

# Modelling language: documents

Angus Roberts, Senior Lecturer in Health Informatics Institute of Psychiatry, Psychology and Neuroscience King's College London



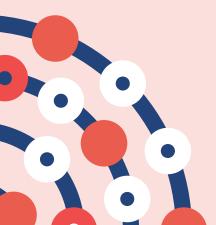
## Representing language

- How can we represent meaning in language?
- Symbolic, rationalist approaches
- Empirical approaches
  - Bag-of-words
  - TF-IDF





# Symbolic, rationalist approaches



## Symbolic NLP

- If we want to manipulate language computationally, and process it, we need to represent it in some way
- In rule-based, symbolic NLP, we can consider language to be represented as strings of characters
  - A string of characters has no inherent meaning
  - We match these strings with expressions (rules, grammars) that define some pattern of characters
  - These rules give meaning to our strings
  - The approach can be extended to POS and other features
- The thinking is that language could be reasoned about in some logical way, and that the structures of language could be rationalised in to sets of rules
- Prolog, a logic programming language, was the tool of choice for this



## **Prolog grammars**

```
/* DOG GRAMMAR.PL */
s --> np, vp.
np --> n. np --> adj, n. np --> adj, adj, n.
np \longrightarrow det, n. np \longrightarrow det, adj, n. np \longrightarrow det, adj, adj, n.
vp --> v, np. vp --> v, pp.
pp --> p, np.
det \longrightarrow [the]. det \longrightarrow [a]. det \longrightarrow [an].
n \longrightarrow [dogs]. n \longrightarrow [fox]. n \longrightarrow [jumps].
adj \longrightarrow [quick]. adj \longrightarrow [brown]. adj \longrightarrow [lazy].
v \longrightarrow [jumps]. \quad v \longrightarrow [runs].
p --> [over].    p --> [onto].
p --> [in].    p --> [under].
```

Credit: John Coleman, http://www.phon.ox.ac.uk/coleman

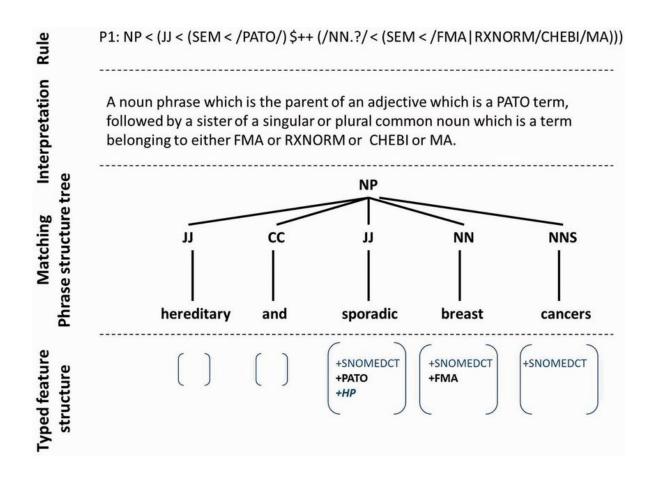


#### **Grammars**

- How many rules does it take to represent "grammatical" English?
- Do we have the same problem for all languages?
- What about medical language?
- Can we write grammars that capture not the syntax, but the semantics of language? i.e. the real-world categories that words relate to, and the relationships between them?
  - e.g. diseases, medications, anatomy?



#### **Semantic grammars**



Collier et al (2015). PhenoMiner: From text to a database of phenotypes associated with OMIM diseases. Database. 2015. bav104. 10.1093/database/bav104.



# Rationalism vs Empiricism

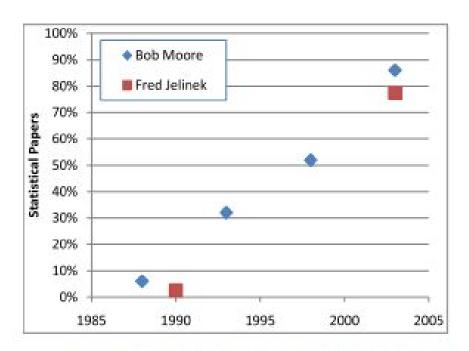


FIGURE 1 The shift from Rationalism to Empiricism is striking (and no longer controversial). This plot is based on two independent surveys of ACL meetings by Bob Moore and Fred Jelinek (personal communication).

Church, LiLT Volume 2, Issue 4 May 2007



### **Empiricism**

- Empiricism is at the heart of current NLP
  - How often do words appear?
  - How many of a particular word appear in a document?
  - Statistical models of language
- Is it upsetting that counting words in a document outperforms reasoning about the language?



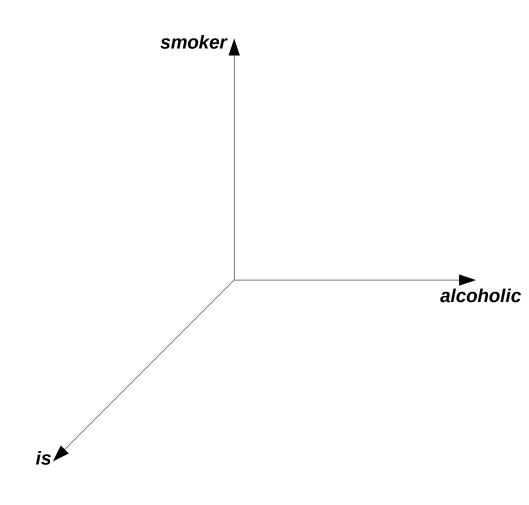




# Empirical representations: bag-of-words

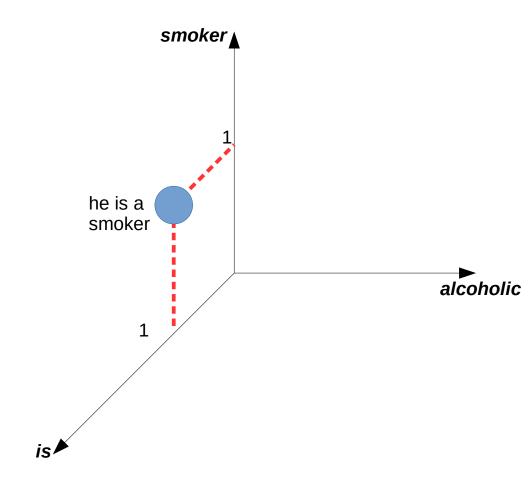


- Let's plot four sentences:
  - he is a smoker
  - she is alcoholic
  - he is anxious
  - he is diabetic and diet controlled
- Along 3 dimensions:
  - smoker
  - alcoholic
  - is



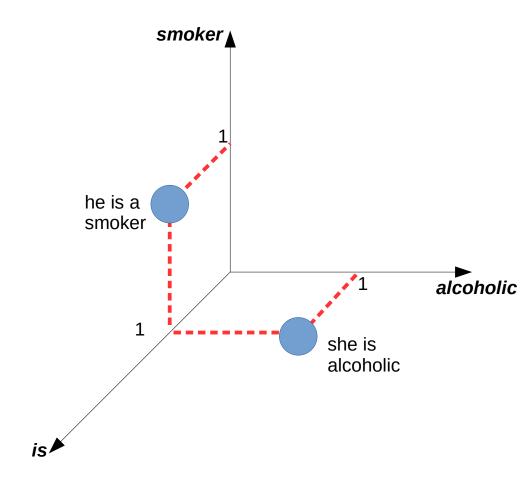


he is a smoker



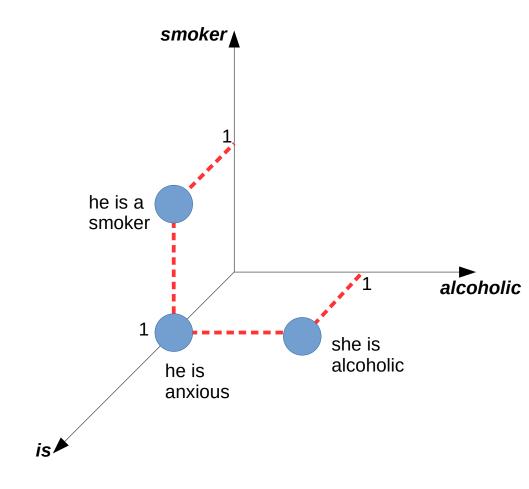


- he is a smoker
- she is alcoholic



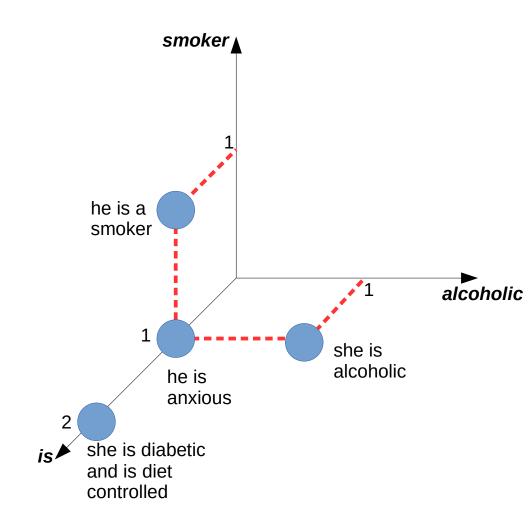


- he is a smoker
- she is alcoholic
- he is anxious





- he is a smoker
- she is alcoholic
- he is anxious
- she is diabetic and is diet controlled





- Works surprisingly well on some problems
- But...
  - No word order: loss of context
  - The Curse of Dimensionality: the power of our classifier reduces as the number of dimensions increases
  - Over fitting: given a low number of training instances relative to number of features
  - Important but less frequent words can have less of an influence than less important but more frequent words

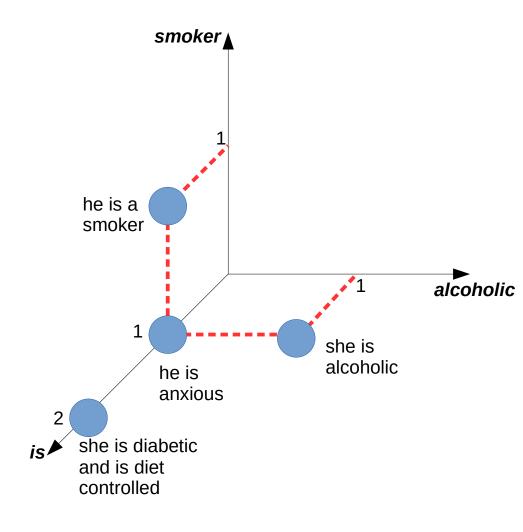




# Term frequency and inverse document frequency



- The occurrence of a rare word like "smoker" or "alcoholic" has as much influence on the vector as the occurrence of a common word like "is"
- Lots of occurrences of a common word (such as two mentions of "is" in one sentence) has a bigger effect than a more discriminating rare word





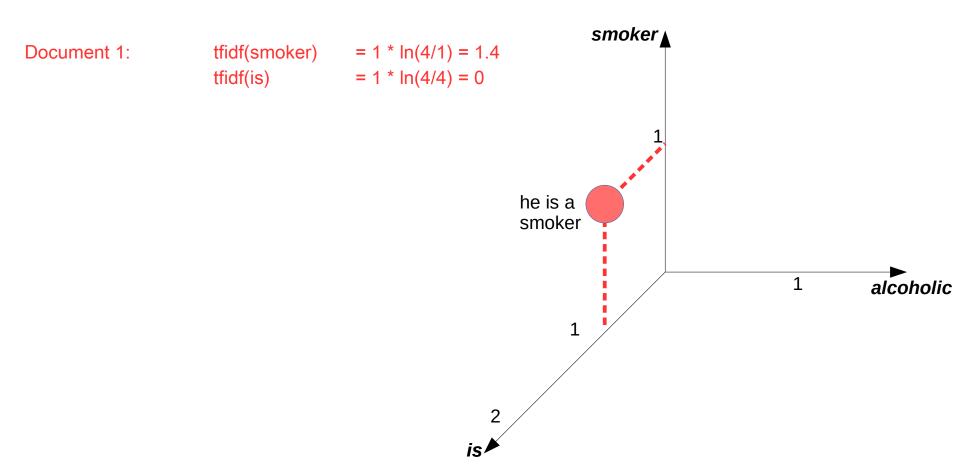
#### **TFIDF**

- The occurrence of a rare word like "smoker" or "alcoholic" has as much influence on the vector as the occurrence of a common word like "is"
- Lots of occurrences of a common word (such as two mentions of "is" in one sentence) has a bigger effect than a more discriminating rare word
- We can scale our BoW **term frequencies**, multiplying each by a factor that accounts for how rare the term is an **inverse document frequency (idf)**

$$idf for word = \frac{number of documents}{number of documents containing word}$$

- Usually this is scaled further by taking the log
- The rarer a word, the higher idf
- The more common a word, the lower idf
- tf x idf scales the influence of each term accordingly







```
smoker A
Document 1:
                      tfidf(smoker)
                                       = 1 * ln(4/1) = 1.4
                                                                  he is a
                                       = 1 * ln(4/4) = 0
                      tfidf(is)
                                                                  smoker
                                                                                                     alcoholic
                                                                 1
```

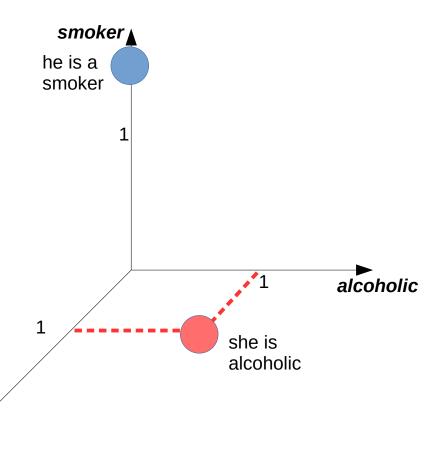


Document 1: fidf(smoker) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 2: fidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

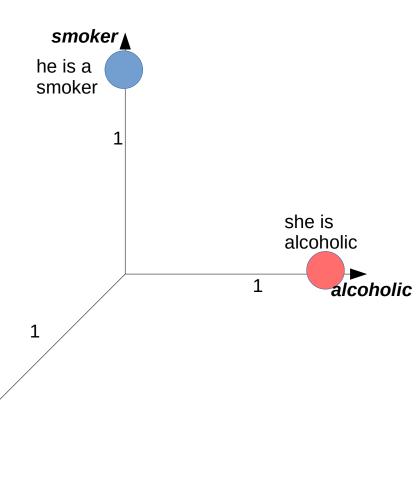


Document 1: fidf(smoker) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 2: fidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0



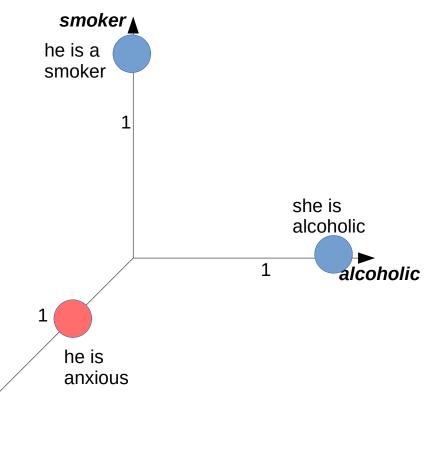


Document 1: fidf(smoker) = 1 \* ln(4/1) = 1.4fidf(is) = 1 \* ln(4/4) = 0

Document 2: tfidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 3: tfidf(is) = 1 \* ln(4/4) = 0



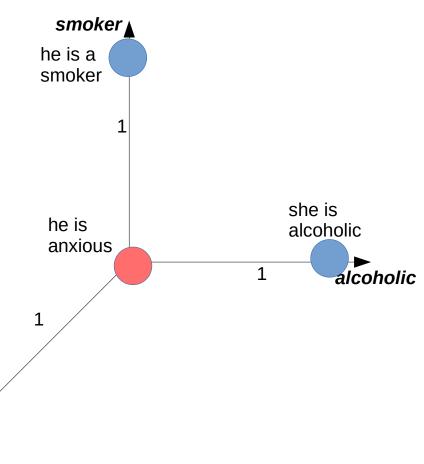
Document 1: fidf(smoker) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 2: tfidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 3: tfidf(is) = 1 \* ln(4/4) = 0



Document 1: tfidf(smoker) = 1 \* ln(4/1) = 1.4

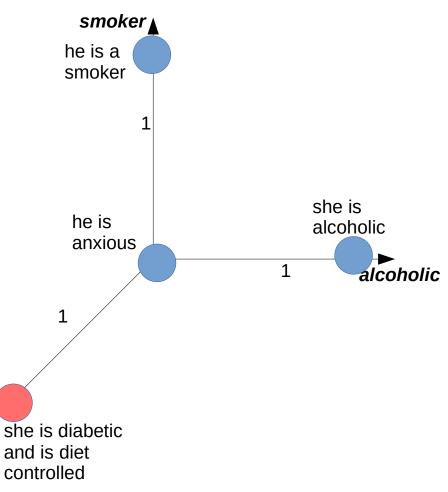
tfidf(is) = 1 \* ln(4/4) = 0

Document 2: tfidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 3: fidf(is) = 1 \* ln(4/4) = 0

Document 4: tfidf(is) = 2 \* ln(4/4) = 0





Document 1: tfidf(smoker) = 1 \* ln(4/1) = 1.4

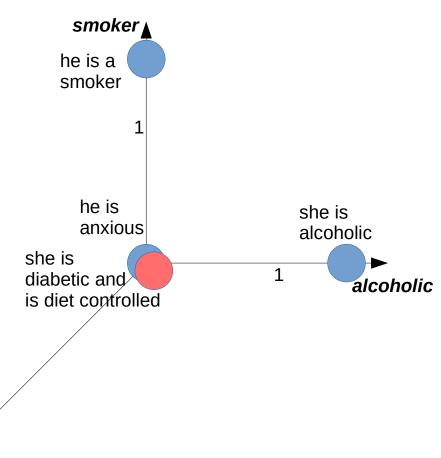
tfidf(is) = 1 \* ln(4/4) = 0

Document 2: tfidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 3: fidf(is) = 1 \* ln(4/4) = 0

Document 4: fidf(is) = 2 \* ln(4/4) = 0



Document 1: tfidf(smoker) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

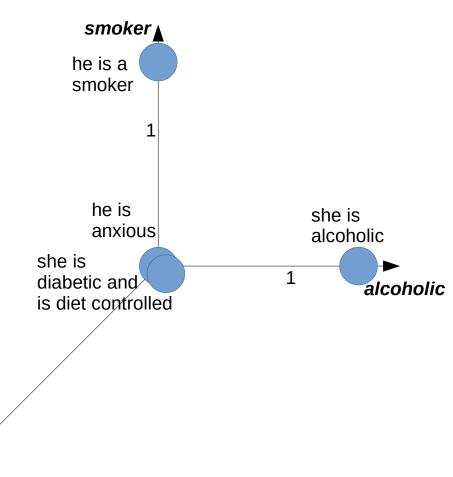
Document 2: tfidf(alcoholic) = 1 \* ln(4/1) = 1.4

tfidf(is) = 1 \* ln(4/4) = 0

Document 3: fidf(is) = 1 \* ln(4/4) = 0

Document 4: fidf(is) = 2 \* ln(4/4) = 0

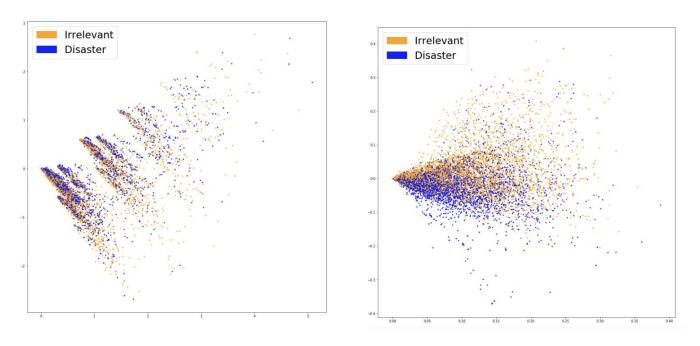
- Influence of rare words increased
- Influence of common words decreased





#### **BoW vs TFIDF**

Projections on to two dimensions of BoW (left) and TFIDF (right) vector spaces for words in tweets about disasters, and tweets not about disasters



From: https://blog.insightdatascience.com/how-to-solve-90-of-nlp-problems-a-step-by-step-guide-fda605278e4e



# More complex features

- Introduce word order
- Reduce dimensions and find the commonalities: increase ratio of instances to features
  - Morphological roots / lemmas
  - Parts of speech he, she → pronouns
  - Semantic classes mother, father → parent
- Introduce context
  - dependencies between parts of the sentence e.g. subject, verb and object
  - embeddings





Thank you.
Any questions?

angus.roberts@kcl.ac.uk





# Modelling language: words

Angus Roberts, Senior Lecturer in Health Informatics Institute of Psychiatry, Psychology and Neuroscience King's College London



#### Representing words and context

- BoW and TFIDF typically model a piece of text e.g. sentences or documents
- But how can we model words numerically?
  - Vector based representations
- And how can we take in to account
  - Their similarities
  - Their meaning, or semantics



# Distributional semantics



#### Wombling and snetches

The Captain's side raked first. Tom staked. The hired sportsmen played so hard that they wombled too fast, and were shaky with the rakes. Tom fooled around the way he always did, and all his stakes dropped true. When it was his turn to rake he did not let Captain Najork and the hired sportsmen score a single rung, and at the end of the snetch he won by six ladders.

(How Tom beat Captain Najork and his hired sportsmen Russell Hoban and Quentin Blake)

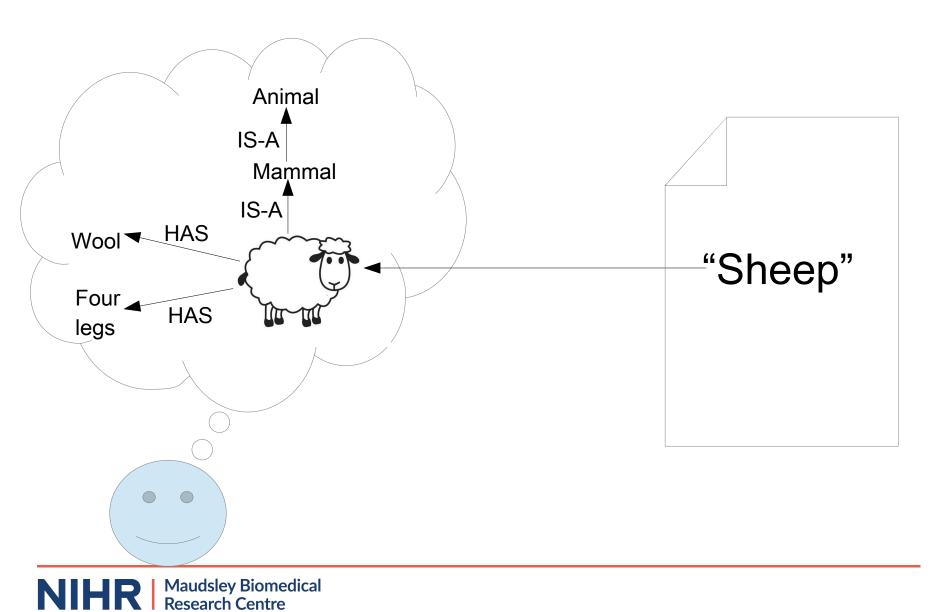


#### Distributional semantics

- "The meaning of a word is its use in language" (Wittgenstein)
- "You shall know a word by the company it keeps" (Firth)
- The contexts in which words appear correlate with their meaning
- We understand a word by its distribution: the set of contexts in which it is found
- "Don't think, but look!" (Wittgenstein)



### **Lexical semantics**



### Formal semantics and lexical semantics

- A contrast to distributional semantics
- Formal semantics
  - models the relationship between language and the world
  - defines meaning in terms of this model
  - defines languages in terms of formal logic
- The lexicon is defined as mappings from words to structured, conceptual knowledge



### Complementary

- Distributional semantics is based on statistics, formal semantics on formal mathematics
- Distributional semantics is differential, lexical semantics is referential
- Distributional semantics is based on large corpora, lexical semantics (more often) on structured lexicons
- Gathering a corpus is easier than building a lexicon



### Lack of grounding

- One criticism of distributional approaches is that they lack grounding in real world knowledge
- Consider the task of finding semantic features for sheep – which of these approaches are grounded?
  - Generated by psychology students (McRae, 2005):
    - have four legs, say "Baah", have wool, are white
  - Generated from texts using a rule based approach (Barbu, 2009):
    - live on farms, graze, get scrapie
  - Collocates (nearby words) in Google (via WebCorp):
    - society, wool, association, breed...

#### References:

McCrae et al 2005, Semantic feature production norms for a large set of living and nonliving things, https://doi.org/10.3758/bf03192726

Barbu, 2010, Extracting conceptual structures from multiple sources, PhD thesis, University of Trento http://www.webcorp.org.uk/live/







### Representing context



#### Collocations

VE: The authors compared the efficacy of olanzapine and lithium in the prevention of mood nd received open-label co-treatment with olanzapine and lithium for 6-12 weeks. Those meet in Pharmacokinet. 1999 Sep;37(3):177-93. Olanzapine. Pharmacokinetic and pharmacodynamic p patients with schizophrenia confirm that olanzapine is a novel antipsychotic agent with br d with traditional antipsychotic agents, olanzapine causes a lower incidence of extrapyram urbation of prolactin levels. Generally, olanzapine is well tolerated. The pharmacokinetic okers and men have a higher clearance of olanzapine than women and nonsmokers. After admin rred between olanzapine and alcohol, and olanzapine and imipramine, implying that patients:485-92. doi: 10.1192/bjp.bp.107.037903. Olanzapine for the treatment of borderline person o evaluate treatment with variably dosed olanzapine in individuals with borderline person double-blind trial, individuals received olanzapine (2.5-20 mg/day; n=155) or placebo (n=1 rried-forward methodology. RESULTS: Both olanzapine and placebo groups showed significant p. CONCLUSIONS: Individuals treated with olanzapine and placebo showed significant but not he types of adverse events observed with olanzapine treatment appeared similar to those ob is study compared three dosage ranges of olanzapine (5 +/- 2.5 mg/day [Olz-L], 10 +/- 2.5

- Collocates from www.webcorp.org.uk
- Restricted to \*.ncbi.nlm.nih.gov (i.e. mostly PubMed abstracts)



### **Contexts as matrices**

- Build matrices of event frequencies, where events are words in documents
  - Row: words (or terms)
  - Column: colocated words (or documents or sentences, or....)
- Bag of words, with the bag represented as a vector (row)
- The row gives a "signature" of the word / term
- Sequential information is lost (at least in the simplest models)
- The matrix will be sparse



	treatment	mg	anti- psychotic	placebo	patients
olanzapine	110	86	76	75	73

- Top 5 collocates for olanzapine
- Collocates four to the left and right, from www.webcorp.org.uk
- Restricted to \*.ncbi.nlm.nih.gov (i.e. mostly PubMed abstracts)
- Normalised to collocates per 1000 hits



	treatment	mg	anti- psychotic	placebo	patients
olanzapine	110	86	76	75	73
clozapine	70	30	78	0	89

- Top 5 collocates for olanzapine
- Collocates four to the left and right, from www.webcorp.org.uk
- Restricted to \*.ncbi.nlm.nih.gov (i.e. mostly PubMed abstracts)

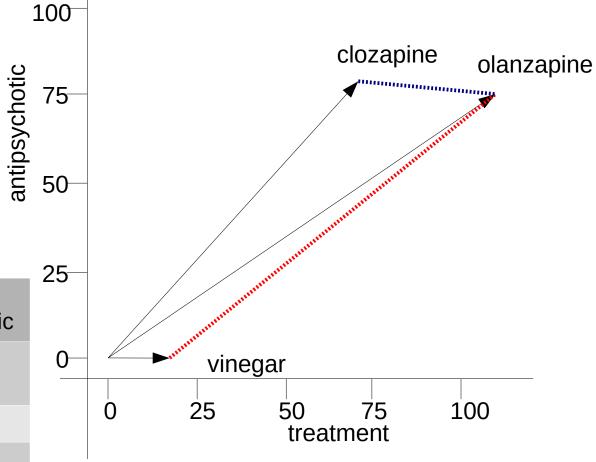
	treatment	mg	anti- psychotic	placebo	patients
olanzapine	110	86	76	75	73
clozapine	70	30	78	0	89
vinegar	15	0	0	0	0

- Top 5 collocates for olanzapine
- Collocates four to the left and right, from www.webcorp.org.uk
- Restricted to \*.ncbi.nlm.nih.gov (i.e. mostly PubMed abstracts)

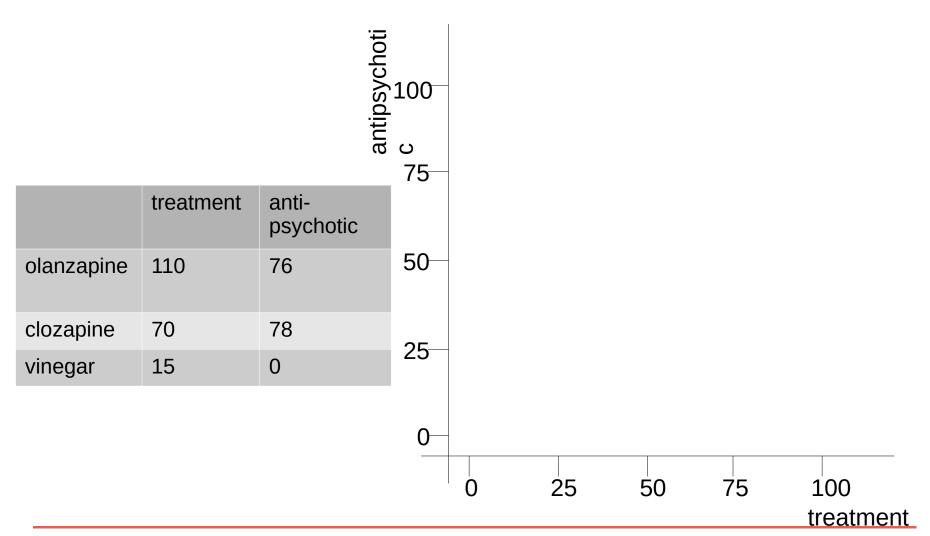
	treatment	mg	anti- psychotic	placebo	patients	balsamic
olanzapine	110	86	76	75	73	0
clozapine	70	30	78	0	89	0
vinegar	15	0	0	0	0	109

- Top 5 collocates for olanzapine
- Collocates four to the left and right, from www.webcorp.org.uk
- Restricted to \*.ncbi.nlm.nih.gov (i.e. mostly PubMed abstracts)

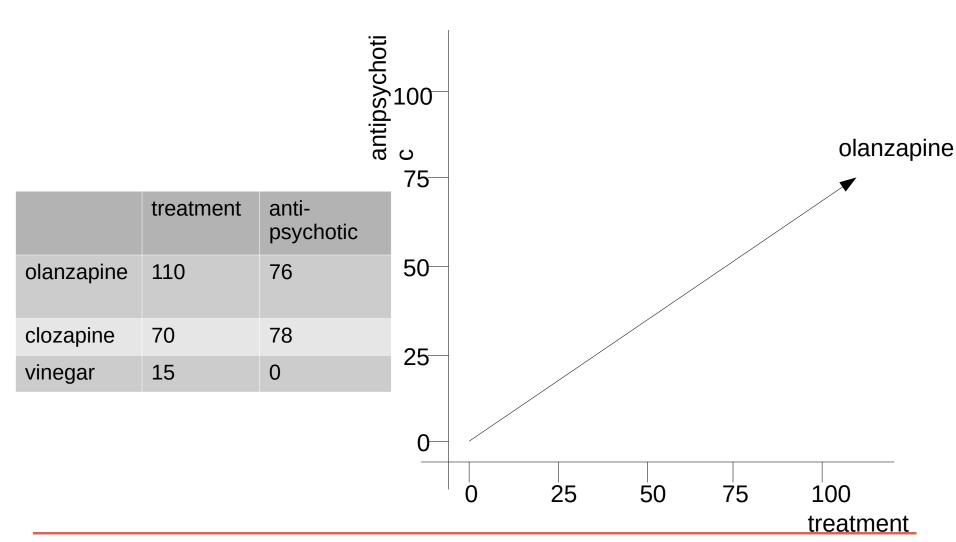
### Semantic spaces



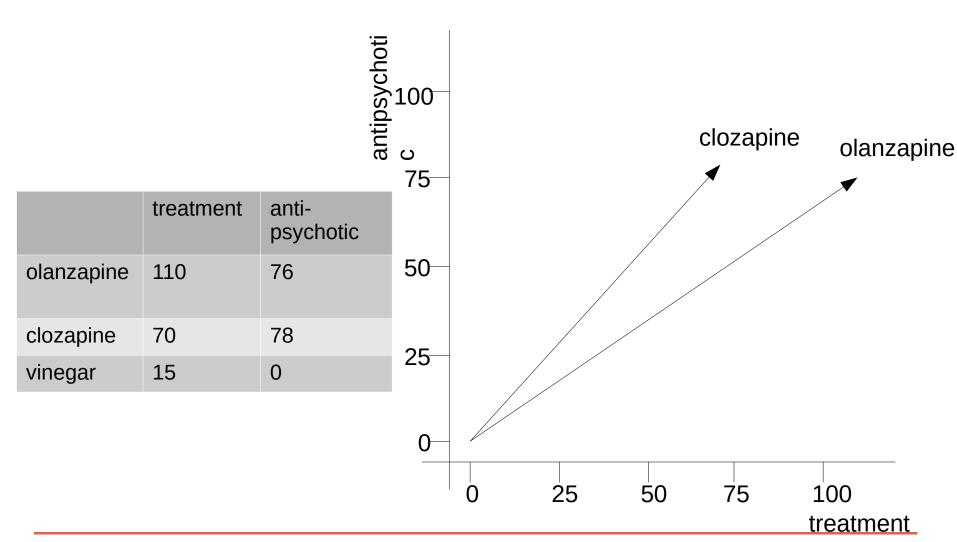
	treatment	anti- psychotic
olanzapine	110	76
clozapine	70	78
vinegar	15	0



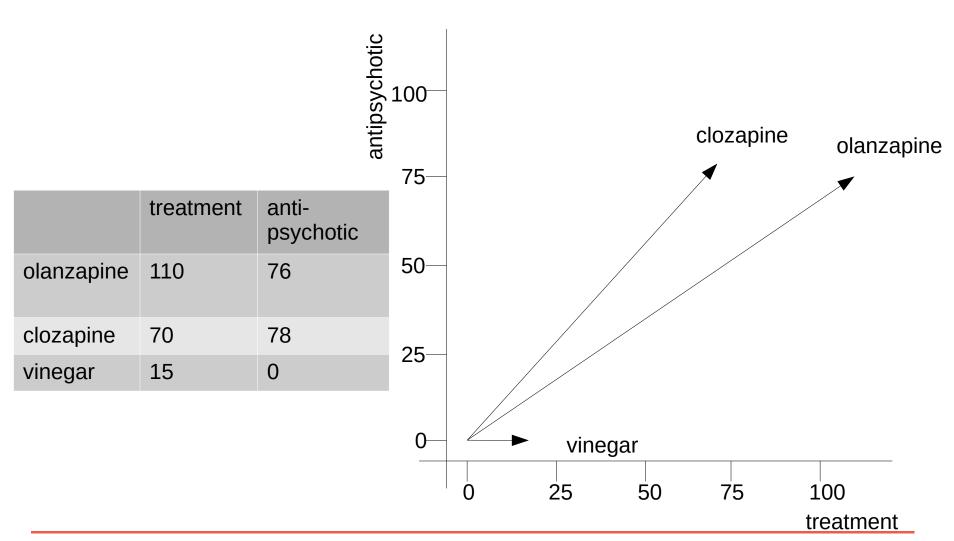




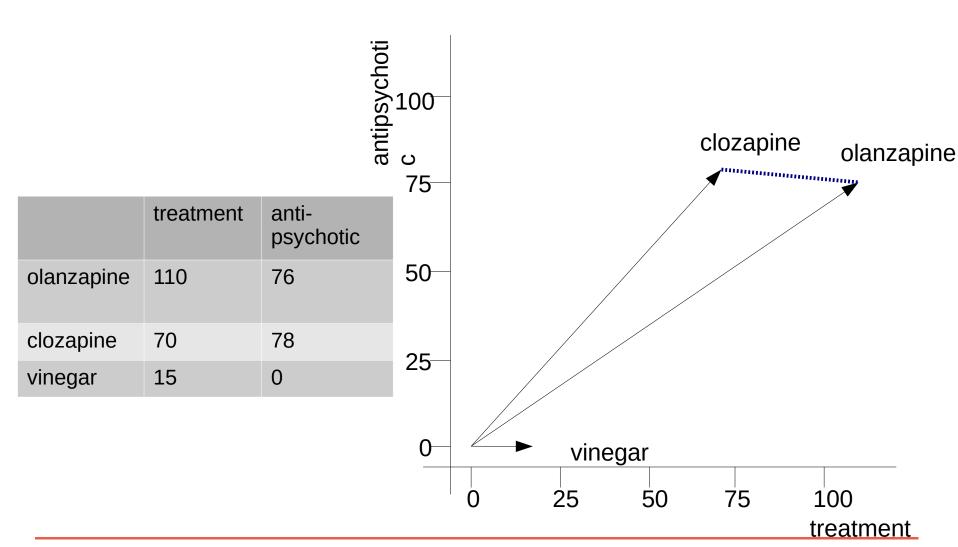




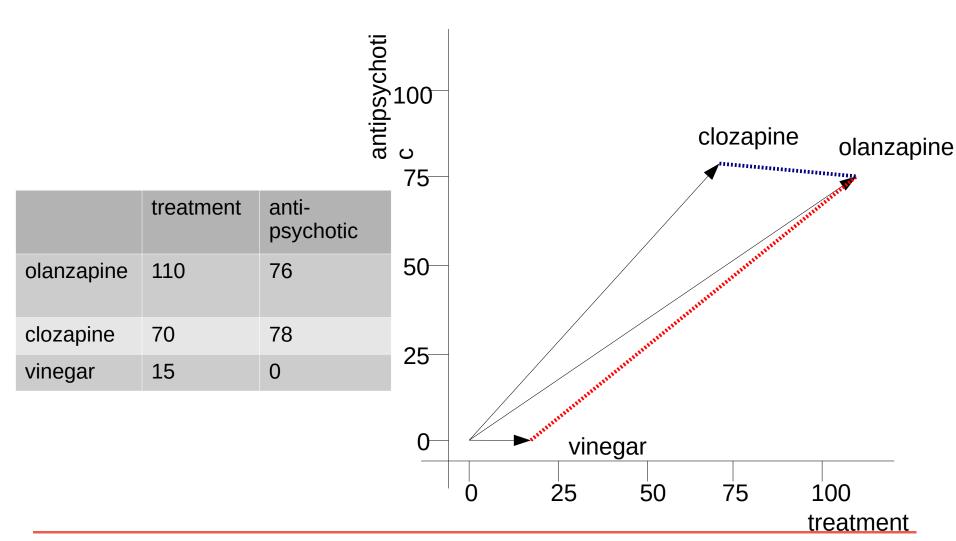














### Tools for the job

- Rationalist NLP
  - An armchair
- Empirical NLP
  - A pile of documents (corpus)
  - A representation
- What about the algorithm to classify our words in representation space? Are they important?





Thank you.
Any questions?

angus.roberts@kcl.ac.uk





### Modelling language: distributed representations

Angus Roberts, Senior Lecturer in Health Informatics Institute of Psychiatry, Psychology and Neuroscience King's College London

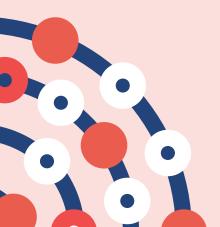


### Representing language

- Encoding meaning
- Distributed representations and word embeddings
- Next steps: modelling language using artificial neural networks



### Encoding meaning



### One-hot encoding

 One-hot is a simple word-space vector representation. Words are represented by a vector encoding their position in an ordered vocabulary

```
aardvark [1, 0, 0, 0, 0, ..., 0, 0]
aargh [0, 1, 0, 0, 0, ..., 0, 0]
...
zumba [0, 0, 0, 0, 0, ..., 1, 0]
zygote [0, 0, 0, 0, 0, ..., 0, 1]
```

- As well as being necessary to represent our words numerically, it is also a step along the path
  of finding some abstraction of word meaning
- Alternatively, we could encode as the integer position in the index

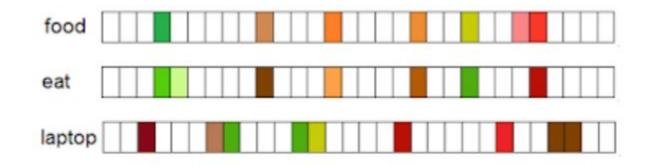
```
aardvark 0
aargh 1
...
zumba n-1
zygote n
```

### **Encoding meaning**

- Such a vector representation does not really encode meaning
- It is also high dimensional and sparse
- Can we encode meaning such a vector representation?
- Can we derive a low dimensional model of words?

### **Encoding meaning**

Can we define some space that is sufficient to encode the semantics of our language?



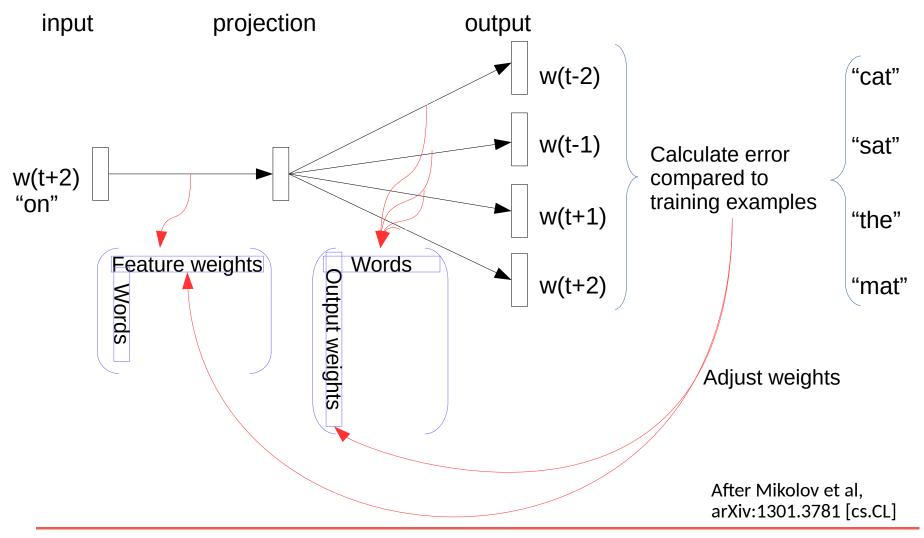




## Distributed represntations: word embeddings



### Distributed representations - Word2Vec





### Training the vectors

- w real number feature vectors
- c real number output context vectors
- cat sat on the mat

c1 c2 w c3 c4

calculate: w.c1 + w.c2 + w.c3 + w.c4

Adjust vector weights to make this high – maximise the probability of an example

cat sat strawberry the mat

c1 c2 w' c3 c4

calculate: w'.c1 + w'.c2 + w'.c3 + w'.c4

Adjust vector weights to make this low – minimise the probability of random replacements



- Consider that "on" and "by" play similar roles in language:
  - cat sat on the mat
  - cat sat by the mat
- We would expect "on" and "by" to have similar feature vectors
- And for the other words, we can generalize further:
  - dog sits on a rug
  - dog lies under a rug
  - **-** ...



- If two words have similar contexts, then their feature vectors will be similar
- The final feature vector for a word gives a
   distributed representation of the word word
   embeddings a dimensionality reduction from our
   word space to real number vectors
- (We throw away the output vectors we don't need those)
- We use these word embedding as features in place of our words in models



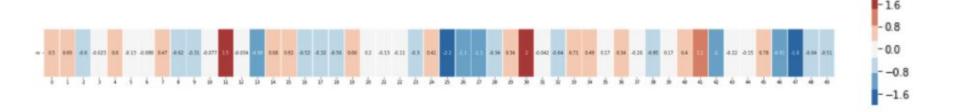
Construct a vector for the word "king", (GloVe based vector, trained on Wikipedia):

```
[ 0.50451 , 0.68607 , -0.59517 , -0.022801, 0.60046 , -0.13498 , -0.08813 , 0.47377 , -0.61798 , -0.31012 , -0.076666, 1.493 , -0.034189, -0.98173 , 0.68229 , 0.81722 , -0.51874 , -0.31503 , -0.55809 , 0.66421 , 0.1961 , -0.13495 , -0.11476 , -0.30344 , 0.41177 , -2.223 , -1.0756 , -1.0783 , -0.34354 , 0.33505 , 1.9927 , -0.04234 , -0.64319 , 0.71125 , 0.49159 , 0.16754 , 0.34344 , -0.25663 , -0.8523 , 0.1661 , 0.40102 , 1.1685 , -1.0137 , -0.21585 , -0.15155 , 0.78321 , -0.91241 , -1.6106 , -0.64426 , -0.51042 ]
```

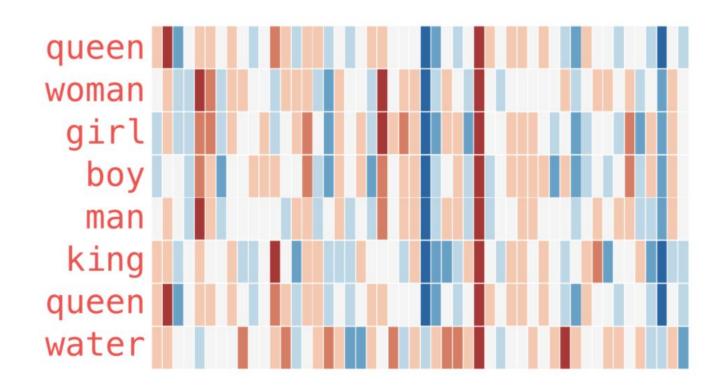
Example from Jay Alammar, The illustrated Word2Vec: https://jalammar.github.io/illustrated-word2vec/



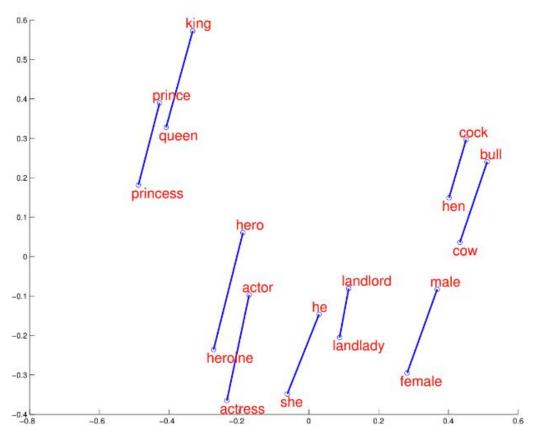
Visualise as bands of different colours and intensities:



Compare to vectors for other words:



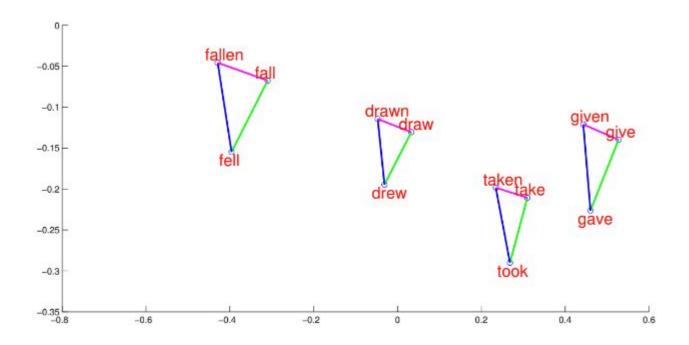
### Visualisation



2D projection from Mikolov et al, Google Research, NIPS 2013



### Visualisation



2D projection from Mikolov et al, Google Research, NIPS 2013





### 0

# Next steps: modelling language with artificial neural nets



### 2010 onwards: artificial neural networks

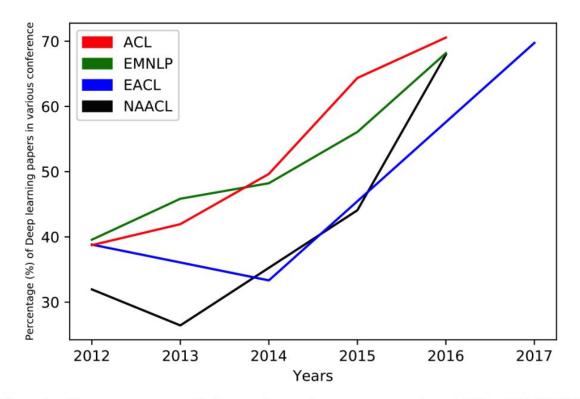


Fig. 1: Percentage of deep learning papers in ACL, EMNLP, EACL, NAACL over the last 6 years (long papers).

Young et al, arXiv:1708.02709 [cs.CL]

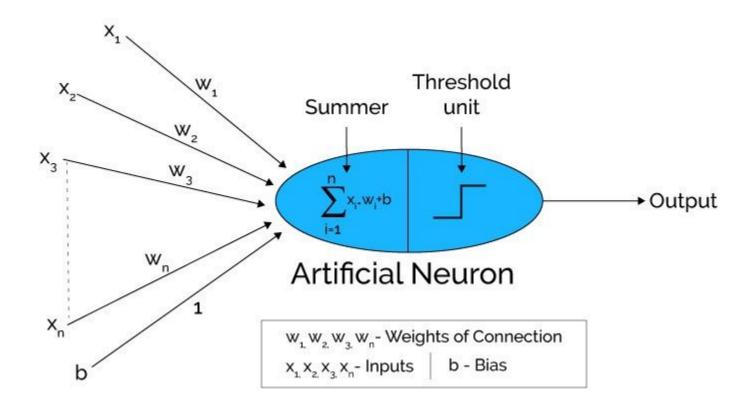


#### Practical application – skills and trade offs

- Unsupervised models encapsulating many of the features of a language
- Feature engineering becomes less of a concern
- Domain expertise: training and evaluation examples required for the final task
- Often require large numbers of examples



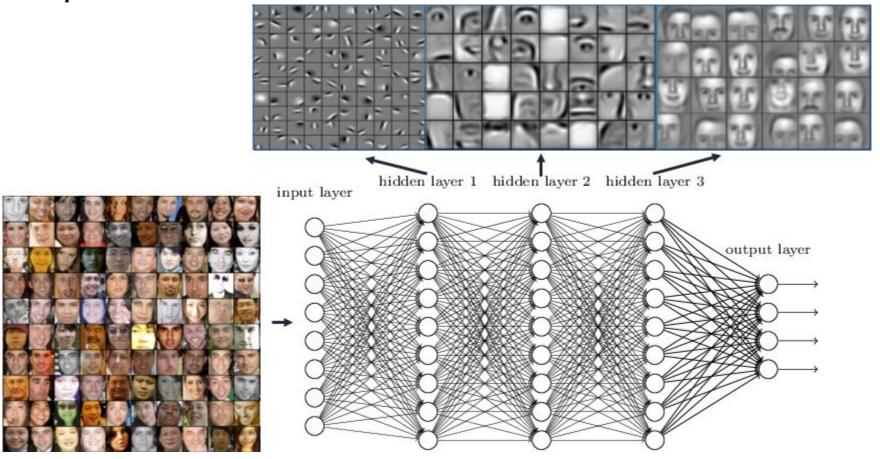
### 2010 onwards: artificial neural networks for NLP



From https://medium.com/@xenonstack/overview-of-artificial-neural-networks-and-its-applications-2525c1addff7



### Learning hierarchical feature representations



From https://www.strong.io/blog/deep-neural-networks-go-to-the-movies



Thank you.
Any questions?

angus.roberts@kcl.ac.uk

