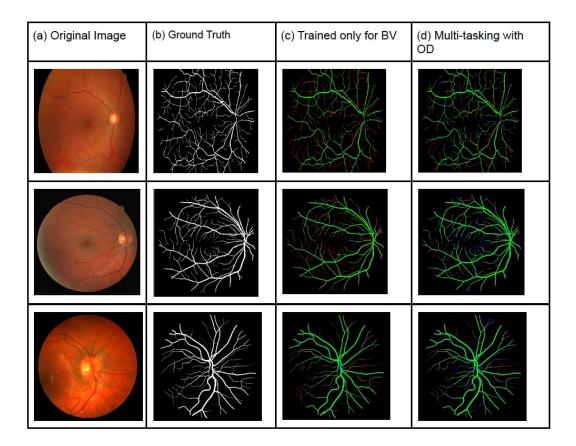
The improved performance with multi-tasking is a consequence of direct correlation between the two predicted structures. When trained together, the network is able to learn new hidden layer features that can contribute to the prediction of both structures.

When trained individually, the OD can easily be confused as Exudates as both appear as white patches. In simultaneous segmentation, the network learns to discriminate Optic Disc and Exudates, using some other features like shape for example, which improves the segmentation results for both.

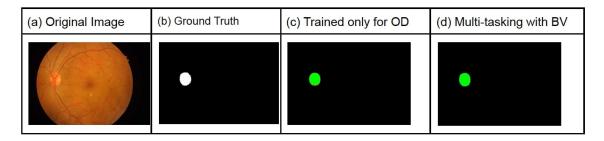
Figure 9 shows the plot of validation Dice score Vs feature map dimension and Fig 10 shows Dice score as a function of number of channels in the bottleneck layer. As evident from the graphs, we got the best results when the feature map dimension is  $64 \times 64$  and the number of channels is 1024.

Figure 11 shows the results of BV segmentation on the IDRiD dataset using the model trained with images from DRIVE, HRF and CHASE\_DB datasets. These segmentation results were used as BV ground truth of IDRiD images while training for Exudates in multi-tasking mode.

Figure 12 shows the Receiver Operating Characteristics(ROC) curve of the best results we got for all three structures BV, OD and Macula. Figure also shows the ROC curve for the pathological indicator Exudates. BV segmentation results are on DRIVE test images. OD, Macula and Exudates results are on the IDRiD dataset. As evident from the figure, the ROC curve for Exudates, which is an indicator for early



**Figure 5.** A comparison of Blood Vessels segmentation results for HRF, DRIVE and CHASE\_DB (from top to bottom row) datasets with and without multi-tasking. The green pixels in the predicted image correspond to true positives, blue pixels correspond to false positives and red pixels correspond to false negatives. An increase in F1-score score of 4.67%, 3.31%, 5.83% is observed in HRF, DRIVE and CHASE\_DB respectively.



**Figure 6.** A comparison of OD segmentation results for the IDRiD dataset with and without multi-tasking. The green pixels in the predicted image correspond to true positives, blue pixels correspond to false positives and red pixels correspond to false negatives. An increase in F1-score score of 9% is observed.

detection of many retinal diseases like DR, is encouraging. It is worth noting that we got these improved results as a consequence of multi-tasking with BV and OD.

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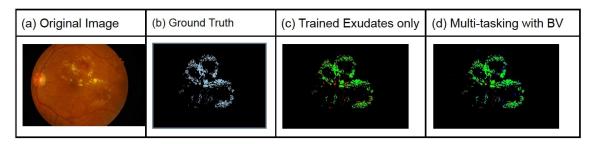
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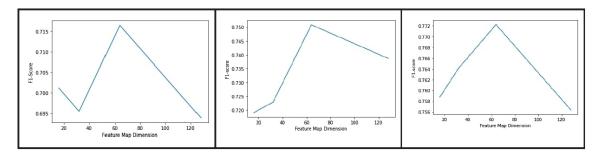
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Table 3 shows comparison of our Exudates segmentation results with state-of-the-art techniques. AUC of our segmentation result is better than state-of-the-art DL methods Kou et al. (2020) and more significantly our prediction time is significantly better than the state-of-the-art DL approaches. This is because, we are doing whole image segmentation in single forward-pass, where as many DL state-of-the-art approaches approaches used patch-wise training and hence prediction of a single image takes longer as the network

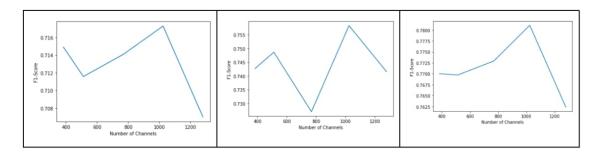
**Figure 7.** A comparison of Macula segmentation results for the IDRiD dataset with and without multitasking. The green pixels in the predicted image correspond to true positives, blue pixels correspond to false positives and red pixels correspond to false negatives. An increase in F1-score score of 58% is observed on this image.



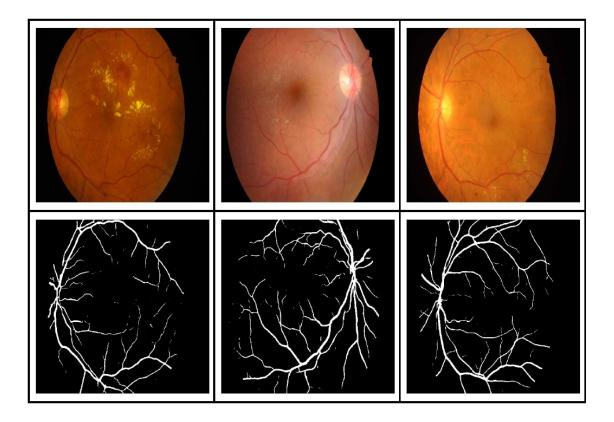
**Figure 8.** A comparison of Exudates segmentation results for the IDRiD dataset with and without multitasking. The green pixels in the predicted image correspond to true positives, blue pixels correspond to false positives and red pixels correspond to false negatives. An increase in F1-score score of 15% is observed.



**Figure 9.** Plot of Dice score Vs Dimension of feature map in bottleneck layer for Blood vessel segmentation on DRIVE, CHASE\_DB and HRF (from left to right) datasets.



**Figure 10.** Plot of Dice score Vs number of channels in bottleneck layer for Blood vessel segmentation on DRIVE, CHASE\_DB and HRF (from left to right) datasets.

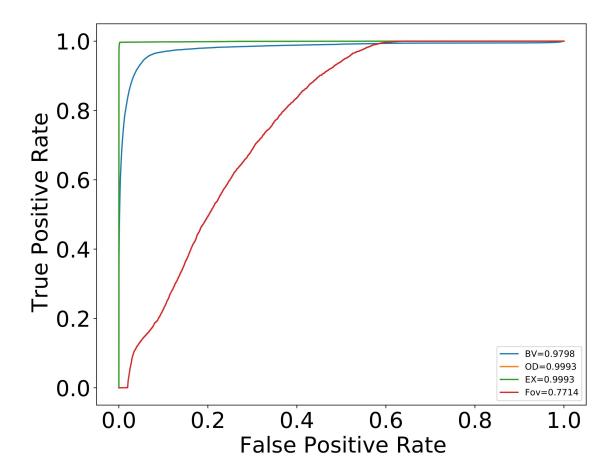


**Figure 11.** BV segmentation results on the IDRiD dataset using the model trained with images selected from DRIVE, HRF and CHASE\_DB.

needs to predict on each patch and finally all the predictions needs to be combined together to get the overall all segmentation results for the image. We believe that the AUC can further be improved by adding more data-augmentation techniques and also by including more images in the original training set. Our improvement in AUC is attributed to faster learning of more generalized features as a consequence of multitasking. Another major advantage of our approach is that we get segmentation of two additional different structures, BV and OD, along with Exudates segmentation. Nur and Tjandrasa (2018) got an accuracy of 99.33% by removing the OD first and then obtaining the salient regions using intensity thresholding. The challenge with this method is that the threshold can vary from dataset to dataset and further the accuracy also depends on the OD removal step.

Figure 13 shows comparison of Exudates segmentation results of the proposed approach with the approach mentioned in Guo et al. (2020) for some sample images. Even though we got better accuracy (99.42) and AUC (0.9993) when averaged over the entire test images, our model failed to capture very small Exudates, as shown in the second row of Figure 13. This is because such finer Exudates get removed when the image is resized from original size of  $4288 \times 2848$  to  $768 \times 512$ .

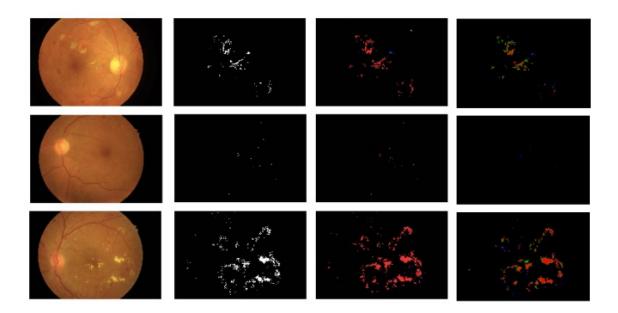
Table 4 shows the comparison of our BV segmentation results with the state-of-the-art techniques. As evident from the table accuracy (95.89%) of our approach on the DRIVE dataset is better than the currently reported state-of-the-art and AUC is close to the state-of-the-art. Dice scores on all three dataset are lower than patch based state-of-the-art techniques. However, since we train on whole images, both training and prediction time is about 20 times faster than patch based deep learning approaches.



**Figure 12.** ROC curve for all three structures BV, OD and Macula and the pathological indicator Exudates. BV results are on the DRIVE test dataset. OD, Macula (FOV) and Exudates (EX) are on the IDRiD dataset.

**Table 3.** Comparison of Exudates segmentation results of our approach with other state-of-the-art approaches on the IDRiD dataset. As we are predicting on whole images, our prediction time is much faster (about 12 times) compared to other state-of-the-art techniques on the IDRiD dataset. FCN:Fully Convolutional Networks, ACC:Accuracy, SUP:Supervised, UNSUP: Unsupervised

Author/ Year	Approach			Performance Metrics		
	Method	SUP/	Patch-wise/	AUC	ACC(%)	Prediction
		UNSUP	Whole Image			time(sec)
Kaur and Kaur	UNet with	SUP		-	99.83	-
(2022)	InceptionV3					
Hamad et al.	FCM Clustering	UNSUP	Patch-wise	-	99.2	30
(2020)			$(256 \times 256)$			
Kou et al.	ER-UNet	SUP	Patch-wise	0.9801	98.00	37.3
(2020)						
Guo et al.	Deeplab-V2	SUP	Patch-wise	0.9162	99	-
(2020)	with Bin loss		$(51 \times 51)$			
Nur and	Saliency based	UNSUP	Patch-wise	-	99.33	-
Tjandrasa			$(32 \times 32)$			
(2018)						
Our approach	Modified U-NET	SUP	Whole Image	0.9993	99.42	2-3
	Multi-tasking					



**Figure 13.** Comparison of Exudates segmentation result of the proposed approach with Guo et al. (2020). Each column from left shows the original image, ground truth segmentation results of Guo et al. (2020) and segmentation result of proposed approach. Color code used is kept the same as in Guo et al. (2020) for ease of comparison. Red: True Positive, Green: False Positive, Blue: False Negative.

#### 5 CONCLUSION

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In this work, we illustrate the efficacy of modified multi-tasking U-Net for segmenting Blood Vessels, Optic Disc, Macula and Exudates in fundal images. The proposed approach resulted in a peak increase of 15% in Dice score for Exudates segmentation compared to the individual segmentation result with the same architecture. Using the proposed approach, we are able to get a state-of-the-art accuracy of 95.89% on DRIVE test images which is 0.7% greater than one of the recently reported results. With our proposed method, the prediction times on the images are significantly lesser (12 times) compared to most other deep learning methods. In addition to this increased speed in prediction, we could improve the AUC and Accuracy for Exudates from 0.9801 to 0.9993 and 99.33% to 99.42% on the IDRiD test dataset.

#### **CONFLICT OF INTEREST STATEMENT**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

#### **AUTHOR CONTRIBUTIONS**

- 370 Sunil Kumar Vengalil and Bharath K contributed in conceptualization, investigation and to develop the
- 371 methodology. Bharath K carried out the implementation and ran all the experiments mentioned in this work.
- 372 Both Bharath K and Sunil Kumar Vengalil contributed equally in writing and formatting the manuscript.
- 373 Neelam Sinha supervised the work and was involved in writing-reviewing-editing. Neelam Sinha validated
- 374 the work and provided the resources.

**Table 4.** Comparison of BV segmentation results of our approach with other state-of-the-art approaches.ACC: Accuracy

Author/ Year	Approach	Patch-wise/ Whole Image	Dataset	Performance Metrics		
				Dice	AUC	ACC
Xu et al. (2021)	Residual Attention with ASPP and Deep Supervision	Patch-wise $(64 \times 64)$	DRIVE	-	0.97	95.9
Liu (2021)	Hand-crafted features with MLP	Whole Image	DRIVE	1	-	95.82
Jiang et al. (2018)	FCNN Transfer learning	Patch-wise $(50 \times 50)$	DRIVE HRF CHASE_DB	1	0.98 0.97 0.98	-
Joshua and et al. (2020)	U-Net	Whole Image	DRIVE HRF CHASE_DB	87.62 85.11 85.69	-	-
Park and et al. (2020)	M-GAN	Path-wise (48 × 48)	DRIVE HRF CHASE_DB	83.24 79.92 81.10	-	-
Sun et al. (2021)	Data Augmentation	Whole Image	DRIVE CHASE_DB	82.09 75.65	-	-
Adapa et al. (2020)	Zernike Moment	Whole Image	DRIVE	-	-	94.5
Dash et al. (2020)	Preprocessing: CLACHE Gabor Hessian Segmentation: k-means Post Processing: Morphological cleaning	Whole Image	DRIVE CHASE_DB	-	-	95.2 95
Our approach	Multi-tasking using U-NET	Whole Image	DRIVE HRF CHASE_DB	80.31 81.66 80.45	0.98	95.89

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## **DATA AVAILABILITY STATEMENT**

- 1. Digital Retinal Images for Vessel Extraction Dataset (DRIVE) dataset is available at http://www.isi.uu.nl/Research/Databases/DRIVE/
- 380 2. High-Resolution Fundus (HRF) Image Database
- 381 https://www5.cs.fau.de/research/data/fundus-images/
- 382 3. CHASE\_DB1 Retinal Image Database https://www.idiap.ch/software/bob/docs/bob/bob.db.chasedb1/master/index.html

4. Indian Diabetic Retinopathy Image Dataset (IDRiD) https://idrid.grand-challenge.
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