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# Finite Group Lattice Gauge Theories for Quantum Simulation

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## **Abstract**

[ da scrivere in inglese ]

## **Sommario**

[ da scrivere in italiano ]

# Declaration

This manuscript is based on the results presented in the following works:

[ compilare la lista ]

The following works are not discussed in this thesis:

[ compilare la lista ]

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# Introduction

## chapter one

# Introduction to Lattice Gauge Theories

One of the most important open questions regarding high-energy physics is confinement in Quantum Chromodynamics (QCD), or in general in a non-Abelian gauge theory. The best evidence for confinement comes from the Wilson formulation of gauge theories on a lattice [1], which, at first glance, can appear odd because the vacuum is not a crystal [2]. Indeed, there have not been experimental proofs so far that show any deviations from the symmetries of the Lorentz group.

From the point of view of particle physics, the lattice represents a mathematical trick. It provides a cutoff, which removes the ultraviolet infinities that infest Quantum Field Theory (QFT). It is just a regulator and as such it must be removed after renormalization. Physical results can only be extracted in the continuum limit, where the lattice spacing goes to zero.

But why do we need such a regulator? Infinities has always been present in quantum field theories since its conception. Consider the case of Quantum Electrodynamics. It had an immense success without ever using a discrete space-time, thanks to *perturbation theory*. The most conventional calculation schemes are based on Feynman expansions, where a given observable is expressed as a power series in the interaction coupling. The terms are computed until a divergence is met in a particular diagram. These divergences can then be removed with some regularization method.

The reason why this methodology does not work in non-Abelian theories lies in the fact that some phenomena, like confinement, are inherently *non-perturbative*. Roughly speaking, perturbation theory relies on the fact that the true interacting theory is just a slight modification of the free theory. In other words, it works only when the coupling constants are small. In the case of QCD, the free theory with vanishing coupling constant has no resemblance to the observed phenomenon.

In order to go beyond the diagrammatic approach of Feynman expansions,

one needs a non-perturbative cutoff. This is the main strength of the lattice, it eliminates all the wavelengths smaller than the lattice spacing before any kind of expansions is done. Furthermore, on a lattice a field theory is *mathematically well-defined*, in contrast with many standard formulations of QFTs (like the path-integral approach).

A lattice formulation of QFTs exposes a close connection with *Statistical Mechanics (SM)*. In fact, it can be showed that a path-integral in QFT is equivalent to a partition function in SM. The square of the coupling constant in QFT corresponds directly to the temperature, and a strong coupling expansion becomes equivalent to a high temperature expansion. Thus, with a lattice formulation of QFTs allows a particle physicist to use the full technology of SM and condensed matter theory.

[ revisionare ciò che è stato scritto ]

In this section we first briefly review Yang-Mills theory, which is main formalism for QFTs with gauge symmetries. Then, we move onto the Wilson formulation of Lattice Gauge Theory (LGT)s in the path-integral approach.

[ c'è altro da aggiungere? ]

## 1.1 Review of Yang-Mills theory

A Yang-Mills (YM) theory is a gauge field theory on Minkowski space  $\mathbb{R}^{1,d}$  coupled to matter. The gauge group  $G$  is usually chosen to be a compact Lie group like  $U(1)$  or  $SU(N)$  and the matter fields are defined by a representation of  $G$ . For example, QCD is an  $SU(3)$  gauge theory with Dirac spinors in the fundamental representation. We choose to keep the dimension  $d$  of space completely general and to use  $D$  to denote the full dimension of space-time, i.e.,  $D = d + 1$ .

We start from the Lagrangian. Considering that YM theory can be seen as the generalization of Quantum Electrodynamics (QED), a  $U(1)$  gauge theory, to any compact Lie group, the Lagrangian looks exactly like the one from QED:

$$\mathcal{L}_{\text{YM}} = -\frac{1}{2g^2} \text{tr}(F_{\mu\nu}F^{\mu\nu}) + \bar{\psi}(i\gamma^\mu D_\mu - m)\psi, \quad (1.1)$$

with some differences that will be explained later. Hereafter, the Einstein summation rule is implied. Notice that in (1.1) we have only considered one fermionic species. In more realistic cases we would have a sum over the different fermion flavors but for simplicity and ease of exposition we will ignore flavors and consider only type of fermion.

**Gauge fields** The symbol  $D_\mu$  in (1.1) denote the *covariant derivative*:

$$D_\mu = \partial_\mu - iA_\mu, \quad (1.2)$$

where  $A_\mu$  are the space-time components of the gauge fields. Each component is Lie algebra valued function of space-time:

$$A_\mu(x) = \sum_a A_\mu^a(x)T^a, \quad (1.3)$$

where the sum is over the generators of the Lie algebra  $\mathfrak{g}$ , corresponding to the group  $G$ . We choose the convention where the generators  $T^a$  are Hermitian with

$$[T^a, T^b] = if^{abc}T^c \quad \text{and} \quad \text{tr}(T^a T^b) = \frac{1}{2}\delta^{ab}, \quad (1.4)$$

with real structure constants  $f^{abc}$ .

The dynamics of the gauge fields is given by  $F_{\mu\nu}$ , which is the *strength-field tensor* and defined as

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i[A_\mu, A_\nu]. \quad (1.5)$$

and transforms in the adjoint representation of  $SU(N)$ . Notice that when  $G = U(1)$ , i.e. Abelian, the commutator term in (1.5) vanishes and we re-obtain the strength-field tensor of QED. Like the gauge field  $A_\mu$ , also the tensor  $F_{\mu\nu}$  lives in the Lie algebra  $\mathfrak{g}$ . Therefore, the product  $F^{\mu\nu}F_{\mu\nu}$  is actually a matrix. Only scalar terms are allowed in the Lagrangian (1.1), hence we have to take the trace:

$$\text{tr } F^{\mu\nu}F_{\mu\nu} = \sum_a F^{\mu\nu a}F_{\mu\nu}^a.$$

**Fermions** Regarding the fermion field  $\psi$ , this is taken in the *fundamental representation* of  $G$ . The matrices  $\gamma^\mu$  form a Clifford algebra, which is defined by the relations

$$\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}, \quad (1.6)$$

where  $\eta^{\mu\nu}$  the space-time metric. For the latter we choose the convention  $\eta^{\mu\nu} = \text{diag}(+1, -1, -1, -1)$ . The conjugate  $\bar{\psi}$  is defined as

$$\bar{\psi} = \psi^\dagger \gamma^0.$$

[ dire qualcosa in più ]

**Gauge transformations** A gauge transformation is defined by a group valued function of space-time  $g : \mathbb{R}^{1,d} \rightarrow G$ . It transforms the fermion field as

$$\psi(x) \mapsto g(x)\psi(x). \quad (1.7)$$

Here we have been a bit sloppy with notation, by writing  $g(x)\psi(x)$  in (1.7) we actually mean the action of the element  $g(x) \in G$  in the same representation of  $\psi(x)$ .

In order to have an invariant Lagrangian, the gauge fields  $A_\mu$  have to undergo a transformation induced by the function  $g$ :

$$A_\mu(x) \mapsto g(x)A_\mu(x)g(x)^{-1} + ig(x)\partial_\mu g(x)^{-1}, \quad (1.8)$$

so that  $D_\mu\psi(x) \mapsto g(x)D_\mu\psi(x)$ , while

$$F_{\mu\nu} \mapsto g(x)F_{\mu\nu}g(x)^{-1}. \quad (1.9)$$

**Path-integral** For a path integral formulation we need to first define the action. This is just the integral of the Lagrangian in (1.1), over the  $D$ -dimensional space-time:

$$\mathcal{S}[A, \psi, \bar{\psi}] = \int_{\mathbb{R}^{1,d}} d^D \mathcal{L}. \quad (1.10)$$

The action (1.10) defines the “weight” in the path-integral. Now we can write down the partition function for a YM theory:

$$\mathcal{Z} = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{i\mathcal{S}[A, \psi, \bar{\psi}]}.$$
 (1.11)

### 1.1.1 Euclidean field theory

In the previous section we introduced YM theory in Minkowski space-time. We now need to move onto Euclidean space-time, which is the starting point for LGT. This is true for various reasons. As stated previously, Euclidean formulation allows to bridge into the territory of SM, meaning we can use its full technology. Second, there are also some advantages from the operational point of view. The weight in (1.11) is a complex phase, which can be problematic from the computational point of view because a priori convergence is not guaranteed. We will see that in Euclidean space-time the weight will become a positive-defined function, which makes it clear it is a probability distribution.

In order to pass to a Euclidean space-time  $\mathbb{R}^{d+1}$ , we need to perform a *Wick rotation*, where the time coordinate  $x_0$  is mapped to a forth space coordinate  $x_4$ :

$$x_0 \mapsto -ix_4.$$

To distinguish quantities in Euclidean or Minkowski space-time we use the subscripts  $E$  and  $M$ , respectively. The rotation (1.1.1) affects both space-time measures,

$$d^D x_M = dx_0 d^d x_i \quad \text{and} \quad d^D x_E = d^d x_i dx_4,$$

and the time-components of the quantities that enters the Lagrangian, which leads to an overall effect

$$\mathcal{L}_E = -\mathcal{L}_M.$$

The action is defined in the same way in both types of space-time,

$$\mathcal{S}_M = \int d^D x_M \mathcal{L}_M \quad \text{and} \quad \mathcal{S}_E = \int d^D x_E \mathcal{L}_E,$$

and due to the Wick rotation (1.1.1), we obtain that they satisfy

$$i\mathcal{S}_M = -\mathcal{S}_E. \quad (1.12)$$

This leads to the following definition of the Euclidean path-integral:

$$\mathcal{Z}_E = \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-\mathcal{S}_E[A, \bar{\psi}, \psi]}. \quad (1.13)$$

Notice that the weight  $e^{-\mathcal{S}_E}$  in (1.13) is now a positive-valued function, given that  $\mathcal{S}_E$  is a real function, and has the form of a *Boltzmann weight*. In other words, following the spirit of SM, we have now a probability distribution  $e^{-\mathcal{S}_E}$  over the configurations of the fields  $A_\mu$ ,  $\psi$  and  $\bar{\psi}$ .

The Wick rotation does not change the aspect of the gauge kinetic term,

$$-\frac{1}{2g^2} \text{tr}(F_{\mu\nu} F^{\mu\nu}), \quad (1.14)$$

but the sum is now a simple Euclidean sum, where there are minus signs appear when raising or lowering indices, and  $\mu = 1, \dots, d+1$ .

Considering now the fermionic part of the YM Lagrangian, we need to perform the Wick rotation on the Dirac fields  $\psi$  and  $\bar{\psi}$ . In Minkowski space-time

$$\bar{\psi}(i\gamma_M^\mu D_\mu - m)\psi = \bar{\psi}(i\gamma_M^\mu \partial_\mu + \gamma_M^\mu A_\mu - m)\psi, \quad (1.15)$$

where  $\gamma_M^\mu$  denotes the gamma matrices in Minkowski space-time:

$$\{\gamma_M^\mu, \gamma_M^\nu\} = 2\eta^{\mu\nu}.$$

The Euclidean Clifford algebra instead uses gamma matrices  $\gamma_E^\mu$  that instead satisfy

$$\{\gamma_E^\mu, \gamma_E^\nu\} = 2\delta^{\mu\nu}.$$

Given that we have  $\partial_0 = i\partial_4$  and  $A_0 = iA_4$ , the correct form can only be achieved by putting  $\gamma_M^0 = \gamma_E^4$ . This procedure yields

$$\bar{\psi}(i\gamma_M^\mu \partial_\mu + \gamma_M^\mu A_\mu - m)\psi = -\bar{\psi}(\gamma_E^\mu \partial_\mu + i\gamma_E^\mu A_\mu + m)\psi. \quad (1.16)$$

Since  $\mathcal{L}_E = -\mathcal{L}_M$ , we can conclude

$$\mathcal{L}_E = \frac{1}{2g^2} \text{tr}(F_{\mu\nu}F^{\mu\nu}) + \bar{\psi}(\gamma^\mu D_\mu + m)\psi, \quad (1.17)$$

where the indices are all Euclidean and  $D_\mu = \partial_\mu + iA_\mu$ .

### 1.1.2 Hamiltonian formulation

Even though Wilson formulation [1] was in the path-integral and Lagrangian language, we will also review the Hamiltonian formulation of non-Abelian QFT because its connection to Quantum Simulation. Expressing a YM theory in the Hamiltonian language can be tricky, especially in the presence of gauge symmetries. Usually, one has to proceed by computing the conjugate momenta and perform a Legendre transform in order to obtain the Hamiltonian. In the presence of gauge symmetries, the time-component  $A_0$  of the gauge fields does *not* have a conjugate momentum. Instead it leads to a *constraint*:

$$\frac{\partial \mathcal{L}}{\partial \dot{A}_0} = 0, \quad (1.18)$$

which means that the Legendre transform is not invertible.

The easiest remedy is to *fix the gauge* beforehand, by imposing  $A_0 = 0$ , which is called *canonical* or *temporal gauge*. With this condition, the gauge fields Lagrangian can be written as

$$-\frac{1}{2g^2} \text{tr}(F_{\mu\nu}F^{\mu\nu}) = \frac{1}{g^2} (\mathbf{E}^2 - \mathbf{B}^2) = \frac{1}{g^2} (E_i^a E_i^a - B_i^a B_i^a), \quad (1.19)$$

where **E** and **B** are, respectively, the corresponding electric and magnetic fields for a non-Abelian theory. In the temporal gauge we only have the spatial components **A** of the gauge field  $A_\mu$ . The electric field **E** is the time derivative of **A**, i.e.  $\mathbf{E} = \frac{d\mathbf{A}}{dt}$ , which means that **E** is the conjugate momentum to **A**. Meanwhile, the magnetic field **B** can be obtained from the spatial components of the strength-field tensor  $F^{\mu\nu}$ , with  $B_i = -\frac{1}{2}\varepsilon_{ijk}F^{jk}$ , where  $\varepsilon_{ijk}$  is the Levi-Civita symbol. Once the gauge is fixed, the Hamiltonian can be finally be obtained with a Legendre transform:

$$\mathcal{H} = \frac{1}{g^2} E_i^a \dot{A}_i^a - \frac{1}{2g^2} (E_i^a E_i^a - B_i^a B_i^a) = \frac{1}{2g^2} \text{tr}(\mathbf{E}^2 + \mathbf{B}^2). \quad (1.20)$$

In the Hamiltonian formulation, the fields  $\mathbf{A}$  and  $\mathbf{E}$  have to be elevated to operators, by imposing the following commutation relations:

$$\begin{aligned} [A_i^a(x), E_j^b(y)] &= ig^2 \delta_{ij} \delta_{ab} \delta(x - y) \\ [E_i^a(x), E_j^b(y)] &= [A_i^a(x), A_j^b(y)] = 0. \end{aligned} \quad (1.21)$$

An astute observer will notice that (1.21) are completely analogous to a position-momentum commutation relation, similar to  $[x_i, p_j] = i\delta_{ij}$ . In fact, like in the latter case, where the momentum  $p_i$  is the generator of translations of  $x_i$ , the electric field  $\mathbf{E}$  is the generator of translation of  $\mathbf{A}$ . To be more precise, it is the canonical momentum  $\mathbf{E}/g^2$  that generates translations of  $\mathbf{A}$ . In other words,  $\mathbf{E}/g^2$  generates infinitesimal gauge transformations. This point of view will be rather useful when treating the gauge fields on a lattice.

In order to impose the canonical gauge  $A_0 = 0$ , the equation of motion for  $A_0$  has to be satisfied:

$$\partial_\mu \left( \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_0)} \right) - \frac{\partial \mathcal{L}}{\partial A_0} = 0. \quad (1.22)$$

In the absence of sources, this leads to

$$D_i E_i = 0, \quad (1.23)$$

where  $D_i$  and  $E_i$  are the spatial components of the covariant derivative and electric field, respectively. What we obtained is basically the generalization of *Gauss law* to non-Abelian theories. In fact, the condition (1.23) for a  $U(1)$  theory reduces to the well known  $\nabla \cdot \mathbf{E} = 0$ . Unfortunately, the equation (1.23) is inconsistent with the commutation relations (1.21), so it cannot be implemented as an operator equation. The easiest solution, or loophole, to this impasse is to impose to consider *physical* or *gauge-invariant* only states that satisfy

$$D_i E_i |\psi_{\text{phys}}\rangle = 0. \quad (1.24)$$

This constraint select a subspace of the overall Hilbert space  $\mathcal{H}$ , which will be labeled as the *physical Hilbert space*  $\mathcal{H}_{\text{phys}}$ .

### 1.1.3 The Sign Problem

A number of interesting phases have been predicted for QCD in the  $\mu-T$  plane [3], where  $\mu$  is the chemical potential and  $T$  the temperature, such as *quark-gluon plasma* [4] or *color superconductivity* [5] (see Fig. 1.1). Unfortunately, detailed quantitative analysis of QCD has been limited to the  $\mu = 0$  region only [3]. This is mainly due to the difficulty of studying QCD in the low

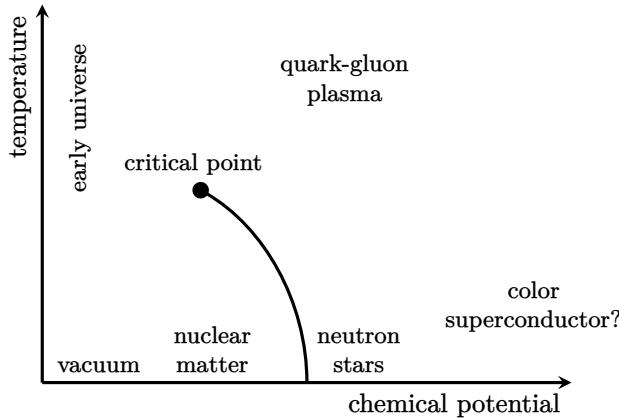


Figure 1.1. A (very) rough sketch of the phase diagram of QCD.

energy regime, where the perturbative approach fails [2, 6]. Moreover, even LGTs, at least in the path-integral formulation, is not applicable for  $\mu \neq 0$  due to the infamous *sign problem*.

In the Hamiltonian formulation, the chemical potential is introduced in the same manner as standard SM. If  $H$  is the Hamiltonian operator and  $N$  a number operator, then one can simply replace  $H$  with  $H - \mu N$ . In the case of a YM theory, the number operator would correspond to the fermion number operator  $N = \psi^\dagger \psi$ .

In the path integral formalism, fermions are introduced as Grassmann variables. This means that they easily be integrated out [3, 6]:

$$\int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp\left(-\int d^{d+1}\bar{\psi} K \psi\right) = \det K, \quad (1.25)$$

where  $K$  is the kinetic operator for the fermions. If the fermions are coupled to  $A_\mu$ , as it happens in YM theory, then  $K$  has some complicated dependence on the fields  $A_\mu$ . If one includes the chemical potential term  $\mu\psi^\dagger\psi$  in the Lagrangian, then the fermion determinant  $\det K$  turns out to be complex [3], with a non-trivial phase factor.

As a result, the integrand of the path-integral is no longer positive-defined, and it cannot be interpreted as a probability distribution. Furthermore, a complex weight in the path-integral makes the integrand oscillatory, which does not help with convergence. This is summarizes the infamous *sign problem*, which poses severe limitation to, for example, Monte Carlo (MC) simulations in the finite  $\mu$  region.

## 1.2 Wilson approach to Lattice Gauge Theory

Starting from the path integral formulation, the first step in the formulation of a LGT is the discretization of space-time, where a discrete  $d + 1$ -dimensional lattice substitutes the continuum space-time. The simplest choice in this regard is a hypercubic lattice with lattice spacing  $a$ , but in theory an LGT can be defined on any type of lattice. An immediate advantage of using a lattice instead of a continuum is the natural ultraviolet cutoff given by the inverse of the lattice spacing.

Formally, a lattice  $\mathbb{L}$  is defined as

$$\mathbb{L} = \left\{ x \in \mathbb{R}^D : x = \sum_{\mu=1}^D a n_\mu \hat{\mu} \quad n_\mu \in \mathbb{Z} \right\}, \quad (1.26)$$

where  $\mu = 1, \dots, D$ , and  $\hat{\mu}$  is the unit vector in the  $\hat{\mu}$ -th direction. The edges, or *links*, will be labeled by a pair  $(x, \hat{\mu})$ , meaning that we are referring to the link in the  $\hat{\mu}$  direction from the vertex, or *site*,  $x$ . It is important to fix an orientation for each direction in the lattice. The most natural choice is to choose  $+\hat{\mu}$  for each  $\hat{\mu}$ . So, even though  $(x, \hat{\mu})$  and  $(x + \hat{\mu}, -\hat{\mu})$  refers to the same link, the former is traversed in the positive direction while the latter in the negative direction.

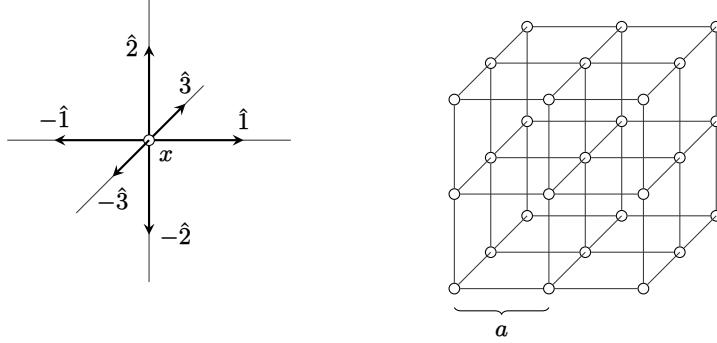
In an LGT, both the sites and links host degrees of freedom (d.o.f). In particular, the matter fields lives on the sites while the gauge fields live on the links. The definition of these d.o.f will need some care, because we have two main requirements, especially if we are interested in YM theory:

- The lattice action should reduce to the continuum action in the continuum limit, i.e.,  $a \rightarrow 0$ ;
- The lattice action should respect the gauge symmetry.

Lorentz invariance is naturally broken on a lattice but we expect to recover it in the continuum limit.

### 1.2.1 Gauge fields on a lattice

The simplest way to define a YM action on a lattice would be to consider a continuum action, substitute finite-difference approximations for derivatives, and replace the space-time integral by a sum over the lattice sites. However, the result of this is an action which is not-gauge invariant for non-zero lattice spacing [1]. This is likely to mean that the theory would still lack gauge-invariance in the  $a \rightarrow 0$  limit. The alternative, outlined in [1, 2], would be to



**Figure 1.2.** *Left.* Unit vectors  $\hat{\mu}$  in three-dimensional lattice. *Right.* Example of a three-dimensional lattice.

formulate gauge invariance for a lattice theory then modify its action until it is gauge invariant for any  $a$ .

We start by considering a general group  $G$ . We associate an element  $U_\mu(x) \in G$  to each link  $(x, \mu)$ . If one traverse the link in the opposite direction, one should consider the inverse element  $U^{-1}$ . In the case of  $SU(N)$ , we take  $U_\mu(x)$  to be the matrices in the fundamental representation and a vector potential can be obtained in the continuum limit by writing

$$U_\mu(x) = e^{iagA_\mu(x)}. \quad (1.27)$$

It is necessary to discuss about gauge invariance before moving to the dynamics of these gauge fields. A gauge transformation is described by a group-valued function  $g(x)$  (in the appropriate representation), which acts on the vertices  $x$ . The variable  $U_\mu(x)$  sits in the middle of the site  $x$  and  $x + \hat{\mu}$ , therefore it transforms as

$$U_\mu(x) \mapsto g(x)U_\mu(x)g(x + a\hat{\mu})^\dagger. \quad (1.28)$$

The action of the gauge fields  $U_\mu(x)$  has to satisfy two requirements: it has to be *gauge-invariant* and reduce to the pure gauge YM action in the continuum limit. From (1.28), we can immediately deduce that taking the product of  $U_\mu(x)$  along a closed curve will yield a gauge-invariant quantity. The simplest close curve we can consider is a *plaquette*, i.e., the smallest square face.

Consider a plaquette  $\square$  sitting in the  $(\mu, \nu)$ -plane at site  $x$ . We define the *single plaquette Wilson loop*  $W_\square$  as

$$W_\square = U_\mu(x)U_\nu(x + a\hat{\mu})U_\mu(x + a\hat{\nu})^\dagger U_\nu(x)^\dagger. \quad (1.29)$$

Notice that we do not have any sum in the indices  $\mu$  and  $\nu$  because they are not Lorentz indices. Only scalar quantities are allowed in the action, so we

need to take the trace of  $W_\square$ . Then, our lattice action will be defined as the sum over the plaquettes of  $\text{tr } W_\square$  (and its Hermitian conjugate):

$$\mathcal{S}_W = -\frac{1}{g^2} \sum_{\square} \left( \text{tr } W_\square + \text{tr } W_\square^\dagger \right). \quad (1.30)$$

This is known as the *Wilson action* [citation?]. The quantity  $\text{tr } W_\square$  behaves as expected in the continuum limit, where we have to work with the strength field  $F^{\mu\nu}$ :

$$\text{tr } W_\square \approx N - \frac{a^4}{2} \text{tr } F_{\mu\nu} F^{\mu\nu} + \mathcal{O}(a^6), \quad (1.31)$$

The lattice action is not unique. The Wilson action in (1.30) is the simplest choice that one can make that satisfy our requirement. Some other modification, for example, can include other types of closed loops and these modification can have their place. However, they will not be considered here.

Obviously, in a path-integral formulation of LGTs we need to define the path-integral in order to have a quantum theory:

$$Z = \int \prod_{(x,\hat{\mu})} dU_\mu(x) e^{-\mathcal{S}_W}. \quad (1.32)$$

Here we integrate over all possible values for the gauge variables. Due to the fact that  $U_\mu$  are elements of a group  $G$  that for most physical applications is compact, the most natural choice is to the invariant group measure also known as *Haar measure*. Notice that (1.32) is now a well defined mathematical quantity, unlike the path-integral in continuum theory where a clear mathematical definition is still lacking. Now that the path-integral measure has been defined, we can compute the average of an observable  $\mathcal{O}$  with

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \prod_{(x,\hat{\mu})} dU_\mu(x) \mathcal{O} e^{-\mathcal{S}_W} \quad (1.33)$$

### 1.2.2 Order parameters and gauge invariance

The Wilson formulation of LGTs can resemble spin models studied in statistical mechanics. The link variables  $U_\mu(x)$  can be thought as some sort of generalization of the spin d.o.f. They are distributed in a crystal-like structure and interact with their nearest neighbours, in this case through a four-body interaction (the plaquette action), instead of two-body interaction (like the Ising model). If one wants to pursue this analogy, then it is reasonable to look at order parameters that behaves like the spontaneous magnetization, where a non-vanishing expectation value signals a phase transition. The analogue of such an order parameter in LGT would be something like

$$\langle U_\mu(x) \rangle \neq 0, \quad (1.34)$$

but it has been shown that this is impossible in Wilson theory [7].

In standard spin models, a non-zero magnetization represents a spontaneous breaking of the global symmetry of the system. Consider the simplest case of the classical Ising model, where the d.o.f are binary variables  $\sigma = \pm 1$ . Without an external field, the energy is given by the interaction of nearest neighbouring spins, i.e.,  $\sigma_i \sigma_j$ . This system has an obvious global  $\mathbb{Z}_2$  symmetry, that corresponds to the inversion  $\sigma_i \mapsto -\sigma_i$  off all the spins. A ferromagnetic phase is, by definition, signaled by  $\langle \sigma \rangle \neq 0$ , which necessarily breaks the global  $\mathbb{Z}_2$  symmetry of the model. Once a direction is selected by  $\langle \sigma \rangle \neq 0$ , it remains stable under thermal fluctuations because they cannot coherently shift the magnetization of a large (or infinite) number of spins.

In a LGT, an expectation value like (1.34) would *break gauge invariance*, which is a *local symmetry*, not a global one. As explained previously, gauge invariance means that the action is unchanged under local arbitrary “rotations” of the link variables  $U_\mu(x)$  (see (1.28)). Hence, thermal fluctuations will induce such rotations and in the long rung it will average on all the possible gauges, which leads to

$$\langle U_\mu(x) \rangle = \int dU_\mu(x) U_\mu(x) = 0 \quad (1.35)$$

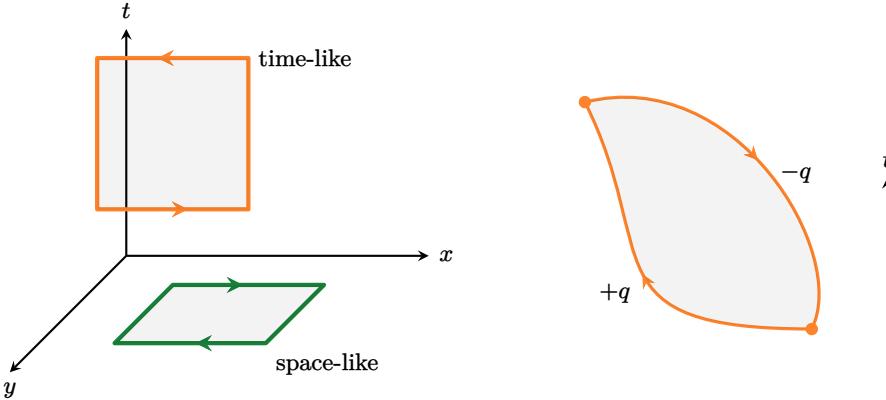
if  $U_\mu$  contains only non-trivial irreducible representations of the group (see Th. 5 and (A.4)). This means that “magnetization” is always vanishing in a LGT and gauge invariance cannot be spontaneously broken, which is the contents of the Elitzur theorem [7].

The conclusion of this brief discussion may seem rather grim, as magnetization in spin models is the most convenient and used order parameter. But this does not mean that there are no other order parameters in a LGT. We have just showed that the problem when considering something like  $\langle U_\mu \rangle$  is gauge invariance. So, the most reasonable step forward is to consider *gauge-invariant quantities* as order parameters. We have already seen that tracing over a product of  $U_\mu$  variables along a closed curve is a gauge-invariant quantity, called *WL*.

In so far, we have considered only single plaquette loops but nothing restraints us from considering arbitrary large loops, indeed it serves as a *confinement test* for pure gauge theories. It has been shown [1] that confinement is equivalent to the *area law* behaviour of WLs, i.e.,

$$\langle W(\mathcal{C}) \rangle \sim \exp(-\sigma A(\mathcal{C})), \quad (1.36)$$

where  $A(\mathcal{C})$  is the minimal area inside the closed path  $\mathcal{C}$  and  $\sigma$  the *string tension* (the coefficient of the linear potential between two quarks). On the



**Figure 1.3.** Space-like and time-like Wilson loop.

**Figure 1.4.** A time-like Wilson loop can be seen as a process where a quark-antiquark pair is created and then annihilated.

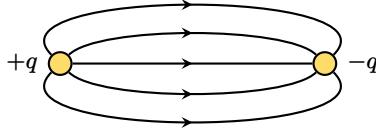
other hand, in the absence of confinement one finds instead the *perimeter law*:

$$\langle W(\mathcal{C}) \rangle \sim \exp(-kP(\mathcal{C})), \quad (1.37)$$

where  $P(\mathcal{C})$  is the perimeter of the curve  $\mathcal{C}$  and  $k$  just some constant.

The reason behind this behaviour can be seen with a simple qualitative picture [1, 2]. A closed timelike WL basically represents a process in which a quark-antiquark pair is produced, moved along the sides of the loop and annihilated. If we are in a confining phase we can then expect a liner potential between the quark and antiquark. We can imagine a flux tube *binding* the two charges, which swoops the whole inside area of the loop. Then, it is easy to image that the energy of this whole process will necessarily depend on the area of the loop. On the other hand, if we are in a deconfined phase then there is no potential binding the two quarks. In this case the energy of the whole process depends only on the self-energy of quarks, which move along the sides of the loop. Therefore, the leading energy contribution of this process depends on the perimeter, instead of the area. Obviously, this picture is no longer valid when dynamical matter is involved. In a confining phase, pair production is always preferred when separating two quarks at large distances.

From (1.36) and (1.37), we can deduce that the string tension  $\sigma$  can be used as an order parameter. It is non-zero for a confining phase, while it vanishes for a deconfined phase. But it is *non-local* in nature, as it involves the asymptotic behaviour of potential, and therefore of the correlation functions of the theory.



**Figure 1.5.** Example of a flux tube binding two charges, which gives rise to the quark binding mechanics

### 1.2.3 Fermions on a lattice

Defining fermions is not an easy task due to the known *doubling problem*. In simple terms, when introducing fermions on a lattice, instead of a continuous space, it leads to extra spurious fermions, which are just lattice artifacts.

[ parlare della discretizzazione del fermione prima ]

In order to briefly see this, consider the correlation function for a single fermionic species. If  $K$  is the kinetic matrix for the fermions, then  $G = K^{-1}$  gives their correlation matrix. One finds [citation?] that the correlation function between two sites  $x$  and  $y$  has the form

$$(G)_{x,y} = \frac{1}{a^d L^d} \sum_k \tilde{G}_k e^{2\pi i k \cdot (x-y)/L}, \quad (1.38)$$

where  $a$  is the lattice spacing,  $L^d$  the total volume and  $\tilde{G}_k$  the correlation function in momentum space:

$$\tilde{G}_k^{-1} = m + \frac{i}{a} \sum_\mu \gamma_\mu \sin(2\pi k_\mu / L). \quad (1.39)$$

It involves a trigonometric function because the derivative term involves nearest neighbouring sites. One can then take the model to a large lattice, which justifies in substituting the discrete sums with integrals:

$$\frac{2\pi k_\mu}{La} \rightarrow q_\mu \quad \text{and} \quad \frac{1}{a^d L^d} \sum_k \rightarrow \int \frac{dq^d}{(2\pi)^d}, \quad (1.40)$$

where the  $q_\mu$ 's are continuous momentum variables. This substitution maps (1.39) into

$$\tilde{G}_k^{-1} = m + \frac{i}{a} \sum_\mu \gamma_\mu \sin(aq_\mu). \quad (1.41)$$

One can naively think of taking the limit  $a \rightarrow 0$  and expand  $\sin(aq_\mu)$  around the zero and obtain something that look like the correct continuum limit:

$$\tilde{G}_\mu^{-1} = m + iq_\mu + \mathcal{O}(a^2). \quad (1.42)$$

But one should not be fooled by this sloppy procedure just because it appears to give the wanted result. Each component  $q_\mu$  takes values in the region

$[-\pi/a, +\pi/a]$ , hence we have to integrate on the whole volume  $[-\pi/a, +\pi/a]^d$ . Looking at (1.41), it is clear that the major contributions to  $G$  in (1.38) comes from the zeros of  $\tilde{G}_k^{-1}$ . This, not only vanishes in the region  $q_\mu \sim 0$  but also for large momentum  $q_\mu \sim \pi/a$ . The propagator has no suppression of momentum values near  $\pi/a$ . We can isolate the large momenta region by considering

$$\tilde{q}_\mu = q_\mu - \pi/a \quad (1.43)$$

for each direction in space. In this way, we de facto half the integration region,

$$\int_{-\pi/a}^{\pi/a} dq_\mu \rightarrow \int_{-\pi/2a}^{\pi/2a} (dq_\mu + d\tilde{q}_\mu) \quad (1.44)$$

and now the limit  $a \rightarrow 0$  can be taken safely, but it comes with a price to pay. For each direction in space, we have two independent regions that gives a free fermion contribution to the propagator in the continuum limit. We have effectively *doubled* the number of fermions for each direction. In a  $d$ -dimensional lattice we end up with  $2^d$  independent fermions, even though we initially started with just one.

### [ parlare del ruolo della chiralità ]

There are many solutions to this fermion doubling problem [citation?], but we will focus only on one in this manuscript: *the staggered fermions* [citation?]. We have seen that these fictitious fermions come from the large momenta regions, where  $q_\mu \sim \pi/a$ . Brutally cutting out this large momenta regions spoils the completeness of the Fourier transform, so it is not a solution, but a smarter solution can give out the same effect. The idea is to spread the fermionic d.o.f over multiple lattice sites, reducing effectively the momenta space. For example, in two dimensions it would correspond to placing the *particles* on *even* sites and the *antiparticles* on *odd* sites. A site is considered even or odd when  $(-1)^x = (-1)^{x_1+\dots+x_d} = +1$  or  $-1$ .

To obtain a staggered fermion, we define a new fermionic species  $\chi(x)$  such that

$$\psi(x) = \prod_\mu (\gamma^\mu)^{n_\mu} \chi(x), \quad (1.45)$$

where  $x_\mu = an_\mu$ . Now, if we want to express the discredited covariant derivative, the term  $\gamma^\mu \psi(x + an_\mu)$  have two extra powers of  $\gamma^\mu$  compared to  $\bar{\psi}$ . Since  $(\gamma^\mu)^2 = \pm 1$ , we have therefore

$$\bar{\psi}(x) \gamma^\mu \psi(x) = (-1)^{\eta_\mu(x)} \chi(x)^\dagger \chi(x + an_\mu), \quad (1.46)$$

where  $\eta_\mu(x)$  is some sign function depending on the site  $x$ . This function can be obtained from the commutation relations of the gamma matrices. In

particular, in two dimensions we have

$$\eta_1(x) = 1 \quad \text{and} \quad \eta_2(x) = (-1)^{n_1}, \quad (1.47)$$

while in four (Euclidean) dimension we have instead [ Citare Tong, gauge theories ]

$$\eta_1(x) = 1, \quad \eta_2(x) = (-1)^{n_1}, \quad \eta_3(x) = (-1)^{n_1+n_2}, \quad \eta_4(x) = (-1)^{n_1+n_2+n_3}. \quad (1.48)$$

A similar reasoning can be applied to the mass term  $m\bar{\psi}(x)\psi(x)$ , where it becomes

$$m\bar{\psi}(x)\psi(x) = (-1)^{\eta(x)}\chi(x)^\dagger\chi(x), \quad (1.49)$$

for some sign function  $\eta(x)$  that can be obtained from the commutation relations of the gamma functions.

## chapter two

# Quantum Simulation of Lattice Gauge Theories

## 2.1 Quantum simulation

Simulating quantum mechanics is a very challenging task [8, 9], especially if one is interested in many-body systems. The description of a state requires a large number of variables, for keeping track of all the quantum amplitudes, and it grows exponentially with the system size. Hence, one would have an *exponential explosion* in terms of *classical* resources (like for example computer memory), which clearly is not suitable.

If simulating a quantum system is not a task for classical machines, then it should be a task for quantum machines [9–13]. The possibility of using quantum devices for simulating physics was first envisioned by Feynman in his seminal talk [9]. The main idea is to encode the d.o.f of an ideal mathematical model of a physically relevant system into a controllable and reliable quantum system. In other words, a *quantum simulator* is an experimental system that mimics a simple model, or a family of simple models [11]. Using quantum physics for simulating quantum physics itself may seem like fighting fire with fire, but it is actually a powerful idea. We will no longer need an exponentially large number of variables for describing the target system, because the d.o.f of the target system and the simulator would be in a one-to-one correspondence. Therefore, the size of a quantum computer would only be proportional to the size of the quantum system it intends to simulate, *without* an exponential explosion in *quantum* resources.

In this perspective, it would seem that one would need a specific quantum simulator for simulating a specific class of models. This is not necessarily true with a *quantum computer* [14–17]. The idea for such a device was put forward in [9, 14] and it would act as a *universal quantum simulator*. This has proven by LLoyd in [18]. The caveat is that the target system and its evolution would need some *digitalization* scheme beforehand, because a quantum computer

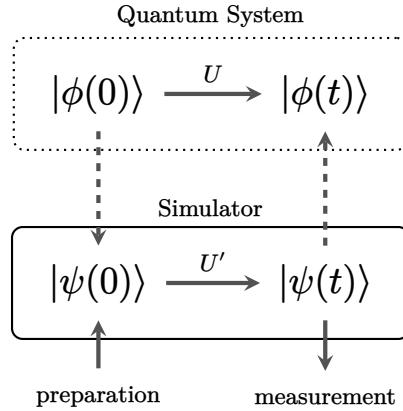


Figure 2.1. Schematic picture of a quantum simulator.

uses discrete d.o.f, the *qubits*, so they are not suited for continuous-variable computation. This is analogous to the case of classical computers, where real numbers have to be truncated and represented with a finite-size register of bits. On the other hand, a problem-specific simulator can potentially uses some kind of physical platform which allows for continuous d.o.f [12, 19].

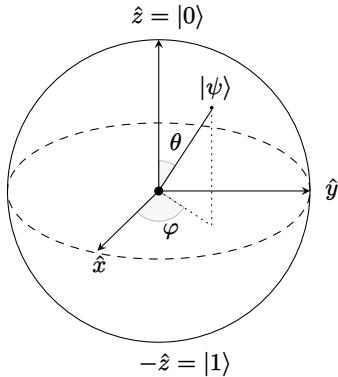
In general, *Quantum Simulation (QS)* can be (loosely) defined as simulating a quantum system by quantum mechanical means. There are three paths that can be taken in this regard [10]:

- Digital Quantum Simulation
- Analog Quantum Simulation
- Quantum Information inspired algorithms for classical simulation

We will discuss briefly each one of them. By *quantum simulator* we mean a *controllable* quantum system used to simulate or emulate other quantum systems. We see that only digital and analog QSs employ a quantum simulator. The last option employs techniques, inspired by quantum information theory, that make it possible to truncate and approximates quantum states in order to have efficient classical simulations.

### 2.1.1 Digital quantum simulations

The digital approach to QS employs the *circuit model* of quantum computation [15, 20]. This model is analogous to the circuit model of classical computation, where one works with *bits*, the smallest possible amount of information, an on-or-off state, and a minimal set of *logical operators* (like NOT, AND, OR,



**Figure 2.2.** Picture of a Bloch sphere. A generic state of a qubit can be written as  $|\psi\rangle = \cos \frac{\theta}{2} |\hat{0}\rangle + e^{i\varphi} \sin \frac{\theta}{2} |\hat{1}\rangle$ , so it is fully described by two angles  $\theta$  and  $\varphi$ . For this reason, the Hilbert space of a qubit can be visualized as a two-dimensional sphere.

etc...). In quantum computation, the set up is the same but with some key differences: bits are substituted with *qubits* and the logical operators with *unitary operators*.

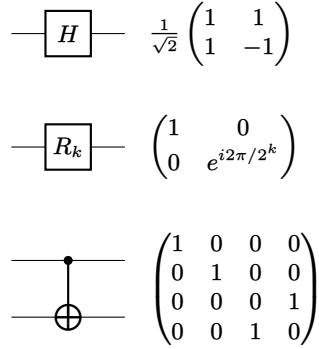
A bit can only have two values, either 0 or 1. In quantum computing these values are elevated to two *orthonormal* states  $|\hat{0}\rangle$  and  $|\hat{1}\rangle$ . Therefore, the bits are substituted with *qubits*, which are two-levels quantum systems. A generic state of a qubit is  $|\psi\rangle = \alpha |\hat{0}\rangle + \beta |\hat{1}\rangle$ , with the normalization condition  $\alpha^2 + \beta^2 = 1$ , and the complex amplitudes  $\alpha$  and  $\beta$  encodes the carried information. A visual representation of the Hilbert space of a qubit is given by the Bloch sphere, see Fig. 2.2. A set of qubits is called a *quantum register* and they encode the state of the quantum computational machine, the equivalent of the tape of a Turing machine.

The logical operators, or *gates*, of classical computation are single-bit or double-bit functions that have only a single-bit output. This makes classical computation *non-reversible*<sup>1</sup>. The idea behind quantum computing is to use the time-evolution of an ad-hoc quantum machine for performing computation. Time-evolution is a *unitary* process, which means that it is reversible. Hence, the non-reversible model of classical computation is not suited for quantum computing. There is no one-to-one correspondence between the operations on a classical machine and those on a quantum machine. Logical operations on a quantum computer, also called *quantum gates*, have to be implemented through *unitary operators* that act on the quantum register. A succession of logical operator, therefore, is equivalent to the product of these unitary operators. This makes the whole computation a unitary process, hence reversible.

It is known, in classical computing, that only a minimal set of logical gates are actually needed in order to perform any computation. For example, with

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<sup>1</sup>There exist models of classical computation that are reversible, see [21], which will not be discussed here and are not very common in every-day applications. In [21] a universal *three-bits* gate is introduced that allows for reversible computation.



**Figure 2.3.** Examples of quantum gates. From top to bottom: (i) Hadamard gate  $H$ ; (ii) Phase gate  $R_k$ ; (iii) CNOT (controlled NOT) gate.

a NOT gate and a AND gate is possible to implement every other possible logical function (actually only the NAND gate is necessary). A similar result is true also for quantum computing [22]. One only needs a minimal set of quantum gates in order to implement any unitary operators with arbitrary precision. Similar to the classical case, for quantum computing we only need single-qubit and two-qubits gates. The two-qubits gates have an important role, because they allow to introduce *entanglement*, which is the secret ingredient that makes quantum computing distinct from classical computing. This minimal set usually entails a set of single-qubit and one two-qubits entangling gate (like the CNOT gate). In Fig. 2.3 some example of quantum gates are shown, while in Fig. 2.4 an example of a quantum circuit can be found.

Even though it has been proven that “anything” can be simulated on a quantum computer [18], not all unitary operations can be simulated *efficiently*. The time-evolution of the target quantum system requires *digitalization*, which means that it has to be decomposed in smaller steps in order to be encodable as a sequence of quantum gates. This is possible to an arbitrary precision thanks to the Trotter-Suzuki product formula for the exponentiation of complex matrices:

$$e^{A+B} = \lim_{n \rightarrow \infty} \left( e^{A/n} e^{B/n} \right)^n. \quad (2.1)$$

In most physically interesting case, the Hamiltonian is a sum of non-commuting terms:

$$H = \sum_l H_l,$$

where  $[H_l, H_{l'}] \neq 0$  for  $l \neq l'$ . In the case of a time-independent Hamiltonian, the time-evolution operator is given by

$$U(t) = e^{-it \sum_l H_l} \quad \text{such that} \quad U(t) |\psi(0)\rangle = |\psi(t)\rangle. \quad (2.2)$$

In order to implement (2.2) on a quantum operator, the time-evolution has to be divided in  $N$  steps of length  $\Delta t$ , such that  $t = N\Delta t$  and  $U(t) \simeq (U(\Delta t))^N$ .

For each single time step we can apply the first-order Trotter-Suzuki formula [15, 23] for the time-evolution operator:

$$U(\Delta t) = e^{-i\Delta t \sum_l H_l} = \prod_l e^{-i\Delta t H_l} + O(\Delta t^2). \quad (2.3)$$

The drawback of Trotterization is that high accuracy comes at the cost of very small  $\Delta t$  and therefore a very large number of quantum gates. The scheme used in (2.3) has some shortcomings, that can be improved with higher order decompositions that will necessarily introduce more complexities in the quantum circuit. Moreover, some other types of methods have to be used in the case of time-dependent Hamiltonians [24].

It should be stressed that we are still far from perfect digital quantum computation. A typical quantum computer is affected by noise due to its interaction with the environment. The effect of noise can corrupt the state of the quantum register, by flipping or dephasing the qubits for example. Furthermore, interaction with an external environment will necessarily lead to *decoherence* where all the “quantumness” of the system is lost [25–27].

It becomes clear that error correction is a necessity for *fault-tolerant quantum computing* [28, 29] but it can greatly increase the number of qubits needed for useful computations. Indeed, it is said we are currently living in the *noisy intermediate-scale quantum* (NISQ) era of quantum computing [30]. The term refers to moderately sized quantum computers (around 50–100 qubits) whose gates are still affected by noise but are not large enough to fully implement error correction.

The typical setup for a digital simulation consists of three steps:

*Initial-state preparation.* The quantum register is prepared in the initial state  $|\psi(0)\rangle$ . This step can be difficult by itself, and it is not always guaranteed that an efficient algorithm may exist.

*Unitary evolution.* The circuit has to reproduce or simulate the action of a unitary operator  $U$ . This unitary operator is usually the time-evolution operator of the target system, which has to be decomposed in a sequence of smaller operations through trotterization, as explained before.

*Final measurement.* After obtaining the wanted state  $|\psi(t)\rangle = U|\psi(0)\rangle$ , a *measurement* is needed in order to extract relevant physical information. Instead of capturing the whole wave function  $|\psi(t)\rangle$ , with, for example, quantum tomography, one may proceed with the direct estimation of certain physical quantities, such as correlation functions or spectra of operators.

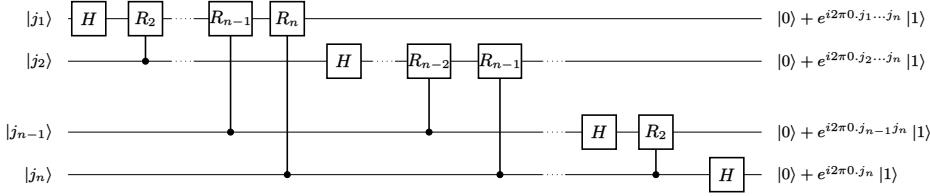


Figure 2.4. Example of a quantum circuit. In particular this circuit executes the quantum Fourier transform [15]. It uses some of the gates showed in Fig. 2.3.

### 2.1.2 Analog quantum simulations

Analog QS is another type of approach to QS, where one quantum system (the simulator) mimics or emulate another (the target) [13, 31–37]. This approach is not based on building a universal machine, like a quantum computer, that can emulate any other system. Instead, it focuses on recreating the features, or a subset of relevant features, of a chosen class of models in order to compute some physically relevant quantities.

Analog QS follows the idea of *analog computation*, where an experimental device is conceived for executing a specific algorithm, meaning that it is a specialized machine with some degree of controllability. Analog computation is not a new idea, rather it is the oldest type of computing devised by mankind, and analog machines are the earliest types of computers to ever be used [12]. Some historical examples can be the astrolabe for plotting the heavens (around 200 BC), the Antikythera mechanism for predicting astronomical routes (around 150 BC), or the mechanical differential analyser for integrating differential equations (around 1876) [38].

In an analog simulation, the Hamiltonian of the target  $H^{\text{targ}}$ , is directly mapped onto the Hamiltonian of the simulator,  $H^{\text{sim}}$ :

$$H^{\text{targ}} \longleftrightarrow H^{\text{sim}}.$$

Obviously, this is possible only if there is a mapping between the system and the simulator. If  $|\phi(0)\rangle$  is the initial state of the target, then it can be mapped to the initial state  $|\psi(0)\rangle$  of the simulator, via an operator  $\mathcal{O}$ , i.e.  $|\psi(0)\rangle = \mathcal{O}|\phi(0)\rangle$ . Next, the simulator would perform the desired time evolution  $U(t)|\psi(0)\rangle = |\psi(t)\rangle$ . The result then can be mapped back to a state of the target system via  $\mathcal{O}^{-1}$ , i.e.  $\mathcal{O}^{-1}|\psi(t)\rangle = |\phi(t)\rangle$ . In this case the Hamiltonians would be related by the mapping  $\mathcal{O}$ ,  $H^{\text{sim}} = \mathcal{O}H^{\text{targ}}\mathcal{O}^{-1}$ . Note that the simulator may only partly reproduce the dynamics of the target, or simulate some effective description of the system. The choice of the mapping depends on what needs to be simulated and on the capabilities of the simulator [10]. Finding the mapping in an analog QS might look, at first, simpler than

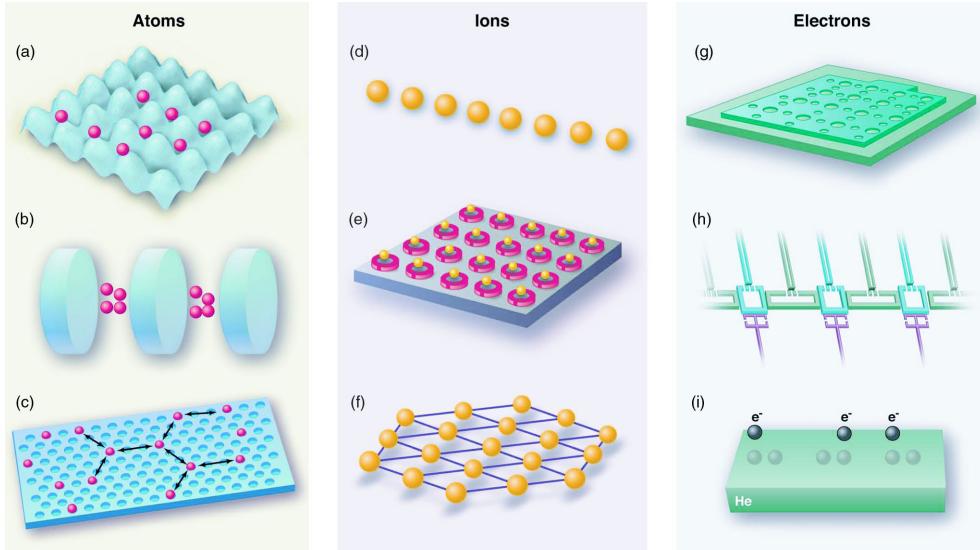
finding the most efficient gate decomposition of a Hamiltonian, but it is not always true and there are no recipes ready for constructing these mappings in a general case.

Initial state preparation is not such a topic in analog QS, as it is in digital QS. This is based on the assumption that the target system and the simulator are presumed to be very similar. It is expected that the preparation of the initial state can occur naturally in processes mimicking the natural relaxation of the simulated system to an equilibrium state. Moreover, analog QS has the natural advantage that physical quantities can be measured directly, without the need of special schemes like in digital QS, which can yield direct information about the target system [11].

One important advantage of analog QS is that fact that it does not require a fully fledged quantum computer. In fact, the simulator does not even need to be a quantum computer at all. This possibly makes analog QS much more achievable from the experimental point of view. Many different platforms are already available (see also Fig. 2.5):

- ultracold atoms and molecules [35, 37, 39–42];
- trapped ions [34, 41, 43, 44];
- photons [33, 45];
- polaritons [45, 46];
- nuclear magnetic resonance (NMR) systems [47, 48];
- artificial lattices [49];
- superconducting qubits [36, 50–53].

Analog QS can be useful even in the presence of errors, up to a certain tolerance level, because it would still be able to give qualitative answers. Suppose one is interested in knowing if whether a certain set of physical conditions leads to a given quantum phase transition. Even without the full quantitative description or the perfect tuning of the control parameters, an analog simulator would, potentially, still be able to show the presence or not of a phase transition. Furthermore, due to the analog nature of these simulators, standard error correction and fault tolerance are not allowed [11], while the level of controllability depends on the type of platform used. For example, in the case of ultracold atoms in optical lattices the typical control parameters involve lattice parameters (laser wavelength, geometry, dimensionality, etc...), temperature and other thermodynamical control parameters, as well as atomic interaction strength [37].



**Figure 2.5.** Figure taken from [13]. Examples of analog quantum simulators: (a) atoms in optical lattices, (b) one-dimensional or (c) two-dimensional arrays of cavities; (d) ions in linear chains, (e) two-dimensional arrays of planar traps, or (f) two-dimensional Coulomb crystal; (g) electrons in quantum dot arrays created by a mesh gate, (h) in arrays of superconducting circuits, or (i) trapped on the surface of liquid helium. For more details see [10, 13].

### 2.1.3 Quantum-inspired algorithms

Classical simulations of quantum systems are usually done using one of the following methods [11]:

- Quantum MC.
- Systematic perturbation theory.
- Exact Diagonalization (ED).
- Density Matrix Renormalization Group (DMRG).

Each of these methods has its problems. Quantum MC methods can work with large systems but fails for fermionic systems due to the sign problem [54, 55]. Perturbation theory is applicable only when there is a small coupling constant, so it fails for strongly interacting systems. ED works only for rather small systems [54, 55]. DMRG is a variation methods that has been proven to work also for strongly interacting systems [56–58]. It has been proven to be of great success for one-dimensional systems, while for two-dimensional models it can be quite limited [59].

Thanks to the development of quantum information, new *classical algorithms* have been invented for the simulation of many-body systems that much

more exploit the quantum nature of these systems. One of the most ground-breaking example of quantum-inspired algorithms is the use of *Tensor Network (TN) states* [60–65]. TNs make it possible to “compress” the information about a many-body wave function by expressing it as a *contraction* of a network of tensors (as suggested by the name).

In details, to each physical site we associate a tensor with a number of indices (or legs). One of these indices is called the *physical index* of the tensors, which runs over the basis of the local Hilbert space. The other indices are *auxiliary indices*, their dimension is governed by a parameter  $\chi$  called the *bond dimension* and are “connected” to other sites. Roughly speaking, these auxiliary indices encodes the entanglement information between the connected sites.

To make it more clear, consider a one-dimensional open chain (with  $L$  sites), where each site has a  $d$ -dimensional local Hilbert space with basis  $\{|i\rangle, i = 1, \dots, d\}$ . A general global state  $|\Psi\rangle$  of the whole chain can be written as

$$|\Psi\rangle = \sum_{i_1, \dots, i_L} C_{i_1, \dots, i_L} |i_1 \cdots i_L\rangle. \quad (2.4)$$

It is easy to see that the whole state is encoded in the  $L$ -th order tensor  $C_{i_1, \dots, i_L}$  and the indices  $i_n$  are called physical indices. Notice that  $C_{i_1, \dots, i_L}$  has  $d^L$  entries. Through *Singular Value Decomposition (SVD)* it is possible to factorize the tensor  $C_{i_1, \dots, i_L}$  into  $L$  tensors  $A^{i_n}$ , one for each site [56]:

$$C_{i_1, \dots, i_L} = \sum_{\alpha_1, \dots, \alpha_{L-1}} A_{\alpha_1}^{i_1} A_{\alpha_1, \alpha_2}^{i_2} \cdots A_{\alpha_{L-2}, \alpha_{L-1}}^{i_{L-1}} A_{\alpha_{L-1}}^{i_L}. \quad (2.5)$$

The indices  $\alpha_n$  are called auxiliary indices.

The order of  $\alpha_n$  depends on the number of Schmidt eigenvalues of the bipartition that separates the first  $n$  sites from the rest. In other words, it depends on the entanglement entropy between the first  $n$  sites of the chain and the rest. Not all the Schmidt eigenvalues are of the same importance, therefore each tensor  $A^{i_n}$  can be optimized by discarding the Schmidt eigenvalues under a certain threshold. In this way we compress the wave-function, by preserving the information that best represent the entanglement in the state. The order of the indices  $\alpha_n$  is called the *bond-dimension*, often denoted  $\chi$ , and it is this parameter that fine tunes the amount of entanglement. Notice that with  $\chi$  fixed, the right hand side of (2.5) has only around  $Ld\chi^2$  entries, which scales better than  $d^L$ . If the system has a finite amount of entanglement, then there is an optimal value for the bond dimension  $\chi$ . This would mean that we do not really need all the  $d^L$  entries of  $C$ , but the  $Ld\chi^2$  entries of (2.5) are sufficient to faithfully represent  $|\Psi\rangle$ .

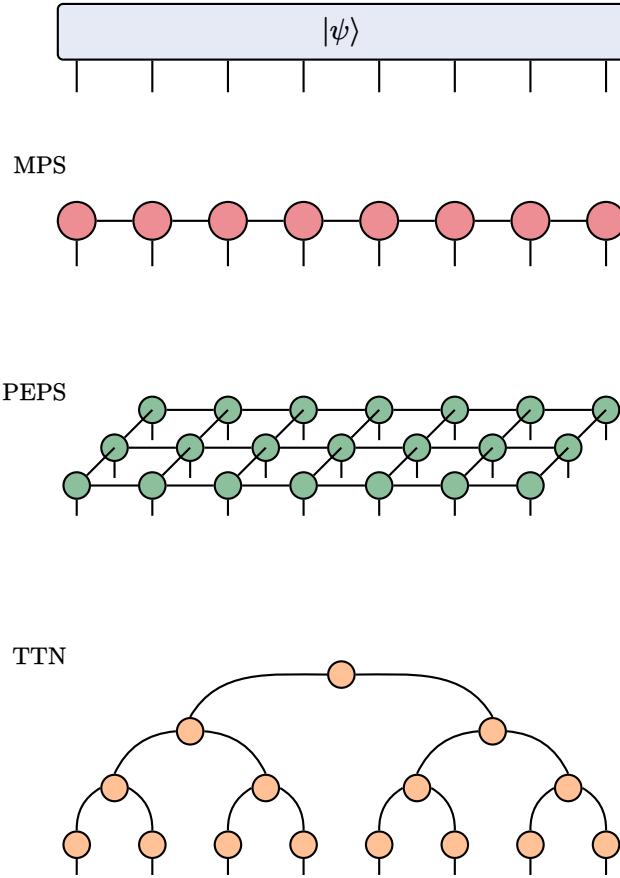


Figure 2.6. Examples of TNs

For a large class of physically relevant models, the ground state is gapped and has, in a certain sense, a finite amount of entanglement, which can be explained by the finite correlation length. This fact is expressed by the so-called *area law*, where the entanglement between two partitions of the system grows with size of the boundary, the area between the two partitions, and not with the size of the partition itself [66–69]. The main advantage of TN methods is their ability to capture this area law, which lead to an efficient computation of the ground states of these models.

TNs were first inspired by the ground state of the AKLT model [70], and from there different types TNs were developed. The paradigmatic example of TNs are the *Matrix Product States (MPSs)* in one-dimension [56, 64], and the *Projected Entangled Pair States (PEPSs)* in two dimensions [61, 71]. Some other variants of TNs exists, like *Tree TNs* [72, 73] or *Multiscale Entanglement Renormalization Ansatz (MERA)* [74–76].

## 2.2 Simulating gauge theories

The problem of LGTs is arguably one of the most computationally intensive quantum many-body problem of all, due to the large numbers of d.o.f and local gauge constraints. Typically, LGT computations uses the Quantum MC method in the path integral formulation in Euclidean space-time. This is due to the natural presence of Boltzmann factor in the action, that is used as a weight for computing expectation values of observables. MC methods already yielded very interesting results for the study of QCD. These results includes calculations of fundamental properties of QCD (such as quark masses and the running coupling), masses of QCD bound states (such as protons, neutron, pions, etc.), structure of hadrons (for example how quarks and gluons interact with one another inside the proton), flavour physics (leading to constraints on the CKM matrix elements) [77].

However, MC methods suffer some problems, mostly due to the Euclidean space-time formulation and the sign problem. The Euclidean space-time, due to its imaginary time, makes it impossible to compute observables that depend on real time. They are accessible only in Minkowski space-time, where time has a different signature. An example of these observables are light-cone correlations, in terms of which partonic properties are formulated and expressed [78]. Another severe shortcoming of Lattice QCD is posed by the sign problem, which has already been briefly discussed in Sec. 1.1.3. When chemical potential is introduced, the Boltzmann weight acquire a complex phase and can no longer be interpreted as a statistical weight. It should be noted that the sign problem in Lattice QCD is not strictly of fermionic origin, as it usually happens in quantum many-body physics, but is more fundamental and is due to the signature of space-time [77].

It has been proven that the sign problem is NP-hard<sup>2</sup> for the three-dimensional Ising spin glass [80], so, most probably, is also NP-hard for Lattice QCD. NP-hardness should not discourage a scientist from trying to solve a problem, rather it is what makes a problem interesting. Indeed, NP-hardness only prevents a *generic* and *efficient* solution from existing (if  $NP \neq P$  is, in fact, true). However, this does not mean that there are not ways to alleviate the hardness of a problem, in particular if one uses some physical insight on the models under study. One way where physical insight has been used for

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<sup>2</sup>NP-hard problems are, informally, at least as hard as the hardest problem in NP. In very layman terms, NP is the class of problems that are *verifiable in polynomial time*, and is set against the P class where the problems are *solvable in polynomial time* [79]. If it is true that  $NP \neq P$ , then NP problems would not admit an *efficient* algorithms for finding solution, “efficient” would mean in polynomial time. Although it is widely believed that  $NP \neq P$ , this conjecture it is still yet not proven and it is one of the Millennium Prize Problems.

classical simulation is represented by TNs methods, which completely avoids the sign problem (see Sec. 2.1.3). They achieve such a result by not relying on the MC methods and importance sampling, but with working directly in the Hilbert space of the system under investigation. As with every computational technique, it still has its shortcomings, which we will talk about later.

On the other hand, one can try to avoid any computational complexity by means of QS. Recently, different approaches have been proposed for the QS of LGTs, from different communities, both in the digital or analog realm [77, 81, 82].

For analog QS, the options ranges from ultracold atoms in optical lattices [citation?], trapped ions [citation?], or superconducting qubits [citation?]. The proposals have addressed LGTs of different levels of complexity, Abelian or non-Abelian, with or without dynamical matter, etc. . . . Regarding digital QS of LGT, some kind of *digitization* of the fields is necessary. By digitization, we mean the task of formulation, representing, and encoding QFT (choosing the basis) in ways useful for computational calculations. The lattice field theory, presented in Sec. 1.2, is the most conventional digitization scheme of non-perturbative field theory but it is not feasible for quantum computing. It relies on resources far beyond near-term quantum computers. For example, in gauge theories with continuous groups, like QCD or QED, the d.o.f lives on a compact manifold. This means an infinite number of states for each point in space-time or on the lattice. In other terms, the bosons that represent the gauge fields have an infinite-dimensional Hilbert space. This is obviously not feasible on quantum computer with a finite quantum register, for the same reason that real numbers cannot be represented on a classical computers. Hence, some kind of truncation scheme is necessary. Furthermore, in the path-integral formulation of LGT, fermionic fields are integrated out, leaving a non-local action. A direct application of this procedure to quantum computers would require a high connectivity between qubits. Furthermore, for bosons, LGT works with bosons (i.e., the gauge fields) which have a infinite-dimensional local Hilbert space. This is prohibited on a real quantum computer, where we have only finite quantum registers. We will show the different tactics for solving this issue later in the chapter

The starting point for a digital simulation of a LGT is its Hamiltonian formulation. This has been worked by Kogut and Susskind in their seminal paper [citation?], the starting point for any endeavour in QS of LGTs, which we will review in the following section

[ Sezione da finire ]

# chapter three

## Dualities in Abelian Models

### 3.1 Toric Code and its features

The Toric Code (TC) is two-dimensional model of spin- $\frac{1}{2}$  d.o.f, which can be regarded as an example of a pure  $\mathbb{Z}_2$  LGT. We consider the model on a  $L \times L$  square lattice  $\mathbb{L}$  with periodic boundary conditions. The d.o.f are defined on the links  $\ell$  of the lattice and the link Hilbert space is  $\mathbb{C}^2$ . The main operators used for this model are the Pauli matrices

$$X_\ell = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad Z_\ell = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (3.1)$$

which here have been written in the computational basis  $\{|0\rangle, |1\rangle\}$ , where the  $Z$ -matrix is diagonal. It is important to note that these matrices  $X$  and  $Z$  *anticommutes* on the same link.

$$\{X_\ell, Z_\ell\} = 0, \quad (3.2)$$

while the commuting with operators of other links.

The main *local* operators that enters the Hamiltonian are the *star operator* and *plaquette operator* of the lattice. The term *star* refers to the links attached to a common vertex  $v$ , while by *plaquette*  $p$  we mean the links around a face of the lattice. A *star operator* and a *plaquette operator* are respectively defined as

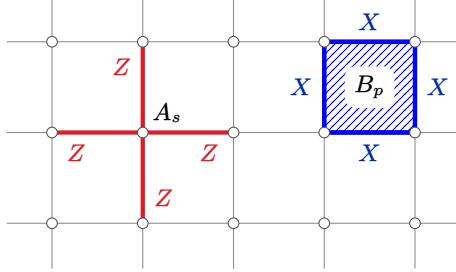
$$A_v = \prod_{\ell \in v} Z_\ell, \quad B_p = \prod_{\ell \in p} X_\ell. \quad (3.3)$$

where  $v$  is a vertex and  $p$  a plaquette (see Fig. 3.1). One can easily see that

$$[A_v, A_{v'}] = 0 \quad \text{and} \quad [B_p, B_{p'}] = 0 \quad (3.4)$$

for all vertices  $v$  and  $v'$ , and all plaquettes  $p$  and  $p'$ . But it is also true that

$$[A_v, B_p] = 0 \quad (3.5)$$



**Figure 3.1.** Graphical representation of the vertex operator  $A_v$  and plaquette operator  $B_p$ , defined in (3.3).

for all  $v$  and  $p$ . This is because a star and a plaquette share zero or two links, so the signs factors from the anticommutation of  $X$  and  $Z$  cancel out. The eigenvalues of the Pauli matrices are just  $\pm 1$ , so the same holds true for  $A_s$  and  $B_p$ . Moreover, like the Pauli matrices, also  $A_s^2 = \mathbb{1}$  and  $B_p^2 = \mathbb{1}$ .

Now, given the operators in (3.3), we can write down the Hamiltonian of the TC:

$$H^{\text{TC}} = - \sum_v A_v - \sum_p B_p \quad (3.6)$$

which is *exactly solvable*, due to (3.4) and (3.5).

### 3.1.1 Topological Ground states

Given the commutation relations of the  $A_v$  and  $B_p$  operators in (3.4) and (3.5), one can find the ground state  $|\Omega\rangle$  by simply imposing the constraints

$$A_v |\Omega\rangle = |\Omega\rangle \quad \text{and} \quad B_p |\Omega\rangle = |\Omega\rangle, \quad \forall v, p. \quad (3.7)$$

From these constraints one can explicitly construct a ground state for the TC in the following way. Working in the  $Z$ -basis, we can start from

$$|0\rangle_{\text{TC}} = \bigotimes_{\ell \in \mathbb{L}} |0\rangle_\ell, \quad (3.8)$$

which is the state where every link is in the  $|0\rangle$ , where  $Z|0\rangle = |0\rangle$ . This state obviously satisfy the first condition in (3.7).

Now, regarding the  $B_p$ 's operators, consider a single plaquette in the state  $|0\rangle_p$  where every link is in the  $|0\rangle$  state. The action of  $B_p$  flips the state of every link, from  $|0\rangle$  to  $|1\rangle$ , obtaining  $|1\rangle_p$ . Therefore, a plaquette is in an eigenstate of  $B_p$  if it is in an equal superposition of  $|0\rangle_p$  and  $|1\rangle_p$ . Knowing this, it is straightforward to see that the operator  $(\mathbb{1} + B_p)/\sqrt{2}$  generates an eigenstate of  $B_p$  from  $|0\rangle_p$ . In fact, a simple calculation

$$B_p \frac{\mathbb{1} + B_p}{\sqrt{2}} |0\rangle_p = \frac{B_p + \mathbb{1}}{\sqrt{2}} |0\rangle_p \quad (3.9)$$

shows that we obtain an eigenstate of  $B_p$  with eigenvalue +1, due to  $B_p^2 = \mathbb{1}$ .

Therefore, we can obtain a ground state for the TC as

$$|\Omega\rangle = \prod_p \frac{\mathbb{1} + B_p}{\sqrt{2}} |0\rangle_{\text{TC}}. \quad (3.10)$$

More generally, we can define the space of ground states

$$\mathcal{G} = \{|\Omega\rangle : A_s |\Omega\rangle = |\Omega\rangle, \quad B_p |\Omega\rangle = |\Omega\rangle \quad \forall s, p\}, \quad (3.11)$$

which contents *depends on the topology of the lattice*. For example, later we will show that with *periodic boundary conditions* then there are *four degenerate ground states*.

Consider a lattice  $\mathbb{L}$  of size  $L \times L$  with periodic boundary conditions in both directions, i.e. a torus. From (3.7), we have  $2L^2$  constraints. These are not all independent because if we multiply them all, we obtain

$$\prod_v A_v = \mathbb{1} \quad \text{and} \quad \prod_p B_p = \mathbb{1}, \quad (3.12)$$

which actually means that there are  $2L^2 - 2$  independent conditions. The total Hilbert space has dimension  $2^{2L^2}$ . Combined with  $2L^2 - 2$  independent conditions we obtain  $2^{2L^2-2L^2+2} = 4$  independent states. Therefore,  $\dim \mathcal{G} = 4$  because we have four degenerate distinct ground states. These are eigenstates of all  $A_v$  and  $B_p$ , with all the same eigenvalues. Any other that commutes with the Hamiltonian is given by a product of  $A_v$  and  $B_p$ , so it cannot distinguish the different ground states.

The only way to distinguish these ground states is through *non-local operators* that commute with the Hamiltonian in (3.6). Non-local in this instance means not expressible as a product or sum of vertex and plaquette operators. But first let look more closely at *local operators*.

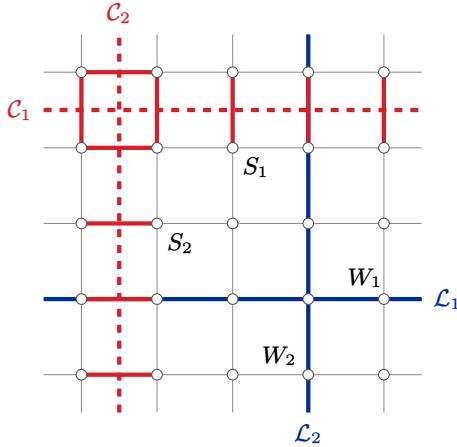
Consider any region  $\mathcal{R}$  on the lattice  $\mathbb{L}$ . Without loss of generality, let  $\mathcal{R}$  be a connected region, which means it is just a set of jointed plaquettes. On this region  $\mathcal{R}$  we can define a local operator  $W$  as a product of  $B_p$  operators:

$$W = \prod_{p \in \mathcal{R}} B_p. \quad (3.13)$$

This operator commutes with the terms of the Hamiltonian (3.6). Due to  $X_\ell^2 = \mathbb{1}$ , the previous equation can be rewritten as

$$W = \prod_{\ell \in \partial \mathcal{R}} X_\ell. \quad (3.14)$$

In other words,  $W$  is equivalent to the product of  $X$ 's along the closed curve given by the boundary  $\partial \mathcal{R}$  of  $\mathcal{R}$ . In fact, the  $B_p$  themselves are defined as



**Figure 3.2.** Graphical representation of the different types of non-local operators. On the non-contractible loops  $\mathcal{L}_1$  and  $\mathcal{L}_2$  (in the direct lattice) we have defined  $\overline{W}_1$  and  $\overline{W}_2$  (see (3.17)). While on the non-contractible cuts  $\mathcal{C}_1$  and  $\mathcal{C}_2$  (in the dual lattice) we have the operators  $\overline{S}_1$  and  $\overline{S}_2$ .

product of  $X$ 's along a closed curve, the plaquette. In a sense, they are all *string operators* on closed curves.

The same argument can be repeated for  $A_v$  with the minor caveat that the dual lattice have to be considered. In the dual lattice  $\mathbb{L}^*$ , to each plaquette  $p$  of  $\mathbb{L}$  corresponds a vertex  $v^*$  on the dual lattice. Then, to each link  $\ell$  in  $\mathbb{L}$  corresponds a link  $\ell^*$  in  $\mathbb{L}^*$  in the perpendicular direction. In this way, a star becomes a plaquette in the dual lattice and we can repeat the same argument. Consider a region  $\mathcal{R}^*$  a local operator  $S$  such that

$$S = \prod_{v \in \mathcal{R}^*} A_v, \quad (3.15)$$

and, due to  $Z_\ell^2 = 1$ , this is equal to

$$S = \prod_{\ell \in \partial \mathcal{R}^*} Z_\ell. \quad (3.16)$$

The local operator  $S$  is a string of  $Z$ 's operators along the closed curve given by the boundary  $\partial \mathcal{R}$  in  $\mathbb{L}^*$ . The same can be said for  $A_v$ , it is a string operator around the smallest possible curve in  $\mathbb{L}^*$ . We can conclude that all the local operators that commute with Hamiltonian are just string operators over closed curves in either  $\mathbb{L}$  or  $\mathbb{L}^*$ . But, these operators have all a common feature, they are defined on *contractible* curves. Meaning that they can be “continuously” deformed to a single point.

String operators on non-contractible curves, either on the direct or dual lattice, are the non-local operators we have been looking for to distinguish the states in  $\mathcal{G}$ . Consider two non-contractible loops  $\mathcal{L}_1$  and  $\mathcal{L}_2$  on  $\mathbb{L}$  along the  $\hat{1}$  and  $\hat{2}$  direction respectively, like in Fig. 3.2. On these paths we can define the string operators  $\overline{W}_1$  and  $\overline{W}_2$  as

$$\overline{W}_1 = \prod_{\ell \in \mathcal{L}_1} X_\ell, \quad \overline{W}_2 = \prod_{\ell \in \mathcal{L}_2} X_\ell. \quad (3.17)$$

It can be proved that they commute with all the terms of the Hamiltonian, even though they cannot be expressed as a product of them. The same can be repeated on the dual lattice  $\mathbb{L}^*$ , by considering two non-contractible cuts  $\mathcal{C}_1$  and  $\mathcal{C}_2$  and defining  $\bar{S}_1$  and  $\bar{S}_2$  as

$$\bar{S}_1 = \prod_{\ell \in \mathcal{C}_1} Z_\ell, \quad \bar{S}_2 = \prod_{\ell \in \mathcal{C}_2} Z_\ell. \quad (3.18)$$

Likewise, the operators in (3.18) commutes with all the vertex and plaquettes operators but they do not commute with the operators in (3.17).

In fact, (3.17) and (3.18) anticommutes,

$$\{\bar{W}_1, \bar{S}_2\} = 0 \quad \text{and} \quad \{\bar{W}_2, \bar{S}_1\} = 0, \quad (3.19)$$

while

$$[\bar{W}_1, \bar{W}_2] = 0 \quad \text{and} \quad [\bar{S}_1, \bar{S}_2] = 0. \quad (3.20)$$

These relations can be thought as the same commutation relations of the  $X$  and  $Z$  gates of two qubits.

Therefore, the TC (on a torus) has a protected subspace  $\mathcal{G}$ , see (3.11), that behaves like the Hilbert space of two qubits and the operators (3.17) and (3.18) acts like unitary gates on this space. Unfortunately, we cannot do quantum computation with these topological qubits because there is no entangling gates. Nonetheless they can be used for storing information in a fault-tolerant way, because in order to flip a topological qubit you would need to act with a non-local operator that involves a large amount of links.

### 3.1.2 Toric Code as a $\mathbb{Z}_2$ LGT

The TC was formulated as a type of error-correcting code for quantum computing, but it can be reinterpreted as a pure  $\mathbb{Z}_2$  LGT. This is a type of LGT where we allow only two possible states for the gauge field.

On a single link  $\ell$ , we consider the  $X_\ell$  as the gauge field operator, while  $Z_\ell$  the electric field operator. In this way, we can automatically see that the term  $B_p$  is the magnetic energy because it has the same form of single-plaquette WL. Furthermore, the vertex operator  $A_v$  can be read as a gauge transformation on the vertex  $v$ , because the  $Z$ 's operators flips the states in the  $X$ -basis, which would corresponds to gauge field configurations.

Now that we know the form of gauge transformations, we call a state *physical* or *gauge-invariant* if

$$A_v |\phi\rangle = |\phi\rangle \quad \forall v \in \mathbb{L}, \quad (3.21)$$

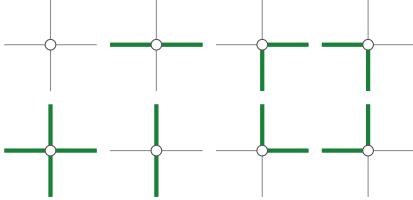


Figure 3.3. Gauge-invariant vertex states for the  $\mathbb{Z}_2$  LGT. Green lines represent the  $|1\rangle$  link state.

which leads to the definition of the *physical Hilbert space*:

$$\mathcal{H}_{\text{phys}} = \{|\phi\rangle \text{ s.t. } A_v |\phi\rangle = |\phi\rangle \quad \forall v \in \mathbb{L}\}. \quad (3.22)$$

For greater clarity, let's work in the *electric basis*, which is just the  $Z$ -basis where the electric field is diagonal. The electric field operator  $Z$  has eigenvalue  $+1$  and  $-1$ , corresponding respectively to the states  $|0\rangle$  and  $|1\rangle$ . In order to meet the condition in (3.21), a vertex configuration must have an even number of links in the  $|1\rangle$  state (examples can be seen in Fig. 3.3).

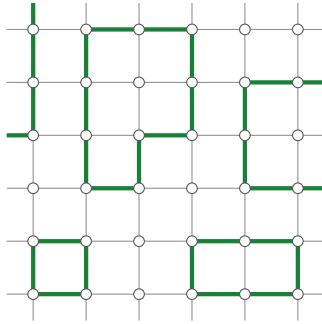
We have already argued that the  $B_p$ 's give the magnetic energy, and obviously the  $Z$ 's give the electric energy. Hence, the pure gauge theory Hamiltonian is just

$$H^{\mathbb{Z}_2} = - \sum_p B_p - \lambda \sum_\ell Z_\ell, \quad (3.23)$$

where  $\lambda$  is a generic coupling that tunes the strength of the electric field with respect to the magnetic field. Notice that we no longer have a dynamical vertex term in (3.23) because we have imposed the condition (3.21) on the physical states.

In order to better explain the different phases we can have by varying the coupling  $\lambda$  in (3.23), we want to have a closer look at the physical states. We have already seen that the condition (3.21) constraints the types of vertex configurations. From the allowed configuration, we can see that the only possible lattice states (in the electric basis) are states made of *closed electric loops*. An example of such state can be seen in Fig. 3.4.

For  $\lambda = 0$  we recover the TC and its ground state can be reinterpreted as an equal superposition of all the possible configuration of closed electric loops. This kind of phase is also called a *loop condensate*. For large  $\lambda$  the electric term dominates over the magnetic term, hence all the links will favor the state  $|0\rangle$ . So in the regime of strong coupling we expect to be in a *polarized phase*, where the presence of electric loops is suppressed. Therefore, there is a critical coupling  $\lambda_c$  for which we have a *phase transition*. In the language of gauge theories, the loop condensate corresponds to a *deconfined phase* while the polarized one is a *confined phase*. Hence, for  $\lambda_c$  we have a deconfined-confined phase transition. [ inserire diagramma di fase ]



**Figure 3.4.** Physical states in the  $\mathbb{Z}_2$  LGT are made of closed electric loops.

### 3.1.3 Super-selection sectors

We have already seen in Sec. 3.1.1 the non-local operators  $\bar{W}_{1,2}$  and  $\bar{S}_{1,2}$  that can classify the topological ground states. They can be treated on equal footing in the pure TC, because they both commutes with all the terms of the Hamiltonian (3.6). This is no longer true in (3.23), when the electric term is present. Both kind of operators are gauge-invariant, in the sense that they commute with the gauge transformations  $A_v$ , i.e.

$$[\bar{W}_{1,2}, A_v] = 0 \quad \text{and} \quad [\bar{S}_{1,2}, A_v] = 0, \quad \text{for all } v \in \mathbb{L} \quad (3.24)$$

but only the  $\bar{S}_{1,2}$  string operator commute with the electric field  $Z_\ell$ .

This means, that we can classify all the state of  $\mathcal{H}_{\text{phys}}$  (see (3.22)) through their  $\bar{S}_1$  and  $\bar{S}_2$  eigenvalues, because they commute with the Hamiltonian. Therefore, we obtain a decomposition of the physical Hilbert space in super-selection sectors

$$\mathcal{H}_{\text{phys}} = \mathcal{H}_{\text{phys}}^{(0,0)} \oplus \mathcal{H}_{\text{phys}}^{(0,1)} \oplus \mathcal{H}_{\text{phys}}^{(1,0)} \oplus \mathcal{H}_{\text{phys}}^{(1,1)}, \quad (3.25)$$

where for each  $|\phi\rangle \in \mathcal{H}_{\text{phys}}^{(n,m)}$  we have

$$S_1 |\phi\rangle = (-1)^m |\phi\rangle \quad \text{and} \quad S_2 |\phi\rangle = (-1)^n |\phi\rangle, \quad (3.26)$$

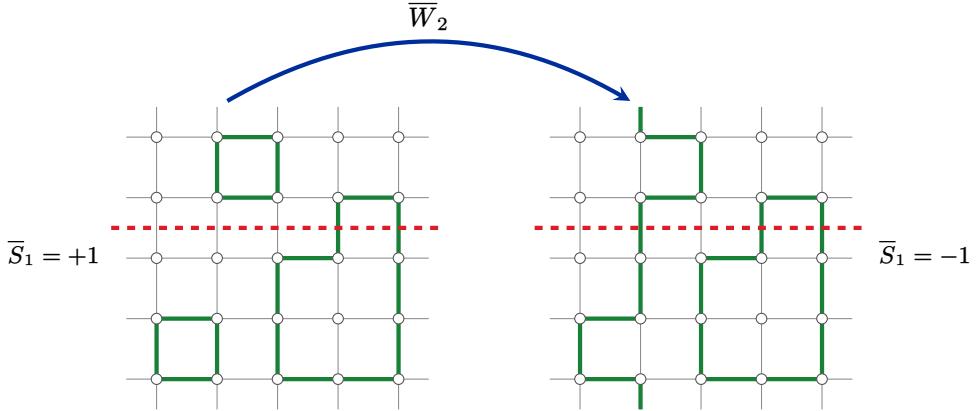
where  $n, m = 0, 1$ .

The string operators  $\bar{W}_{1,2}$  do not commute with the Hamiltonian (3.23), hence they cannot be used to classify the states in  $\mathcal{H}_{\text{phys}}$ . On the other hand, given the algebraic relations (3.19), they are able to modify the effect of  $\bar{S}_{1,2}$ . In fact,  $\bar{W}_{1,2}$  can change the super-selection sectors:

$$W_1 : \mathcal{H}_{\text{phys}}^{(n,m)} \mapsto \mathcal{H}_{\text{phys}}^{(n+1,m)} \quad \text{and} \quad W_2 : \mathcal{H}_{\text{phys}}^{(n,m)} \mapsto \mathcal{H}_{\text{phys}}^{(n,m+1)}, \quad (3.27)$$

where the  $n, m = 0, 1$  and the addition is taken modulus 2.

From a more physical point of view, the operator  $\bar{S}_i$  (with  $i = 1, 2$ ) measures the presence non-contractible electric loops in the state in the direction



**Figure 3.5.** Pictorial representation of states of different super-selection sector and the action of the string operator  $\bar{W}_2$ . Notice that the action  $\bar{W}_2$  introduces a non-contractible electric loop in the state, which modifies the value of  $\bar{S}_1$ , which, in a sense, measure the presence of non-contractible electric loops in the orthogonal direction  $\hat{2}$ .

orthogonal to  $\hat{i}$ . Therefore, the decomposition in (3.25) divides the physical Hilbert space by the number of non-contractible electric loops in each direction. On the other hand, the operators  $\bar{W}_i$  introduces a non-contractible electric loop in the  $\hat{i}$  direction, which explains (3.27). This can be seen in Fig. 3.5. Notice that in the case of  $\mathbb{Z}_2$  LGT we can have at most one non-trivial electric loops. For examples, two parallels electric loops can be obtained by a strip of  $B_p$  operators, without requiring the  $\bar{W}$  string operators.

## 3.2 Generalization to $\mathbb{Z}_N$

In this section we are going to generalize the  $\mathbb{Z}_2$  LGT to a class of Abelian LGT with discrete symmetry  $\mathbb{Z}_N$ . This class is of particular interest because they approximate a  $U(1)$  gauge theory in the limit  $N \rightarrow \infty$ .

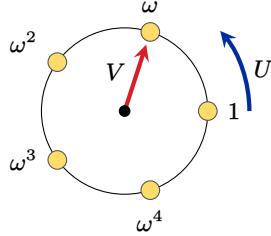
### 3.2.1 Schwinger-Weyl algebra

According to Wilson's Hamiltonian approach to LGTs [1],  $U(1)$  gauge fields are defined on the links of a lattice  $\mathbb{L}$  either in a pair of conjugate variables, the electric field  $E_\ell$  and either the vector potential  $A_\ell$ , satisfying

$$[E_\ell, A_{\ell'}] = i\delta_{\ell, \ell'}, \quad (3.28)$$

or equivalently the *magnetic operator*, also called *comparator*,  $U_\ell = e^{-iA_\ell}$ , such that

$$[E_\ell, U_{\ell'}] = \delta_{\ell, \ell'} U_\ell, \quad (3.29)$$



**Figure 3.6.** The operators  $U$  and  $V$  of a single link, in the  $\mathbb{Z}_5$  case. The  $V$  plays the role of a position operator, while  $U$  that of a shift operator.

all acting on an infinite dimensional Hilbert space defined on each link  $\ell \in \mathbb{L}$ . This form of the canonical commutation relations represents the infinitesimal version of the relations:

$$e^{i\xi E} e^{-i\eta A} e^{-i\xi E} = e^{i\xi \eta} e^{-i\eta A}, \quad (3.30)$$

for any  $\xi, \eta \in \mathbb{R}$ , that define the Schwinger-Weyl group [83–85].

For a discrete group like  $\mathbb{Z}_N$ , the notion of infinitesimal generators loses any meaning and we are led to directly consider, for each link  $\ell \in \mathbb{L}$ , two unitary operators  $V_\ell, U_\ell$ , such that [85, 86]

$$V_\ell U_\ell V_\ell^\dagger = e^{2\pi i/N} U_\ell, \quad U_\ell^N = \mathbb{1}_N, \quad V_\ell^N = \mathbb{1}_N. \quad (3.31)$$

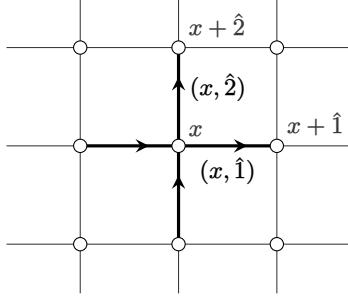
while they commute on different links. Thus, by representing  $\mathbb{Z}_N$  with the set of the  $N$  roots of unity  $e^{i2\pi k/N}$  ( $k = 1, \dots, N$ ), commonly referred to as the discretized circle, we see that  $V$  plays the role of a “position operator” on the discretized circle, while  $U$  that of a “momentum operator”.

These algebraic relations admit a faithful finite-dimensional representation of dimension  $N$  [87], for any integer  $N$ , which is obtained as follows. To each link  $\ell$ , we can associate an  $N$ -dimensional Hilbert space  $\mathcal{H}_\ell \simeq \mathbb{C}^N$ . As an orthonormal basis for  $\mathcal{H}_\ell$  we choose the *electric basis*  $\{|v_{k,\ell}\rangle, k = 1, \dots, N\}$ , that diagonalizes the operator  $V_\ell$ . With this choice, we can promptly write the actions of  $U_\ell$  and  $V_\ell$ :

$$\begin{aligned} U_\ell |v_{k,\ell}\rangle &= |v_{k+1,\ell}\rangle, \quad (\text{mod } N) \\ V_\ell |v_{k,\ell}\rangle &= \omega^k |v_{k,\ell}\rangle, \end{aligned} \quad (3.32)$$

where  $\omega = e^{2\pi i/N}$  and  $k = 0, \dots, N - 1$ . It is immediate to find the action for the Hermitian conjugates  $U_\ell^\dagger$  and  $V_\ell^\dagger$ :

$$\begin{aligned} U_\ell^\dagger |v_{k,\ell}\rangle &= |v_{k-1,\ell}\rangle, \quad (\text{mod } N) \\ V_\ell^\dagger |v_{k,\ell}\rangle &= \omega^{-k} |v_{k,\ell}\rangle. \end{aligned} \quad (3.33)$$



**Figure 3.7.** Labelling of the sites and the links in the two dimensional lattice. A site is labeled simply with  $x = (x_1, x_2)$ , while  $\hat{1} = (1, 0)$  and  $\hat{2} = (0, 1)$  stand for the unit vectors of the lattice. A link  $\ell$  is denoted with a pair  $(x, \pm\hat{i})$ , with  $\hat{i} = \hat{1}, \hat{2}$ .

With this choice,  $U_\ell$  and  $V_\ell$  in matrix form are written as

$$U_\ell = \begin{pmatrix} 0 & 0 & \cdots & \cdots & 1 \\ 1 & 0 & \cdots & \cdots & 0 \\ 0 & 1 & \ddots & & . \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix} \quad \text{and} \quad V_\ell = \begin{pmatrix} 1 \\ \omega \\ \omega^2 \\ \ddots \\ \omega^{N-1} \end{pmatrix}. \quad (3.34)$$

We choose to work in this particular basis and the various  $k$  can be interpreted as the quantized values of the electric field on the links.

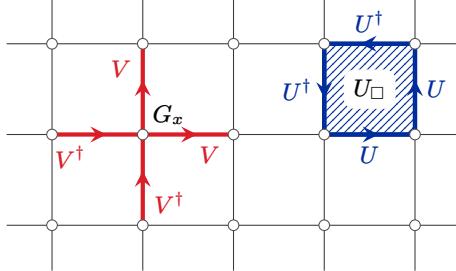
In the  $\mathbb{Z}_N$  case it is important to fix the orientation of the lattice  $\mathbb{L}$ , because for  $N \geq 3$  we have  $U^\dagger \neq U$  and  $V^\dagger \neq V$ . We choose the orientation shown in Fig. 3.7. On a two-dimensional square lattice of size  $L \times L$ , the links  $\ell$  of the lattice can also be labeled with  $(x, \pm\hat{i})$ , where  $x \in \mathbb{L}$  is a site and  $\hat{i} = \hat{1}, \hat{2}$  the two independent unit vectors. In this way,  $(x, \pm\hat{i})$  will refer to the link that start in  $x$  and goes in the positive (negative) direction  $\hat{i}$ . As we will see later, the choice of the orientation affects the definition of any string operator. The general rule for when defining a string operator as a product of  $\mathcal{O}$  operators, where  $\mathcal{O}$  is either  $U$  or  $V$  for example, is to use  $\mathcal{O}$  when going in the positive direction or  $\mathcal{O}^\dagger$  otherwise.

### 3.2.2 Gauge invariance and Hamiltonian

Gauge transformations transforms the vector potential while preserving the electric field. For a  $U(1)$  gauge theory, a local phase transformation is induced by a real function  $\alpha_x$  defined on the vertices  $x \in \mathbb{L}$ , such that  $A_\ell \mapsto A_\ell + (\alpha_{x_2} - \alpha_{x_1})$  or equivalently

$$U_\ell \mapsto e^{i(\alpha_{x_2} - \alpha_{x_1})E_\ell} U_\ell e^{-i(\alpha_{x_2} - \alpha_{x_1})E_\ell}, \quad (3.35)$$

where  $x_1, x_2$  are the initial and final vertices of the (directed) link  $\ell$ . In the case of a discrete symmetry, a gauge transformation at a site  $x \in \mathbb{L}$  is a product of  $V$ 's (and  $V^\dagger$ 's) defined on the links which comes out (and enters)



**Figure 3.8.** Pictorial representation of the Gauss operators  $G_x$  in (3.36) (left) and plaquette operator  $U_\square$  in (3.37) (right).

the vertex. More specifically, for a two dimensional lattice, if the link  $\ell$  at site  $x$  is oriented in the positive direction, i.e. either  $(x, +\hat{1})$  or  $(x, +\hat{2})$ , then  $V$  is used, otherwise  $V^\dagger$ . Thus, the single local gauge transformation at the site  $x$  is enforced by the operator:

$$G_x = V_{(x,\hat{1})} V_{(x,\hat{2})} V_{(x,-\hat{1})}^\dagger V_{(x,-\hat{2})}^\dagger, \quad (3.36)$$

as shown in the left part of in Fig. 3.8.

The operators that enters the Hamiltonian have to be gauge invariant, i.e. commute with all the operators  $G_x$ . Using (3.36) and recalling (3.31), it is possible to see that the  $V_\ell$ 's commute with  $G_x$  (as expected), while the  $U_\ell$ 's do not. In spite of that, we can build gauge-invariant operators out of the comparators  $U_\ell$ . Generalizing directly from TC case, one another gauge-invariant operator is the *plaquette operator*, which we will denote with  $U_\square$ , that will play the role of the magnetic operator. A plaquette now has an orientation. Given a plaquette  $\square$  with vertices  $\{x, x + \hat{1}, x + \hat{1} + \hat{2}, x + \hat{2}\}$ , we consider the path that start from  $x$  and goes in the counterclockwise direction. On this plaquette, the operator  $U_\square$  is defined as follows:

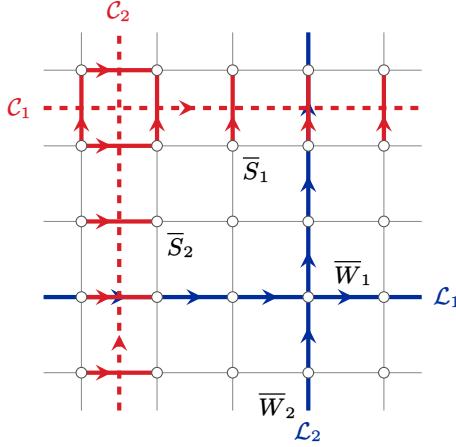
$$U_\square = U_{(x,\hat{1})} U_{(x+\hat{1},\hat{2})} U_{(x+\hat{1}+\hat{2},-\hat{1})}^\dagger U_{(x+\hat{2},-\hat{2})}^\dagger, \quad (3.37)$$

which can be seen on the right in Fig. 3.8.

The whole operator algebra  $\mathcal{A}$  of the theory is generated by the set of all  $U_\ell$  and  $V_\ell$  (and their Hermitian conjugates) of all the links of the lattice  $\mathbb{L}$ , while the *gauge-invariant subalgebra*  $\mathcal{A}_{gi}$  consists of operators that commutes with all the  $G_x$ :

$$\mathcal{A}_{gi} = \{O_{gi} \in \mathcal{A} : [O_{gi}, G_x] = 0 \quad \forall x \in \mathbb{L}\}. \quad (3.38)$$

Guided by the TC, we already know that the set  $\{U_\square, V_\ell\}$  (for all plaquettes  $\square$  and all links  $\ell$ ) does not generate the whole algebra  $\mathcal{A}_{gi}$ , in the case of periodic boundary conditions. Indeed, we have yet to add string operators on non-contractible loops.



**Figure 3.9.** Graphical representation of the non-local operators  $\bar{W}_{1,2}$  (in blue) and  $\bar{S}_{1,2}$  (in red) and their respective paths  $\mathcal{L}_{1,2}$  and  $\mathcal{C}_{1,2}$ .

In Sec. 3.1.1 we have already introduced the non-local operators  $\bar{W}_i$  and  $\bar{S}_i$ , with  $i = 1, 2$ . These can readily be generalized to the  $\mathbb{Z}_N$  case, by replacing  $X_\ell$  and  $Z_\ell$  with  $U_\ell$  and  $V_\ell$  respectively. More precisely, consider direct non-contractible loops  $\mathcal{L}_i$  and cuts  $\mathcal{C}_i$  (in the  $i$ -th direction). Then  $\bar{W}_i$  and  $\bar{S}_i$  operators are defined as

$$\bar{W}_i = \prod_{\ell \in \mathcal{L}_i} U_\ell \quad \text{and} \quad \bar{S}_i = \prod_{\ell \in \mathcal{C}_i} V_\ell, \quad (3.39)$$

with the caveat that when going in the negative direction,  $U^\dagger$  and  $V^\dagger$  have to be used. Operators  $\bar{W}_i$  will also be called *Wilson loops*, while the  $\bar{S}_i$  will be called ‘t Hooft strings (tHS). These operators are pictured in Fig. 3.9.

Both sets of operators,  $\bar{W}_i$  and  $\bar{S}_i$ , are gauge invariant but only the WLs cannot be expressed as product of either  $U_\square$  and  $V_\ell$ . Therefore, they have to be added explicitly to the set of generators of  $\mathcal{A}_{\text{gi}}$  in order to obtain the whole algebra. Similar to the TC, these non-local operators will play a fundamental role in defining the super-selection sectors of the theory.

The class of models we consider are described by the Hamiltonian [88–90]:

$$H_{\mathbb{Z}_N}(\lambda) = - \sum_{\square} U_\square - \lambda \sum_{\ell} V_\ell + \text{h.c.}, \quad (3.40)$$

where the first sum is over the plaquettes  $\square$  of the lattice while the second sum is over the links  $\ell$ . As stated before, the operators  $U_\square$  plays the role of a *magnetic* term, to be more precise it is the magnetic flux inside the plaquette  $\square$ , while  $V$  is the *electric* term. The coupling  $\lambda$  tunes the relative strength of the electric and magnetic energy contribution.

### 3.2.3 Physical Hilbert space and super-selection sectors

The total Hilbert space  $\mathcal{H}_{\text{tot}}$  is given by

$$\mathcal{H}_{\text{tot}} = \bigotimes_{\ell \in \mathbb{L}} \mathcal{H}_\ell, \quad (3.41)$$

where  $\mathcal{H}_\ell \simeq \mathbb{C}^N$  in the case of  $\mathbb{Z}_N$  theory. A state of the whole lattice  $|\phi_{\text{phys}}\rangle \in \mathcal{H}_{\text{tot}}$  is said to be *physical* if it is a *gauge-invariant state*:

$$G_x |\phi_{\text{phys}}\rangle = |\phi_{\text{phys}}\rangle, \quad \forall x \in \mathbb{L}. \quad (3.42)$$

This condition can be translated into a constraint on the eigenvalues  $v_\ell$  of the electric operators  $V_\ell$ . Given that a link  $\ell$  can be referred to as  $(x, \hat{i})$ , then the constraint (3.42) can be translated to

$$v_{(x, \hat{1})} v_{(x, \hat{2})} v_{(x, -\hat{1})}^* v_{(x, -\hat{2})}^* = 1. \quad (3.43)$$

For a  $\mathbb{Z}_N$  theory we have  $v_\ell = \omega^{k_\ell}$ , where  $\omega = e^{i2\pi/N}$ , which leads to

$$\sum_{i=1,2} (k_{(x, \hat{i})} - k_{(x, -\hat{i})}) = 0 \mod N. \quad (3.44)$$

for (3.42). Given the fact that the  $k$  in (3.31) represent the values of the electric field, one can see that (3.44) can be interpreted as a discretized version of the Gauss law  $\nabla \cdot \vec{E} = 0$  in two dimensions, for a pure gauge theory.

Consider now the *physical Hilbert space* for a  $\mathbb{Z}_N$  theory:

$$\mathcal{H}_{\text{phys}} = \{|\phi_{\text{phys}}\rangle : G_x |\phi_{\text{phys}}\rangle = |\phi_{\text{phys}}\rangle \quad \forall x \in \mathbb{L}\}. \quad (3.45)$$

This space can be decomposed into super-selection sectors, like it has been done for the  $\mathbb{Z}_2$  theory in Sec. 3.1.3. In fact, it can be generalized in a straightforward way, using the string operators in (3.39) (showed in Fig. 3.9). The physical Hilbert space  $\mathcal{H}_{\text{phys}}$  decomposes as

$$\mathcal{H}_{\text{phys}} = \bigoplus_{n,m=0}^{N-1} \mathcal{H}_{\text{phys}}^{(n,m)}, \quad (3.46)$$

where each sector  $(n, m)$  satisfy

$$S_1 |\phi\rangle = \omega^m |\phi\rangle \quad \text{and} \quad S_2 |\phi\rangle = \omega^n |\phi\rangle \quad (3.47)$$

for  $|\phi\rangle \in \mathcal{H}_{\text{phys}}^{(n,m)}$ . This is possible because the tHSs  $\bar{S}$  commutes with all the terms of the Hamiltonian.

On the other hand, the  $\bar{W}_i$  do not commute with all the terms in the Hamiltonian (3.40), in particular with the electric operators  $V_\ell$ , but are still

gauge-invariant. Nonetheless, we are interested in the commutation relation between the WLs and tHSs:

$$\bar{W}_1 \bar{S}_2 = \omega \bar{S}_2 \bar{W}_1 \quad \text{and} \quad \bar{W}_2 \bar{S}_1 = \omega \bar{S}_1 \bar{W}_2. \quad (3.48)$$

It is a direct generalization of the relations (3.19) of the TC, where the sign  $-1$  is upgraded to a characteristic phase  $\omega$ . Given (3.48), it is easy to see that the WLs have the ability to change the super-selection sectors:

$$W_1 : \mathcal{H}_{\text{phys}}^{(n,m)} \rightarrow \mathcal{H}_{\text{phys}}^{(n+1,m)} \quad \text{and} \quad W_2 : \mathcal{H}_{\text{phys}}^{(n,m)} \rightarrow \mathcal{H}_{\text{phys}}^{(n,m+1)}, \quad (3.49)$$

where the addition is taken modulus  $N$ .

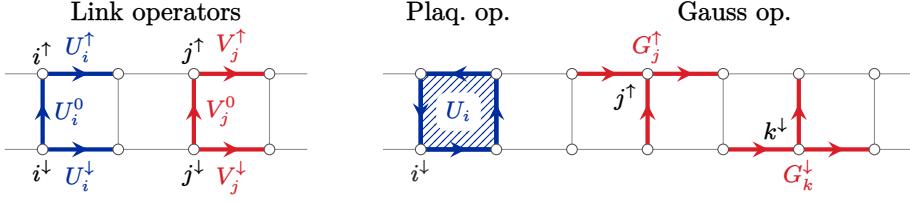
From a physical point of view, the WLs operators create non-contractible electric loops around the lattice, while the tHSs detect the presence and the strength of these electric loops, by traversing in the orthogonal direction. Exactly like in the case of the  $\mathbb{Z}_2$  LGT, but the the difference that now the non-contractible electric strings can have different “strengths”, given by the different eigenvalues of  $\bar{S}_i$ . Therefore, it is clear that the Hilbert subspace  $\mathcal{H}_{\text{phys}}^{(n,m)}$  is the subspace of all the states that contains an electric loop of strength  $\omega^n$  and  $\omega^m$  along the  $\hat{1}$  and  $\hat{2}$  direction, respectively.

Furthermore, the evolution of a state in  $\mathcal{H}_{\text{phys}}^{(n,m)}$  with the Hamiltonian in (3.40) is confined in  $\mathcal{H}_{\text{phys}}^{(n,m)}$ . This is because none of the local terms in the Hamiltonian can change the super-selection sector, only the non-local WLs. In this chapter we will see how this fact can have major consequences when considering  $\mathbb{Z}_N$  models on particular lattice geometries, in particular on the *ladder*.

### 3.3 Abelian models on the ladder

In this short chapter we will introduce  $\mathbb{Z}_N$  LGT on a *ladder geometry*. This type of lattice can be considered as a strip of a two-dimensional square lattice. The peculiarity of this geometry is that it allows the existence of magnetic terms in a quasi one-dimensional lattice, which usually are not possible in a pure one-dimensional systems. Moreover, since the Hilbert space is highly constrained, it allows the possibility to study systems of moderate size through exact diagonalization. The latter will be analyzed in the last section.

A *ladder* is a lattice  $\mathbb{L}$  made of two parallel chains, the *legs*, coupled to each other by the *rungs* to form square plaquettes. On the ladder, each rung is identified by a coordinate  $i = 1, \dots, L$ , where  $L$  is the length of the ladder, and the two vertices on the rung are denoted with  $i^\uparrow$  and  $i^\downarrow$  in the upper and lower leg, respectively. Links, as usual, will be denoted by  $\ell$ . On the legs they



**Figure 3.10.** Picture of the different ladder operators. *Left:* the magnetic and electric link operators. *Right:* plaquette operator  $U_i$  and the Gauss operators  $G_j^\uparrow$  and  $G_k^\downarrow$ . Notice that operators and sites on the upper leg are indicated with an up arrow, on the lower leg with a down arrow and on the rungs with a superscript 0.

are labelled as  $\ell_i^\uparrow$  (upper leg) or  $\ell_i^\downarrow$  (lower leg), while on the rungs they are labelled  $\ell_i^0$ .

We preserve the same formulation of  $\mathbb{Z}_N$  LGT but in order to lighten our notation, we use the symbols  $V_i^0$ ,  $U_i^0$  for the operators defined on the rung  $i$ , and  $V_i^\rho$ ,  $U_i^\rho$  with  $\rho = \uparrow, \downarrow$  for the operators on the horizontal links of the upper and lower leg, respectively, to the right of the rung. In synthesis:

$$\begin{aligned} U_{\ell_i^0} &\equiv U_i^0, & U_{\ell_i^\downarrow} &\equiv U_i^\downarrow, & U_{\ell_i^\uparrow} &\equiv U_i^\uparrow \\ V_{\ell_i^0} &\equiv V_i^0, & V_{\ell_i^\downarrow} &\equiv V_i^\downarrow, & V_{\ell_i^\uparrow} &\equiv V_i^\uparrow, \end{aligned} \quad (3.50)$$

and see Fig. 3.10. The plaquette operator on the right of the rung  $i$  will be labeled as  $U_i$ :

$$U_i = U_i^\downarrow U_{i+1}^0 (U_i^\uparrow)^\dagger (U_i^0)^\dagger. \quad (3.51)$$

Moreover, on a ladder the vertices are three-legged, so the Gauss operators are slightly modified:

$$G_i^\uparrow = V_i^\uparrow (V_{i-1}^\uparrow)^\dagger (V_i^0)^\dagger \text{ and } G_i^\downarrow = V_i^\downarrow V_i^0 (V_{i-1}^\downarrow)^\dagger, \quad (3.52)$$

where  $G_i^\uparrow$  and  $G_i^\downarrow$  refers, respectively, to the Gauss operators on the vertices  $i^\uparrow$  and  $i^\downarrow$ . As a reference see Fig. 3.10.

Finally, we write explicitly the Hamiltonian for a  $\mathbb{Z}_N$  LGT on a ladder:

$$H^{\text{lad}}(\lambda) = - \sum_i \left[ U_i + \lambda \left( V_i^\uparrow + V_i^\downarrow + V_i^0 \right) + \text{h.c.} \right]. \quad (3.53)$$

For what concerns the super-selection sectors of the theory, non-contractible loops are possible now only in the  $\hat{2}$  direction. Therefore, out of the WL operators in (3.39) only  $\bar{W}_1$  is well defined, meaning that we can create non-contractible electric loops along the  $\hat{1}$ . Hence, only  $\bar{S}_2$  in (3.39) (the tHS

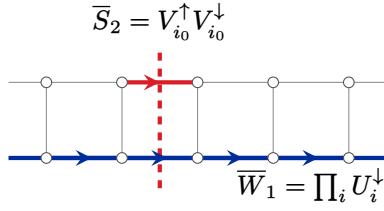


Figure 3.11. Picture of the non-local string operators  $\bar{W}_1$  and  $\bar{S}_2$  on the ladder.

conjugate to  $W_1$ ) can be used as a mean for distinguishing these different sectors. Explicitly, the WL  $\bar{W}_1$  and  $\bar{S}_2$  can be written as

$$\bar{W}_1 = \prod_i U_i^\downarrow \quad \text{and} \quad \bar{S}_2 = V_{i_0}^\uparrow V_{i_0}^\downarrow, \quad (3.54)$$

where  $i_0$  is any chosen rung (see Fig. 3.11). Furthermore, it does not make sense to consider the tHS  $S_1$  because it is equal to the product of all the Gauss operators on either one of the legs,

$$\bar{S}_1 = \prod_i G_i^\downarrow = \prod_i G_i^\uparrow, \quad (3.55)$$

so it always equal to the identity on physical states, signaling the obvious fact that we do not have non-contractible electric loops around the  $\hat{2}$  direction. We can conclude that the physical Hilbert space can be decomposed in only  $N$  sectors as

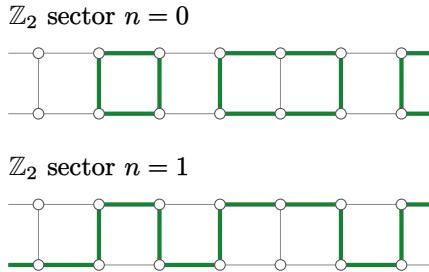
$$\mathcal{H}_{\text{phys}} = \mathcal{H}_{\text{phys}}^{(0)} \oplus \mathcal{H}_{\text{phys}}^{(1)} \oplus \cdots \oplus \mathcal{H}_{\text{phys}}^{(N-1)}, \quad (3.56)$$

and in each sector we have that

$$S |\phi\rangle = \omega^n |\phi\rangle \quad \text{if} \quad |\phi\rangle \in \mathcal{H}_{\text{phys}}^{(n)}. \quad (3.57)$$

Due to the fact that the ladder is quasi one-dimensional, the presence of non-contractible electric loops can highly affects the physical states. Take the case of a  $\mathbb{Z}_2$  theory, which is pictured in Fig. 3.12. It has just two sectors:  $n = 0$  and  $n = 1$ . In the former all the physical configuration are made of closed loop, distributed along the  $\hat{1}$  direction. While in the latter, the physical configurations are just deformations of one single electric loop that goes around the ladder. This can make us reasonably believe that the two sectors might have completely different physical content.

Like in the two-dimensional case, the Hamiltonian can be reduced to a single super-selection sector. One of the main features of this is that once the sector is fixed, it is possible to write a duality transformation of the Hamiltonian to a pure one-dimensional *quantum clock model*, resolving entirely the *gauge symmetries*. Thanks to this duality map, we will see how that the different sectors have very different behaviour and each can have its own unique



**Figure 3.12.** Example of two physical configurations (in the electric basis) in a  $\mathbb{Z}_2$  theory in the two different super-selection sectors. This shows that states belonging to two different sectors can be quite different.

phase diagram. The latter is the object of discussion of the second part of this chapter, but before doing so we need to introduce the notion of *dualities* and, in particular, *the bond-algebraic approach to dualities*.

### 3.4 Dualities in physics

Duality is a simple yet powerful idea in physics. They can be intended as specific mathematical transformations connecting seemingly unrelated physical phenomena. They have been known for a long time, indeed a first example would be the duality of the electromagnetic field in the absence of sources, noticed by Heaviside in 1884. Generally in physics, the concept of duality is connected to ideas, like symmetries, mappings between different coupling regimes, perturbative expansions for strongly correlated systems, and the wave-particle duality of quantum mechanics [91, 92].

They play a major role in statistical physics and condensed matter. In statistical mechanics, dualities were introduced for the first time by Kramers and Wannier [93], who found a relation between the high temperature and low temperature regimes of the two-dimensional Ising model. In this way, they were able to find the critical temperature years before Onsager solution [94]. In this case we speak of self-dualities, where the same model is mapped onto itself but in a different coupling regime. The essential legacy of Kramers and Wannier is the fact that self-dualities can put constraints on the phase boundaries and the exact location of critical points.

Not all dualities are self-dualities. In fact, it is also possible to relate two apparently different physical models with a duality transformation. A known example is the Jordan-Wigner transformation [95, 96], where spin d.o.f (which are bosonic in nature) are mapped onto fermionic d.o.f in one-dimension [elaborare]. This duality shows that, in fact, there is not much difference between bosonic and fermionic d.o.f.

[ forse aggiungere qualcosa in più ]

### 3.4.1 The bond-algebraic approach

In the following section we will quickly review the bond-algebraic approach to dualities [92], because it offers a powerful and convenient way for dealing with duality transformations, in particular when gauge symmetries are involved. The concept of *bond-algebra* was first introduced in [97] and it exploits the fact that most *Hamiltonian are a sum of simple and (quasi)local terms*:

$$H = \sum_{\Gamma} \lambda_{\Gamma} h_{\Gamma}, \quad (3.58)$$

where  $\Gamma$  is a set of indices (e.g. the lattice sites but can be completely general) and  $\lambda_{\Gamma}$  are numbers (usually the couplings). Roughly speaking, by quasi-local we mean that a operator  $h_{\Gamma}$  involves a small number of d.o.f that are spatially near each other (for example nearest neighbour). The terms  $h_{\Gamma}$  are called *bond operators* (or simply *bonds*). From the bonds  $h_{\Gamma}$  we obtain a *bond algebra*  $\mathcal{A}\{h_{\Gamma}\}$ , which is the algebra of all the operators generated by all the possible products and sums of the bonds  $h_{\Gamma}$  and their Hermitian conjugates. In practical terms, given a set of bonds  $\{h_{\Gamma}\}$ , the bond-algebra  $\mathcal{A}\{h_{\Gamma}\}$  is the algebra spanned by

$$\{\mathbb{1}, h_{\Gamma}, h_{\Gamma}^{\dagger}, h_{\Gamma}h_{\Gamma'}, h_{\Gamma}^{\dagger}h_{\Gamma'}, h_{\Gamma}h_{\Gamma'}^{\dagger}, h_{\Gamma}^{\dagger}h_{\Gamma'}^{\dagger}, h_{\Gamma}h_{\Gamma'}h_{\Gamma''}, \dots\}$$

By construction,  $\mathcal{A}\{h_{\Gamma}\}$  is closed under the operation Hermitian conjugation, but since an Hamiltonian  $H$  is Hermitian then  $h_{\Gamma}^{\dagger} = h_{\Gamma'}$  for some  $\Gamma'$ . Therefore,  $\mathcal{A}\{h_{\Gamma}\}$  is simply spanned by

$$\{\mathbb{1}, h_{\Gamma}, h_{\Gamma}h_{\Gamma'}, h_{\Gamma}h_{\Gamma'}h_{\Gamma''}, \dots\}$$

Notice that the bonds  $h_{\Gamma}$  that generate  $\mathcal{A}\{h_{\Gamma}\}$  do not need to be independent.

It is important to point out that a single Hamiltonian  $H$  can have different bond algebras associated to it. In fact, a bond algebra is determined by the partitioning of the bonds in  $H$ . In principle, given any two decomposition of the same Hamiltonian,

$$H = \sum_{\Gamma} \lambda_{\Gamma} h_{\Gamma} = \sum_{\Sigma} \lambda'_{\Sigma} h'_{\Sigma},$$

one should expect  $\mathcal{A}\{h_{\Gamma}\} \neq \mathcal{A}\{h'_{\Sigma}\}$  in general (see [92]). To make an example, consider the Hamiltonian

$$H = \sum_i (h_x \sigma_i^x + h_y \sigma_i^y).$$

We can either partition the bonds by taking  $\sigma_i^x$  and  $\sigma_i^y$  as generators separately or by taking  $h_x \sigma_i^x + h_y \sigma_i^y$  as a single bond. In the former case we would obtain

$\mathcal{A}\{\sigma^x, \sigma^z\}$ , while in the latter we would have  $\mathcal{A}\{h_x\sigma_i^x + h_z\sigma_i^z\}$ . These two algebras are clearly different,

$$\mathcal{A}\{\sigma^x, \sigma^z\} \neq \mathcal{A}\{h_x\sigma_i^x + h_z\sigma_i^z\},$$

because  $\mathcal{A}\{h_x\sigma_i^x + h_z\sigma_i^z\}$  is commutative, while  $\mathcal{A}\{\sigma^x, \sigma^z\}$  is not.

In the framework of bond-algebra, quantum dualities can be formulated as *homomorphisms of bonds-algebras*. By homomorphism we intend a map  $\Phi$  between two algebras  $\mathcal{A}_1$  and  $\mathcal{A}_2$  that preserves the linear and multiplicative structure of the algebras. In mathematical terms, given any  $u, v \in \mathcal{A}_1$  and any complex number  $\lambda$  we have

$$\Phi(u + \lambda v) = \Phi(u) + \lambda\Phi(v) \quad \text{and} \quad \Phi(uv) = \Phi(u)\Phi(v).$$

To be more precise with our definition of quantum duality, consider two Hamiltonians  $H_1$  and  $H_2$  that act on Hilbert spaces of the same dimensions. They are said to be *dual* if there is some bond-algebra  $\mathcal{A}_{H_1}$  of  $H_1$  that is homomorphic to some bond-algebra  $\mathcal{A}_{H_2}$  of  $H_2$  and if the homomorphism  $\Phi : \mathcal{A}_{H_1} \rightarrow \mathcal{A}_{H_2}$  maps  $H_1$  onto  $H_2$ ,  $\Phi(H_1) = H_2$ . These mappings do not need to be isomorphisms, especially when gauge symmetries are involved, and we will explain why later.

In a traditional approach to quantum dualities, one tries to map each degree of freedom of  $H_1$  onto a degree of freedom of  $H_2$ . This can be rather cumbersome, because in this way most duality transformations appear to be non-local. In other words, one degree of freedom on one side may correspond to a large number of d.o.f on the other side. This is apparent, for example, with the Jordan-Wigner transformation, where a single spin is dual to a whole chain of fermions.

Quantum dualities in the bond-algebraic approach are instead *local*, meaning that each single *bond*  $h_{\Gamma_1}$  of  $H_1$  is mapped onto a single bond  $h_{\Gamma_2}$  of  $H_2$ . This may translates in non-locality when treating elementary d.o.f and is due to the fact that the generators of a bond algebra are usually two- (or more) body operators and expressing the elementary d.o.f with these operators may require large (if not infinite) products.

An isomorphism like  $\Phi$  is physically sound if it is *unitarily implementable* [92], which means that there is a unitary matrix  $\mathcal{U}$  such that the duality isomorphism reads

$$\Phi(\mathcal{O}) = \mathcal{U}\mathcal{O}\mathcal{U}^\dagger, \quad \forall \mathcal{O} \in \mathcal{A}, \tag{3.59}$$

where  $\mathcal{A}$  is the operator algebra of the model under investigation. [ elaborate ]

To make the bond-algebraic approach more clear we will consider one example: the *Quantum Ising Model (QIM)*. In this model we will see an example of self-duality through the use of disorder variables. Our intent is not to shine new physics but to show how the use of bond-algebras offers a clear *formalism* for treating dualities.

[ parlare anche della Jordan-Wigner? ]

### 3.4.2 The quantum Ising model

The Quantum Ising Model with a transverse field is a chain of spin- $\frac{1}{2}$  described by the Hamiltonian

$$H^{\text{Ising}}(h) = \sum_i (\sigma_i^z \sigma_{i+1}^z + h \sigma_i^x), \quad (3.60)$$

where the sums runs over the sites of the chain and  $h$  is the transverse field strength. Notice that the Hamiltonian  $H^{\text{Ising}}$  is indeed a sum of quasi-local terms. In particular we have two types of terms: the interaction term  $\sigma_i^z \sigma_{i+1}^z$  and the transverse field  $\sigma_i^x$ . They are local or quasi-local because they involve at most two neighbouring sites. These two sets of terms are the bonds of the Hamiltonian  $H^{\text{Ising}}$ , therefore bond-algebra  $\mathcal{A}\{\sigma_i^z \sigma_{i+1}^z, \sigma_i^x\}$  is spanned by:

$$\{\mathbb{1}, \sigma_i^z \sigma_{i+1}^z, \sigma_i^z \sigma_{i+1}^z \sigma_j^z \sigma_{j+1}^z, \dots, \sigma_i^x, \sigma_i^x \sigma_j^x, \dots, \sigma_i^z \sigma_{i+1}^z \sigma_i^x, \dots\}.$$

We consider an infinite chain in order to avoid subtleties with the boundaries conditions, which can have major effects on a duality transformation.

The algebraic relations that defines the generators of  $\mathcal{A}^{\text{Ising}}$  can be summarized as follows:

1. each bonds square to the identity operator

$$(\sigma_i^z \sigma_{i+1}^z)^2 = (\sigma_i^x)^2 = \mathbb{1}.$$

2. the bonds  $\sigma_i^x$  anticommutes only with  $\sigma_i^z \sigma_{i+1}^z$  and  $\sigma_{i-1}^z \sigma_i^z$

$$\{\sigma_i^x, \sigma_i^z \sigma_{i+1}^z\} = \{\sigma_i^x, \sigma_{i-1}^z \sigma_i^z\} = 0.$$

3. the bonds  $\sigma_i^z \sigma_{i+1}^z$  anticommutes only with  $\sigma_i^x$  and  $\sigma_{i+1}^x$

$$\{\sigma_i^z \sigma_{i+1}^z, \sigma_i^x\} = \{\sigma_i^z \sigma_{i+1}^z, \sigma_{i+1}^x\} = 0.$$

Given the symmetric roles that the basic bonds  $\sigma_i^x$  and  $\sigma_i^z \sigma_{i+1}^z$  play with each other, we can set up a mapping  $\Phi^{\text{Ising}}$  that exchange their roles:

$$\Phi^{\text{Ising}}(\sigma_i^z \sigma_{i+1}^z) = \sigma_i^x, \quad \Phi^{\text{Ising}}(\sigma_i^x) = \sigma_{i-1}^z \sigma_i^z. \quad (3.61)$$

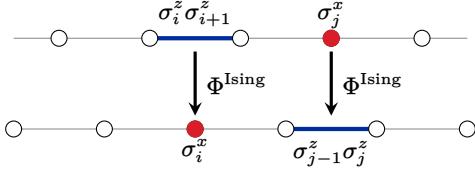


Figure 3.13. Pictorial representation of the duality map  $\Phi^{\text{Ising}}$ , that maps the QIM on the same model on the dual lattice

This transformation can be extended to the whole  $\mathcal{A}^{\text{Ising}}$  through the homomorphic property of  $\Phi^{\text{Ising}}$ . It preserves all the important algebraic relationship and is one-to-one, hence it is an *isomorphism* of  $\mathcal{A}^{\text{Ising}}$  onto itself. The Hamiltonian  $H^{\text{Ising}}$  is just an element of  $\mathcal{A}^{\text{Ising}}$ . We can apply  $\Phi^{\text{Ising}}$  to  $H^{\text{Ising}}$  and use its homomorphic property, which yields

$$\begin{aligned}\Phi^{\text{Ising}}(H^{\text{Ising}}(h)) &= \sum_i (\Phi^{\text{Ising}}(\sigma_i^z \sigma_{i+1}^z) + h \Phi^{\text{Ising}}(\sigma_i^x)) \\ &= \sum_i (\sigma_i^x + h \sigma_{i-1}^z \sigma_i^z) \\ &= h \sum_i (\sigma_i^z \sigma_{i+1}^z + h^{-1} \sigma_i^x).\end{aligned}\quad (3.62)$$

Notice that the indices in the sum can be freely shifted because we are working with an infinite number of sites. We have thus obtained

$$\Phi^{\text{Ising}}(H^{\text{Ising}}(h)) = h H^{\text{Ising}}(h^{-1}), \quad (3.63)$$

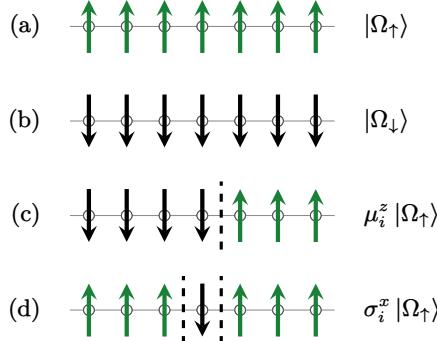
henceforth  $\Phi^{\text{Ising}}$  is a *self-duality* of (3.60). Notice that  $H^{\text{Ising}}(h)$  is mapped onto itself but with the inverted coupling,  $h \mapsto h^{-1}$ , meaning that we can map the strongly coupled phase  $h \gg 1$  into the weakly coupled phase  $h \ll 1$ , and vice versa. This is basically the quantum version the *Kramers-Wannier duality* [93, 98].

If we think of the term  $\sigma_i^x$  as living on the site  $i$  and of  $\sigma_i^z \sigma_{i+1}^z$  as of living on the *link* between the site  $i$  and  $i+1$ , then we can think of  $\Phi^{\text{Ising}}$  as mapping (3.60) onto the *dual lattice*. In fact, the dual lattice of a chain is still a chain and the site term  $\sigma_i^x$  is mapped onto a link term  $\sigma_i^z \sigma_{i+1}^z$ , and vice versa.

We want to have a clearer physical picture of the duality map  $\Phi^{\text{Ising}}$  and build a bridge with the traditional approach to dualities for the QIM. For this reason we want to find the *elementary d.o.f of the dual model*. The d.o.f of the dual model lives on the sites of the dual lattice, which corresponds to the links of the original lattice. On these dual sites we again have spin- $\frac{1}{2}$ d.o.f and, for more clarity, we use  $\mu^x$  and  $\mu^z$  for referring to the Pauli matrices acting on these new spins. The dual site  $i$  corresponds to the link  $(i, i+1)$ , while the dual link  $(i-1, i)$  corresponds to the site  $i$ .

From (3.61), we already know that

$$\sigma_i^z \sigma_{i+1}^z = \mu_i^x \quad \text{and} \quad \sigma_i^x = \mu_{i-1}^z \mu_i^z. \quad (3.64)$$



**Figure 3.14.** (a) and (b) ferromagnetic ground states  $|\Omega_\uparrow\rangle$  and  $|\Omega_\downarrow\rangle$ . (c) kink created on the link between site  $i$  and  $i+1$  by the operator  $\mu_i^z$ . (d) kink-antikink pairs created around the site  $i$  by the spin flip  $\sigma_i^x$ .

The role of  $\mu_i^x$  is evident, it measures the alignment of two neighbouring spins on the sites  $i$  and  $i+1$ , while the meaning of  $\mu_i^z$  is still opaque. We can arrive at the definition of  $\mu_i^z$  by exploiting the map  $\Phi^{\text{Ising}}$ . The bond  $\mu_{i-1}^z \mu_i^z$  corresponds to the image of  $\sigma_i^x$  through  $\Phi^{\text{Ising}}$ , so we know how they are mapped. If we isolate  $\mu_i^z$  with an *infinite product*, we then obtain

$$\mu_i^z = \prod_{j=-\infty}^i \mu_{j-1}^z \mu_j^z = \prod_{j=-\infty}^i \sigma_j^x. \quad (3.65)$$

We see that  $\mu_i^z$  flips all the spins before the  $i$ -th site. From (3.65), we can see the *non-local* origin of the dual d.o.f in traditional dualities. When working with two, or more, body terms, in order to isolate a single body term the use of large (or even infinite) product is necessary.

To understand the role of  $\mu_i^x$  and  $\mu_i^z$ , consider now the ferromagnetic ground states  $|\Omega_\rho\rangle$  of (3.60), where  $\rho = \uparrow, \downarrow$ . Say we start from  $|\Omega_\uparrow\rangle$ , without loss of generality. The action of  $\mu_i^z$  on  $|\Omega_\rho\rangle$  is to create a *kink*, which is a domain wall between two ordered regions. From this point of view, a single spin-flip  $\sigma_i^x |\Omega_\uparrow\rangle$  creates a *kink-antikink pair*.

[ serve aggiungere altro o è già abbastanza lungo il discorso? ]

### 3.4.3 Gauge-reducing dualities

In this section we will review the notion of *gauge-reducing dualities*, In order to do so we start by highlighting the difference between ordinary symmetries and quantum symmetries.

Following the statement of Wigner's theorem [citation?], a quantum symmetry is a unitary or anti-unitary mapping that commute with the Hamiltonian. This does not mean that all symmetries have the same physical meaning or mathematical consequences. By the term “*ordinary symmetries*” we refer to the most common types of symmetry that we encounter in physical systems that usually correspond to *global transformation* of the physical apparatus

or system, like for example rotational invariance. These symmetries have a direct physical impact, since they can influence the level degeneracy of an Hamiltonian and force strict selection rules.

On the other hand, gauge symmetries are *local symmetries* of the model that signal the presence of *redundant d.o.f.* In fact, it is better to think of gauge symmetries as *local constraints* on the elementary d.o.f of the gauge model. As a result, the state space of a gauge model is larger than set of physical states, which are exactly the states that are invariant under the action of the gauge symmetries. The same reasoning applies to the observables of the gauge model. An observable is represented by an Hermitian operator and a *physical observable* is represented by an Hermitian operator that commutes with gauge symmetries.

So, if physical states and physical observables already satisfies the local constraints of the gauge symmetries, this means that the physical impact of the latter is already encoded in the former. It is clear that the ordinary symmetries and gauge symmetries are very different and is better them conceptually far apart as possible [92].

When dealing with a gauge model, it would be natural to assume that, in order to establish a duality with any gauge symmetries, these have to be eliminated from the former model first. In other terms, that it would be necessary to project the operator content on the subspace of physical states first or, alternatively, proceed with gauge-fixing. Although this is common in traditional approach to dualities, with bond algebras this is not strictly necessary. As stated in [92], with the bond-algebraic approach one can find mappings to models without any gauge symmetry that preserve all the important algebraic properties, without the need to projection or gauge-fixing.

The procedure goes as follows: consider a gauge model and let  $H^G$  be its Hamiltonian and  $G_\Gamma$  its gauge symmetries. An operator  $\mathcal{O}$  is gauge-invariant if and only if it commutes with all the  $G_\Gamma$ :

$$\mathcal{O} \text{ physical} \iff [\mathcal{O}, G_\Gamma] = 0 \quad \forall \Gamma.$$

Clearly, the Hamiltonian has to be gauge-invariant, hence  $[H^{GR}, G_\Gamma] = 0$ . Now let  $H^{GR}$  be the dual Hamiltonian of a non-gauge, or gauge-reduced, model. Furthermore, let  $\mathcal{A}^G$  and  $\mathcal{A}^{GR}$  be the bond-algebra of the gauge and gauge-reduced models, respectively. A *gauge-reducing duality* is a map

$$\Phi^{GR} : \mathcal{A}^G \rightarrow \mathcal{A}^{GR}$$

such that  $H^G$  is mapped onto  $H^{GR}$  while making all the gauge symmetries of the gauge model trivial:

$$\Phi^{GR}(H^G) = H^{GR} \quad \text{and} \quad \Phi^{GR}(G_\Gamma) = \mathbb{1} \quad \forall \Gamma. \quad (3.66)$$

Unlike the dualities in Sec. 3.4.1, a gauge-reducing duality like  $\Phi_{\text{GR}}$  has to be implementable as a *projective unitary operator*  $\mathcal{U}$ . Formally, this can be written as

$$\Phi_{\text{GR}}(\mathcal{O}) = \mathcal{U} \mathcal{O} \mathcal{U}^\dagger, \quad \mathcal{U} \mathcal{U}^\dagger = \mathbb{1}, \quad \mathcal{U}^\dagger \mathcal{U} = P_{\text{GI}} \quad (3.67)$$

where  $P_{\text{GI}}$  is the projector of the subspace of gauge-invariant states, i.e.  $G_\Gamma |\psi\rangle = |\psi\rangle$  for all  $\Gamma$ . Roughly speaking, this projective unitary operator can be represented as rectangular matrix that preserves the norm of gauge-invariant states while projecting out all the other states.

In the next section we will use an example of gauge-reducing duality, which will be instrumental for the rest of the chapter.

#### 3.4.4 Dualities in two dimensions

As an example of gauge-reducing duality, we will apply the technology introduced in Sec. 3.4.3 to the  $\mathbb{Z}_2$  LGT in two-dimensions. We resume the Hamiltonian (3.23)

$$H^{\mathbb{Z}_2} = - \sum_p B_p - \lambda \sum_\ell Z_\ell = - \sum_p B_p - \lambda \sum_x (Z_{(x,+\hat{1})} + Z_{(x,+\hat{2})}),$$

and its Gauss (or vertex) operators

$$A_v = \prod_{\ell \in v} Z_\ell, \quad (3.68)$$

which generate the gauge symmetries and commute with the Hamiltonian

$$[H^{\mathbb{Z}_2}, A_v] = 0 \quad \forall v \in \mathbb{L}. \quad (3.69)$$

In particular, each term of the Hamiltonian commutes with the Gauss operators, which means that the bond algebra they generate is gauge-invariant, This bond-algebra satisfy three simple relations:

- (i) all the bonds square to the identity,
- (ii) each spin  $Z$  anti-commutes with two adjacent plaquettes operators  $U$
- (iii) each plaquette operator  $U$  anti-commutes with four spins  $Z$ .

The model  $H^{\mathbb{Z}_2}$  is dual to the  $d = 2$  QIM. The Hamiltonian of the latter in two-dimensions is

$$H^{\text{Ising}} = - \sum_i (\sigma_i^z \sigma_{i+\hat{1}}^z + \sigma_i^z \sigma_{i+\hat{2}}^z + h \sigma_i^x), \quad (3.70)$$

where the index  $i$  runs over the sites. One recognizes as separate bonds the terms  $\sigma_i^z \sigma_{i+\hat{1}}^z$ ,  $\sigma_i^z \sigma_{i+\hat{2}}^z$ , and  $\sigma_i^x$ . It is immediate to see that these bonds satisfy the same relations of the bonds of  $H^{\mathbb{Z}_2}$ .

The dual model of  $H^{\mathbb{Z}_2}$  lives on the dual lattice. Therefore we identify a plaquette  $p$  in the gauge model with a site  $i$  of the QIM, while  $x$  will refer to the lower left site of the plaquette  $p$ . With this notation, we can now build the duality mapping  $\Phi^{2d}$  as follows:

$$\Phi^{2d}(Z_{(x,\hat{1})}) = \sigma_{(i-\hat{2})}^z \sigma_i^z, \quad \Phi^{2d}(Z_{(x,\hat{2})}) = \sigma_{(i-\hat{1})}^z \sigma_i^z, \quad \Phi^{2d}(U_p) = \sigma_i^x. \quad (3.71)$$

Applying to  $\Phi^{2d}$  to  $H^{\mathbb{Z}_2}$  we obtain

$$\Phi^{2d}(H^{\mathbb{Z}_2}) = - \sum_i \sigma_i^x - \lambda \sum_i (\sigma_{(i-\hat{2})}^z \sigma_i^z + \sigma_{(i-\hat{1})}^z \sigma_i^z) = \lambda H^{\text{Ising}}(\lambda^{-1}) \quad (3.72)$$

Thus,  $\Phi^{2d}$  maps  $H^{\mathbb{Z}_2}$  to  $H^{\text{Ising}}$ , up to a multiplicative constant, if we identify the constants  $\lambda \leftrightarrow h^{-1}$ .

From (3.71), one readily obtains

$$\Phi^{2d}(G_x) = \mathbb{1},$$

which means that  $\Phi^{2d}$  is in fact a *gauge-reducing duality*. Therefore,  $H^{\text{Ising}}$  represents all the physics contained in  $H_{\text{gauge}}$ , but without all the redundant d.o.f. In the general case, i.e. for a generic  $\mathbb{Z}_N$  symmetry, the duality leads to an  $N$ -clock model [99].

The reason why it is possible to encode the physical content of the gauge model in a simpler QIM is the following. The physical states of a pure gauge model is made of closed electric loops and each electric loop can be thought as containing magnetic flux. So, each physical state can be fully described by indicating which plaquettes contains magnetic flux and which do not. The electric lines naturally arises as domain walls between plaquettes with different flux.

Basically, the duality mapping  $\Phi^{2d}$  assigns to each plaquette a spin- $\frac{1}{2}$  d.o.f, indicating the flux state. Everything else readily follows. The plaquette operator  $U_p$  flips the state of the plaquette, therefore it should be mapped to an operator that flips the spin in  $p$ , thus  $\sigma^x$ . The electric fields  $V_{(x,\hat{1})}$  and  $V_{(x,\hat{2})}$  are just domain walls between plaquettes, therefore they should be mapped to interaction terms like  $\sigma_{i-\hat{1}}^z \sigma_i^z$  and  $\sigma_{i-\hat{2}}^z \sigma_i^z$ .

[ cosa altro c'è da aggiungere? ]

### 3.5 Dualities of the ladder LGT

In this section we discuss the main result in [100], which is a construction of a duality map between a Lattice Gauge Theories (LGTs) on a *ladder geometry*

and *Quantum Clock Models (QCMs)*. Before proceeding with construction of the duality map, we briefly describe what are QCMs.

### 3.5.1 Quantum clock models

QCMs are a class of models that generalizes the QIM [101, 102]. They show a resemblance to the  $\mathbb{Z}_N$  LGT models we introduced previously, in Sec. 3.2. In fact, this similarity will later be exploited in order to obtain a gauge-reducing duality of the  $\mathbb{Z}_N$  LGT ladder models.

The Hamiltonian (3.60) of QIM, with transverse field, uses Pauli matrices  $\sigma^z$  and  $\sigma^x$  as basic operators and they have the fundamental property that they *anticommutes* on the same site,  $\{\sigma_i^z, \sigma_i^x\} = 0$ . This relation rewritten as

$$\sigma_i^z \sigma_i^x = -\sigma_i^x \sigma_i^z, \quad (3.73)$$

which be read as follows: *if the two operators are exchanged, then a phase  $-1$  is acquired*. Another important fact about Pauli matrices we want highlight is that they *square to the identity*:

$$(\sigma_i^x)^2 = (\sigma_i^z)^2 = \mathbb{1}. \quad (3.74)$$

QCM are generalizations of the QIM, but not to higher spins. A  $p$ -state QCM (or simply a  $p$ -clock model) utilizes a set of unitary operators that generalizes (3.73) and (3.74) in the following sense: the operators  $\sigma^x$  and  $\sigma^z$  are promoted to the *clock operators*  $X$  and  $Z$ , respectively; they are  $p \times p$  unitary matrices whose exchange produces a phase  $\omega = e^{i2\pi/p}$  and their  $p$ -th power is equal to the identity. The algebraic properties of these clock operators  $X$  and  $Z$  can be summarized as follows:

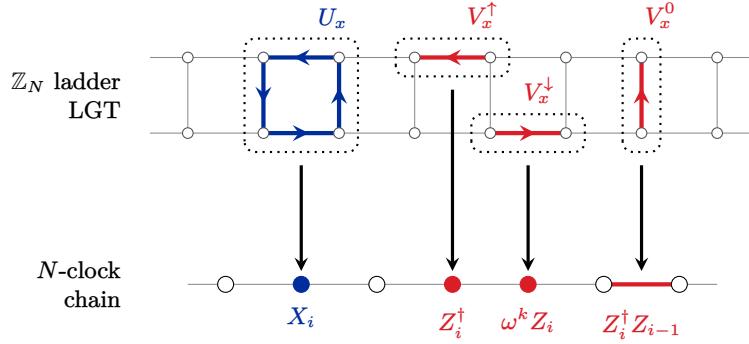
$$\begin{aligned} XZ &= \omega ZX, & X^p &= Z^p = \mathbb{1}_p, \\ X^\dagger &= X^{-1} = X^{p-1}, & Z^\dagger &= Z^{-1} = Z^{p-1} \end{aligned} \quad (3.75)$$

We see that the Schwinger-Weyl algebra in (3.31) and the clock operator algebra in (3.75) are basically the same, but there are some key differences to point out between a  $\mathbb{Z}_N$  LGT and a  $p$ -clock model.

The d.o.f of a  $\mathbb{Z}_N$  LGT live on the links of the lattice while in a  $p$ -clock model they live on the sites. But the most important aspect is that we don't have any gauge symmetry in a  $p$ -clock model, hence we do not have to impose any local constraints or physical conditions. These models can be derived as the quantum Hamiltonians of the classical 2D vector Potts model, which is a discretization of the 2D planar XY model [103].

A typical  $p$ -clock model Hamiltonian with transverse field has the form

$$H^{\text{clock}}(\lambda) = - \sum_i Z_i Z_{i+1} - \lambda \sum_i X_i + \text{h.c.} \quad (3.76)$$



**Figure 3.15.** Visual representation of the duality transformation from the  $\mathbb{Z}_N$  ladder LGT to the  $N$ -clock model. The plaquette operator  $U_i$  and the electric operators  $V_x^\uparrow$  and  $V_x^\downarrow$  map to one-site operators in the clock model, while the remaining electric operator  $V_x^0$  maps to a hopping term between nearest neighbouring sites.

which is, as expected, very similar to the quantum Ising Hamiltonian in (3.60). Furthermore, just like the latter,  $p$ -clock models with only transverse field are *self-dual*: the clocks can be mapped into the kinks (or domain walls) and one would obtain the same exact Hamiltonian description but with inverted transverse field [103]. For  $p < 5$ , the clock models presents a self dual point in  $\lambda = 1$ , that separates an ordered phase from a disordered one. On the other hand, for  $p \geq 5$  we have an intermediate continuous critical phase between the ordered and disordered phase with two BKT transition points, which are related to each other through the self-duality [104].

These models have been thoroughly studied, even with the addition of a longitudinal field  $\propto Z_i$  [105] or chiral interactions. In particular, in the case of chiral interactions, it was shown [106] that the Hamiltonian (3.76) can be mapped to a parafermionic chain through a Fradkin-Kadanoff transformation, and in presence of a  $\mathbb{Z}_3$  symmetry, it shows three different phases [107], if open boundaries are implemented: a trivial, a topological and an incommensurate (IC) phase. The case which presents a real longitudinal field term was considered in [108], where some of the critical exponents have been estimated. The general case, where chiral interactions are included in a  $\mathbb{Z}_N$  model, has been studied in [106]. Here, the author considered the model as an extension of the Ising/Majorana chain and found the edge modes of the theory. He also calculated the points, in the parameter space, where the model is integrable or ‘superintegrable’. All these studies are motivated by theoretical interest and recent experiments, which can be analysed by the above models [109].

### 3.5.2 Duality onto clock models

[ controllare notazione ]

In this section we will show how to construct a mapping of the  $\mathbb{Z}_N$  ladder LGT onto a  $N$ -clock model on a chain with a transversal field and a longitudinal field, the latter depending on the super-selection sector of the ladder LGT.

The first step is the decomposition of the set of bonds in (3.40). Obviously, the magnetic terms  $U_\square$  have to be separated from the electric terms  $V_\ell$ , but the latter cannot be all treated the same. It is clear from the geometry of the ladder, that the links  $\ell^0$  have a different role when compared with the links  $\ell^\uparrow$  and  $\ell^\downarrow$ , because the former are *domain walls* while the latter are not. Therefore, the duality transformation has to distinguish between the vertical links and horizontal links. Furthermore, also the top links  $\ell^\uparrow$  and bottom links  $\ell^\downarrow$  have to be treated separately because the electric operator on them have different commutation relations with the plaquette operators. In fact, using the notation introduced in Sec. 3.3, we have

$$U_i V_i^\downarrow = \omega V_i^\downarrow U_i, \quad U_i V_i^\uparrow = \omega^{-1} V_i^\uparrow U_i. \quad (3.77)$$

and indeed they acquire different phases.

The plan is to associate to each plaquette a clock degree of freedom, hence we identify a plaquette  $\square_i$  with a site  $i$  of a clock chain and the magnetic flux of a plaquette becomes the “fundamental gauge invariant degree of freedom” of the LGT ladder model. Given the fact that we are working in the electric basis, we chose for convenience to map the  $\mathbb{Z}_N$  magnetic operator  $U_i$  to the “momentum” operator  $X_i$  of the  $N$ -clock chain. The electric field on a vertical link  $\ell^0$  is the result of the flux difference between the two plaquettes that it separates, which suggests that the operator  $V_{\ell^0}$  have to be mapped to a kinetic-type term like  $Z_i^\dagger Z_{i-1}$ . This can be readily verified. From (3.51) we get

$$V_i^0 U_i = \omega^{-1} U_i V_i^0, \quad V_i^0 U_{x-1} = \omega U_{x-1} V_i^0,$$

therefore the maps

$$U_i \mapsto X_i, \quad V_i^0 \mapsto Z_i^\dagger Z_{i-1},$$

clearly conserves the commutation relations of  $U_i$  and  $V_i^0$ .

For now we are left with task of finding a suitable mapping of  $V^\uparrow$  and  $V^\downarrow$ . With respect to the other bonds of the theory, both of them commute with  $V^0$  while for (3.77) holds for  $U_i$ . Hence, a suitable and general mapping of  $V^\uparrow$  and  $V^\downarrow$  can be:

$$V_i^\downarrow \mapsto c_i^\dagger Z_i, \quad V_i^\uparrow \mapsto c_i^\uparrow Z_i^\dagger, \quad (3.78)$$

where  $c_i^\downarrow$  and  $c_i^\uparrow$  are complex numbers. Although, they cannot be any complex number. Both  $V_i^\downarrow$  and  $V_i^\uparrow$  have to be mapped onto unitary operators, which limits the numbers  $c_i^\downarrow$  and  $c_i^\uparrow$  to be *complex phases*.

To further constraint the value of these coefficients, we can use the Gauss law. In particular, given the fact that we are looking for a gauge-reducing duality, the aim is to make the Gauss law trivial. Using the mappings (3.5.2) and (3.78) in (3.52) yields

$$\begin{aligned} G_i^\uparrow &\mapsto (c_i^\uparrow Z_i^\dagger)(c_{i-1}^\uparrow Z_{i-1}^\dagger)(Z_i^\dagger Z_{i-1})^\dagger = c_i^\uparrow (c_{i-1}^\uparrow)^*, \\ G_i^\downarrow &\mapsto (c_i^\downarrow Z_i^\dagger)(Z_i^\dagger Z_{i-1})(c_{i-1}^\downarrow Z_{i-1}^\dagger) = c_i^\downarrow (c_{i-1}^\downarrow)^* \end{aligned} \quad (3.79)$$

Gauss law have to be satisfied in a pure gauge theory, which mean that we have to impose  $G_i^\uparrow = \mathbb{1}$  and  $G_i^\downarrow = \mathbb{1}$  for all  $x$ . This is only possible if

$$c_i^\downarrow = c^\downarrow, \quad c_i^\uparrow = c^\uparrow, \quad \forall i. \quad (3.80)$$

Furthermore, thanks to (3.79) we also know how to introduce static matter into this duality, because it can be thought as a violation of the Gauss law. We just have to change the phases  $c_i^\uparrow$  and  $c_i^\downarrow$ .

The last factor to consider is how the  $c^\uparrow$  and  $c^\downarrow$  are related on the same site  $i$ . In this regard, the super-selection sectors of the theory come to the rescue. As established in Sec. 3.3, the super-selection sectors are identified by the eigenvalue of  $S_2$  in (3.39), which in the ladder geometry becomes

$$S_2 = V_i^\uparrow V_i^\downarrow \quad (3.81)$$

for any fixed  $x$ . Its eigenvalue are simply  $\omega^k$ , for  $k = 0, \dots, N - 1$ .

Given a super-selection sector  $\omega^k$ , using the mapping (3.78) on (3.81) yields

$$S_2 \mapsto (c_i^\uparrow Z_i^\dagger)(c_i^\downarrow Z_i) = c^\uparrow c^\downarrow = \omega^k. \quad (3.82)$$

From here, in order to solve for the coefficients  $c^\uparrow$  and  $c^\downarrow$ , one needs only to fix one of the to 1 and the other to  $\omega^k$ . We choose to fix these coefficients as follows:

$$c^\uparrow = 1, \quad c^\downarrow = \omega^k. \quad (3.83)$$

In conclusion, we summarize the duality mapping for the super-selection sector  $\omega^k$  of the  $\mathbb{Z}_N$  LGT on a ladder:

$$\begin{aligned} U_i &\mapsto X_i, & V_i^0 &\mapsto Z_i^\dagger Z_{i-1}, \\ V_i^\uparrow &\mapsto Z_i^\dagger, & V_i^\downarrow &\mapsto \omega^k Z_i. \end{aligned} \quad (3.84)$$

With the duality (3.84), from (3.53) in the sector  $(\omega^k, 1)$  we obtain

$$H_{\text{ladder}}(\lambda) \mapsto \lambda H_{\text{clock}}(\lambda^{-1}) \quad (3.85)$$

where

$$H_{\text{clock}}(\lambda^{-1}) = - \sum_i Z_i^\dagger Z_{i-1} - \lambda^{-1} \sum_i X_i - (1 + \omega^k) \sum_i Z_i + \text{h.c.} \quad (3.86)$$

We see that (3.86) is a clock model with both *transversal* and *longitudinal* fields. In particular, the longitudinal field carries the information of the super-selection sector of the ladder model.

Interestingly, for  $N$  even the sector  $k = N/2$  has a special role. Within this sector  $\omega^k = -1$ , for which the *longitudinal field disappears* and  $H_{\text{clock}}$  reduces to self-dual quantum clock models with a known quantum phase transition. This phase transitions for  $k = N/2$  can be put in correspondence with a *confined-deconfined* transition, which will be discussed in much more detail in the next section.

Let us remark that the complex coupling  $(1 + \omega^n)$  does not make the Hamiltonian (3.86) necessarily chiral [106, 110]. In fact, one can get the real Hamiltonian

$$H_N = H_p(1/\lambda) - 2 \cos\left(\frac{\pi n}{N}\right) \sum_i (Z_i + Z_i^\dagger). \quad (3.87)$$

by absorbing the complex phase in the  $Z_i$ -operators, with the transformation  $Z_i \mapsto w^{-n/2} Z_i$ . This transformation globally rotates the eigenvalues of the  $Z_i$ -operators, while preserving the algebra relations. For  $n$  even, this is just a permutation of the eigenvalues, meaning that it does not affect the Hamiltonian spectrum. Instead, for  $n$  odd, up to a reorder, the eigenvalues are shifted by an angle  $\pi/N$ , i.e. half the phase of  $\omega$ . The energy contribution of the extra term in (3.87) depends on the real part of these eigenvalues and for  $n$  odd we obtain that the lowest energy state is no longer unique, in fact it is doubly degenerate. This means that for  $\lambda \rightarrow \infty$ , where the extra term becomes dominant, we expect an ordered phase with a doubly degenerate ground state. Finally, one can easily prove that the sectors  $n$  and  $N - n$  are equivalent <sup>1</sup>.

## 3.6 A case study: $N = 2, 3$ and $4$

[ sistemare layout e testo di questa sezione ]

### 3.6.1 Investigating the phase diagram

We wish to study the phase diagram of the  $\mathbb{Z}_N$  LGT phase diagram, in particular we are interested in any deconfined-confined phase transition (DCPT).

---

<sup>1</sup>For the sector  $N - n$  we have that the overall factor  $\cos(\pi(N - n)/N)$  is just  $-\cos(\pi n/N)$ . The minus sign can then be again absorbed into the  $Z$ 's operators. This overall operation is equivalent to the mapping  $Z \mapsto \omega^{-n/2} Z$  for the sector  $N - n$ .

In a pure gauge theory, these phases are investigated with non-local order parameters like the *WL* (not be confused with the non-contractible WLs in (3.39)). This is because we expect the deconfined phase to be a topological phase, which can be investigated only with non-local order parameters.

Given a closed region  $\mathcal{R}$ , a WL operator  $W_{\mathcal{R}}$  is defined as

$$W_{\mathcal{R}} = \prod_{\square \in \mathcal{R}} U_{\square}. \quad (3.88)$$

Alternatively, considering the oriented boundary  $\partial\mathcal{R}$  one can write

$$W_{\mathcal{R}} = \prod_{\ell \in \partial\mathcal{R}} U_{\ell}, \quad (3.89)$$

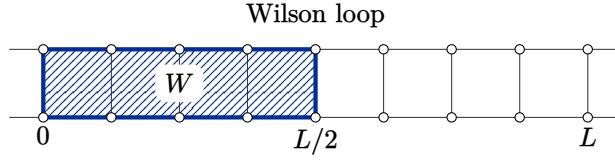
where the Hermitian conjugate is implied every time we move in the negative directions. It is also implied that the curve  $\partial\mathcal{R}$  is a contractible loop. Wilson showed in [1] that quark confinement is related to the expectation value  $\langle W_{\mathcal{R}} \rangle$  of a WL, which can be thought as a gauge field average on a region. In particular, in the presence of quark confinement the gauge field average follows an *area law*, where it decays exponentially with the area enclosed by  $\mathcal{R}$ . On the other hand, in the deconfined phase we have a *perimeter law*, where the gauge field average decays exponentially with the perimeter of  $\mathcal{R}$ .

Unfortunately on a ladder geometry there is not much difference between the area and the perimeter of a WL. In fact, in units of the lattice spacing, the area of a WL over  $n$  plaquettes is  $n$  while its perimeter is just  $2n + 2$ . They both grow linearly. Nonetheless, we can still look at the behaviour of the WL, for a fixed length, at different couplings  $\lambda$ .

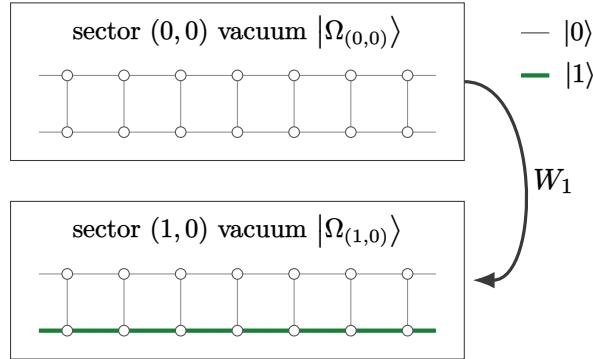
When the coupling  $\lambda$  in (3.40) is equal to zero, the TC is recovered and in any of its topological sector the ground state is the equal superposition of all the states with any number of closed electrical loops, in a similar fashion to coherent states. This makes the TC a *quantum loop gas*, which is a *deconfined phase*. Furthermore, the operator  $W_{\mathcal{R}}$  in (3.88) creates an electrical loop around the region  $\mathcal{R}$ . From the constraints it can easily be proved that  $W_{\mathcal{R}}$  leaves the TC ground states unchanged, showing in fact that they behaves as coherent states, which leads to  $\langle W_{\mathcal{R}} \rangle = 1$ .

Therefore,  $\langle W_{\mathcal{R}} \rangle \approx 1$  signals a deconfined phase and on the other hand a vanishing  $\langle W_{\mathcal{R}} \rangle \approx 0$  corresponds to confined phase. For this reason, even tough we lack an area/perimeter law on the ladder geometry it is still sensible to look at the behaviour of the WL. Analogous models in two-dimensions show a transition for non-zero  $\lambda$  [88–90].

In the dual clock model picture, the WL translates to a disorder operator [98], which means that a deconfined phase can be thought of as a paramagnetic



**Figure 3.16.** The non-local order parameters that have been used for investigating the phase diagram of  $\mathbb{Z}_N$  ladder LGT. *Top:* half-ladder WL.



**Figure 3.17.** The different “Fock vacua”  $|\Omega_{(0,0)}\rangle$  and  $|\Omega_{(1,0)}\rangle$  of the  $\mathbb{Z}_2$  ladder LGT. The latter can be obtained from the former by applying the WL operator  $W_1$ . The states  $|0\rangle$  and  $|1\rangle$  refers to the eigenstates of the electric field operator  $V$ , which is just  $\sigma_z$  in the  $\mathbb{Z}_2$  model.

(or disordered) phase, while the confined phase is like a ferromagnetic (or ordered) phase. Moreover, the longitudinal field breaks the  $N$ -fold symmetry of the ferromagnetic phase into a one-fold or two-fold degeneracy, depending on the parity ( $n$  even/odd) of the super-selection sector.

We study the  $\mathbb{Z}_N$  LGT on a ladder numerically through ED, by evaluating the half-ladder WL, i.e.

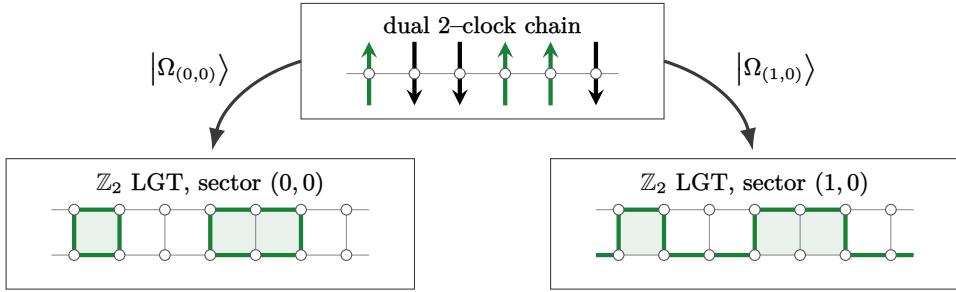
$$W = U_1 U_2 \cdots U_{L/2}, \quad (3.90)$$

and working in the restricted physical Hilbert space  $\mathcal{H}_{\text{phys}}^{(n)}$  ( $n = 0, \dots, N-1$ ), which has dimension  $N^L$ , much smaller than  $N^{3L}$  (the dimension of the total Hilbert space).

In the next section we show how to exploit the duality in Sec. 3.5.2 in the contest of ED.

### 3.6.2 Implementing the Gauss law

In order to proceed with ED one has to provide two things: (i) the basic operators of the theory ( $U_\ell$  and  $V$ ) and (ii) the physical (gauge-invariant) Hilbert space, given a lattice with specified size and boundary conditions.



**Figure 3.18.** Duality between the states of a 2-chain and the states of a  $\mathbb{Z}_2$  ladder LGT in the different sectors  $(0, 0)$  (no non-contractible electric loop) and  $(1, 0)$  (one non-contractible loop around the ladder). In the sector  $(0, 0)$  it is evident that all the physical states contains closed electric loops. On the other hand, in the sector  $(1, 0)$  the physical states are all the possible deformation of the electric string that goes around the ladder.

The former was fairly standard while the latter was the most challenging and interesting part to implement.

If one has to work with only physical states, then one has to check the Gauss law for every site. With the brute-force method one has to generate all the possible states and then filter out all the states that violate Gauss law. This method, like any brute-force method, is not very efficient. To better exemplify this, consider a  $\mathbb{Z}_2$  theory on a  $L \times L$  periodic lattice, which have  $L^2$  sites and  $2L^2$  links. There are therefore  $2^{2L^2}$  possible states and for each one up to  $L^2$  checks (one per site) has to be performed. Moreover, it can be showed that there are only  $2^{L^2}$  physical states. As a result, the construction of the physical Hilbert space involves  $O(L^2 2^{2L^2})$  operations in a search space of  $2^{2L^2}$  objects for finding only  $2^{L^2}$  elements. All of this makes the inefficiency of this brute-force method very clear, even for moderately small lattices.

The approach adopted in this work exploits the duality in Sec. 3.5 and represents an *exponential speedup* with respect to the brute-force method. It is not a search or pattern-matching algorithm, each physical configuration is procedurally generated from the states of the dual clock model.

Given a  $\mathbb{Z}_N$  LGT on a lattice of size  $L \times L$ , we consider the dual  $N$ -clock model on a similar lattice with  $A = L^2$  sites. In its Hilbert space  $\mathcal{H}_{N\text{-clock}}$  there is no gauge constraint or physical condition to apply, hence the basis is the set of states  $|\{s_i\}\rangle \equiv |s_0 s_1 \cdots s_{A-1}\rangle$  with each  $s_i = 0, \dots, N-1$ . From a state  $|\{s_i\}\rangle$  we can obtain the dual state for the LGT model in the  $(m, n)$  sector:

$$|\{s_i\}\rangle \mapsto \prod_{i=0}^{A-1} U_i^{s_i} |\Omega_{(m,n)}\rangle, \quad (3.91)$$

where  $U_i$  is the plaquette operator on the  $i$ -th plaquette and  $|\Omega_{(m,n)}\rangle$  is the

“Fock vacuum” of the  $(m, n)$  sector. As one can deduce, the information about the topological sector of the LGT model is carried in the Hamiltonian  $H_{N\text{-clock}}$  of the dual clock model and not in the structure of  $\mathcal{H}_{N\text{-clock}}$ . This means that it is possible to build each sector  $\mathcal{H}_{\text{phys}}^{(n,m)}$  in (3.45) from  $\mathcal{H}_{N\text{-clock}}$ , with the appropriate  $|\Omega_{(n,m)}\rangle$ .

Moreover, also the “Fock vacuums”  $|\Omega_{(n,m)}\rangle$  can be obtained easily, thanks to (3.49):

$$|\Omega_{(n,m)}\rangle = (W_1)^n (W_2)^m |\Omega_{(0,0)}\rangle, \quad (3.92)$$

where  $|\Omega_{(0,0)}\rangle$  is just the state  $|000\cdots 0\rangle$  (in the electric basis) for all the links.

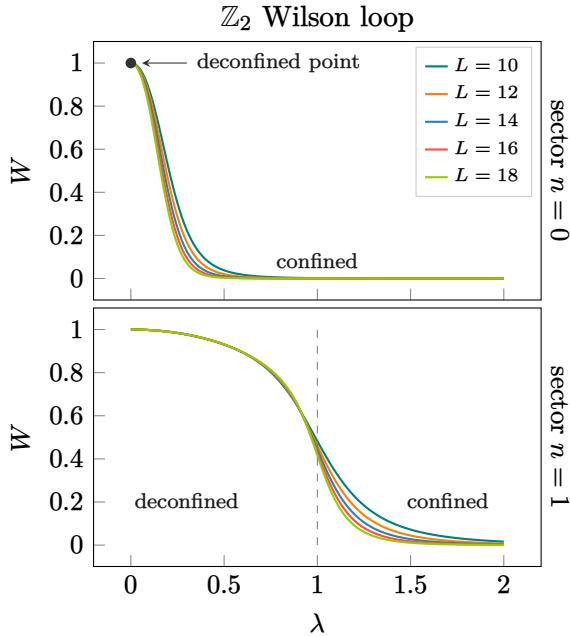
If we want to quantify the obtained speedup with this method, in the case of a  $\mathbb{Z}_2$  theory on a square lattice  $L \times L$  there are  $2^{L^2}$  possible clock configurations. For each configuration, there are at most  $L^2$  magnetic fluxes to apply. This translates into  $O(L^2 2^{L^2})$  operations, which is an exponential speedup with respect to the brute-force (notice the lack of a factor 2 in the exponent) and is easily generalizable for any  $\mathbb{Z}_N$ . Although, it remains an open question whether a similar method can be applied for gauge theories with non-Abelian finite groups.

### 3.6.3 Numerical results

In the following, we present the results with  $N = 2, 3$  and  $4$ , for different lengths.

**Results for  $N = 2$**  As a warm up, we consider the  $\mathbb{Z}_2$  ladder LGT, with lengths  $L = 10, 12, \dots, 18$ . This model is equivalent to a  $p = 2$  clock model, which is just the quantum Ising chain, with only two super-selection sectors for  $n = 0$  and  $n = 1$ . When  $n = 1$ , the Hamiltonian contains only the transverse field and is integrable [105]. Thus, we expect a critical point for  $\lambda \simeq 1$ , which will be a DCPT in the gauge model language. This is clearly seen in the behaviour of the half-ladder WL, as shown in the lower panel of Fig. 3.19. For  $n = 0$ , both the transverse and longitudinal fields are present, the model is no longer integrable [111–113] and we expect to always see a confined phase, except for  $\lambda = 0$ . This is indeed confirmed by the behaviour of the half-ladder WL shown in the upper panel of Fig. 3.19.

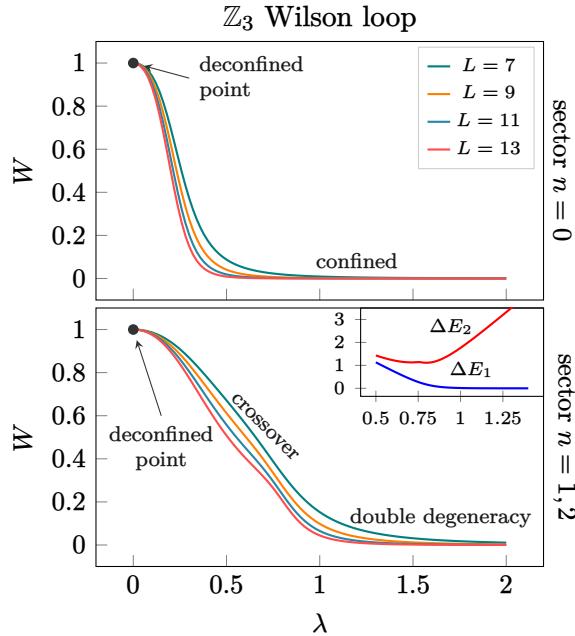
We can further characterize the phases of the two sectors by looking at the structure of the ground state, for  $\lambda < 1$  and  $\lambda > 1$ , which is possible thanks to the exact diagonalization. In particular, in the deconfined phase of the sector  $n = 1$ , the ground state is a superposition of the deformations of the non-contractible electric string that makes the  $n = 1$  vacuum  $|\Omega_1\rangle$ . For this



**Figure 3.19.**  $\mathbb{Z}_2$  WL in the sectors  $n = 0$  (top) and  $n = 1$  (bottom), for sizes  $L = 10, 12, \dots, 18$ . The sector  $n = 0$  presents only a deconfined point at  $\lambda = 0$  and then decays rapidly into a confined phase, while the sector  $n = 1$  has a phase transition for  $\lambda \simeq 1$ .

reason, this phase can be thought as a *kink condensate* [98] (which is equivalent to a paramagnetic phase), where each kink corresponds to a deformation of the string. Instead, for  $\lambda > 1$ , where we have confinement (as in the  $n = 0$  sector), the ground state is essentially a product state, akin to a ferromagnetic state.

**Results for  $N = 3$**  The  $\mathbb{Z}_3$  LGT is studied for lengths  $L = 7, 9, 11$  and  $13$ . This model can be mapped to a 3-clock model, which is equivalent to a 3-state quantum Potts model with a longitudinal field, which is present in all sectors, as one can see from (3.87). This field is expected to disrupt any ordered state and thus it is not possible to observe a phase transition, as it is confirmed by the behaviour of the half-ladder WLs shown in Fig. 3.20. In addition, all the sectors present a deconfined point at  $\lambda = 0$ . In the case  $n = 0$ , for  $\lambda > 0$  we recognize a quick transition to a confined phase, similar to what happens in [114]. While for  $n = 1$  and  $2$  (which are equivalent), the model exhibits a smoother *crossover* to an ordered phase characterized by a doubly-degenerate ground state, for  $\lambda > 1$ . Notice that, as discussed above, the presence of the “skew” longitudinal field breaks the three-fold degeneracy expected in the ordered phase of the 3-clock model into a two-fold degeneracy only.

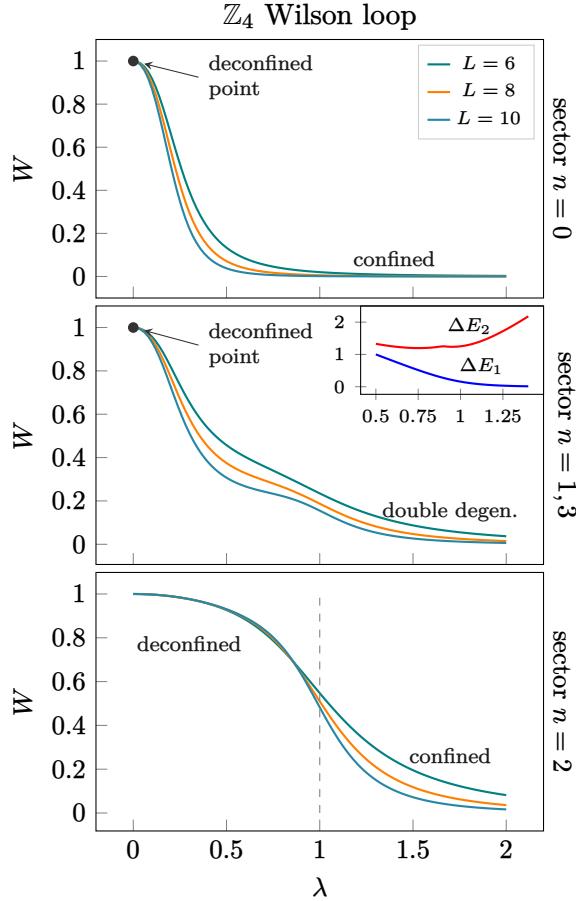


**Figure 3.20.**  $\mathbb{Z}_3$  WL for the sectors  $n = 0$  (top) and  $n = 1, 2$  (bottom, which are equivalent), for sizes  $L = 7, 9, 11$  and  $13$ . Inset: energy differences  $\Delta E_i = E_i - E_0$  for  $i = 1, 2$ , as a function of the coupling  $\lambda$ , in the sectors  $n = 1, 2$ , showing the emergence of a double-degenerate ground state for  $\lambda > 1$ .

**Results for  $N = 4$**  The  $\mathbb{Z}_4$  ladder LGT have four super-selection sectors. The behaviour of half-ladder WLs as function of  $\lambda$  is shown in Fig. 3.21. As in the previous models, for  $n = 0$  we see a deconfined point at  $\lambda = 0$ , followed by a sharp transition to a confined phase. The sector  $n = 2$ , which has no longitudinal field, is the only one to present a clear DCPT for  $\lambda \approx 1$ , as it is expected from the fact that the 4-clock model is equivalent to two decoupled Ising chains [103]. In the two equivalent sectors  $n = 1$  and  $3$ , where the longitudinal field is complex, the WL shows a peculiar behaviour, at least for the largest size ( $L = 10$ ) of the chain: it decreases fast as soon  $\lambda > 0$ , to stabilize to a finite value in the region  $0.5 \lesssim \lambda \lesssim 1$ , before tending to zero. The characteristics of this phase would deserve a deeper analysis, that we plan to do in a future work. For  $\lambda \gtrsim 1$ , the system enters a deconfined phase with a double degenerate ground state, as for the  $\mathbb{Z}_3$  model.

### 3.6.4 Distribution of the amplitudes in the ground state

In the  $N = 2$  case, we further differentiate the phase diagrams of the two sectors by looking at the ground state amplitudes distribution, for  $\lambda < 1$  and  $\lambda > 1$ . Obviously, the ground state can be written as a superposition of the



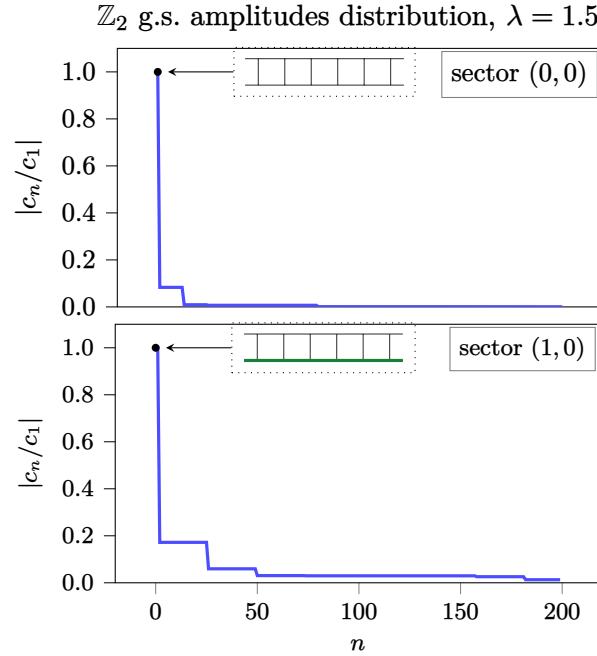
**Figure 3.21.**  $\mathbb{Z}_4$  WL for sectors  $n = 0, \dots, 3$  and sizes  $L = 6, \dots, 10$ . Only the sector  $n = 2$  has a clear deconfined-confined phase transition, as expected from the duality with the 4-clock model.

gauge invariant states of  $\mathcal{H}_{\text{phys}}$  in the given sector

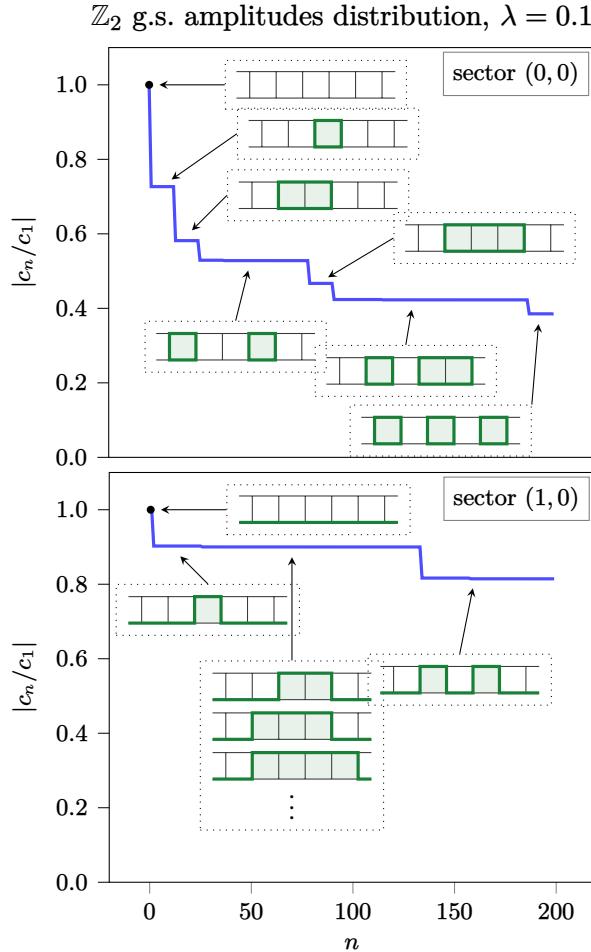
$$|\Psi_{\text{g.s.}}\rangle = \sum_n c_n |n\rangle, \quad (3.93)$$

The basis  $|n\rangle$  and the amplitudes  $c_n$  are sorted in a decreasing order with respect to the modulus of the latter. The first state of the list, with amplitude  $c_1$ , is always the Fock vacua  $|\Omega\rangle$  of the sector, hence we consider the distribution of the ratios  $|c_n/c_1|$ , which are plotted in Fig. 3.23–3.22 for  $\lambda = 0.1$  and  $\lambda = 1.5$ , respectively. The most interesting one is at  $\lambda = 0.1$ , where the difference between the deconfined phase in the sector  $(1, 0)$  and the confined one in the sector  $(0, 0)$  can be seen. In particular, in the deconfined phase the ground state is a superposition of deformations of the Fock vacuum, i.e. the non-contractible electric string, which can be thought as a *kink condensate* [98] (or as a paramagnetic phase), where each kink corresponds to a deforma-

tion of the string. Meanwhile, for  $\lambda > 1$ , where we have confinement in both sectors, the ground state is essentially a product state, akin to a ferromagnetic state. This is explained in Fig. 3.23 and Fig. 3.22.



**Figure 3.22.**  $\mathbb{Z}_2$  ground state amplitude distribution for  $\lambda = 1.5$  of the first 200 states and with lattice size  $12 \times 2$ . For both sectors  $(0, 0)$  (top) and  $(1, 0)$  (bottom) we are in a confined phase, which corresponds to a ferromagnetic phase in the Ising chain. Here we see a polarized state where the domain walls are suppressed and the ground state is essentially a product state.



**Figure 3.23.**  $\mathbb{Z}_2$  ground state amplitude distribution for  $\lambda = 0.1$  of the first 200 states and with lattice size  $12 \times 2$ . *Top:* distribution of the ratios  $|c_n/c_1|$  for the sector  $(0, 0)$  (see (3.93)). We see that the heaviest states that enters the ground state, apart from the vacuum that sets the scale, are made of small electric loops, typical of a confined phase. *Bottom:* the same distribution of ratios for the sector  $(1, 0)$ . We see that the heaviest states are made of bigger and bigger deformations of the electric string that goes around the ladder. This happens because the energy contributions depends only on the domain walls between two plaquettes with different flux content. This behaviour is similar to the so-called *kink condensation* in spin chains [98], where the disordered state can be expressed as a superposition of all possible configuration of kinks (i.e. domain walls between two differently ordered regions). In the language of the duality, this deconfined phase then maps to the paramagnetic phase of the quantum Ising model with *only* transverse field.

# chapter four

# Finite Group Gauge Theories

[ manca paragrafo introduttivo ]

We first start by review the Kogut-Susskind Hamiltonian formulation of LGTs, which works for compact Lie groups. Next we will see how to transition from compact Lie groups to finite groups

## 4.1 Kogut-Susskind Hamiltonian formulation

The Hamiltonian approach to Wilson's LGT was first formulated by Kogut and Susskind, in [115]. In this formulation, space is discretized while time is kept continuous. It can be obtained either via transfer matrix<sup>[citation?]</sup>, where two different lattice spacing are assigned to time and spatial dimensions and then the continuum limit for the time direction is taken, or via Legendre transform<sup>[citation?]</sup>. We decide to adopt a more modern approach, based on [116, 117].

As one can expect, the Kogut-Susskind Hamiltonian  $H_{\text{KS}}$  is made of two terms, the electric part and the magnetic part:

$$H_{\text{KS}} = H_{\text{E}} + H_{\text{B}}. \quad (4.1)$$

These will be constructed separately and for a simple reason. The magnetic term involves only the spatial component of the field strength tensor, i.e.,  $\mathbf{B}^2 \sim F^{ij}F_{ij}$ , while the electric term involves also the temporal components, i.e.,  $\mathbf{E}^2 \sim F^{0i}F_{0i}$ . Given that in the Hamiltonian formalism time is continuous while space is discrete, the two terms cannot be treated on the same footing. This differs from the Wilson action approach, where the magnetic and electric are treated equally because it has to be Lorentz-invariant.

Another point of divergence with the path-integral approach is that now both the electric field and magnetic term are *operators*, not simple variables. In order to have a well-defined operators we also have to define the appropriate Hilbert space on which these operators act. In the following, we will focus on the case of Lie groups like  $SU(N)$ , before moving onto finite groups.

### 4.1.1 Single link Hilbert space and operators

We start by defining the gauge d.o.f on a single link  $\ell$ . In the construction in Sec. 1.2.1, given a gauge group  $G$  we have associated an element  $g \in G$  to the link  $\ell$ . Hence, the configuration space for each link  $\ell$  is exactly  $G$ . When quantizing a theory, the configuration space has to be upgraded to a Hilbert space. In this case, for a single link  $\ell$ , the configuration space  $G$  is upgraded to the Hilbert space  $\mathcal{H}^G$  spanned by the elements of  $G$ :

$$\mathcal{H}^G \equiv \text{span}\{|g\rangle : g \in G\}, \quad (4.2)$$

where the set  $\{|g\rangle\}$  is an orthonormal basis. Therefore, an element  $|\psi\rangle$  of  $\mathcal{H}^G$  can be written as

$$|\psi\rangle = \int dg \psi(g) |g\rangle, \quad (4.3)$$

where  $\int dg$  is the Haar measure of  $G$ , if  $G$  is a compact Lie group. In this case  $\mathcal{H}^G$  is equivalent to the space  $L^2(G)$  of square-integrable functions of  $G$ , i.e., each element  $|\psi\rangle$  can be identified with the functions  $\psi(g)$ . The total Hilbert space of the model is simply given by the tensor product

$$\mathcal{H}_{\text{tot}} = \bigotimes_{\ell} \mathcal{H}_{\ell}^G \quad (4.4)$$

given a single link Hilbert space  $\mathcal{H}_{\ell}^G$ , the first set of operators we can define on it are the *position observables*  $\hat{u}_{mn}$ , via

$$\hat{u}_{mn} |g\rangle = U(g)_{mn} |g\rangle, \quad (4.5)$$

where  $U(g)_{mn}$  is the matrix element  $(m, n)$  of  $U(g)$ , the image of  $g$  in the fundamental representation  $U$  of  $G$ . One can then define a matrix of operators  $\hat{u}$ , whose elements are precisely the operators  $\hat{u}_{mn}$ . Note that  $\hat{u}$  is unitary as long as the chosen representation is unitary, but this not guarantee that each operator  $\hat{u}_{mn}$  is unitary. Indeed, it can be shown that  $(\hat{u}_{mn})^\dagger = (\hat{u}^\dagger)_{mn}$ .

A second set of operators is defined on  $\mathcal{H}^G$ , which makes use of the group structure of  $G$ . For each element  $h \in G$ , we define  $L_h$  and  $R_h$  such that for any  $|g\rangle \in G$

$$L_h |g\rangle = |hg\rangle \quad \text{and} \quad R_h |g\rangle = |gh^{-1}\rangle, \quad (4.6)$$

which are the *left* and *right* multiplication operators, respectively. If the basis  $\{|g\rangle\}$  is considered as the “position basis” then the operators  $L_h$  and  $R_h$  can be regarded as “translation operators”. The left multiplications commutes with the rights one and both  $L_h$  and  $R_h$  respects the group structure of  $G$ , i.e.,

$$L_g L_h = L_{gh} \quad \text{and} \quad R_g R_h = R_{gh}, \quad (4.7)$$

indeed the maps  $\hat{L} : h \mapsto L_h$  and  $\hat{R} : h \mapsto R_h$  are basically *regular representations* of the group  $G$ . It can also be shown that  $L_h$  and  $R_h$  are unitary operators and satisfy

$$(L_h)^\dagger = (L_h)^{-1} = L_{h^{-1}} \quad \text{and} \quad (R_h)^\dagger = (R_h)^{-1} = R_{h^{-1}}. \quad (4.8)$$

[ definire trasformazione di gauge ]

#### 4.1.2 Magnetic Hamiltonian

As already mentioned, it is relatively easy to obtain the magnetic term if we already know the Wilson approach but in order to make the presentation clear we repeat the step for major clarity.

Fixing the lattice orientation, on a link  $\ell$  we define

$$\hat{u}_{mn}(\ell) = \begin{cases} \hat{u}_{mn} & \text{if } \ell \text{ traversed in the positive direction,} \\ \hat{u}_{mn}^\dagger & \text{if } \ell \text{ traversed in the negative direction.} \end{cases} \quad (4.9)$$

Then, let  $\gamma$  be an oriented path, which we write as  $\gamma = \langle \ell_1 \ell_2 \dots \ell_q \rangle$ . Next, on  $\gamma$  we can define the *Wilson line*  $W_\gamma$  whose matrix elements are

$$(W_\gamma)_{mn} = \sum_{m_1 \dots m_{q-1}} \hat{u}_{mm_1}(\ell_1) \hat{u}_{m_1 m_2}(\ell_2) \dots \hat{u}_{m_{q-1} n}(\ell_q), \quad (4.10)$$

which can be written in a more compact way as

$$W_\gamma = \hat{u}(\ell_1) \hat{u}(\ell_2) \dots \hat{u}(\ell_n), \quad (4.11)$$

where the matrix multiplication is implied. When considering closed path, we can take the trace of  $W_\gamma$  in order to have no free matrix indices:

$$\text{tr } W_\gamma = \sum_m (W_\gamma)_{mm} \quad (4.12)$$

Since  $\mathbf{B}^2 = \frac{1}{2} F_{ij} F^{ij}$ , we can copy the spatial part of the Wilson formulation and consider single plaquette WLs:

$$\text{tr } \hat{W}_\square = \text{tr} \left( \hat{u}(\ell_1) \hat{u}(\ell_2) \hat{u}(\ell_3)^\dagger \hat{u}(\ell_4)^\dagger \right), \quad (4.13)$$

where  $\ell_1, \dots, \ell_4$  are the links around a purely spatial plaquette. Thus, the magnetic Hamiltonian is

$$H_B = -\frac{1}{g^2 a^{4-d}} \sum_\square \left( \text{tr } W_\square + \text{tr } W_\square^\dagger \right), \quad (4.14)$$

where the sum is over the plaquettes of the lattice and the coupling is chosen in order to have the correct limit.

### 4.1.3 Electric Hamiltonian

The construction of the electric term of the Hamiltonian is less trivial, since we cannot use WLs in the time direction. Recall that in the continuum theory the electric term is given by the square of the infinitesimal generators of the translations of the gauge fields. In a LGT, the translations of the gauge fields are achieved via the operators  $L_h$  and  $R_h$ , see (4.6). Therefore, in order to find the construct the electric term we have to find the generators of  $L_h$  and  $R_h$ . In the case of Lie groups there is a recipe for writing down these generators.

Consider a compact Lie group  $G$  and its Lie algebra  $\mathfrak{g}$ , for example  $SU(N)$  and  $\mathfrak{su}(N)$ . The maps  $\hat{L} : h \mapsto L_h$  and  $\hat{R} : h \mapsto R_h$  are *regular representations* of the Lie group  $G$  and we have to find the corresponding regular representation of the Lie algebra  $\mathfrak{g}$ . This is a linear map that maps every element  $X \in \mathfrak{g}$  into an element  $\hat{\ell}(X)$  such that

$$L_{e^{i\epsilon X}} = \exp(i\epsilon \hat{\ell}_L(X)) \quad \text{and} \quad R_{e^{i\epsilon X}} = \exp(i\epsilon \hat{\ell}_R(X)). \quad (4.15)$$

The maps  $\hat{\ell}_L$  and  $\hat{\ell}_R$  are the left and right Lie algebra representations. It does not matter which one we use, so we chose the left representation. Similar calculation can be carried out with the right one as well.

If  $L_h$  is unitary, then  $\hat{\ell}(X)$  is necessarily Hermitian. Let  $\{T^a\}$  be the Hermitian generators of  $\mathfrak{g}$  with commutation relations

$$[T^a, T^b] = if^{abc}T^c, \quad (4.16)$$

where  $f^{abc}$  are the structure constants. Obviously,  $X \mapsto \hat{\ell}_L(X)$  is a ordinary Lie group representation (not to be confused with regular representation). Hence, we can defined the momentum operators as the images of the generators  $T^a$  through  $\hat{\ell}_L$ :

$$\hat{\ell}_L^a \equiv \hat{\ell}_L(T^a), \quad (4.17)$$

and they automatically satisfy (4.16),

$$[\hat{\ell}_L^a, \hat{\ell}_L^b] = if^{abc}\hat{\ell}_L^c. \quad (4.18)$$

Alternatively, the operators  $\hat{\ell}_L^a$  can also be obtained by differentiating  $L_h$ :

$$\hat{\ell}_L^a = -i \frac{d}{d\epsilon} L_{e^{i\epsilon T^a}} \Big|_{\epsilon=0} \quad (4.19)$$

The operators  $\hat{\ell}_L^a$  will act as “conjugate variables” to the operators  $\hat{u}$ , with commutation relations

$$[\hat{\ell}_L^a, \hat{u}] = -T^a \hat{u}. \quad (4.20)$$

Bearing in mind that the continuum Hamiltonian contains the square of the electric field, we may then form the group Laplacian  $\Delta_\ell$  on a link  $\ell$  as the square of the generators  $\hat{\ell}_L^a$ :

$$\Delta_\ell = \sum_a (\hat{\ell}_L^a)^2. \quad (4.21)$$

This is a Laplacian on the space  $L^2(\mathrm{SU}(N))$ , in an entirely analogous way to the Laplacian operator of ordinary quantum mechanics, which is given by the sum of squares of the infinitesimal generators of translations in each space direction. With the continuum limit in mind, then the correct form the electric Hamiltonian is

$$H_E = \frac{g^2}{2a^{d-2}} \sum_\ell \Delta_\ell = \sum_\ell \sum_a (\hat{\ell}_L^a)^2 \quad (4.22)$$

where the sum is taken over the links of the lattice. Therefore, the overall Kogut-Susskind Hamiltonian is given by

$$H = \frac{g^2}{2a^{d-2}} \sum_\ell \Delta_\ell - \frac{1}{g^2 a^{4-d}} \sum_{\square} (\mathrm{tr} W_\square + \mathrm{tr} W_\square^\dagger) \quad (4.23)$$

## 4.2 From Lie groups to finite groups

[ Preso dalla bozza di articolo, da rivedere tutto ]

[ Scrivere paragrafo introduttivo ]

### 4.2.1 Hilbert space and gauge transformations

The Hilbert space  $\mathcal{H}^G$  for a finite-group LGT is defined in the same manner as (4.2), but each state  $|\psi\rangle \in \mathcal{H}^G$  is given by a finite sum

$$|\psi\rangle \sum_{g \in G} \psi(g) |g\rangle, \quad (4.24)$$

instead of an integration over group space. In the case of a finite group, the space  $\mathcal{H}^G$  is known also as the *group algebra*  $\mathbb{C}[G]$ . The overall Hilbert space is given by the same formula (4.4). Note that for a finite group,  $\mathbb{C}[G]$  has finite dimension, because it is spanned by the finitely-many group element states  $\{|g\rangle\}$ . Therefore the Hilbert space on each link is finite-dimensional and  $\mathcal{H}$  is finite-dimensional on a finite lattice; its dimension is in fact given by  $|G|^L$  where  $L$  is the number of lattice links. For a Lie group, on the other hand, we have infinitely many basis states  $\{|g\rangle\}$  and therefore the Hilbert space is infinite-dimensional *on each link*.

On the group algebra the left and right translation operators are still well defined:

$$L_h |g\rangle = |hg\rangle \quad \text{and} \quad R_h |g\rangle = |gh^{-1}\rangle. \quad (4.25)$$

A local gauge transformation “rotates” each site  $x$  of the lattice  $\mathbb{L}$  by an element  $g_x \in G$ . This means that the state of a oriented link  $\ell$  connecting two sites, from  $x$  to  $y$ , undergoes a transformation  $L_{g_x} R_{g_y}$ . In other words, if  $|g_\ell\rangle$  is the state of the link  $\ell$ , then it transforms as

$$|g_\ell\rangle \mapsto |g_x g_\ell g_y^{-1}\rangle$$

Therefore, a gauge transformation on the overall Hilbert space  $\mathcal{H}_{\text{tot}}$  is expressed as

$$\mathcal{G}(\{g_x\}) = \bigotimes_{\ell=\langle xy \rangle \in \mathbb{L}} L_{g_x} R_{g_y}, \quad (4.26)$$

where  $\{g_x\}$  is an arbitrary choice of group elements  $g_x$  at each lattice site  $x$  and the link  $\ell$  connects the points  $x$  and  $y$ . The only physical states are those which satisfy the so-called “Gauss’ law” constraint [115, 116, 118]

$$\mathcal{G}(\{g_x\}) |\psi\rangle = |\psi\rangle, \quad (4.27)$$

for any possible choice of local assignments  $\{g_x\}$  of group variables to lattice sites. The states which satisfy (4.27) form the physical, gauge-invariant Hilbert space  $\mathcal{H}_{\text{phys}}$ . Note that the condition (4.27) only involves group-valued quantities and is thus valid for both Lie groups and finite groups. In the case of finite groups, the condition simplifies because it is sufficient to impose invariance against a set of generators of the finite group.

One can also straightforwardly include matter fields such as fermion fields which live on each lattice site. Under a gauge transformation, they transform as  $\Psi(x) \rightarrow g_x \Psi(x)$ . Since these always have a finite Hilbert space, they do not additional issues for QS and we focus instead on the pure gauge theory.

### 4.2.2 The representation basis

It turns out to be fruitful to introduce a different basis of the overall Hilbert space  $\mathcal{H}$ , “dual” to the group element basis. The operators  $L_g$  and  $R_g$  introduced in eq. (4.25) are unitary representations of  $G$ , known as the *left* and *right regular* representations [119, 120]. This is because  $L_g L_h = L_{gh}$  and  $(L_g)^{-1} = L_{g^{-1}} = (L_g)^\dagger$ , as can be explicitly checked by acting on the group element basis, and the same holds for  $R$ . Their representation theory leads to the Peter-Weyl theorem [116, 120, 121], which states that for a finite or compact Lie group  $G$ ,

$$L^2(G) = \bigoplus_{j \in \Sigma} V_j^* \otimes V_j, \quad (4.28)$$

where  $j$  is a label for the irreducible representations (irreps) of  $G$ , and  $\Sigma$  is the set of all irreps of  $G$ . For finite groups  $L^2(G)$  is replaced, as usual, with  $\mathbb{C}[G]$ . Here  $V_j$  is the representation vector space corresponding to representation  $j$  and  $V_j^*$  its dual vector space. For both compact Lie groups and finite groups the irreps are finite-dimensional and can be chosen to be unitary. For a finite group,  $\Sigma$  is a finite set, while it is countably infinite for a compact Lie group [119, 120]. In terms of the Peter-Weyl decomposition, the left and right regular representations take a particularly simple form [121],

$$L_g R_h = \bigoplus_j \rho_j(g)^* \otimes \rho_j(h) , \quad (4.29)$$

where  $\rho_j$  is the matrix of the  $j$ th irrep of  $G$ . The individual action of either  $L_g$  or  $R_h$  may be obtained by setting either  $g$  or  $h$  to the identity. Eq. (4.29) is especially useful because, as we will see in Section 4.3, it simplifies the action of the Gauss' law constraint eq. (4.27).

The Peter-Weyl theorem provides an alternative basis for the single-link Hilbert space. For each irrep  $j$  one chooses appropriate bases for  $V_j^*$  and  $V_j$ , which we denote  $\{|jm\rangle\}$  and  $\{|jn\rangle\}$  respectively, where  $1 \leq m, n \leq \dim j$ . Here  $\dim j \equiv \dim V_j$  is the dimension of the representation. On each representation subspace, we use the shorthand notation  $|jmn\rangle \equiv |jm\rangle \otimes |jn\rangle$ . Then the “representation basis” for  $L^2(G)$  or  $\mathbb{C}[G]$  is given by the set  $\{|jmn\rangle\}$  for all  $j \in \Sigma$  and  $1 \leq m, n \leq \dim j$ . In terms of the group element basis, one has [117]

$$\langle g|jmn\rangle = \sqrt{\frac{\dim(j)}{|G|}} [\rho_j(g)]_{mn} , \quad (4.30)$$

where the bases  $\{|jm\rangle\}$ ,  $\{|jn\rangle\}$  are chosen so that  $\rho_j$  is unitary. It should be emphasized that eq.(4.30) is valid for both finite and compact Lie groups;  $|G|$  is either the order of the finite group or the volume  $|G| \equiv \int dU 1$  given by the possibly unnormalized Haar measure [116, 121]. It is a basic result of the representation theory of finite groups that  $\sum_j (\dim j)^2 = |G|$ , which ensures that the group element basis and the representation basis have the same number of states [119].

Since every group admits a trivial, one-dimensional irrep with  $\rho(g) \equiv 1$ , we always have a singlet representation state  $|0\rangle$ , which may be extended to the whole lattice to form the “electric ground state”  $|0_E\rangle$ ,

$$|0_E\rangle = \bigotimes_{l \in \text{links}} |0\rangle_l , \quad |0\rangle = \frac{1}{\sqrt{|G|}} \sum_g |g\rangle , \quad (4.31)$$

where we used eq.(4.30) to express  $|0\rangle$  in the group element basis. We have summarized the representation theory of some groups of interest in Appendix

B. In the specific case of the group  $\mathbb{Z}_N$ , the representations are all one-dimensional because  $\mathbb{Z}_N$  is Abelian and therefore  $m = n = 1$  and can be omitted. The group elements are  $\{1, g, g^2, \dots, g^{N-1}\}$  and the irreps are simply  $\rho_j(g^k) = \omega_N^{kj}$  for  $j = 0, 1, \dots, N - 1$ , with  $\omega_N = e^{2\pi i/N}$ . The bases  $\{|g^k\rangle\}$  and  $\{|j\rangle\}$  are related by

$$|j\rangle = \sum_{k=0}^{N-1} |g^k\rangle \langle g^k|j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega_N^{kj} |g^k\rangle , \quad (4.32)$$

which is just the discrete Fourier transform. In the case of the dihedral groups  $D_4$  with 8 elements, we have four one-dimensional representations, each of which spans a one-dimensional subspace of  $\mathbb{C}[G]$ . We then have a two-dimensional representation which spans a  $2^2 = 4$  dimensional subspace of  $\mathbb{C}[G]$  through the four basis elements  $|jmn\rangle$  for  $1 \leq m, n \leq 2$ .

#### 4.2.3 Hamiltonian construction

The Hamiltonian for a Yang-Mills gauge theory on the lattice takes the form [115, 117]

$$H = \lambda_E \sum_{l \in \text{links}} h_E(g_l) + \lambda_B \sum_{\square} h_B(g_{\square}) , \quad (4.33)$$

where  $h_E$  depends only on each lattice link, while  $h_B$  depends on the lattice plaquettes  $\square$  and  $g_{\square} = g_1 g_2 g_3^{-1} g_4^{-1}$  is the product of the four link variables in a lattice plaquette with the appropriate orientation. It is also possible to add matter fields such as fermions, but since this does not pose any further difficulty than in the usual Lie group case, we focus here on the pure gauge theory.

In the Lie group case, the electric and magnetic Hamiltonians form the so-called Kogut-Susskind Hamiltonian where [115, 116]

$$h_E = \sum_a \left( \hat{\ell}_L^a \right)^2 , \quad h_B = \dim \rho - \text{Re} \text{tr} \{ \rho(g_{\square}) \} , \quad (4.34)$$

where  $\rho$  is the fundamental representation of  $SU(N)$ ,  $a$  is a color index and  $\hat{\ell}_L^a$  is the infinitesimal generator of left-translations, i.e. the Lie algebra representation corresponding to  $L_g$ . As such, it satisfies  $L_{e^{iX}} = \exp \{ (i\hat{\ell}_L(X)) \}$  [116]. As the group element basis may be thought of as a “position basis” in group space, the infinitesimal generator of translations  $\hat{\ell}_L^a$  may be thought of as a “momentum” operator in group space. Then the electric Hamiltonian  $h_E$ , which is the sum of the squares of the “momenta” in all directions, is a Laplacian in group space. Applying the Peter-Weyl decomposition eq. (4.29)

to  $\hat{\ell}_L^a$ , one finds that [116, 121]

$$h_E = \sum_a \left( \hat{\ell}_L^a \right)^2 = \sum_{jmn} C(j) |jmn\rangle \langle jmn| , \quad (4.35)$$

where  $C(j)$  is the quadratic Casimir operator, which depends only on the representation  $C(j)$ . For U(1), for example  $C(j) = j^2$ , while for SU(2) one finds  $C(j) = j(j+1)$ . We note that the magnetic Hamiltonian depends only on group-valued quantities and is therefore well-defined for both Lie groups and finite groups. On the other hand, the electric Hamiltonian depends on the infinitesimal Lie algebra through  $\hat{\ell}_L^a$  and therefore the definition does not extend to finite groups. The decomposition eq. (4.35) is well-defined also for finite groups, but one must leave the coefficients  $C(j)$  unsatisfactorily unspecified because finite groups do not have a Casimir operator [117].

If one thinks of a finite group as a natural discretization of some parent Lie group, the natural choice of electric Hamiltonian is a discrete Laplacian on the finite group. The geometric structure of a finite group is that of a graph, with group elements as vertices and the group operation defining the edges. This is called a *Cayley graph*. The discrete Laplacian on the finite group is then naturally given by the graph Laplacian of the Cayley graph. This choice also preserves the interpretation of the electric Hamiltonian as a quantum-mechanical rotor in group space [115]. We explain the construction of the finite group Laplacian in detail in Section 4.2.4, and the resulting Hamiltonian takes the form of eq. (4.33) with

$$h_E = \sum_{g \in \Gamma} (1 - L_g) , \quad h_B = h_B(g_\square) , \quad (4.36)$$

where  $\Gamma \subset G$  is a subset of the group (*not* a subgroup) such that

1.  $1 \notin \Gamma$ , i.e.  $\Gamma$  doesn't contain the identity element.
2.  $\Gamma^{-1} = \Gamma$ , i.e. it is invariant under inversion of group elements. In other words, if  $g \in \Gamma$ , then  $g^{-1} \in \Gamma$  also.
3.  $g\Gamma g^{-1} = \Gamma$ , i.e. it is invariant under conjugation. In other words,  $\Gamma$  is a union of conjugacy classes of  $G$ .

These conditions on  $\Gamma$  ensure that the electric Hamiltonian is gauge-invariant. On the other hand, as usual, the magnetic term is gauge-invariant as long as  $h_B$  is any real function such that  $h_B(g_1 g_\square g_1^{-1}) = h_B(g_\square)$  for any  $g_1 \in G$ . As explained in Section 4.2.5, the Hamiltonian eq. (4.36) includes as a special case the transfer-matrix Hamiltonian obtained in [122] which consists in a certain

specific choice of subset  $\Gamma$ . The choice of  $\Gamma$  is in fact not unique, a fact which we will also discuss in later sections.

While the magnetic Hamiltonian  $h_B$  is diagonal in the group element basis, the electric Hamiltonian  $h_E$  is diagonal in the representation basis, and in fact

$$h_E = \sum_{jmn} h_E(j) |jmn\rangle \langle jmn| , \quad h_E(j) = |\Gamma| - \frac{1}{\dim j} \sum_{g \in \Gamma} \chi_j(g) , \quad (4.37)$$

where  $|\Gamma|$  is the number of elements in  $\Gamma$  and  $\chi_j$  is the character of the irrep labelled  $j$ . The electric Hamiltonian may be interpreted as an “on-link” hopping term withing group space; in fact, up to a constant, it may be written as  $h_E = \sum_{g \in \Gamma} \sum_{h \in G} |gh\rangle \langle h|$  and it favours each link to sit in the electric ground state eq. (4.31), which is fully delocalized in group space. On the other hand, the magnetic term is a plaquette-based potential which pushes plaquettes close to the identity. The competition between the two non-commuting terms gives rise to non-trivial dynamics.

We would like to emphasize that the description of the electric Hamiltonian  $h_E$  in eq. (4.36) as the graph Laplacian of the Cayley graph associated with the group is not simply an interesting analogy, but also a tool which may be used to extract information on the Hamiltonian itself. As an example, we note the well-known fact that the smallest eigenvalue of a graph Laplacian is always zero (given by the trivial representation state eq. (4.31)) and its degeneracy equals the number of connected components of the graph [123]. Moreover, it is not hard to show that the if  $\Gamma$  does not generate the group  $G$ , but rather only a subset  $\langle \Gamma \rangle < G$ , then the Cayley graph splits into connected components which are identified with the cosets of  $\langle \Gamma \rangle$  in  $G$ . The number of such components, and therefore the degeneracy of the electric ground state, is given by  $|G|/|\langle \Gamma \rangle|$ . If, instead,  $\Gamma$  generates the whole group, then the electric Hamiltonian is not degenerate. For more details, see Appendix 4.4.1. The degeneracy of the electric ground state is not only an important feature of the theory, but also technically important for ground state preparation and adiabatic QS. As we will already at the end of Section 4.2.4, this can happen already for the dihedral group  $D_4$ . In general, this can also occur with the choice of  $\Gamma$  arising from the transfer-matrix formulation of Wilson action, as described in Section 4.2.5. For example, in the permutation group  $G = S_5$ , starting from the Wilson action in the six-dimensional representation of  $S_5$ , one finds  $\Gamma$  to be the conjugacy class of the 5-cycles; then  $\langle \Gamma \rangle$  generates the subgroup  $A_5$  and since  $|S_5|/|A_5| = 2$ , the electric Hamiltonian ground state is two-fold degenerate, with the ground states spanned by the two representation states corresponding to the one-dimensional representations.

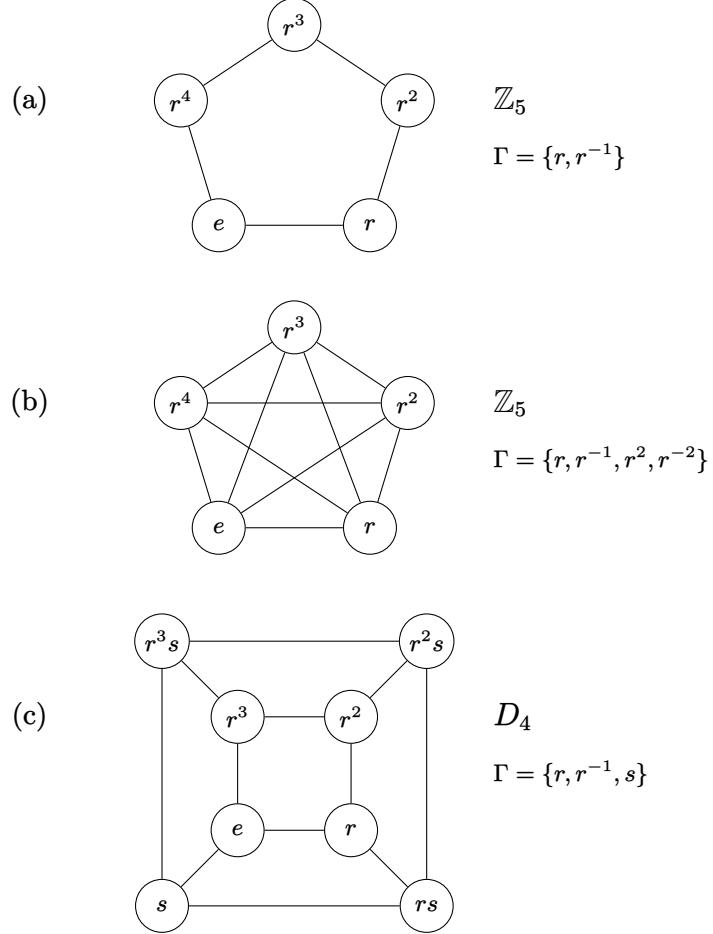


Figure 4.1. Examples of Cayley graphs. (a) and (b) show  $\mathbb{Z}_5$  with  $\Gamma = \{g, g^{-1}\}$  and  $\Gamma = \{g, g^2, g^{-1}, g^{-2}\}$  respectively. (c) shows  $D_4$  with  $\Gamma = \{r, r^{-1}, s\}$

#### 4.2.4 The finite group Laplacian

In this section we explain in detail the construction of the finite group Laplacian, which we take as the electric Hamiltonian, as the graph Laplacian of the Cayley graph of the finite group. Given a finite group  $G$ , we choose a set of generators  $\Gamma \subset G$ , which we require to be invariant under inversion, that is  $\Gamma^{-1} = \Gamma$ , and moreover that it is the union of conjugacy classes, so that it is invariant under conjugation,  $g\Gamma g^{-1} = \Gamma$  for any  $g$  in  $G$  [123]. We choose  $\Gamma$  not to include the identity element and we note that the choice of  $\Gamma$  is not unique. The Cayley graph has the group elements as vertices, and we place an edge between  $g \in G$  and  $h \in G$  if  $hg^{-1} \in \Gamma$ . The result is a simple undirected graph. Examples of Cayley graphs for the groups  $D_4$  and  $\mathbb{Z}_5$  are shown in

Fig. 4.1. Given any graph, its Laplacian is defined as [123]

$$L = D - A , \quad (4.38)$$

where  $A$  is the adjacency matrix and  $D$  is the degree matrix. Each of these matrices acts on the vector space of graph vertices, which in the case of a Cayley graph can be identified with the group algebra  $\mathbb{C}[G]$ . The degree matrix is always diagonal, and in this case  $D = |\Gamma| \mathbb{1}$ . The adjacency matrix  $A$  is given by

$$A_{gh} = \begin{cases} 1 & gh^{-1} \in \Gamma \\ 0 & \text{otherwise} \end{cases} \quad (4.39)$$

for group elements  $g, h$ . On a basis element, one has

$$A |g\rangle \equiv \sum_h A_{hg} |h\rangle = \sum_{k \in \Gamma} |gk\rangle = \sum_{k \in \Gamma} |gk^{-1}\rangle = \sum_{k \in \Gamma} R_k |g\rangle , \quad (4.40)$$

where  $R_k$  is the right regular representation, and we used the closure of  $\Gamma$  under inversion. Therefore as an operator on  $\mathbb{C}[G]$ ,

$$A = \sum_{k \in \Gamma} R_k = \bigoplus_j \mathbb{1}_j \otimes \left( \sum_{k \in \Gamma} \rho_j(k) \right) , \quad (4.41)$$

where we used the Peter-Weyl decomposition of  $R_k$ , eq.(4.29). Then we see that

$$\left( \sum_{k \in \Gamma} \rho_j(k) \right) \rho_j(g) = \sum_{k \in \Gamma} \rho_j(kg) = \sum_{k \in \Gamma} \rho_j((gkg^{-1})g) = \rho_j(g) \left( \sum_{k \in \Gamma} \rho_j(k) \right) , \quad (4.42)$$

where we used the closure of  $\Gamma$  under conjugation. Hence the operator  $(\sum_{k \in \Gamma} \rho_j(k))$  commutes with the irreducible representation  $\rho_j$  and as such is proportional to the identity by Schur's lemma [119]. The constant of proportionality can be readily computed by taking a trace. This therefore implies

$$A = \sum_j \lambda_j P_j , \quad \lambda_j = \frac{1}{\dim j} \sum_{k \in \Gamma} \chi_j(k) , \quad (4.43)$$

where  $P_j = \sum_{mn} |jmn\rangle \langle jmn|$  is the projector onto the  $j$ th representation subspace, and  $\chi_j$  is the character of the irrep labelled  $j$ . Therefore the Laplacian of the Cayley graph is given by

$$L = \sum_j f(j) P_j , \quad f(j) = |\Gamma| - \frac{1}{\dim(j)} \sum_{k \in \Gamma} \chi_j(k) , \quad (4.44)$$

$\Gamma$	$j$	$f(j)$				
		0	1	2	3	4
$\{r, r^3, s, r^2s\}$	0	4	4	8	4	
$\{r, r^2, r^3\}$	0	4	0	4	5	
$\{r, r^3, s, rs, r^2s, r^3s\}$	0	8	8	8	6	

**Table 4.1.** Eigenvalues of the single-link electric Hamiltonian  $f(j)$  for the finite group  $D_4$ , with three choices of generating sets  $\Gamma$ .

which is the same form as the electric Hamiltonian in the representation basis, eq. (4.37).

We give some examples of this construction. For the group  $\mathbb{Z}_N$  it is natural to construct the electric eigenvalues  $f(j)$  with the generating set  $\Gamma = \{g, g^{-1}\}$ , which results in

$$f(j) = f(N - j) = 4 \sin^2 \left( \frac{\pi j}{N} \right), \quad (4.45)$$

which is the same as in [83]. Moreover for large  $N$ ,

$$f(j) \rightarrow \frac{4\pi^2}{N^2} j^2 \quad N \text{ large}, \quad (4.46)$$

which is proportional to the Casimir eigenvalues of the U(1) gauge theory [84]. Thus both a truncation of U(1) theory and proper  $Z_N$  gauge theory naively approach U(1) theory for large  $N$ , albeit in different ways. One can however choose a different generating set, such as  $\Gamma = \{g, g^{-1}, g^2, g^{-2}\}$  and the corresponding eigenvalues would be

$$f(j) = f(N - j) = 4 \sin^2 \left( \frac{\pi j}{N} \right) + 4 \sin^2 \left( \frac{2\pi j}{N} \right). \quad (4.47)$$

For the dihedral group  $D_4$  we can choose for example  $\Gamma = \Gamma_1 = \{r, r^3, s, r^2s\}$ , which gives rise to the eigenvalues  $f(j)$  shown in table 4.1, where the representations are ordered like in the character table in Table B.1 in Appendix B.2. Note that  $\Gamma_1$  generates the whole group.

By looking at its character table, we may in fact classify all possible choices of  $\Gamma$  for  $D_4$ . In fact,  $D_4$  has five conjugacy classes,  $C_0 = \{e\}$ ,  $C_1 = \{r, r^3\}$ ,  $C_2 = \{r^2\}$ ,  $C_3 = \{s, r^2s\}$ ,  $C_4 = \{rs, r^3s\}$ . One can check that, as is generally true,  $\sum_i |C_i| = 8 = |G|$ . In this case, all conjugacy classes are invariant under inversion, i.e.  $C_i^{-1} = C_i$ . Hence any union of the  $C_i$ s,  $i \neq 0$  is a valid choice for  $\Gamma$ . There are  $2^4$  such possibilities. Note that this is not true in general, in which case one must choose conjugacy classes to ensure that  $\Gamma^{-1} = \Gamma$ . We consider in more detail two specific cases, in particular

$\Gamma_2 = C_1 \cup C_2 = \{r, r^2, r^3\}$ . Unlike  $\Gamma_1$ ,  $\Gamma_2$  does not generate the whole group  $D_4$ ; this is reflected in the electric eigenvalues in Table 4.1, with the ground state being two-fold degenerate. Finally, we consider the choice  $\Gamma_3 = C_1 \cup C_3 \cup C_4 = \{r, r^3, s, rs, r^2s, r^3s\}$ . As explained in Section 4.2.5 if we pick  $h_B$  as the real part of the trace of the two-dimensional representation of  $D_4$ , the choice of  $\Gamma_3$  corresponds to the Lorentz-invariant Hamiltonian.

#### 4.2.5 Action formulation and Lorentz invariance

The Kogut-Susskind Hamiltonian eq. (4.34) may be obtained via the transfer-matrix formulation from the Euclidean Wilson action [124, 125]

$$S = -\frac{1}{g^2 a^{4-d}} \sum_{\square} \text{Re tr}\{\rho(g_{\square})\}, \quad (4.48)$$

where  $a$  is the lattice spacing and  $g$  the coupling. The couplings in eq. (4.34) also take the specific form  $\lambda_E = \frac{g^2}{2a^{d-2}}$  and  $\lambda_B = \frac{2}{g^2 a^{4-d}}$ . In the path-integral formulation, the lattice is fully discretized and thus plaquettes extend also in the time direction. The action eq. (4.48) is also perfectly valid for finite groups, as one simply replaces the partition function integration measure over the Lie group with sum over the elements of a finite group. One may then repeat the transfer-matrix formulation for an arbitrary finite group [122]. The resulting finite group Hamiltonian takes the form eq. (4.36), with specific choices of  $\Gamma$  and  $h_B$ . In particular,  $h_B$  is chosen as usual like in eq. (4.34), while  $\Gamma = \{g \in G, g \neq e, \max[\text{Re tr}\{\rho(g)\}]\}$  is the set of non-identity elements which maximize  $\text{Re tr}\{\rho(g)\}$ . More generally, one may start from a Euclidean action of the form

$$S = -\sum_{\square_t} f_t(g_{\square_t}) - \sum_{\square_s} f_s(g_{\square_s}), \quad (4.49)$$

where  $\square_t$  and  $\square_s$  are plaquettes in the time and space directions respectively, and  $f_t, f_s$  are real functions such that  $f(g_1 g_{\square} g_1^{-1}) = f(g_{\square})$  for any  $g_1 \in G$ . Then via the transfer matrix procedure one obtains a generalized Hamiltonian of the form of eq. (4.36) with  $h_B = f_s$  and  $\Gamma = \{g \in G, g \neq e, \max[f_t(g)]\}$ . Even though we do not consider this case here, in order to improve the approach to the continuum it might also be interesting to consider other Euclidean actions [126, 127].

In particle physics applications, one typically requires Lorentz invariance in the continuum. While the lattice breaks the Lorentz symmetry to the subgroup of Euclidean cubic rotations, as long as this subgroup is preserved one expects to recover Lorentz invariance in the continuum limit. Since the action eq. (4.49) is anisotropic in the space and time directions, it breaks the Euclidean cubic symmetry explicitly and it is unclear whether the corresponding

Hamiltonian describes a Lorentz-invariant theory in the continuum (unless of course  $f_s = f_t$ ). This includes in particular setting  $h_E(j) = j^2$  for subgroups of  $U(1)$  and  $h_E(j) = j(j+1)$  for subgroups of  $SU(2)$  in eq. (4.37), while keeping  $h_B$  unchanged. Such choices explicitly break the remnant Lorentz symmetry. In condensed matter applications, however, Lorentz invariant is not necessarily required and one may thus independently choose  $\Gamma$  and  $h_B$ , as well as the couplings  $\lambda_E$  and  $\lambda_B$ .

### Classification of the possible theories, and other models

The construction of finite group Yang-Mills gauge theories with Hamiltonian eq. (4.36) involves a few arbitrary choices which can be classified. Since the Hilbert space is fixed to the physical, gauge-invariant Hilbert space  $\mathcal{H}_{\text{phys}}$ , the only possible choices involve the various terms in the Hamiltonian. Given a gauge group  $G$  in  $d$  spatial dimensions, one may arbitrarily choose:

1. A set  $\Gamma$  of group elements which does not contain the identity, and is invariant under both inversion and conjugation  $\Gamma^{-1} = \Gamma$  and  $g\Gamma g^{-1}$ .
2. A choice for the magnetic Hamiltonian  $h_B = h_B(g_\square)$ . Since it must be real and satisfy  $h_B(g_1 g_\square g_1^{-1}) = h_B(g_\square)$ , i.e. it is a *class function*, by a well-known result [119] it may be expanded in a sum of characters of irreducible representations,  $h_B(g) = \sum_j c_j \chi_j(g)$  for coefficients  $c_j$  which may be chosen arbitrarily, while ensuring that  $h_B(g)$  is real.
3. If present, possible choices of representations and Hamiltonians in the matter sector. For example in  $D_4$  gauge theory, one can include *staggered fermions* in the two-dimensional representation.
4. One can also add a Chern-Simons term as in [128]. This is especially interesting for QS, because such theories have a sign problem.

Further considerations involve the Lorentz symmetry, as explained in Section 4.2.5. Moreover, one may want to choose representations which are non-trivial when restricted to the center of the gauge group [129, 130].

We note that the above construction allows further generalizations. In particular, the discretized  $d$ -dimensional space does not have to take the form of a hypercubic lattice, but more generally can be a Bravais or non-Bravais lattice. No difference arises for the electric term, which is link-based, and the plaquette variable in the magnetic term is replaced by an elementary closed loop in the lattice.

## 4.3 The physical Hilbert space

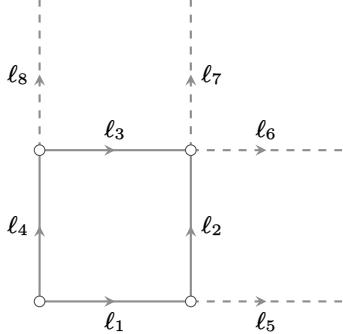
As we remarked in Sec. 4.2, while the overall Hilbert space of the pure gauge theory is  $\mathcal{H} = \bigotimes_{\text{links}} \mathbb{C}[G]$ , only those states that satisfy the so-called ‘‘Gauss’ law’ constraint (4.27) are to be considered physical [115, 116, 118]. For gauge theories based on most compact Lie groups, the WLs (despite being over-complete) span the space of gauge-invariant states [131, 132]. This, however, is not necessarily true for finite groups [131, 133]; this means that in some cases, it is possible to construct different gauge-invariant states, which nevertheless have the identical WLs. We mention that this difficulty cannot arise for Abelian finite groups such as  $\mathbb{Z}_N$ , in which case the WLs *do* span the physical Hilbert space  $\mathcal{H}_{\text{phys}}$ . In the following section we give a description of the gauge-invariant Hilbert space in terms of *spin network states* and explain how this basis of states is particularly suitable to describe the physical Hilbert space of finite group gauge theories. We first consider the pure gauge physical Hilbert space in Section 4.3.1 and compute its dimension in Section 4.3.2.

### 4.3.1 Spin networks

The physical Hilbert space of pure gauge theories with either Lie or finite gauge group can be explicitly described in terms of *spin network states* [134, 135]. Spin-network states can be defined indifferently when the  $d$ -dimensional space is discretized as an arbitrary graph, and are thus valid in arbitrary dimension with arbitrary lattices and boundary conditions. The first key observation is that the action of the Gauss’ law operator eq. (4.27) is block-diagonal in the representation basis, as can be seen from eq. (4.29). Then starting from the Hilbert space in the representation basis eq. (4.28), we can, as usual, permute the summation and product, obtaining

$$\mathcal{H} = \bigotimes_{\text{links}} \bigoplus_{j \in \Sigma} V_j^* \otimes V_j = \bigoplus_{\{j\} \in \{\Sigma\}} \bigotimes_{l \in \text{links}} V_{j_l}^* \otimes V_{j_l}, \quad (4.50)$$

where now  $\{j\}$  is an assignment of an irrep  $j_l$  to each lattice link  $l$ , and  $\{\Sigma\}$  is the set of the possible such assignments. The second key observation is that the gauge transformations eq. (4.27) are given by an independent group-valued variable  $g_x$  at each site  $x$  of the lattice. Moreover, due to eq. (4.29) the gauge transformation associated to one site  $x$  acts at most on one of  $V_j$  or  $V_j^*$  but it cannot act on both. One can then split the two vector spaces  $V_j$  and  $V_j^*$  associated with each link and reorder the  $V$ s in the tensor product over links so that  $V_j$ s are now grouped together according to the *sites* and not the



**Figure 4.2.** A  $2 \times 2$  square lattice with periodic boundary conditions, showing the labels of the links.

links,

$$\mathcal{H} = \bigoplus_{\{j\} \in \{\Sigma\}} \bigotimes_{x \in \text{sites}} \left( \bigotimes_{l_- = x} V_{ji}^* \right) \otimes \left( \bigotimes_{l_+ = x} V_{ji} \right), \quad (4.51)$$

where by  $l_+$  and  $l_-$  we denote respectively the target and source vertex of link of link  $l$ . We can repeat the same set of operations for the gauge transformation operator eq. (4.26), which are therefore given by

$$\mathcal{G}(\{g_x\}) = \bigoplus_{\{j\} \in \{\Sigma\}} \bigotimes_{x \in \text{sites}} \left( \bigotimes_{l_- = x} \rho_{jl}^*(g_x) \right) \otimes \left( \bigotimes_{l_+ = x} \rho_{jl}(g_x) \right). \quad (4.52)$$

In the above decomposition, the gauge transformations now act independently for each  $x$  and the Gauss' law constraint EQ. (4.27) gives the physical Hilbert space

$$\mathcal{H}_{\text{phys}} = \bigoplus_{\{j\} \in \{\Sigma\}} \bigotimes_{x \in \text{sites}} \text{Inv} \left[ \left( \bigotimes_{l_- = x} V_{ji}^* \right) \otimes \left( \bigotimes_{l_+ = x} V_{ji} \right) \right]. \quad (4.53)$$

Given a representation  $\rho$  (not necessarily irreducible) with representation space  $V_\rho$ , the set of invariant vectors  $\text{Inv}(V_\rho)$  is the set of vectors  $v \in V_\rho$  such that  $\rho(g)v = v$  for all  $g \in G$ . Note that this is a separate notion from that of an “invariant subspace”. A basis of *spin network states* which arise from the description of eq. (4.53) are of the form  $|\{j\}, A\rangle$  where  $\{j\}$  is an assignment of irreps to links and  $A = (a_1, \dots, a_V)$  labels the choice of a gauge-invar

For a hypercubic lattice in  $d$  dimensions with periodic boundary conditions, each site is connected to  $2d$  links and therefore  $2d$  terms appear in the tensor product within each  $\text{Inv}$  in eq. (4.53). If instead we choose open boundary conditions, the sites in the bulk will again be connected to  $2d$  links, but the sites on the boundary will be connected to fewer links and thus fewer terms will appear in the tensor product for those sites. In the general case, the number of terms in the tensor product within each  $\text{Inv}$  may depend on the

site. We choose to work directly with the spaces of invariant vectors rather than with spaces of intertwiners more commonly employed in the literature [134, 135].

The calculation of a basis of invariant states (or, equivalently, of the intertwiners) can be difficult in the Lie group case, especially since they admit infinitely many irreps, but can quite easily be performed numerically for finite groups, which only have finitely many irreps. Since the number of links connected to each site is finite and independent of the lattice volume, one needs only compute the invariant states of a finite number of tensor product representations which does not scale with the lattice size.

As an example, we work out explicitly the case of a  $2 \times 2$  square lattice with periodic boundary conditions. As shown in Fig. 4.2, this system has four vertices and eight links. Expanding explicitly eq. (4.53) we see that in this case

$$\begin{aligned} \mathcal{H}_{\text{phys}} = & \bigoplus_{j_1, \dots, j_8} \text{Inv}\left[V_{j_1}^* \otimes V_{j_4}^* \otimes V_{j_5} \otimes V_{j_8}\right] \otimes \text{Inv}\left[V_{j_5}^* \otimes V_{j_2}^* \otimes V_{j_1} \otimes V_{j_7}\right] \otimes \\ & \otimes \text{Inv}\left[V_{j_6}^* \otimes V_{j_7}^* \otimes V_{j_3} \otimes V_{j_2}\right] \otimes \text{Inv}\left[V_{j_3}^* \otimes V_{j_8}^* \otimes V_{j_6} \otimes V_{j_4}\right]. \end{aligned} \quad (4.54)$$

Now consider a single invariant subspace  $\text{Inv}\left[V_{j_1}^* \otimes V_{j_2}^* \otimes V_{j_3} \otimes V_{j_4}\right]$  with arbitrary assignment of representations. This vector space admits an orthonormal basis  $\{|j_1 j_2 j_3 j_4; a\rangle\}$  where  $1 \leq a \leq \dim \text{Inv}\left[V_{j_1}^* \otimes V_{j_2}^* \otimes V_{j_3} \otimes V_{j_4}\right]$  indexes the basis vector. We can expand the basis vectors explicitly in terms of the bases of the  $V_j$  as (see also the discussion around eq. (4.30))

$$|j_1 j_2 j_3 j_4; a\rangle = \sum_{m_1, m_2, n_3, n_4} \psi(j_1 m_1 j_2 m_2 j_3 n_3 j_4 n_4; a) |j_1 m_1\rangle \otimes |j_2 m_2\rangle \otimes |j_3 n_3\rangle \otimes |j_4 n_4\rangle. \quad (4.55)$$

The basis vectors can be chosen orthonormal. By virtue of spanning the space  $\text{Inv}\left[V_{j_1}^* \otimes V_{j_2}^* \otimes V_{j_3} \otimes V_{j_4}\right]$ , they are invariant vectors of the tensor product representation  $\rho \equiv \rho_{j_1}^* \otimes \rho_{j_2}^* \otimes \rho_{j_3} \otimes \rho_{j_4}$ ; as such, they satisfy  $\rho(g) |j_1 j_2 j_3 j_4; a\rangle = |j_1 j_2 j_3 j_4; a\rangle$  for all  $g \in G$ . The coefficients of the expansion  $\psi(j_1 m_1 j_2 m_2 j_3 n_3 j_4 n_4; a)$  may be easily computed, for example by writing the tensor product representation matrices  $\rho(g)$  explicitly and then solving the simultaneous equations  $\rho(g)v = v$  for all  $g \in G$  (it is actually sufficient to do so on a set of generators of  $G$ ). The dimension of the space of invariant vectors depends on the four representations assigned to the relevant site. Now let  $A = (a_1, a_2, a_3, a_4)$ , which implicitly depends on  $\{j\}$  (because the range of each  $a_i$  depends on the irreps assigned to site  $i$ ). Given any assignment of irreps  $\{j\}$ ,  $A$  is a choice of a basis vector of invariant states at the four sites. Therefore an orthonormal

basis for the gauge invariant Hilbert space is given by

$$|\{j\}; A\rangle = |j_1 j_4 j_5 j_8; a_1\rangle \otimes |j_5 j_2 j_1 j_7; a_2\rangle \otimes |j_6 j_7 j_3 j_2; a_3\rangle \otimes |j_3 j_8 j_6 j_4; a_4\rangle , \quad (4.56)$$

for any possible assignment  $\{j\}$  of irreps to links, and then all possible choices  $A$  of an invariant vector at each of the four sites.

The spin-network states  $|\{j\}; A\rangle$  then form a basis of the gauge-invariant Hilbert space. Expanding the tensor product, we find an explicit expression for these states in terms of the representation basis,

$$|\{j\}; A\rangle = \sum_{n_1, \dots, n_8} \sum_{m_1, \dots, m_8} \psi(j_1 m_1 j_4 m_4 j_5 n_5 j_8 n_8 | a_1) \psi(j_5 m_5 j_2 m_2 j_1 n_1 j_7 n_7 | a_2) \times \quad (4.57)$$

$$\times \psi(j_6 m_6 j_7 m_7 j_3 n_3 j_2 n_2 | a_3) \psi(j_3 m_3 j_8 m_8 j_6 n_6 j_4 n_4 | a_4) \times \quad (4.58)$$

$$\times |j_1 m_1 n_1\rangle \otimes |j_2 m_2 n_2\rangle \otimes \cdots |j_8 m_8 n_8\rangle , \quad (4.59)$$

where we have restored the ordering of the vector spaces  $V_j$ s and used again the shorthand  $|jmn\rangle = |jm\rangle \otimes |jn\rangle$ . We note in particular that despite having introduced a splitting of the variables at each link, in the final answer this splitting disappears and the spin-network states can be entirely expressed in terms of the representation basis  $|jmn\rangle$ .

### 4.3.2 The dimension of the physical Hilbert space

As we have seen in the previous section, spin network states give an explicit description of the physical Hilbert space  $\mathcal{H}_{\text{phys}}$  as

$$\mathcal{H}_{\text{phys}} = \bigoplus_{\{\rho\} \in \{\Sigma\}} \bigotimes_{v \in \text{sites}} \text{Inv} \left[ \left( \bigotimes_{l_- = v} V_{\rho_l}^* \right) \otimes \left( \bigotimes_{l_+ = v} V_{\rho_l} \right) \right] , \quad (4.60)$$

where  $\text{Inv}(\rho)$  is the space of invariant vectors of the representation  $\rho$ ,  $\{\rho\}$  is an assignment of irreps to links and  $\{\Sigma\}$  is the set of such possible assignments. For a finite group,

$$\dim \text{Inv}(\rho) = \frac{1}{|G|} \sum_{g \in G} \chi_\rho(g) , \quad (4.61)$$

where  $\chi_\rho$  is the character of  $\rho$ . We prove this result in Appendix 4.4.2. This fact can be used to obtain a general formula for the dimension of the  $\mathcal{H}_{\text{phys}}$ , which is valid for any lattice in any dimension with any boundary conditions. On a lattice with  $L$  links and  $V$  sites, we will show that

$$\dim \mathcal{H}_{\text{phys}} = \sum_C \left( \frac{|G|}{|C|} \right)^{L-V} , \quad (4.62)$$

where the sum runs over all conjugacy classes  $C$  of the group, and  $|C|$  is the size of  $C$ . The ratio  $|G|/|C|$  is always an integer by the orbit-stabilizer theorem [119]. Using eq.(4.61), together with the fact that the character of a tensor product is given by the product of the characters, we may readily prove eq.(4.62). From the general formula for the gauge-invariant Hilbert space, we have

$$\begin{aligned} \dim \mathcal{H}_{\text{phys}} &= \sum_{j_1 j_2 \cdots j_L} \prod_{v \in \text{sites}} \dim \text{Inv} \left[ \left( \bigotimes_{l_- = v} V_{\rho_l}^* \right) \otimes \left( \bigotimes_{l_+ = v} V_{\rho_l} \right) \right] = \\ &= \frac{1}{|G|^V} \sum_{j_1 j_2 \cdots j_L} \sum_{g_1 g_2 \cdots g_V} \prod_{v \in \text{sites}} \left( \prod_{l_- = v} \chi_{j_l}^*(g_v) \right) \left( \prod_{l_+ = v} \chi_{j_l}(g_v) \right). \end{aligned}$$

Within the product over all sites, there are exactly  $2L$  factors of characters  $\chi$ , as each link contributes two representation spaces  $V$  and each representation space gives rise to a character. Thus grouping characters by link, we obtain

$$\dim \mathcal{H}_{\text{phys}} = \frac{1}{|G|^V} \sum_{g_1 g_2 \cdots g_V} \prod_{l = \langle xx' \rangle \in \text{links}} \langle g_x, g_{x'} \rangle. \quad (4.63)$$

where we denoted  $\langle g, h \rangle = \sum_j \chi_j(g)^* \chi_j(h)$ . It is a well-known result that  $\langle g, h \rangle$  is zero unless  $g$  and  $h$  belong to the same conjugacy class, in which case  $\langle g, h \rangle = |G|/|C|$  where  $C$  is the conjugacy class of both  $g$  and  $h$  [119]. If any two adjacent sites  $x$  and  $x'$  have  $g_x$  and  $g_{x'}$  in different conjugacy classes, then  $\langle g_x, g_{x'} \rangle = 0$  and the corresponding term in the sum is zero. Assuming that the lattice is connected, this implies that the product over all links is zero unless all the  $g_x$  at each site  $x$  belong to the same conjugacy class. Then, since  $\langle g_x, g_{x'} \rangle$  is constant on conjugacy classes, we can write

$$\dim \mathcal{H}_{\text{phys}} = \frac{1}{|G|^V} \sum_C \sum_{g_1 g_2 \cdots g_V \in C} \frac{|G|^L}{|C|^L} = \sum_C \left( \frac{|G|}{|C|} \right)^{L-V}, \quad (4.64)$$

which concludes the proof. In the Abelian case the above formula simplifies as all conjugacy classes are singlets and therefore  $\dim \mathcal{H}_{\text{phys}} = |G|^{L-V+1}$ . Thus finite Abelian groups have the largest physical Hilbert space among all groups of the same order. For periodic boundary conditions in a hypercubic lattice,  $L = Vd$  and as such  $\dim \mathcal{H} = |G|^{Vd}$ , while  $\dim \mathcal{H}_{\text{phys}} \approx |G|^{V(d-1)}$ , so that  $\dim \mathcal{H}_{\text{phys}} \approx (\dim \mathcal{H})^{1-1/d}$  and both spaces grow exponentially with the lattice size. For a finite group, one may compute all possible relevant spaces of invariant vectors with little effort as the number of tensor product spaces is bounded in  $d$  spatial dimensions by  $(\dim j_{\max})^{2d} \leq |G|^d$ , owing to  $\sum_j (\dim j)^2 = |G|$ , independently from the lattice volume. The exponential

Size	BCs	$L$	$V$	$L - V$	$\dim \mathcal{H}_{\text{phys}}$
$2 \times 2$	open	4	4	0	5
	periodic	8	4	4	8960
$2 \times 3$	open	7	6	1	28
	periodic	12	6	6	536576
$3 \times 3$	open	12	9	3	1216
	periodic	18	9	9	269221888

**Table 4.2.** Dimension of the physical subspace of  $D_4$  gauge theory on some small lattices in  $2 + 1$  dimensions.  $L$  is the number of links and  $V$  is the number of vertices.

scaling arises from considering all possible assignments of irreps to lattice links.

As a further example, we consider the dimension of the Hilbert space for pure  $D_4$  gauge theory. Using eq. (4.62), we find for  $G = D_4$  on a lattice with  $L$  links and  $V$  sites,

$$\dim \mathcal{H}_{\text{phys}} = 8^{L-V} \left( 2 + \frac{3}{2^{L-V}} \right). \quad (4.65)$$

The dimension of the physical Hilbert space for some two-dimensional finite square lattices in  $2 + 1$  dimensions is shown in Table 4.2. We see that its size grows quickly with the lattice size. We point out that even for a  $2 \times 2$  periodic lattice with a small group such as  $D_4$  it is not even feasible to write down all possible gauge-invariant states. Unless the structure happens to be very sparse, writing down the 8960 physical basis elements in terms of the  $|G|^L = 8^8$  basis elements in the representation basis using 4B floating point numbers would require roughly 600GB of memory.

## 4.4 Some proofs

### 4.4.1 Degeneracy of electric Hamiltonian

As discussed in Section 4, the degeneracy of the electric Hamiltonian given by the finite group Laplacian  $\Delta$  is directly related to the structure of the Cayley graph. In particular, it is a standard result that the graph Laplacian always has a zero mode and its degeneracy equals the number of connected components of the graph [123]. Here we show that the Cayley graph is connected if its generating set  $\Gamma$  generates the whole group. If instead  $\langle \Gamma \rangle \neq G$ , then the Cayley graph splits into connected components identified with the cosets of  $\langle \Gamma \rangle$  in  $G$ ; thus the degeneracy of the finite-group Laplacian  $\Delta$  equals  $|G|/|\langle \Gamma \rangle|$ .

Any subset  $\Gamma \in G$  generates a subgroup  $\langle \Gamma \rangle < G$ . The right cosets of  $\langle \Gamma \rangle$  are of the form  $\langle \Gamma \rangle h$  for  $h$  in  $G$ . Since cosets partition the group, any two group elements  $g_1$  and  $g_2$  will belong to some coset, say  $g_1 \in \langle \Gamma \rangle h_1$  and  $g_2 \in \langle \Gamma \rangle h_2$ . We want to show that there is an edge in the Cayley graph between group elements  $g_1$  and  $g_2$  if and only if  $\langle \Gamma \rangle h_1 = \langle \Gamma \rangle h_2$ . The fact that  $g_i \in \langle \Gamma \rangle h_i$  means that  $g_i = k_i h_i$  for some  $k_i \in \langle \Gamma \rangle$ . There is an edge between  $g_1$  and  $g_2$  if and only if  $g_1 g_2^{-1} = k_1 h_1 h_2^{-1} k_2 \in \Gamma$ . But since  $k_i \in \langle \Gamma \rangle$  this is equivalent to saying that  $h_1 h_2^{-1} \in \langle \Gamma \rangle$ , which is equivalent to  $\langle \Gamma \rangle h_1 = \langle \Gamma \rangle h_2$ . This concludes the proof.

#### 4.4.2 Counting of invariant states

In Section 4.62 we used the fact that for a generic representation  $\rho$ , the dimension of the space of invariant vectors is given by

$$\dim \text{Inv}(\rho) = \frac{1}{|G|} \sum_{g \in G} \chi_\rho(g) , \quad (4.66)$$

As is well known, if  $\rho$  is irreducible then the corresponding character sums to zero and there are no invariant states. This is to be expected since irreducible representations by definition have no non-trivial invariant subspaces, but any invariant vector would span an invariant subspace.

Here we provide a proof of the above formula. If  $v$  is an invariant vector for the representation  $\rho$ , by definition it satisfies  $\rho(g)v = v$  for all  $g \in G$ . Now we construct a projector onto the subspace of invariant vectors. We define the averaging map  $\text{Av} : V_\rho \rightarrow V_\rho$ ,

$$\text{Av}(v) = \frac{1}{|G|} \sum_{g \in G} \rho(g)v . \quad (4.67)$$

The averaging map is the projector onto the subspace of invariant vector. In fact, given an arbitrary vector  $v$ , we see that  $\text{Av}(v)$  is invariant because

$$\rho(g)\text{Av}(v) = \frac{1}{|G|} \sum_{h \in G} \rho(gh)v = \frac{1}{|G|} \sum_{h \in G} \rho(h)v = \text{Av}(v) . \quad (4.68)$$

Therefore,  $\text{Av}$  maps the representation space to the subspace of invariant vectors  $\text{Av} : V_\rho \rightarrow \text{Inv}(V_\rho)$ . Moreover, if  $v$  is invariant, then  $\text{Av}(v) = v$ , and more generally,  $\text{Av}^2 = \text{Av}$  by a similar calculation. This means that  $\text{Av}$  is a projector onto the subspace of invariant vectors. Then the size of projected subspace is as usual given by the trace of the projector,  $\dim \text{Inv}(\rho) = \text{tr}\{\text{Av}\}$ , which reproduces the above formula.

## 4.5 A case study: $D_4$

[ manca tutta la parte di risultati numerici ]

## appendix A

# Some results in representation theory

In this appendix we sum up some of the basic results of representation theory of finite and compact Lie groups. All the representation theory here presented is taken over the complex field  $\mathbb{C}$ .

### A.1 Basic results

A finite group only has finitely many representations up to equivalence, and they are all unitary:

**Theorem 1.** *Let  $G$  be a finite group and  $\Sigma$  the set of equivalence classes of irreducible representations (irreps) of  $G$ . Then,  $\Sigma$  is finite, and the representative of each class can be chosen to be unitary.*

We can then state the following:

**Theorem 2** (Burnside). *Let  $G$  be a finite group. Then:*

1. *If  $\dim(j)$  is the dimension of the  $j$ -th inequivalent irreps of  $G$ , and there are  $M$  such irreps, then*

$$\sum_{j=1}^M \dim(j)^2 = |G|, \quad (\text{A.1})$$

*where  $|G|$  is the order of the group.*

2. *The number of inequivalent irreducible representations of  $G$  is equal to the number of conjugacy classes of  $G$ .*

An immediate consequence follows:

**Corollary 1.** *If  $G$  is a finite Abelian group, then it has precisely  $|G|$  inequivalent irreps.*

Similar results apply to compact groups. First of all,

**Theorem 3.** *The irreps of a compact Lie group are finite-dimensional.*

Moreover,

**Theorem 4.** *Let  $G$  be a compact Lie group and  $\Sigma$  the set of equivalence classes of irreducible representations of  $G$ . Then,  $\Sigma$  is countable, and the representative of each class can be chosen to be unitary.*

Given the irreps  $\{\pi_j\}$  of a group (compact Lie or finite), these satisfy the so-called *orthogonality theorem*. The statement for compact Lie groups is the following:

**Theorem 5** (Orthogonality theorem for compact Lie groups). *Let  $\{\pi_j\}$  be unitary irreps of  $G$ . Then*

$$\int dg [\pi_j(g)]_{nm}^* [\pi_{j'}(g)]_{n'm'} = \frac{Vol(G)}{\dim(j)} \delta_{jj'} \delta_{nn'} \delta_{mm'}, \quad (\text{A.2})$$

where the volume of the group is the volume corresponding to the chosen Haar measure.

While the statement for finite groups needs some little adjustments:

**Theorem 6** (Orthogonality theorem for finite groups). *Let  $\{\pi_j\}$  be unitary irreps of  $G$ . Then*

$$\sum_{g \in G} [\pi_j(g)]_{nm}^* [\pi_{j'}(g)]_{n'm'} = \frac{|G|}{\dim(j)} d_{jj'} \delta_{nn'} \delta_{mm'} \quad (\text{A.3})$$

A useful corollary is that the sum of all matrices of a non-trivial irrep  $j$  is zero:

$$\int dg \pi_j(g) = 0 \quad \text{or} \quad \sum_{g \in G} \pi_j(g) = 0, \quad (\text{A.4})$$

where the former equation correspond to compact groups while the latter to finite groups. This follows by taking  $j'$  equal to the trivial representation, whose matrix elements are all equal to the identity. Then if  $j$  is non-trivial, the right-hand side of the orthogonality theorem is always zero. Taking  $m' = n'$  on the left-hand side gives the claim

## A.2 Character theory

In this section, we will only be concerned with finite groups. The irreps of a finite group  $G$  are the function  $\chi : G \rightarrow \mathbb{C}$  defined as the traces of irreps of  $G$ :

$$\chi_j(g) = \text{tr } \pi_j(g). \quad (\text{A.5})$$

There are as many irreducible characters as there are irreducible representations. We will use the following result:

**Theorem 7.** *The characters  $\{\chi_j\}$  of a group  $G$  form a basis for the space of class functions on  $G$ .*

A class function  $f$  satisfies

$$f(axa^{-1}) = f(x) \quad \text{for all } x, a \in G, \quad (\text{A.6})$$

which means that it is constant on conjugacy classes. We will also need the following

**Theorem 8** (Orthogonality theorem for characters). *The irreducible characters of a finite group are orthonormal, in the sense that*

$$\frac{1}{|G|} \sum_{g \text{ in } G} \chi_i^*(g) \chi_j(g) = \delta_{ij}. \quad (\text{A.7})$$

The characters also satisfy a different kind of orthogonality relation, where one sums over characters rather than over group elements:

**Theorem 9.** *The irreducible characters  $\{\chi_i\}$  of a finite group satisfy*

$$\sum_i \chi_i^*(g) \chi_i(h) = \begin{cases} |G|/|C(g)| & g \text{ and } h \text{ are conjugate} \\ 0 & \text{otherwise} \end{cases} \quad (\text{A.8})$$

where  $i$  indices the irreducible characters and  $|C(g)|$  is the size of the conjugacy class of  $g$ .

Finally, we can define the *convolution* of two class functions  $\phi$  and  $\psi$ :

$$(\phi * \psi)(g) = \sum_{h \in G} \phi(gh^{-1}) \psi(h). \quad (\text{A.9})$$

The convolution is symmetric,  $\phi * \psi = \psi * \phi$ . We will use the fact that the convolution of two characters is again a character,

$$\chi_i * \chi_j = \frac{|G|}{\dim(j)} \delta_{ij} \chi_j. \quad (\text{A.10})$$

This can be showed with a direct computation.

### A.3 Peter-Weyl theorem

The Peter-Weyl theorem is instrumental in the formulation of the Hamiltonian in [ inserire sec ref ]. See [ cit. T. Tao e A. W. Knapp ]<sup>[citation?]</sup> for the Lie group case and [ cit. J. P. Serre ]<sup>[citation?]</sup> for the finite group case. The statement for compact Lie groups is:

**Theorem 10** (Peter-Weyl for compact Lie groups). *Let  $G$  be a compact Lie group. Then*

- (i) *The space of square-integrable functions on  $G$  can be decomposed as a sum of representation spaces. More precisely,  $V_j$  is the vector space for the irreps  $\pi_j$ , then*

$$L^2(G) = \bigoplus_{j \in \Sigma} V_j^* \otimes V_j. \quad (\text{A.11})$$

- (ii) *The matrix elements of all the inequivalent irreps of  $G$  form an orthogonal basis for  $L^2(G)$ .*
- (iii) *If  $\{|g\rangle\}$  is the orthonormal group element basis for  $L^2(G)$ , then the orthonormal matrix element basis  $|jmn\rangle$  satisfies*

$$\langle g | jmn \rangle = \sqrt{\frac{\dim(j)}{\text{Vol}(G)}} [\pi_j(g)]_{mn}. \quad (\text{A.12})$$

Note that there are multiple ways of writing the Peter-Weyl decomposition, as

$$V^* \otimes V \simeq \text{End}V \simeq V^{\oplus \dim V} \quad (\text{A.13})$$

As we will see later, these correspond to different ways of seeing  $L^2(G)$  as a representation space.

Note that part (i) can be understood as a generalisation of the Fourier decomposition. In fact, since  $U(1)$  is Abelian, all of its irreps are one-dimensional and are given by matrix elements of the form  $\{e^{inx}\}$  for  $x \in S^1 = [0, 2\pi)$ . Then the Peter-Weyl theorem states that any square-integrable function on  $U(1) \simeq S^1$  can be written as a Fourier series.

Recall that matrix elements are defined as follows. Consider the examples of  $SU(2)$  [ citare Milstead Osborne ]<sup>[citation?]</sup>, but the generalisation is easy. As we know, the irreps of  $SU(2)$  are labeled by a half integer  $j \in \frac{1}{2}\mathbb{Z}^+$ . Then in this case

$$L^2(G) = \bigoplus_{j \text{ in } \frac{1}{2}\mathbb{Z}^+} V_j^* \otimes V_j, \quad (\text{A.14})$$

where  $V_j = \mathbb{C}^{2j+1}$ . We have irreps  $\pi_j$  for each  $j$  and the matrix elements are literally the elements of the matrices representing a certain  $U \in \mathrm{SU}(2)$  as a function of  $U$ . More precisely, they are functions

$$[\pi_j(\cdot)]_{mn} = \mathrm{SU}(2) \rightarrow \mathbb{C}, \quad g \mapsto [\pi_j(g)]_{mn}, \quad (\text{A.15})$$

where  $-j \leq m, n \leq j$  in integer steps. In the general case, it is more natural to take  $1 \leq m, n \leq \dim(j)$ .

In part (iii)  $\mathrm{Vol}(G)$  is the volume of the group given by the chosen Haar measure. The result of part (iii) can be readily derived as a consequence of (ii) and the orthogonality theorems for representations. The non-trivial statement is that the matrix elements of representations space  $L^2(G)$ , while the orthogonality is an algebraic statement. In fact, by (ii) the matrix elements  $[\pi_j]_{mn}$  form a basis for the space of wave-functions  $L^2(G)$ . The corresponding states are then given by

$$|jmn\rangle = C_{jmn} \int dU [\pi_j(U)]_{mn} |U\rangle, \quad (\text{A.16})$$

where the constant  $C_{jmn}$  can be chosen to ensure that the  $|jmn\rangle$  are normalized. Then we can compute their inner product,

$$\langle j'm'n' | jmn \rangle = C_{j'm'n'}^* C_{jmn} \frac{\mathrm{Vol}(G)}{\dim(j)} \delta_{jj'} \delta_{mm'} \delta_{nn'}.$$

It follows that the representation basis  $\{|jmn\rangle\}$  is orthonormal with an appropriate choice of constants,

$$C_{jmn} = \sqrt{\frac{\dim(j)}{\mathrm{Vol}(G)}}$$

for compact Lie groups. Everything we have said here also holds for finite groups, with the replacement  $\mathrm{Vol}(G) \rightarrow |G|$ .

Crucially, the Peter-Weyl theorem also holds for finite groups [119, Sec. 6.2]:

**Theorem 11** (Peter-Weyl for finite groups). *Let  $G$  be a finite groups. Then*

- (i) *The group algebra on  $G$  can be decomposed as a sum of representation spaces. More precisely, if  $V_j$  is the vector space for the  $j$ -th irrep  $\pi_j$ , then*

$$\mathbb{C}[G] = \bigoplus_{j \in \Sigma} V_j^* \otimes V_j. \quad (\text{A.17})$$

- (ii) *The matrix elements of all the inequivalent irreps of  $G$  form an orthogonal basis for  $\mathbb{C}[G]$ .*

(iii) If  $\{|g\rangle\}$  is the orthonormal group element basis for  $\mathbb{C}[G]$ , then the orthonormal matrix element basis  $\{|jmn\rangle\}$  can be chosen to satisfy

$$\langle g|jmn\rangle = \sqrt{\frac{\dim(j)}{|G|}} [\pi_j(g)]_{mn}. \quad (\text{A.18})$$

The result is essentially the same as in the compact case. Note that in the finite group case there is no issue of convergence, and as such we do not need to specify further information on the group algebra. The duality relation can be shown to hold in the same manner as for compact Lie groups.

## appendix B

# Some groups of interest

### B.1 The cyclic groups $\mathbb{Z}_N$

The cyclic group of order  $N$ , which we denote as  $\mathbb{Z}_N$ , consists of the powers  $1, r, \dots, r^{N-1}$  of an element  $r$  such that  $r^N = 1$ . This can be written formally as

$$\mathbb{Z}_N = \langle r | r^N = 1 \rangle. \quad (\text{B.1})$$

The group  $\mathbb{Z}_N$  can be realized as the group of rotations through angles  $2\pi k/N$  around an axis.

It is an Abelian group, therefore all its irreps are of degree 1. Such a representation associates with  $r$  a complex number  $\chi(r) = \omega$ , and with  $r^k$  the number  $\chi(r^k) = \omega^k$ . Since  $r^N = 1$ , we have  $\omega^N = 1$ , that is,  $\omega$  is a root of unity of degree  $N$ , which means

$$\omega = e^{i2\pi j/N}, \quad \text{for } j = 0, 1, \dots, N-1. \quad (\text{B.2})$$

Thus, all the irreps are labeled by an integer  $j = 0, \dots, N-1$  and are all of degree 1. So we do not need to specify the matrix elements obviously. The characters  $\chi_0, \chi_1, \dots, \chi_{N-1}$  are given by

$$\chi_j(r^k) = e^{i2\pi kj/N}. \quad (\text{B.3})$$

It is immediate to see that  $\chi_n \chi_{n'} = \chi_{n+n'}$ , where  $n + n'$  is taken modulo  $N$ . Because  $\mathbb{Z}_N$  is Abelian, all its conjugacy classes are singlets, i.e. contains only one element, hence we have  $N$  conjugacy classes.

Regarding the basis of the group algebra  $\mathbb{C}[\mathbb{Z}_N]$ , the group basis  $\{|r^k\rangle\}$  and the irreps basis  $|j\rangle$  are related by the transformation

$$|j\rangle = \sum_{k=0}^{N-1} |r^k\rangle \langle r^k |j\rangle = \frac{1}{\sqrt{N}} \sum_{k=0}^{N-1} \omega^{kj} |r^k\rangle, \quad (\text{B.4})$$

which is just the *quantum Fourier transform*.

## B.2 The dihedral groups $D_N$

The dihedral group  $D_N$  of order  $N$  is the group of rotations and reflections of the plane which preserve a regular polygon with  $n$  vertices. It contains  $N$  rotations, which form a subgroup isomorphic to  $\mathbb{Z}_N$ , and  $N$  reflections. Its order is  $2N$ . If we denote by  $r$  the rotation through an angle  $2\pi/N$  and if  $s$  is any of the reflections, we have:

$$r^N = 1, \quad s^2 = 1, \quad srs = r^{-1}. \quad (\text{B.5})$$

Each dihedral group  $D_N$  can be regarded as a finite subgroup of the Lie group  $O(2)$ . Each element of  $D_N$  can be written uniquely, either in the form  $r^k$  (with  $k = 0, \dots, N-1$ ) if it is just a rotation or in the form  $r^k s$  (with  $k = 0, \dots, N-1$ ). Notice that  $srs = r^{-1}$  implies  $sr^k s = r^{-k}$ , hence  $(r^k s)^2 = 1$ .

It is useful to note that  $D_N$  may be written as the semi-direct product of two cyclic groups,

$$D_N = \mathbb{Z}_2 \ltimes \mathbb{Z}_N, \quad (h_1, g_1)(h_2, g_2) = (h_1 h_2, g_1 \varphi_{h_1}(g_2)). \quad (\text{B.6})$$

Here  $\mathbb{Z}_N$  is the subgroup of rotations, and the  $\mathbb{Z}_2$  factor gives the action of the reflection. Setting  $\mathbb{Z}_2 = \{e, h\}$ , the twist  $\varphi$  acts as  $\varphi_e(g) = g$  and  $\varphi_h(g) = g^{-1}$ .

**Irreps for  $N$  even** First, there are 4 irreps of degree 1, obtained by letting  $\pm 1$  correspond to  $r$  and  $s$  in all possible ways. The characters of the one-dimensional irreps will be denoted with  $\psi_0, \dots, \psi_3$  and are given by the following table:

	$r$	$r^k$	$s$	$r^k s$
$\psi_0$	+1	+1	+1	+1
$\psi_1$	+1	+1	-1	-1
$\psi_2$	-1	$(-1)^k$	+1	$(-1)^k$
$\psi_3$	-1	$(-1)^k$	-1	$(-1)^{k+1}$

Next we consider representations of degree 2. Put  $\omega = e^{i2\pi/N}$  and let  $h$  be an arbitrary integer. We define a representation  $\rho^h$  of  $D_N$  by setting:

$$\rho^h(r) = \begin{pmatrix} \omega^h & 0 \\ 0 & \omega^{-h} \end{pmatrix} \quad \text{and} \quad \rho^h(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (\text{B.7})$$

A direct calculation shows that this is indeed a representation and for generic elements  $r^k$  and  $r^k s$  we have:

$$\rho^h(r^k) = \begin{pmatrix} \omega^{hk} & 0 \\ 0 & \omega^{-hk} \end{pmatrix} \quad \text{and} \quad \rho^h(r^k s) = \begin{pmatrix} 0 & \omega^{hk} \\ \omega^{-hk} & 0 \end{pmatrix}. \quad (\text{B.8})$$

Moreover,  $\rho^h$  and  $\rho^{N-h}$  are isomorphic. Hence we may assume  $0 \leq h \leq N/2$ . The extreme cases  $h = 0$  and  $h = N/2$  are uninteresting: The former corresponds to the one-dimensional irrep with character  $\psi_0 + \psi_1$ , while the latter to  $\psi_2 + \psi_3$ . On the other hand, for  $0 < h < N/2$ , the representation  $\rho^h$  are *irreducible*. The corresponding characters  $\chi_h$  are given by:

$$\chi_h(r^k) = \omega^{hk} + \omega^{-hk} = 2 \cos \frac{2\pi hk}{N} \quad \text{and} \quad \chi_h(r^k s) = 0 \quad (\text{B.9})$$

The irreducible representations of degree 1 and 2 constructed above are the *only irreducible representations* of  $D_N$ , up to isomorphism. In fact, the sum of the squares of their degrees

$$4 \times 1 + \left( \frac{N}{2} - 1 \right) \times 4 = 2N$$

equals to the order of  $D_N$  (see (A.1)).

**Irreps for  $N$  odd** In the case of odd  $N$  we only have *two one-dimensional irreps*, with character table

	$r$	$s$
$\psi_0$	+1	+1
$\psi_1$	+1	-1

We are missing the irreps  $\psi_2$  and  $\psi_3$  of the previous case because  $(-1)^N = +1$  is true only for  $N$  even.

The representations  $\rho^h$  of degree 2 are defined by the same formulas (B.7) as in the case where  $N$  is even. Those corresponding to  $0 < h < N/2$  are irreducible and pairwise non-isomorphic. Observe that, since  $N$  is odd, the condition  $h < n/2$  can also be written as  $h \leq (n-1)/2$ . The formulas of their characters is the same as (B.9).

These representations are the *only* ones. Indeed, it can be readily verified with formula (A.1) of Th. 2. The sum of the squares of their degrees is equal to

$$2 \times 1 + \frac{N-1}{2} \times 4 = 2N,$$

which is in fact the order of  $D_N$ .

**The case  $N = 4$**  We describe in more detail the dihedral group  $D_4$  of order 8. Its elements are

$$D_4 = \{1, r, r^2, r^3, s, rs, r^2s, r^3s\}$$

	$\{e\}$	$\{r, r^3\}$	$\{r^2\}$	$\{s, r^2s\}$	$\{rs, r^3s\}$
$\chi_0$	+1	+1	+1	+1	+1
$\chi_1$	+1	-1	+1	+1	-1
$\chi_2$	+1	+1	+1	-1	-1
$\chi_3$	+1	-1	+1	-1	+1
$\chi_4$	+2	0	-2	0	0

 Table B.1. Character table of  $D_4$ 

and it has 5 conjugacy classes:

$$\{e\}, \quad \{r, r^3\}, \quad \{r^2\}, \quad \{s, r^2\}, \quad \{rs, r^3s\}.$$

We also have 5 irreps  $\{\pi_j\}$ , which we number them with  $j = 0, \dots, 4$ . For  $j = 0, \dots, 3$  we have the one-dimensional irreps, while for  $j = 4$  we have the only two-dimensional irrep:

$$\pi_4(r) = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \quad \text{and} \quad \pi_4(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \quad (\text{B.10})$$

Alternatively, we can choose another two-dimensional representation  $\bar{\pi}_4$  which uses only real matrices

$$\bar{\pi}_4(r) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad \bar{\pi}_4(s) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (\text{B.11})$$

and isomorphic to  $\pi_4$ . The character table is shown in Table B.1.

As the  $j = 4$  is the only faithful representation, it is a natural choice for the magnetic Hamiltonian. [ forse ci sono un po' di notazione inconsistenti ]

# Acronyms

**d.o.f** degrees of freedom

**DCPT** deconfined-confined phase transition

**DMRG** Density Matrix Renormalization Group

**ED** Exact Diagonalization

**LGT** Lattice Gauge Theory

**MC** Monte Carlo

**MERA** Multiscale Entanglement Renormalization Ansatz

**MPS** Matrix Product State

**PEPS** Projected Entangled Pair State

**QCD** Quantum Chromodynamics

**QCM** Quantum Clock Model

**QED** Quantum Electrodynamics

**QFT** Quantum Field Theory

**QIM** Quantum Ising Model

**QS** Quantum Simulation

**SM** Statistical Mechanics

**SVD** Singular Value Decomposition

**TC** Toric Code

**tHS** ‘t Hooft string

**TN** Tensor Network

**WL** Wilson loop

**YM** Yang-Mills

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