

# Regulatory Compliance Crosswalk Template

**Type:** Mapping Template

**Target Audience:** Compliance Teams, AI Governance, Legal

This template helps map existing framework practices (NIST, ISO) to specific mandatory regulations (EU AI Act). Use to identify gaps and demonstrate compliance across multiple requirements.

## Requirement Crosswalk

| Requirement         | EU AI Act  | NIST AI RMF         | ISO 42001    | Status                       |
|---------------------|------------|---------------------|--------------|------------------------------|
| Risk Management     | Article 9  | GOVERN, MAP, MANAGE | Clause 6.1   | [ ] Full [ ] Partial [ ] Gap |
| Data Governance     | Article 10 | MAP 1.5, 1.6        | Annex A.7    | [ ] Full [ ] Partial [ ] Gap |
| Documentation       | Article 11 | GOVERN 1.3, MAP 3.4 | Clause 7.5   | [ ] Full [ ] Partial [ ] Gap |
| Record-Keeping      | Article 12 | MEASURE 2.6         | Clause 7.5.3 | [ ] Full [ ] Partial [ ] Gap |
| Transparency        | Article 13 | MANAGE 4.2          | Annex A.8    | [ ] Full [ ] Partial [ ] Gap |
| Human Oversight     | Article 14 | GOVERN 1.4          | Annex A.9    | [ ] Full [ ] Partial [ ] Gap |
| Accuracy/Robustness | Article 15 | MEASURE 2.5, 2.7    | Annex A.6    | [ ] Full [ ] Partial [ ] Gap |

## Gap Analysis Template

| Gap ID | Requirement | Current State | Remediation | Priority          | Owner |
|--------|-------------|---------------|-------------|-------------------|-------|
| G-001  |             |               |             | [ ] H [ ] M [ ] L |       |
| G-002  |             |               |             | [ ] H [ ] M [ ] L |       |
| G-003  |             |               |             | [ ] H [ ] M [ ] L |       |
| G-004  |             |               |             | [ ] H [ ] M [ ] L |       |

## Regional Module Additions

### EU Module

- ☐ CE marking requirements addressed
- ☐ Conformity assessment documentation prepared
- ☐ EU representative designated (if non-EU provider)

## US Module

- ☐ State-specific disclosures (NYC LL144, Colorado)
- ☐ Sector-specific requirements (FCRA, ECOA)
- ☐ FTC guidance compliance

## Other Jurisdictions

- ☐ China: Algorithm registration requirements
- ☐ Canada: AIDA requirements (when enacted)
- ☐ UK: Sector-specific guidance

**Organization:** \_\_\_\_\_

**Compliance Officer:** \_\_\_\_\_ **Date:** \_\_\_\_\_