# Plane and Edge Point based SLAM

Sun Qinxuan

July 19, 2017

## 1 Introduction

## 2 System Overview

see Section 4.1.1 for details;

## 3 Constraint Analysis

# 4 Plane and Point based Camera Tracking with Known Correspondences

There are  $N_{\pi}$  pairs of matched planes  $\{{}^{c}\pi_{i}, {}^{r}\pi_{i}\}_{i=1,\dots,N}$  between two frames (or between the map and one frame).  $\{{}^{c}\mathbf{p}_{s,k}^{i}, {}^{c}\mathbf{p}_{o,k}^{i}\}_{k=1,\dots,N_{p}^{i}}$  denote shadow points  ${}^{c}\mathbf{p}_{s,k}^{i}$  on plane  ${}^{c}\pi_{i}$  with corresponding occluding points  ${}^{c}\mathbf{p}_{o,k}^{i}$  causing the shadow.

 $\mathbf{w} = [\mathbf{t}^T, \omega^T]^T$  denotes the 6-DoF camera pose.

```
i
                                    plane index;
k
                                     point index;
c presuperscript
                                     current frame;
r presuperscript
                                    reference frame;
\pi_i = [\mathbf{n}_i^T, d_i]^T
                                     parameters of the i-th plane;
                                     the k-th occluding edge point;
\mathbf{p}_{o,k}
\left\{{}^{c}\pi_{i}, {}^{r}\pi_{i}\right\}_{i=1,\cdots,N}
                                     matched planes;
\{{}^{c}\mathbf{p}_{o,k}, {}^{r}\mathbf{p}_{o,k}\}_{k=1,\dots,N_p}\mathbf{w} = [\mathbf{t}^T, \omega^T]^T \in \mathbb{R}^6
                                     matched occluding edge points;
                                     6-DoF camera pose;
T_{cr} \in \mathbb{SE}(3)
                                     transformation from reference to current frame;
\mathbf{R}_{cr} \in \mathbb{SO}(3)
                                    rotation matrix;
\mathbf{t}_{cr} \in \mathbb{R}^3
                                     translation vector;
```

## 4.1 Plane based Tracking

#### 4.1.1 Plane Parameters' Constraints on Camera Motion

Suppose that the camera motion  $\mathbf{w}$  is calculate such that the corresponding planes in two successive frames coincide with each other. The objective function is defined as

$$J_{\pi}(\mathbf{w}) = \sum_{i=1}^{N} J_{\pi,i} = \sum_{i=1}^{N} \left( \|^{c} \mathbf{n}_{i} - \mathbf{R}_{cr}^{r} \mathbf{n}_{i} \|^{2} + \left[ {}^{c} d_{i} - \left( {}^{r} d_{i} + {}^{c} \mathbf{n}_{i}^{T} \mathbf{t}_{cr} \right) \right]^{2} \right)$$
(1)

The matrix  $\Psi_{\pi}$  is calculated by

$$\Psi_{\pi} = \sum_{i=1}^{N} \left( \frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right) \left( \frac{\partial J_{\pi,i}}{\partial \mathbf{w}} \right)^{T} 
= \sum_{i=1}^{N} 4 \begin{bmatrix} (^{r}d_{i} - {^{c}d_{i}})^{2} {^{c}\mathbf{n}_{i}}^{c} \mathbf{n}_{i}^{T} & \mathbf{0}_{3\times3} \\ \mathbf{0}_{3\times3} & (^{c}\mathbf{n}_{i} \times {^{r}\mathbf{n}_{i}}) (^{c}\mathbf{n}_{i} \times {^{r}\mathbf{n}_{i}})^{T} \end{bmatrix}$$
(2)

The matrix  $\Psi_{\pi}$  is actually a scatter matrix which contains information about the distribution of the gradient of  $J_{\pi,i}$  w.r.t.  $\mathbf{w}$  over all planes in the matched plane set. Performing principal component analysis upon  $\Psi_{\pi}$  results in

$$\Psi_{\pi} = Q_{\pi} \Lambda_{\pi} Q_{\pi}^{T} = \begin{bmatrix} \mathbf{q}_{\pi 1} & \cdots & \mathbf{q}_{\pi 6} \end{bmatrix} \begin{bmatrix} \lambda_{\pi 1} & & \\ & \ddots & \\ & & \lambda_{\pi 6} \end{bmatrix} \begin{bmatrix} \mathbf{q}_{\pi 1}^{T} \\ \vdots \\ \mathbf{q}_{\pi 6}^{T} \end{bmatrix}$$
(3)

where  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_6$  are the eigenvalues of  $\Psi_{\pi}$ , and  $\mathbf{q}_i$  are the corresponding eigenvectors, of which the first three elements are the translation components, and the last three elements are the rotation components. The eigenvector  $\mathbf{q}_1$  corresponding to the largest eigenvalue represents the transformation of maximum constraint. Perturbing the plane parameters by the transformation of the direction  $\mathbf{q}_1$  will result in the largest possible change in from among all possible transformation perturbations.

#### 4.1.2 Degenerate Cases

Define the matrix **H** and compute its SVD decomposition as

$$\mathbf{H} = \sum_{i=1}^{N} {}^{r} \mathbf{n}_{i} {}^{c} \mathbf{n}_{i}^{T} = \mathbf{U}_{\pi} \mathbf{\Lambda}_{\pi} \mathbf{V}_{\pi}^{T} = \lambda_{\pi,1} \mathbf{u}_{\pi,1} \mathbf{v}_{\pi,1}^{T} + \lambda_{\pi,2} \mathbf{u}_{\pi,2} \mathbf{v}_{\pi,2}^{T} + \lambda_{\pi,3} \mathbf{u}_{\pi,3} \mathbf{v}_{\pi,3}^{T}$$
(4)

where the singular values  $\lambda_{\pi,1}, \lambda_{\pi,2}, \lambda_{\pi,3}$  satisfy  $\lambda_{\pi,1} \geq \lambda_{\pi,2} \geq \lambda_{\pi,3}$ .

- (1) Assuming that  $\lambda_{\pi,3} = 0$ ,  ${}^{c}\mathbf{n}_{i}^{T}\mathbf{v}_{\pi,3} = 0$  holds true for all  $i = 1, \dots, N$ . For a small camera motion  $\delta \mathbf{w} = [\mu \mathbf{v}_{\pi,3}^{T}, \mathbf{0}^{T}]^{T}$  in the direction of  $\mathbf{v}_{\pi,3}$ , the variation of the cost function  $\delta J_{\pi}(\delta \mathbf{w}) = \delta \mathbf{w}^{T} \mathbf{\Psi}_{\pi} \delta \mathbf{w}$  caused by  $\delta \mathbf{w}$  is always zero. That is to say, the perturbation in the direction of  $\mathbf{v}_{\pi,3}$  will cause no change of the cost function.
- (2) Similarly, when  $\lambda_{\pi,1} = \lambda_{\pi,2} = 0$ , for all  $i = 1, \dots, N$   ${}^c\mathbf{n}_i$  satisfies  ${}^c\mathbf{n}_i^T\mathbf{v}_{\pi,2} = 0$ ,  ${}^c\mathbf{n}_i^T\mathbf{v}_{\pi,3} = 0$  and  ${}^c\mathbf{n}_i \times \mathbf{v}_{\pi,1} = 0$ . In this case, for a small camera motion  $\delta \mathbf{w} = [\mu_1\mathbf{v}_{\pi,2}^T + \mu_2\mathbf{v}_{\pi,3}^T, \mu_3\mathbf{v}_{\pi,1}^T]^T$ ,  $\delta J_{\pi}(\delta \mathbf{w}) = 0$ .

## 4.2 Point based Tracking

#### 4.2.1 Points' Constraints on Camera Motion

Suppose that all the occluding edge points are directly used in the pose estimation.

$$J_{p}(\mathbf{w}) = \sum_{k=1}^{N_{p}} J_{p,k} = \sum_{k=1}^{N_{p}} \mathbf{e}_{k}^{T} \mathbf{e}_{k}$$

$$= \sum_{k=1}^{N_{p}} \left( {^{c}\mathbf{p}'_{o,k} - R_{cr} \left( {^{r}\mathbf{p}'_{o,k}} \right) - \mathbf{t}'_{cr}} \right)^{T} \left( {^{c}\mathbf{p}'_{o,k} - R_{cr} \left( {^{r}\mathbf{p}'_{o,k}} \right) - \mathbf{t}'_{cr}} \right)$$
(5)

where all the point coordinates are referred to the centroid.

$$\mathbf{t}'_{cr} = \mathbf{t}_{cr} - {}^{c}\bar{\mathbf{p}}_{o} + R_{cr}({}^{r}\bar{\mathbf{p}}_{o})$$

$${}^{c}\mathbf{p}'_{o,k} = {}^{c}\mathbf{p}_{o,k} - {}^{c}\bar{\mathbf{p}}_{o}, \ {}^{r}\mathbf{p}'_{o,k} = {}^{r}\mathbf{p}_{o,k} - {}^{r}\bar{\mathbf{p}}_{o}$$

$${}^{c}\bar{\mathbf{p}}_{o} = \frac{1}{N_{p}} \sum_{k=1}^{N_{p}} {}^{c}\mathbf{p}_{o,k}, \ {}^{r}\bar{\mathbf{p}}_{o} = \frac{1}{N_{p}} \sum_{k=1}^{N_{p}} {}^{r}\mathbf{p}_{o,k}$$

$$(6)$$

The scatter matrix  $\Psi_p$  is defined likewise.

$$\Psi_p = \sum_{k=1}^{N_p} \left( \frac{\partial J_{p,k}}{\partial \mathbf{w}} \right) \left( \frac{\partial J_{p,k}}{\partial \mathbf{w}} \right)^T \tag{7}$$

where

$$\frac{\partial J_{p,k}}{\partial \mathbf{w}} = -2 \begin{bmatrix} \mathbf{I}_{3\times3} \\ {}^{r}\hat{\mathbf{p}}_{o,k}^{\prime} \end{bmatrix} \left( {}^{c}\mathbf{p}_{o,k}^{\prime} - R_{cr}({}^{r}\mathbf{p}_{o,k}^{\prime}) - \mathbf{t}_{cr} \right)$$
(8)

#### 4.2.2 Degenerate Cases

- (1) It can be seen from  $\Psi_p$  that if  $\exists \mathbf{v}$  such that  ${}^r\mathbf{p}'_{o,k} \times \mathbf{v} = 0$  for all  $k = 1, \dots, N_p$ , i.e., the measured points are collinear (at least two points),  $\delta J_p(\delta \mathbf{w}) = \delta \mathbf{w}^T \Psi_p \delta \mathbf{w} = 0$  for  $\delta \mathbf{w} = [\mathbf{0}^T, \mu \mathbf{v}^T]^T$ .
- (2) If there is only one measured point,  ${}^{r}\mathbf{p}'_{o,k} = \mathbf{0}$ . In this case, for any rotation  $\omega \in \mathbb{R}^{3}$ ,  $\delta J_{p}(\delta \mathbf{w}) = \delta \mathbf{w}^{T} \Psi_{p} \delta \mathbf{w} = 0$  for  $\delta \mathbf{w} = [\mathbf{0}^{T}, \omega^{T}]^{T}$ .

## 4.3 Plane and Point based Tracking

The overall objective function is

$$J(\mathbf{w}) = J_{\pi}(\mathbf{w}) + J_{p}(\mathbf{w}) \tag{9}$$

#### 4.3.1 Point Weighting

For each occluding edge point  ${}^{c}\mathbf{p}_{o,k}$ , a weight  $\alpha_{p,k}$  is assigned to the corresponding component in the cost function

$$J_p(\mathbf{w}) = \sum_{k=1}^{N_p} \alpha_{p,k} J_{p,k} \tag{10}$$

$$\alpha_{p,k} = \frac{\left(\frac{\partial J_{p,k}}{\partial \mathbf{w}}\right)^T \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}}{\partial \mathbf{w}}\right| \sqrt{\frac{\lambda_{\pi,j}}{\lambda_{\pi}}}}$$
(11)

$$j = \arg\max_{j} \frac{\left(\frac{\partial J_{p,k}}{\partial \mathbf{w}}\right)^{T} \mathbf{q}_{\pi,j}}{\left|\frac{\partial J_{p,k}}{\partial \mathbf{w}}\right|}$$
(12)

$$\bar{\lambda}_{\pi} = \frac{1}{6} \sum_{t=1}^{6} \lambda_{\pi,t} \tag{13}$$

#### 4.3.2 Degenerate Cases

Suppose that the measurements include both planes and points. Combining the degenerate cases stated in 1.1.2 and 1.2.2 yields the following cases.

- (1)  $\lambda_{\pi,1} = \lambda_{\pi,2} = 0$  and  ${}^r\mathbf{p}'_{o,k} \times \mathbf{v} = 0$  for all  $k = 1, \dots, N_p$  hold true simultaneously, and  $\mathbf{v}$  and  $\mathbf{v}_{\pi,1}$  happen to be of the same direction, i.e.,  $\mathbf{v} \times \mathbf{v}_{\pi,1} = 0$ . In this case, the camera motion along  $\delta \mathbf{w} = [\mathbf{0}^T, \mu \mathbf{v}_{\pi,1}^T]^T$  cannot be constrained.
- (2) If  $\lambda_{\pi,1} = \lambda_{\pi,2} = 0$  and there is only one measured point, the camera motion along  $\delta \mathbf{w} = [\mathbf{0}^T, \mu \mathbf{v}_{\pi,1}^T]^T$  cannot be constrained.

# 5 Plane and Point based Camera Tracking with Unknown Correspondences

The plane segmentation method proposed in [1] and the edge detection method proposed in [2] are used to detect the planar segments and edge points in an RGB-D scan. A detected planar segment  $\mathcal{P}_i$ ,  $i=1,\cdots,N$  has the following attributes.

- plane parameters  $\pi_i = [\mathbf{n}_i^T, d_i]^T$
- number of points  $N_{\pi,i}$
- centroid  $\mathbf{p}_{\pi,i}$
- covariance  $C_{\pi,i}$

Suppose that  $\{{}^r\mathcal{P}_i\}_{i=1,\cdots,N_r}$  and  $\{{}^c\mathcal{P}_j\}_{j=1,\cdots,N_c}$  are planar segments extracted from reference and current frame, respectively. Each planar segment  $\mathcal{P}_i$  is modeled as a Gaussian distribution  $\mathcal{N}(\mathbf{p}_{\pi,i},\mathbf{C}_{\pi,i})$ .  $\{{}^r\mathbf{p}_{o,k}\}_{k=1,\cdots,N_{pr}}$  and  $\{{}^c\mathbf{p}_{o,l}\}_{l=1,\cdots,N_{pc}}$  are occluding edge points extracted from reference and current frame, respectively. The planar segments and occluding edge points extracted from two successive frames are used simultaneously in a ICP framework. In each iteration of ICP, the correspondences between planar segments are assigned by checking the Bhattacharyya distance between the Gaussian distribution that models the planar segments.

$$D_{Bha}(\mathcal{P}_i, \mathcal{P}_j) = \frac{1}{8} \left( \mathbf{p}_{\pi,i} - \mathbf{p}_{\pi,j} \right)^T \mathbf{C}_{\pi}^{-1} \left( \mathbf{p}_{\pi,i} - \mathbf{p}_{\pi,j} \right) + \frac{1}{2} \ln \left( \frac{|\mathbf{C}_{\pi}|}{\sqrt{|\mathbf{C}_{\pi,i}||\mathbf{C}_{\pi,j}|}} \right)$$
(14)

	Table 1:	
	Plane-Point ICP	STING
Fr1/xyz	0.0123	0.0114
Fr1/room	0.0817	0.0832
Fr3/cabinet	0.0382	0.0326

where  $\mathbf{C}_{\pi} = \frac{1}{2} (\mathbf{C}_{\pi,i} + \mathbf{C}_{\pi,j})$ . The correspondences between points are assigned by checking the Euclidean distance between the point coordinates.

$$D_{Euc}(\mathbf{p}_{o,k}, \mathbf{p}_{o,l}) = \|\mathbf{p}_{o,k} - \mathbf{p}_{o,l}\|_2 \tag{15}$$

The whole ICP framework is presented in Algorithm 1.

#### Algorithm 1 Planar Segment and Occluding Edge Point based ICP

#### **Inputs:**

Planar segments extracted from two frames  $\{{}^{r}\mathcal{P}_{i}\}_{i=1,\dots,N_{r}}$  and  $\{{}^{c}\mathcal{P}_{j}\}_{j=1,\dots,N_{c}}$ .

Occluding edge points extracted from two frames  $\{{}^{r}\mathbf{p}_{o,k}\}_{k=1,\cdots,N_{pr}}$  and  $\{{}^{c}\mathbf{p}_{o,l}\}_{l=1,\cdots,N_{pc}}$ .

#### **Outputs:**

Transformation between two frames  $T_{cr} = \mathbf{R}_{cr}, \mathbf{t}_{cr}$ .

## 6 Plane Fusion

A plane  $\mathcal{P}_i$ 

- plane parameters  $\pi_i = [\mathbf{n}_i^T, d_i]^T$
- number of points  $N_{\pi,i}$
- centroid  $\mathbf{p}_{\pi,i}$
- covariance  $\mathbf{C}_{\pi,i}$
- curvature  $\rho_{\pi,i}$  1
- shadow points  $\left\{\mathbf{p}_{s,k}^{i}, k=1,\cdots,N_{p}^{i}\right\}$

## 7 Least Primitives for Pose Estimation

#### 7.1 Three Planes

Three corresponding non-parallel planes  $\{r_{\pi_i}, r_{\pi_i}, r_{\pi_$ 

$${}^{r}\mathbf{M}_{1} = \begin{bmatrix} {}^{r}\mathbf{n}_{1} & {}^{r}\mathbf{n}_{2} & {}^{r}\mathbf{n}_{3} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{1} = \begin{bmatrix} {}^{c}\mathbf{n}_{1} & {}^{c}\mathbf{n}_{2} & {}^{c}\mathbf{n}_{3} \end{bmatrix}$$

$$\mathbf{d} = \begin{bmatrix} {}^{c}d_{1} - {}^{r}d_{1} \\ {}^{c}d_{2} - {}^{r}d_{2} \\ {}^{c}d_{3} - {}^{r}d_{3} \end{bmatrix}$$

$$(16)$$

<sup>&</sup>lt;sup>1</sup>Note that the curvature here is just an indication that tells how a surface deviates from being a flat plane, rather than the strictly defined curvature.

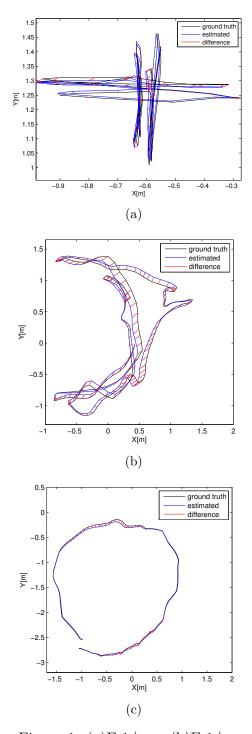
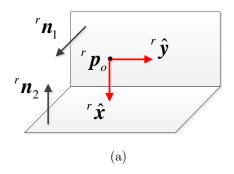


Figure 1: (a)Fr1/xyz; (b)Fr1/room; (c)Fr3/cabinet;



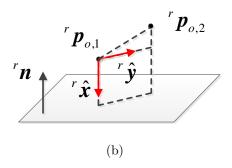


Figure 2:

The rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{1}{}^{r}\mathbf{M}_{1}^{-1}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{M}_{1}^{-T}\mathbf{d}$$
(17)

#### 7.2 Two Planes and One Point

Two corresponding non-parallel planes  $\{{}^{r}\pi_{i}, {}^{c}\pi_{i}, \}_{i=1,2}$  and one corresponding point  $\{{}^{r}\mathbf{p}_{o}, {}^{c}\mathbf{p}_{o}\}$ . Construct three axes  ${}^{r}\hat{\mathbf{x}}, {}^{r}\hat{\mathbf{y}}, {}^{r}\hat{\mathbf{z}}$  in reference coordinate system and locate the origin at  ${}^{r}\mathbf{p}_{o}$ .

$${}^{r}\hat{\mathbf{x}} = -{}^{r}\mathbf{n}_{2}$$

$${}^{r}\hat{\mathbf{y}} = {}^{r}\mathbf{n}_{1} \times {}^{r}\mathbf{n}_{2}$$

$${}^{r}\hat{\mathbf{z}} = {}^{r}\hat{\mathbf{x}} \times {}^{r}\hat{\mathbf{y}}$$

$$(18)$$

The axes and the origin in current frame is constructed likewise.

Let

$${}^{r}\mathbf{M}_{2} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{2} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}$$
(20)

Then the rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{2}{}^{r}\mathbf{M}_{2}^{T}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o} - \mathbf{R}_{cr}{}^{r}\mathbf{p}_{o}$$
(21)

#### 7.3 One Plane and Two Points

One corresponding plane  $\{{}^r\boldsymbol{\pi}, {}^c\boldsymbol{\pi}, \}$  and two different corresponding points  $\{{}^r\mathbf{p}_{o,j}, {}^c\mathbf{p}_{o,j}\}_{j=1,2}$  satisfying  $({}^r\mathbf{p}_{o,1} - {}^r\mathbf{p}_{o,2}) \times {}^r\mathbf{n} \neq 0$  and  $({}^c\mathbf{p}_{o,1} - {}^c\mathbf{p}_{o,2}) \times {}^c\mathbf{n} \neq 0$ . Construct three axes  ${}^r\hat{\mathbf{x}}, {}^r\hat{\mathbf{y}}, {}^r\hat{\mathbf{z}}$  in reference coordinate system and locate the origin at  ${}^r\mathbf{p}_{o,1}$ .

$${}^{r}\hat{\mathbf{x}} = -{}^{r}\mathbf{n}$$

$${}^{r}\mathbf{y} = ({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1}) - (({}^{r}\mathbf{p}_{o,2} - {}^{r}\mathbf{p}_{o,1})^{T} {}^{r}\mathbf{n}) {}^{r}\mathbf{n}$$

$${}^{r}\hat{\mathbf{y}} = \frac{{}^{r}\mathbf{y}}{\|{}^{r}\mathbf{y}\|}$$

$${}^{r}\hat{\mathbf{z}} = {}^{r}\hat{\mathbf{x}} \times {}^{r}\hat{\mathbf{y}}$$

$$(22)$$

The axes and the origin in current frame is constructed likewise.

$$c^{c}\hat{\mathbf{x}} = -c^{c}\mathbf{n}$$

$$c^{c}\mathbf{y} = (c^{c}\mathbf{p}_{o,2} - c^{c}\mathbf{p}_{o,1}) - ((c^{c}\mathbf{p}_{o,2} - c^{c}\mathbf{p}_{o,1})^{T} c^{c}\mathbf{n})^{c}\mathbf{n}$$

$$c^{c}\hat{\mathbf{y}} = \frac{c^{c}\mathbf{y}}{\|c^{c}\mathbf{y}\|}$$

$$c^{c}\hat{\mathbf{z}} = c^{c}\hat{\mathbf{x}} \times c^{c}\hat{\mathbf{y}}$$

$$(23)$$

Let

$${}^{r}\mathbf{M}_{3} = \begin{bmatrix} {}^{r}\hat{\mathbf{x}} & {}^{r}\hat{\mathbf{y}} & {}^{r}\hat{\mathbf{z}} \end{bmatrix}$$

$${}^{c}\mathbf{M}_{3} = \begin{bmatrix} {}^{c}\hat{\mathbf{x}} & {}^{c}\hat{\mathbf{y}} & {}^{c}\hat{\mathbf{z}} \end{bmatrix}$$
(24)

Then the rotation  $\mathbf{R}_{cr}$  and translation  $\mathbf{t}_{cr}$  can be computed as

$$\mathbf{R}_{cr} = {}^{c}\mathbf{M}_{3}{}^{r}\mathbf{M}_{3}^{T}$$

$$\mathbf{t}_{cr} = {}^{c}\mathbf{p}_{o,1} - \mathbf{R}_{cr}{}^{r}\mathbf{p}_{o,1}$$
(25)

# References

- [1] Efficient Organized Point Cloud Segmentation with Connected Components, SPME, 2013.
- [2] RGB-D Edge Detection and Edge-based Registration, IROS, 2013.