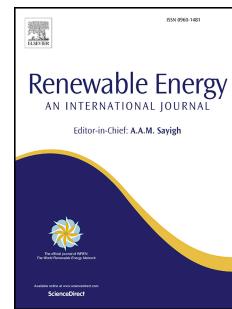


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Influence of aspect ratio and orientation on large courtyard thermal conditions in the historical centre of Camagüey-Cuba

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35

36 **Abstract**

37 The combined effect of climate change and Urban Heat Island (UHI) effect is leading to a rise in air  
38 temperature in urban areas, including those with heritage value. Urban morphology and its effect on sun  
39 shading conditions in tropical cities is crucial to reduce UHI and improve outdoor thermal comfort. This  
40 paper presents a temporal-spatial analysis of the effect of courtyards geometry on their outdoor thermal  
41 conditions in a warm-humid climate. The assessment is based on numerical simulations of the mean  
42 radiant temperature, by using the RayMan model. Large courtyards geometry (convent typology), in the  
43 historical centre of Camagüey, were modelled and analyzed changing their height-to-width ratio and  
44 orientation. Our findings confirm the effect of varying courtyard tridimensional aspect ratios on outdoor  
45 thermal conditions. Aspect ratios higher than 1 are advisable, as they contribute to improve the courtyard  
46 thermal conditions in summer, by reducing the subzones in the courtyard where the Tmrt is above 45 °C.  
47 Orienting the courtyard's long axis away from the East-West results in a lower level of Tmrt, with  
48 reductions of up to 15.7 °C, for high aspect ratios. The obtained Tmrt patterns give information about the  
49 most suitable subzones within the courtyards, according to the time of day and season. The proposed  
50 design and usability recommendations could be included in renovation projects aimed at enhancing  
51 courtyards' thermal conditions and contributing to an improvement of the surrounding urban  
52 microclimate.

53 **Keywords:** courtyard geometry, solar radiation, mean radiant temperature, thermal comfort, warm-humid  
54 climate, tropical architecture

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**62 1 –Introduction**

63 Adapting urban structures to the gradual rise in air temperatures as a consequence of climate change and  
64 the Urban Heat Island (UHI) phenomenon is crucial to ensure the livability and health conditions in cities.  
65 This is more relevant in historical urban areas, which were built for different climatic and demographic  
66 conditions and periods. Architectural design and building retrofitting play a decisive role in determining  
67 thermal bioclimatic conditions, both inside and around buildings, especially in compact cities. However,  
68 acceptable thermal conditions are sometimes not fully considered by urban planners and architects [1] [2]  
69 [3] [4]. The parameters which greatly influence urban thermal conditions are wind speed and radiation  
70 fluxes (in terms of mean radiant temperature for human-biometeorological studies) [5] [6] [7] [8] [9].  
71 Mean radiant temperature ( $T_{mr}$ ) is defined as the “uniform temperature of an imaginary enclosure in  
72 which the radiant heat transfer from the human body equals the radiant heat transfer in the actual non-  
73 uniform enclosure” [10].

74 Different studies have demonstrated that urban structures can modify these parameters, and therefore,  
75 have a stabilizing effect on outdoor thermal comfort. Moreover, the aspect ratio (height/width  
76 proportions) and orientation have been identified as design parameters that are crucial to the bioclimatic  
77 performance of urban structures [11] [12] [13] [14] [15] [16] [17] [18] [19] [20] [21] [22] [23] [24] [25].

78 A courtyard is an architectural element commonly applied as a microclimate modifier for its  
79 environmental potentials [26] [16]. It is defined as an enclosed space which is delimited by buildings and  
80 open above [27]. Literature related to courtyards mainly examines physical features (e.g. geometrical  
81 configurations, orientations, proportions and formal design variants) and environmental features (e.g.  
82 natural ventilation, thermal performance and thermal comfort conditions) for different climatic regions.

83 As shown in Table 1, most of them were conducted for temperate climates (e.g. Rome-Italy, Chicago, and  
84 De Bilt-Netherlands), warm-humid climates (e.g. Antalya-Turkey, Miami, Kuala Lumpur-Malaysia, and  
85 Havana-Cuba) and hot-dry climates (Cairo-Egypt, Phoenix-United States of America, and Diyarbakir-  
86 Turkey). However, deepening the knowledge on the thermal performance of courtyards in warm-humid  
87 climates is still required [27] especially in the case of large courtyards. Moreover, most of those  
88 investigations focus on single parameters, such as, solar access, shading factor, surface temperature, wind  
89 movements, air temperature, air humidity, or the energy consumption of buildings. There is a

90 predominance of theoretical studies using RayMan and ENVI met to perform numerical simulations,  
 91 especially on hot summer days. Other studies are based on measurements of a courtyard's climatic  
 92 conditions and their impact on indoor comfort [28]. In general, most of these researches provided  
 93 preliminary recommendations on courtyard typologies, showing the great influence of architectural  
 94 geometries on thermal environmental conditions through single climatic parameters. To date, most  
 95 relevant studies on this issue suggest some general rules for an efficient courtyard design (to be carefully  
 96 tested for each specific case) (Table 1):

- 97     • *Among all geometric parameters, the height of the courtyard is found to be the most influencing  
       on the courtyard thermal environment. The optimum courtyard height during the year is found to  
       be two-storey for hot dry and temperate climates, one-storey in a cold climate, and three-storey  
       for hot humid climates,*
- 100     • *In tropical climates, the increment of height of courtyard enclosure reduces air temperature  
       inside the courtyard as well as in the rooms located on the periphery of the courtyard. However,  
       too deep and enclosed courtyards could also reduce natural ventilation potential having an  
       overall negative effect on thermal comfort.*
- 101     • *In a hot-dry climate, an orientation between the N-S axis and the NE-SW axis would be  
       recommended. In a hot-humid climate, placing the long axis of the courtyard along the NE-SW  
       would be recommended for an efficient performance of the shading index. In temperate and cold  
       climates, an orientation around the N-S axis would be recommended.*
- 102     • *Inspection of empty, enclosed courtyards in hot-arid climates has demonstrated that a  
       rectangular courtyard with E-W orientation has the least shade. The addition of trees or/and  
       galleries may improve outdoor comfort.*
- 103     • *In temperate climates, an E-W orientation provides the longest duration of direct solar  
       radiation, and the N-S direction the shortest, at the centre of courtyards.*

104  
 115 In accordance with the aspects previously covered, well designed courtyards can be an appropriate  
 116 architectonic solution in most climatic conditions, giving the opportunity to improve users' thermal  
 117 comfort and their quality of life. However, the influence of aspect ratio, orientation of the shading and  
 118 thermal conditions of large courtyards, have not been sufficiently investigated in tropical warm-humid  
 119 climates. Therefore, two main objectives have been defined in this study related to the contribution of  
 120 geometrical characteristics of large courtyards in Camagüey-Cuba on human thermal conditions,  
 121 especially during the summer:

- 122     1) *Perform a spatial-temporal analysis on the thermal conditions of large courtyards,  
       focusing on the relationship between aspect ratio, orientation and mean radiant*

124                   *temperature in the warm-humid climate of Camagüey-Cuba.*

125

126                   2) *Suggest design and usability recommendations for the studied large courtyards,*  
 127                   *suitable to reduce extreme thermal conditions exposure from a climate-responsive*  
 128                   *urban and architectural design perspective.*

129                   Moreover, from the parameterisation of mean radiant temperature, the value of this work is focused on

130                   two main aspects:

131                   Theoretical issues: *This paper contributes to increase knowledge related to urban morphologies in Cuba,*  
 132                   *and their influence on urban microclimatic conditions. In addition, it allows comparisons with similar*  
 133                   *researches in other regions, and provides a knowledge base which can be included in the professional*  
 134                   *education of designers, urban planners, conservators, and architects.*

135                   Practical application: *These findings may be considered in urban renewal projects, aimed at the*  
 136                   *improvement of outdoor thermal condition in the city through the modification of urban and architectural*  
 137                   *structures. The design recommendations here provided are useful to local authority planning and*  
 138                   *conservators.*

139                   **Table 1: Studies on the impact of courtyards geometry on outdoors thermal conditions**

## 140                   **2- Courtyards in the historical center of Camagüey**

141                   The labyrinthine urban pattern of the city of Camagüey is unique in Latin American urban planning. This  
 142                   peculiar urban form is one of the crucial elements that allowed the declaration of the city as a World  
 143                   Heritage site in 2008 by the United Nations Educational, Scientific and Cultural Organization  
 144                   (UNESCO). The city, founded in 1515, built up a solid urban identity as a blend of forms, volumes and  
 145                   small spaces over the centuries. This complex urban layout is based on a network of squares, of varying  
 146                   sizes, crisscrossed by winding alleys. Two main building typologies define the actual image of the city's  
 147                   architecture: the full-block religious complexes, distinguished by their impact on the urban fabric of the  
 148                   city, and the residential repertoire, which is the most representative and numerous. The architectural  
 149                   typology of the colonial period is highly specific, and courtyards are one of its principals hallmarks [29]  
 150                   [30] [31] [32].

151                   The conservation policies currently in place have both rescued and raised a new awareness about

152 Camagüey's rich cultural heritage, customs, traditions and lifestyles. However, it is necessary to  
 153 implement conservation policies associated with climate issues, to mitigate thermal stress on users and  
 154 visitors in outdoor environments, such as, courtyards and squares. Courtyards become a key element of  
 155 environmental comfort in Cuban architecture, allowing access to natural ventilation and sunlight. At the  
 156 urban scale, large courtyards also influence the urban microclimate in terms of radiation fluxes, wind  
 157 conditions and the cooling effect of vegetation. As a thermal regulator, protecting from solar radiation  
 158 and modifying wind patterns, the thermal performance of courtyard is a most necessary research topic in  
 159 hot and warm-humid climates. The central courtyard serves as an open and lively environment that  
 160 connects and unifies different subzones of a building, maintains privacy and creates a continuous  
 161 environment, where people generally perform their daily activities [33] [34]. Therefore, architects,  
 162 renovators and urban designers could use its potentials to create shaded and comfortable environments  
 163 during the day.

164 Several typologies have been adopted for courtyard design in different climatic regions. The history of  
 165 using courtyards in Cuba goes back to the Spanish colonization period, where enclosed inner courtyards  
 166 were incorporated in every building, based on the influence of southern Spanish and Moorish architecture  
 167 [35]. The footprint of the most representative courtyards in the historical urban centre of Camagüey, is  
 168 generally associated to large buildings, most of them with a religious character, in comparison with the  
 169 more traditional single-storey dwellings. Together with a church and a square, they constitute an urban  
 170 complex. As shown in figure 1, these courtyards are generally square (around 400 m<sup>2</sup> to 900 m<sup>2</sup>),  
 171 enclosed and open above. Due to their large dimensions and low height, they lack adequate sun protection  
 172 when vegetation is not prominent. Based on this description, study models were designed to perform  
 173 simulations and analyse the thermal conditions of large courtyards in Camagüey, Cuba.

174 *Fig. 1: Representative religious complexes of historical urban centre of Camagüey [32]. Geometrical*  
 175 *configuration of its large courtyards.*

### 176 3 – Material and methods

#### 177 3.1 - Location and climate

178 The study was conducted in Camagüey (21° 23' N, 77° 50' W) (Figure 2) which has a climate type AW  
 179 (tropical savannah) [36]. The average annual temperature is 25.2 °C, with 78% mean relative humidity.

180 June, July and August are the hottest months, with a mean temperature of 29 °C during the daytime  
 181 (between 09:00h and 17:00h). Whereas, December, January and February are the coldest months with a  
 182 mean temperature of 24 °C between 09:00h and 17:00h. Prevailing winds come from the east, with  
 183 speeds averaging around  $3.5 \text{ ms}^{-1}$ . The average annual rainfall is approximately 1400 mm. The weather  
 184 station OMM 783550 located at the airport of the city of Camagüey was selected to collect this climatic  
 185 data. Mean values of air temperature, relative humidity, solar radiation and wind speed, for a typical  
 186 winter and summer day were used with a time resolution of one hour, as input parameters for the RayMan  
 187 model [37]. Wind speed measured at 10 m above ground level was reduced to the height of 1.1 m  
 188 according to the generally adopted empirical formula [38] [39] (Eq. 1):

$$189 \quad WS_{I,I} = WS_h * (1.1/h)^\alpha \quad \alpha = 0.12 * z_0 + 0.18$$

190 ( $WS_h$ ): wind speed at 10 meters from the ground

191 ( $\alpha$ ): empirical exponent which depends on the surface roughness

192 ( $z_0$ ) is the roughness length

193 The values ( $\alpha = 0.30$ ) and ( $z_0 = 1$ ) correspond to the walls' characteristics of the selected courtyards in the  
 194 study area. A cloudless sky was assumed in the simulations.

195 ***Fig. 2: Climate conditions of the study area***

### 196 **3.2 –Methodology**

197 Quantitative information on different spatial and temporal scales is required for an assessment of outdoor  
 198 thermal conditions. The mean radiant temperature is one of the most important meteorological parameters  
 199 that governs human energy balance in outdoor environments [40] [41] [42] [43]. Besides, numerous  
 200 researches confirm that  $T_{mrt}$  is often a better parameter, when compared to air temperature, to assess  
 201 human thermal comfort in outdoor environments [41] [44] [45], because it provides more accurate  
 202 estimates about the impacts of climate on human health, than using air temperature alone [46].

203 The integral radiation measurements, as the most accurate method for obtaining  $T_{mrt}$ , is relatively  
 204 expensive, therefore, numerical modelling by using three-dimensional microclimate models is  
 205 increasingly more used. Calculating  $T_{mrt}$  from models, depends on the input meteorological parameters  
 206 used, morphological factors and how their interactions will interfere with wind speed and solar radiation

207 in a microscale. An alternative approach, is based on output of RayMan, using meteorological parameters,  
 208 such as, global solar radiation (or cloud cover data), relative humidity (or vapour pressure), wind speed  
 209 and air temperature [47]. During the daytime, the physiologically equivalent temperature (PET) is  
 210 strongly governed by Tmrt [9], therefore the modifications to urban structures and hence, the solar access,  
 211 has a strong impact on the resultant Tmrt and PET. For Camagüey's average meteorological variables, on  
 212 the analysed periods, Tmrt values above 45 °C correspond to heat thermal stress levels (PET values over  
 213 30 °C), according to thermal perception classifications obtained for the (sub)tropical climate region of  
 214 Sun Moon Lake (in central Taiwan, 23°52'N, 120°55'E) [48]. It should be noted that the same thermal  
 215 conditions might be perceived differently due acclimatization and adaptation to the heat. However, the  
 216 same threshold value of Tmrt for heat ( $Tmrt \geq 45$  °C) was used as the assessment criteria to make cross-  
 217 courtyard comparison and discuss our results.

218 This study uses the morphology of four large courtyards, in the historical centre of Camagüey (Figure 1),  
 219 as reference to build the models for simulation. Based on their proportions and orientations, two three-  
 220 dimensional models, with constant floor plan dimensions, were created. Figure 3 illustrates the cases  
 221 considered and lists the simulation conditions. The simulations focused on solar access and their impact  
 222 on thermal conditions in terms of Tmrt, to provide urban recommendations related to design and  
 223 courtyard use. In addition, a partial analysis of the PET index under summer conditions is included. The  
 224 distribution of sunshine hours and the cumulative solar radiation during the day, were obtained in  
 225 Heliodon2 ([www.heliodon.net](http://www.heliodon.net)), which is a free software for the interactive design of solar radiation in  
 226 architectural and urban projects. In addition, the RayMan model was employed to examine the spatial and  
 227 temporal variations of Tmrt, as an indicator of outdoor heat stress in hot-humid climate.

228 The RayMan model was developed according to Guideline 3787 of the German Engineering Society [42],  
 229 and calculates the radiation flux densities and Tmrt [49]. The main advantage of RayMan is that it  
 230 facilitates the reliable determination of the microclimatological modifications of different urban  
 231 environments, since the model considers the radiation modification effects of the complex surface  
 232 structure very precisely [41] [49] [37]. RayMan model is compatible with Microsoft Windows, and  
 233 requires input data on personal parameters, on surface morphological conditions of the study area, and on  
 234 basic meteorological data (e.g. air temperature, air humidity and wind speed) for the calculation of  
 235 radiation fluxes and common thermal indices for the thermal human-bioclimate. Another advantage of the

236 model is the short running time in comparison with other models [37]. RayMan is suitable for several  
 237 applications in urban areas such as health, tourism, landscape and ecological planning, urban planning  
 238 and street design, and is available for general use under <http://www.mif.uni-freiburg.de/rayman>) with an  
 239 easy user-friendly interface [41] [37]. This model has been validated for urban thermal comfort studies in  
 240 several climatic regions [4] [25] [47] [50] [51] [52] [53] [54] [55] [56].

241 Solar radiation was simulated for the summer and winter solstices to account for the longest and shortest  
 242 days. However, foreseeing the validity of this study for the whole summer and winter period, the Tmrt  
 243 was simulated considering average climatic values of these seasons. Consequently, the simulations were  
 244 carried out for a typical summer and winter days, and it was possible to analyze the behavior of the Tmrt  
 245 at different times of these days. Sunshine hours and cumulative solar radiation during summer and winter  
 246 solstice were illustrated with Heliodon2, however, Tmrt were displayed using Climate-Tourism/Transfer-  
 247 Information-Scheme (CTIS) software to facilitate the graphic compression of the results [57] [58].  
 248 Besides, the time from 6:00h to 18:00 was chosen to analyse the results.

249 ***Fig. 3: Methodological framework***

### 250 **3.3 – Simulations and large courtyard model's description**

251 The four religious complexes on the historical centre of Camagüey, Cuba (Figure 1) were selected for this  
 252 study. These courtyards have characteristics that differentiate them in the historical center, such as aspect  
 253 ratio and orientation. Consequently, the model settings are defined by courtyards open above and  
 254 surrounded on all sides by internal building facades of equal height. Courtyards dimensions are 20 m by  
 255 40 m and 20 m by 20 m in the plan, corresponding to rectangular and square forms respectively. The  
 256 simulations carried out assume that the maximum height for the surrounding facades was three times the  
 257 courtyard width (Figure 3). The aspect ratios were counted as 0.5, 1.0, 1.5, 2.0 and 3.0, assuming the  
 258 width of 20 m. The model was rotated in steps of 45°, coinciding to N-S, SE-NW, E-W and NE-SW  
 259 orientations. The surfaces are assumed to be made of brick and painted in light colour (typical albedo =  
 260 0.3). Vegetation was excluded in this study, considering its limited presence in the current courtyards of  
 261 Camagüey.

262 RayMan model performs calculations on designated points, therefore, 25 and 55 analysis points were  
 263 evenly distributed in square and rectangular courtyards respectively at a 1.1 m height. Different subzones

264 are distinguished inside each courtyard, for more detailed analysis and recommendations for their  
 265 potential usability for pedestrians, according to its thermal conditions during the day.

266

267 **4 - Results**

268 **4.1 - Solar access analysis**

269 Solar access is the most impactful parameter on  $T_{mrt}$ , especially in summer. In this regard, courtyards'  
 270 geometries offer a wide spectrum of possibilities to regulate thermal conditions. As shown in figure 4, the  
 271 cumulative solar radiation and sunshine hours are noticeably lower for rectangular courtyards elongated  
 272 along the N-S axis, when compared to rectangular courtyards elongated along the E-W axis. Besides, the  
 273 values obtained on NE-SW and NW-SE orientations are similar and show intermediate patterns between  
 274 those obtained for N-S and E-W orientations. The spaces inside E-W courtyards receive direct solar  
 275 radiation throughout most of the day during summer, mainly in the subzones next to the south side of the  
 276 courtyard (points A5 to K5).

277 *Fig. 4: Sunshine hours and solar radiation for different aspect ratios and orientations of courtyards -*  
 278 *(winter and summer solstices).*

279 The graphs explicitly indicate that direct solar radiation increases with lower aspect ratios for all  
 280 courtyard orientations. Increasing aspect ratios in E-W orientation has lower impact than in N-S  
 281 orientation. This is the result of the closer proximity of the wall facing east and west in a courtyard  
 282 elongated towards N-S axis, which produces more shading on the ground. Nonetheless, an improvement  
 283 is possible for E-W orientation when aspect ratios are greater than 1.5.

284 The sunshine hours and the solar radiation values, between the subzones adjacent to the surrounded walls  
 285 and the courtyard central subzone, are different. The most important variations occur on summer days.  
 286 The subzones adjacent to the surrounding walls receive less sunshine hours, in comparison to the central  
 287 area which is fully exposed to the sun. For E-W orientations, this difference consists of around 9 hours for  
 288 low aspect ratios, while for high aspect ratios it is only of about 2 hours. However, for N-S orientations  
 289 this difference is of around 6 hours and 1 hour for low and high aspect ratios, respectively. From these  
 290 findings, it appeared that during summer days, the subzones next to the north, east and west interior  
 291 facades of the rectangular courtyards with N-S and E-W orientations receive a lesser amount of solar

292 radiation than the other subzones inside the courtyard. In contrast, for the NE-SW and NW-SE  
 293 orientations, the subzones adjacent to the surrounded walls are fully exposed to direct solar radiation.  
 294 Besides, during winter days (where solar access is required), the most appropriate subzones for all  
 295 simulated models are located on the northern half of the courtyard.

296 The distribution of solar radiation and sunshine patterns in square courtyards have a similar behavior to  
 297 those obtained for rectangular courtyards. However, square courtyards have less sun exposure than  
 298 rectangular ones, and offer better possibilities for solar control. In general, results related to the square  
 299 courtyards are similar between N-S and E-W orientations, and between intermediate orientations (SE-NW  
 300 and NE-SW). However, the most significant differences are perceived for E-W orientations, especially in  
 301 summer days when, for three hours, less solar radiation is incident on the central area of the square  
 302 courtyards in comparison with rectangular courtyards.

#### 303 **4.2 - Mean radiant temperature analysis**

304 The resulting Tmrt during typical summer day continuously increased from 06:00 h to 14:00 h, while it  
 305 decreased from 15:00 onwards for all rectangular and square courtyard models, irrespective of the aspect  
 306 ratios and orientations (Figures 5a, 5b and 6). Besides, the values of Tmrt are considerably high around  
 307 noon, and follow similar patterns among the different orientations, in agreement with the results from the  
 308 incident solar radiation discussed in the previous section. Considering the courtyards' thermal condition  
 309 at critical hours (11:00 and 14:00), it is clear that almost all courtyard subzones are thermally  
 310 uncomfortable, with Tmrt values above 40 °C. These results are expected, due to the high position of the  
 311 sun in the summer solstice, the high intensity and long duration of the solar radiation, and the lack of  
 312 solar protection from building elements or vegetation.

313 However, during winter days, the level of Tmrt is considerably lower than in summer days. Exploring the  
 314 Tmrt behavior for all simulations performed during the daytime hours studied (from 06:00 to 18:00), it  
 315 emerged that the maximum values recorded were 50 °C and 45 °C, for typical summer and winter days  
 316 respectively. While the minimum values were found mostly in the mornings and oscillate around 21 °C  
 317 for summer days and 17 °C for winter days.

318 ***Fig. 5a: Diurnal courses of Tmrt (°C) for rectangular courtyards with different aspect ratios and***  
 319 ***orientations - (typical summer day)***

320 *Fig. 5b: Diurnal courses of Tmrt (°C) for rectangular courtyards with different aspect ratios and*  
 321 *orientations - (typical winter day)*

322 *Fig. 6: Diurnal courses of Tmrt (°C) for square courtyards with different aspect ratios and orientations -*  
 323 *(typical winter and summer days).*

324 The following sections (4.2.1, 4.2.2, and 4.3) summarize the most noteworthy results regarding  
 325 courtyards' thermal conditions. Special emphasis is given to summer conditions, which are more  
 326 representative and extend longer in the year, in comparison with the shorter and milder winter conditions  
 327 in Cuba. The effects of geometrical configuration of courtyards (orientation and aspect ratio) and its  
 328 implications into design and usability of outdoor environment are presented.

329 **4.2.1 - Effects of the aspect ratio of courtyard**

330 The results show a clear reduction of Tmrt when courtyard walls -and aspect ratio- increase, particularly  
 331 from 8:00h to 11:00h and from 14:00h to 16:00h. Nevertheless, the intensity and spatial distribution of  
 332 Tmrt are similar and reaches its peak values from 12:00 to 13:00 hours for all aspect ratios. For the case  
 333 of aspect ratio  $H/W \leq 1.0$  the high level of Tmrt ( $\geq 45^{\circ}\text{C}$ ) does not drop until 15:00h and onwards due to  
 334 the continuous exposure to the sun (Figures 5a, 5b and 6).

335 In the summer, the increase in height of rectangular and square courtyards, leads to an increase on the  
 336 area, with a Tmrt lower than  $45^{\circ}\text{C}$ . Except for the most critical hours (11:00 till 14:00), Tmrt are lower  
 337 than  $30^{\circ}\text{C}$ . The increase of aspect ratio from 0.5 to 3 reduce in 100%, 75%, 45% and 85% the courtyard  
 338 area with Tmrt higher than  $45^{\circ}\text{C}$  at 11:00h for N-S, NE-SW, E-W and SE-NW orientations respectively  
 339 (Table 2). Therefore, the results show that Tmrt reductions due to the increase of aspect ratio are more  
 340 effective for N-S orientations, while less effective for E-W orientations. When the solar relative position  
 341 is high around noontime, the aspect ratio has little impact on Tmrt. In rectangular courtyards, with N-S  
 342 orientation and high aspect ratio ( $H/W=3$ ), Tmrt lower than  $45^{\circ}\text{C}$  are obtained for 20% of the area, while  
 343 this area is only 10% for courtyards with E-W orientations (at noon). At 13:00h, the change in aspect ratio  
 344 from 0.5 to 3, reduce 60% and 28% the courtyard area with Tmrt above  $45^{\circ}\text{C}$ , for N-S and E-W  
 345 orientations respectively. For NE-SW and SE-NW orientations, this reduction is 45% and 35%  
 346 respectively. At 14:00h the reductions of high Tmrt are more evident when changing aspect ratios from  
 347 0.5 to 3. These are 80% for N-S, NE-SW and SE-NW and 68% for E-W. However, in all orientations of

348 square courtyards, changing aspect ratio from 0.5 to 3 produce a reduction of area with high Tmrt of 98%,  
 349 20%, 55% and 80% at 11:00h, 12:00 h, 13:00h and 14:00 h respectively (Table 2).

350 Additionally, table 2 shows percentage of courtyard areas with PET values above 30 °C, corresponding to  
 351 the thermal perception classifications for the ranges of heat stress. During the morning and the afternoon  
 352 the conditions of heat stress (PET above 30 °C) are mitigated by high aspect ratios (H/W greater than  
 353 1.5). However, during critical hours (11 to 14 hours) conditions of heat stress persist, even for high aspect  
 354 ratios. These conditions are perceived in more than 50% of the courtyards area when the aspect ratio is  
 355 less than 2.

#### 356 **4.2.2 - Effects of the courtyard orientation**

357 Results show that, at all the examined configurations, the highest values of Tmrt are generated in  
 358 summer, when the long axis of the rectangular courtyards is along the East-West axis. However, it is  
 359 explicitly shown that rectangular courtyards, elongated along the N-S axis, have less subzones with high  
 360 Tmrt, when compared to the other orientations. Courtyards oriented NE-SW and SE-NW achieve lower  
 361 and higher average Tmrt than courtyards oriented E-W and N-S respectively. Disregarding orientation,  
 362 the number of subzones with high Tmrt are balanced around midday (12:00h).

363 When the aspect ratio of courtyards is H/W=0.5, no Tmrt variations are obtained, since most of the  
 364 courtyard's surface is exposed to direct solar radiation. Only at 14:00h, an increase of 11%, in the  
 365 courtyard area with Tmrt higher than 45 °C, is observed for orientations E-W and SE-NW in comparison  
 366 with the other two orientations (Table 2). On the other hand, when the aspect ratio is H/W=3 the  
 367 courtyard area with Tmrt higher than 45 °C is reduced in 100%, 75%, 45% and 85% for N-S, NE-SW, E-  
 368 W and SE-NW orientations respectively. At 11:00h, no area with Tmrt higher than 45 °C was observed  
 369 for the orientation N-S, however for the orientation E-W, 55% of the courtyard area had Tmrt higher than  
 370 45 °C. For courtyard orientations NE-SW and SE-NW, Tmrt higher than 45 °C were observed in 25% and  
 371 15% of its surface areas respectively. At noon, 80% of the courtyard's area has Tmrt higher than 45 °C  
 372 for N-S and SE-NW, while for other orientations this percentage increases to 90%. At 13:00h, the E-W  
 373 orientation has 73% of the courtyard area with a Tmrt higher than 45 °C, however, the area is reduced in  
 374 33%, 18% and 8% for orientations N-S, NE-SW and SE-NW respectively. At 14:00h, Tmrt higher than  
 375 45 °C are obtained in 22% and 9% of the area in E-W and SE-NW courtyards respectively, while no high

376 values are obtained for N-S and NE-SW (Table 2). In contrast to rectangular courtyards analysed above,  
 377 the thermal pattern of square courtyards is similar for all orientations, therefore not showing significant  
 378 variations in the area with Tmrt higher than 45 °C. By exploring the PET index with the variation of the  
 379 courtyards orientation it is perceived that the N-S orientation offers better possibilities to mitigate the  
 380 stress by heat. Conversely, for the E-W orientation the conditions of heat stress are more critical in time  
 381 and space.

382 **Table 2: Average percentage of areas with  $T_{mrt} \geq 45^{\circ}\text{C}$  and  $PET \geq 30^{\circ}\text{C}$  for summer day**

383 **4.3 – Design and usability recommendations for large courtyards**

384 **Geometrical design recommendation:** From a geometrical point of view, the aspect ratio has a larger  
 385 impact on the thermal behaviour of the courtyard when compared with the orientation of the courtyard.  
 386 Increasing the aspect ratio clearly has a stronger impact on the spatial-temporal distribution of Tmrt  
 387 inside the courtyards, significantly increasing the area with Tmrt lower than 45 °C, during the summer.  
 388 However, courtyard orientation is more influential in the distribution of shaded areas. Therefore, a set of  
 389 ratios are recommended to achieve an efficient performance of courtyards in both summer and winter.  
 390 Rectangular and square courtyards with orientations N-S and NE-SW show better thermal conditions. The  
 391 worst thermal conditions are obtained in courtyards with an E-W orientation. Aspect ratios higher than  
 392 1.5 help improving thermal conditions inside courtyards during summer, otherwise additional shading  
 393 elements are necessary.

394 **Spatial-temporal usability of the courtyard subzones:** Overall, findings show that the number of hours  
 395 with acceptable thermal conditions ( $T_{mrt} < 45^{\circ}\text{C}$ ) could be extended to all diurnal hours, except for the  
 396 critical period between 11:00h to 14:00h in summer (this period is reduced to 12:00h to 13:00h in  
 397 courtyards with high aspect ratios). During this time, the use of the courtyards is not recommended,  
 398 especially those with a low aspect ratio. Additional solar protection elements are crucial, to increase  
 399 shaded areas and therefore, thermal comfort. Before and after the critical time, the courtyard can be used,  
 400 particularly the shaded areas. However, during the coolest days of the winter using the courtyard around  
 401 noontime could be acceptable.

402 As a general rule, during the critical time (between 11:00h and 14:00h), particular subzones of the  
 403 courtyard, adjacent to the surrounded facades, are more comfortable than the central subzone. During the

404 summer mornings, the east side of N-S and E-W courtyards has a better thermal condition. However, for  
 405 NE-SW and SE-NW orientations, the subzones next to the facades oriented NE and SE are the most  
 406 thermally favourable. During the afternoon, the areas closer to the facades facing W are most favourable  
 407 when the courtyard axis is oriented N-S and E-W. For courtyard orientations NE-SW and SE-NW,  
 408 subzones next to NW and SW facades have a more favourable thermal behaviour.

409 **4.4 – Application of the recommendations in the large courtyards of Camagüey**

410 Derived from the results that were obtained, this section aims to propose several recommendations related  
 411 to the use of three of the selected courtyards as case studies. Since the focus is on the impact of  
 412 courtyards morphology on thermal conditions these three courtyards are selected due to their higher  
 413 compatibility with the geometrical and landscape characteristics of the simulated models in comparison  
 414 with the Merced courtyard. In addition, the Merced courtyard has plenty of vegetation, a design feature  
 415 not considered in this study. In the three cases, a large number of peoples use the courtyard for  
 416 recreational, cultural and leisure activities. The recommendations do not necessary apply to individuals,  
 417 since people tend to choose the most shaded and thermally comfortable area by themselves, without the  
 418 need of advice. Therefore, the recommendations given in Figure 7 are mostly useful when planning  
 419 outdoor activities for a large group of people and for defining design retrofitting strategies in large  
 420 courtyards, according to the spatial distribution of the Tmrt.

421 As a general rule for summer days, outdoor activities in unshaded areas are not recommended between  
 422 10:00h and 15:00h. Therefore, the provision of shade, by means of canopies and vegetation, is necessary  
 423 if outdoor activities are to occur during this time of day. For the *San Juan de Dios* and *Pías School*  
 424 courtyards, the most comfortable subzones between 10:00h and 15:00h, are those adjacent to the  
 425 surrounding interior walls. Shading elements are therefore recommended in the central subzones. On the  
 426 other hand, for the courtyard of *Convent of Carmen*, the shading elements should be located in the eastern  
 427 subzones, since this area is the most uncomfortable in the afternoon. In the morning, the most  
 428 comfortable area is on the eastern side. For winter days, it is advisable to plan activities in the north  
 429 subzones of the three courtyards, especially between 10:00 h and 16:00 h. Also, it is recommended to  
 430 avoid areas of dense shading, especially on the south side of the courtyard, where solar access is very  
 431 limited at this time of the year.

432 *Figure 7: Implementation of the design recommendations and usability in three large courtyards in*  
 433 *Camagüey, Cuba*

434 **5 - Discussion**

435 Calculating the average daily Tmrt shows that blocking the direct radiation, by increasing the aspect ratio  
 436 of courtyards, can be highly influential in improving thermal conditions, as a result of creating larger  
 437 shaded areas. Similar to the results reported by [15], our findings highlighted that among all geometric  
 438 parameters studied, the height of the walls surrounding the courtyards with fixed floor dimensions is  
 439 found to be the most influencing parameter on the outdoor thermal environment. This is in agreement  
 440 with the studies from [12,26] [27] [4]. However, similar to [44] [25], our research also shows that  
 441 increasing the height of the walls is insufficient for improving thermal conditions at noontime. This  
 442 highlights the need to look into bioclimatic strategies for providing comfortable spaces, such as the  
 443 application of shading devices or greeneries for hot-humid climates [27]. Besides, our findings point out  
 444 some aspects also recommended by [26]. For example, the optimum aspect ratio in summer is that which  
 445 provides the maximum shaded area, whereas in winter it is that which allows a certain exposure to the  
 446 sun. Hence, high and relatively narrow courtyards are recommended for summer days, while in winter  
 447 they should be expanded and low. For permanent structures, especially ones with heritage value, this  
 448 represents a challenge for architects, most of the time, impossible to achieve. Therefore, for new  
 449 courtyard buildings or in the renovation of non-historical buildings, designing courtyards with aspect  
 450 ratio values ranging between 1 and 3 is recommended for warm-humid climates, providing a certain level  
 451 of wind permeability through the surrounding walls, for an efficient ventilation. For historical courtyard  
 452 buildings, other non-invasive design strategies should be implemented, as those provided above, for the  
 453 three selected courtyards in Camagüey. Regarding courtyard orientation for rectangular courtyards, our  
 454 results also suggest that orienting the long axis as close as possible to the north-south is desirable to  
 455 achieve a reasonable performance in agreement with [26]. However, this orientation is not the most  
 456 convenient for east and west facades facing the courtyard. Therefore, in these cases, appropriate shading  
 457 devices should be incorporated for those facades.

458 The methods presented here can be applied to similar researches in other climatic zones, and provide  
 459 valuable information for architects and urban planners, however, it is important to account for the

460 limitations of this study. An important aspect to be considered, when analyzing thermal conditions in  
 461 courtyards, is the influence of courtyard geometry on wind flow, which can reduce or increase the Tmrt  
 462 values. In the case of this study, the effect of wind flow is rather limited due to the central location of the  
 463 studied historic area, in the center of Camagüey. The surrounding urban areas reduce the penetration of  
 464 wind in their interior, due to the increased surface roughness [59], however the importance of wind  
 465 should also be considered. Furthermore, the radiation model in RayMan model does not include the heat  
 466 storage in the facades of buildings, and does not consider multiple reflections between buildings.  
 467 However, the latter limitation is less important for low aspect ratio courtyards. Simulations were run in a  
 468 sunny day with clear sky, while the sky in the tropics can be cloudy, especially in summer afternoons,  
 469 which can result in a lower level of Tmrt. Besides, for more accurate results, a regional validation of this  
 470 Model should be performed through actual field studies and measurements in Cuba.

471 **6 – Conclusions and future developments**

472 The geometrical characteristics and orientation of courtyards impact their thermal conditions, especially  
 473 in warm-humid and compact city centers, such as is Camaguey's in Cuba. Based on numerous  
 474 simulations and analysis of solar radiation and Tmrt, this paper proposes general recommendations for the  
 475 optimization of thermal conditions and on the usage of courtyards in warm-humid regions, with a similar  
 476 latitude as Camagüey, Cuba. The most noteworthy insights and their implications to ameliorate  
 477 courtyards thermal conditions are summarized as follows:

- 478       a) Results confirm the impact of increasing the aspect ratio in courtyards and change their axis  
           479       orientation on the thermal conditions. The duration of excessive direct solar radiation increases  
           480       with low aspect ratios ( $H/W < 1.5$ ) and for the cases with axis orientations close to E-W. From the  
           481       analyzed cases, square courtyards receive less solar irradiation than rectangular ones. Besides,  
           482       the subzones adjacent to the surrounding walls receive direct solar radiation during shorten time  
           483       than the central area.
- 484       b) Aspect ratio has a stronger impact on the thermal conditions in courtyards than orientation due to  
           485       the greater spatial-temporal variability of Tmrt, when changing the courtyard's wall height. The  
           486       variation in the amount of area with Tmrt higher than 45 °C can be twice as large when changing  
           487       the courtyard's aspect ratio from 1 to 3, in comparison with the changing of its orientation.

- 488            However, the orientation has a stronger impact on the distribution of shaded area.
- 489            c) A set of orientations and aspect ratios are recommended to improve thermal performance of  
 490            courtyards in both summer and winter seasons. Better thermal conditions are obtained in  
 491            courtyards with orientations N-S and NE-SW. Orienting the courtyard's long axis away from the  
 492            E-W results in a lower Tmrt in around 1/2 the area of a courtyard with high aspect ratio  
 493            (H/W=3), especially between 11:00h and 13:00h. The Tmrt reduction can reach up to 15.7 °C.  
 494            Besides, increasing the aspect ratio of courtyards noticeably decreases the level of Tmrt during  
 495            diurnal hours. Courtyards with an aspect ratio of H/W=3 can achieve a reduction of Tmrt of 20  
 496            °C when compared with an aspect ratio of H/W=0.5. In addition, the subzones in the courtyard  
 497            where the Tmrt is above 45 °C can also be reduced by 100% with high aspect ratios. Therefore,  
 498            aspect ratios lower than 1 are not recommended, unless they are provided with sun shading  
 499            elements in their central areas.
- 500            d) During summer days, courtyards in the warm-humid climate of Cuba can provide comfortable  
 501            conditions before 11:00h and after 14:00h. These periods could be increased once the courtyard's  
 502            thermal performance is adjusted through adequate design strategies. In the morning, the east side  
 503            of N-S and E-W courtyards have better thermal condition. Subzones near the northeast and  
 504            southeast sides are recommended on NE-SW and SE-NW courtyards. In the afternoon, the west  
 505            side of N-S and E-W courtyards are recommended. Moreover, subzones near the northwest and  
 506            southwest sides have better thermal condition for NE-SW and SE-NW courtyards, with Tmrt  
 507            values lower than 45 °C.
- 508            This study is limited to the courtyard's thermal conditions in terms of Tmrt and also to a partial analysis  
 509            of the PET index for the simulated models. The values of PET are preliminaries from the effects of  
 510            courtyard aspect ratio and orientation, and may vary due to the modification on wind conditions. The  
 511            results obtained contribute to provide design and renovation recommendations to reduce heat stress  
 512            conditions inside courtyards in warm-humid climates (especially in Cuba), and consequently to reduce  
 513            UHI effects and promote more comfortable urban environments. Ameliorating courtyard conditions  
 514            thought passive solar design is an effective low-energy strategy to improve indoor thermal conditions on  
 515            spaces facing the courtyard in most climates and urban morphologies. Future research is needed to

516 explore the impacts of courtyards' aspect ratios and orientations on the wind conditions and on the  
 517 thermal comfort inside the surrounding buildings during daytime and nighttime for the whole year.  
 518 Specific aspects of courtyards design (e.g. vegetation, surface materials, albedo and emissivity) also need  
 519 to be incorporated to provide more holistic recommendations.

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672

Reference / Climate	Results
(Muhsisen 2006) Hot humid, Hot dry, Temperate, and Cold climates (Kuala Lumpur, Cairo, Rome and Stockholm)	<p>This paper presents a modelling study carried out into the effect of rectangular courtyard proportions on the shading and exposure conditions produced on the internal envelope of the form in four different climatic regions.</p> <p>Morphology: Square and rectangular courtyards with different depths.</p> <p>Modelling Tool: CourtSun program</p> <p>Indicators: Shading index.</p> <p>Results: The study suggests general rules for efficient courtyard design.</p> <ul style="list-style-type: none"> <li>• Hot-humid climate: orienting the long axis of the courtyard along the northeast–southwest would be recommended. The optimum courtyard height was found to be three-storey.</li> <li>• Temperate climates: orientation around the north–south axis would be recommended. The optimum courtyard height was found to be two-storey.</li> <li>• Cold climates: orientation around the north–south axis would be recommended. The optimum courtyard height was found to be one-storey.</li> <li>• Hot-dry climate: orientation between the northeast–southwest axis and the north–south axis ensure an efficient performance in both seasons. The optimum courtyard height was found to be two-storey.</li> </ul>
(Muhsisen and Gadi 2006a) Temperate climate (Rome, Italy)	<p>The study focuses on the effect of solar heat gain on the energy demand of courtyard building form with different proportions.</p> <p>Morphology: The ratio R1 is taken as the ratio of the courtyard's floor perimeters P to the form's height H (P/H); it indicates the depth of the form. It ranges between 1 and 10 in one degree steps. The ratio R2 indicates the elongation of the form (width W / length L) it varies between 0.1 and 1 in one degree steps. So, a courtyard form with ratio R2 equal to 0.1 means that the form has, for example, 1m width and 10m length. If ratio R1 for this form is 1, the height of the courtyard will be 22 m.</p> <p>Modelling Tool: Virtual Environment (IES) program</p> <p>Indicators: solar heat gain</p> <p>Results: The proportions of the courtyard building considerably influence the need for heating and cooling. It was found that, for the purpose of reducing the cooling load in summer and heating load in winter, deeper courtyard forms were the most preferable. The self-shading of the courtyard building acts to reduce the need for cooling by an average of about 4% whereas, in winter, it has the effect of increasing the heating load by an average of 12%. This indicates that obtaining solar radiation in winter is more critical than avoiding in summer.</p>
(Muhsisen and Gadi 2006b) Temperate climate (Rome, Italy)	<p>This paper examines the shading performance of polygonal courtyard forms. The study was carried out on summer and winter solstices.</p> <p>Morphology: Polygonal courtyard forms with pentagonal, hexagonal, heptagonal and octagonal plans.</p> <p>Modelling Tool: Computer program to calculate the shaded and sunlit areas based on a set of equations, which were derived through analysing the relationship between the sun location at any time and the courtyard form.</p> <p>Indicators: Shading index.</p> <p>Results: The courtyard proportions and geometry have a considerable influence on the shading performance of courtyard forms. Deep courtyard forms with any geometry are recommended to achieve maximum internal shaded areas in summer. In winter, shallow forms would be advantageous for obtaining sunlit areas. For a reasonable performance throughout the whole year, courtyards with R1 equal to or greater than 5 are recommended. They ensure a significant amount of internal shadows in summer, as well as a considerable sunlit area in winter.</p>
(Aldawoud and Clark 2008) Hot-dry climate (Phoenix, Arizona)	<p>In this paper, the energy performance of a central atrium is investigated and compared with the energy performance of a courtyard with the samegeometric proportions.</p> <p>Morphology: Square geometry.</p> <p>Modelling Tool: DOE2.1E program</p> <p>Indicators: Energy performance of atriums and courtyards in buildings</p> <p>Results: The open courtyard building exhibits a better energy performance for the shorter buildings. As the building height increases, however, at some point the enclosed atrium exhibits a better energy performance.</p>

(Berkovic et al. 2012) Hot-arid climate (Beer-Sheba, Israel)	The outdoor thermal comfort in an enclosed courtyard has been studied numerically. The effect of wind, and shading by different means – galleries, horizontal shading or trees – has been examined during hot summer day.  Morphology: Square and rectangular courtyards. The following three geometries (defined according to East-West length * North-South length) of courtyards surrounded by a 9 m high (three floors) and 12 m wide building were considered: 20 m x 40 m (proportion 1:2), 40 m x 20 m (proportion 2:1), and 28 m x 28 m (proportion 1:1). The recommended heights for these courtyards are: 10 m, 7 m and 9 m respectively. These heights agree with the chosen height, 9 m, to within 2 m.  Modelling Tool: Envi-met 3.1  Indicators: The thermal comfort is evaluated by the Predicted Mean Vote (PMV) index.  Results: In summer, outdoor comfort is mainly dependent on solar radiation; hence, shading is the best means to improve comfort, while the contribution of wind under all configurations studied was limited and much smaller than the shade contribution. Inspection of empty enclosed courtyards has shown that an elongated E-W rectangular courtyard has the least shade, and therefore it is the most uncomfortable. The addition of trees or/and galleries to the closed courtyard significantly improves the outdoor comfort.
(Yang et al. 2012) Humid continental climate (Beijing)	This paper presents predicting and understanding temporal 3D exterior surface temperature distribution in an ideal courtyard.  Morphology: Square courtyard (constant width = 10 m and variable height). Modelling Tool: STTC (Surface Thermal Time Constant) model for predicting ground-surface temperature.  Indicators: Surface temperature.  Results: The results show that increasing courtyard height, thermal mass and material conductivity intensify the nocturnal micro-scale heat island effect in summer. Increasing thermal mass, surface albedo and conductivity efficiently reduce the peak temperature during daytime, which leads to a micro-scale urban cool island phenomenon in winter time. The solar radiation and the urban structures are found to be the most important factors in determining the courtyard thermal environment during both summer (July 1st) and winter (January 1st). Among all 4 parameters that were studied here, the height of the courtyard is found to be the most influencing. The surface albedo has the least influence on the courtyard thermal environment.
(Almhafdy et al. 2013a) Tropical climate (Malaysia)	This study assessed the microclimate performance of a U-shape courtyard in a General Hospital in Malaysia. The study combined experimental and simulation method, followed by the parametric analysis.  Morphology: This study tested the effects of changing the courtyard enclosure height to 4m and 24m. In relation to the orientation, four scenarios were investigated that represent the main cardinal directions: north, south, east and west. Modelling Tool: Integrated Environmental Solution (IES <VE> software) Indicators: Air temperature, humidity and wind patterns.  Results: The result verifies that the manipulation of courtyard configuration and its orientation impact its microclimate modifying ability. The increment of height of courtyard enclosure reduces air temperature inside the courtyard as well as the rooms located at the peripheral of the courtyard. However, the effect of orientation as observed from the recorded air temperature data, shows that the effect is less but significant.
(Almhafdy et al. 2013b) Tropical climate (Malaysia)	This paper focuses on the application of courtyards in the context of Malaysian hospitals. Thirty-two courtyards in 19 hospitals were inventoried. Besides the courtyard functions, design variants were recorded in terms of its form and aspect ratio, courtyard orientation and physical features within the courtyard.  Morphology: All design variants. Indicators: Courtyard functions, aspect ratio, orientation and physical features.  Results: The results revealed that the courtyards in the Malaysian hospitals buildings are creatively manipulated. The paper concludes with an outline of means to optimise a microclimatic and healing performances. The research has provided a background to support further research and analysis on the impact of courtyard design variants of its performance.
(Yasa and Ok 2014) Hot-dry climate (Diyarbakir, Turkey)  Hot-humid climate (Antalya, Turkey)  Cold climate (Erzurum, Turkey)	The purpose of this study is to examine the energy efficiencies of the courtyard buildings used either as a micro climatic regulator, and to determine courtyard comfort statuses, and thus to provide new information to designers at the process of putting forward the optimum courtyard form according to the characteristics and data of the specific climate for different climatic regions. This study is limited to comparative analyses between seven different yard building options considered for application in plot centers of “Hot-Dry Climate”, “Hot-Moist Climate” and “Cold Climate” with different characteristics dominant in Turkey.  Morphology: Square and rectangular courtyards (variable width). Modelling Tool: Computational Fluid Dynamics (CFD Fluent 6.3 program)

	<p>Indicators: Solar heat gains and energy efficiency.</p> <p>Results: The optimum courtyard ratio is a form that allows minimum radiation during summer and maximum radiation during winter. Generally, the effect of shadowing on the required heating load during winter is more than its effect on decreasing the cooling load during summer. Making solar radiation gain during winter is more critical (important) than evading this during summer. It has been observed that the required annual energy demand increases in parallel with the increase in courtyard length.</p>
(Almhafdy et al. 2015) Tropical climate (Malaysia)	<p>The design variants of courtyard such as plan aspect ratio and cantilevered roofs (as shading devices) have been investigated using CFD in IES-VE software.</p> <p>Morphology: Square and rectangular courtyards.</p> <p>Modelling Tool: CFD in IES-VE software</p> <p>Indicators: Three environmental indicators were observed namely air temperature (<math>C^\circ</math>), air velocity (m/s) and the thermal comfort which is evaluated by the Predicted Mean Vote (PMV) index.</p> <p>Results: Aspect ratio and cantilevered roof plays a significant effect on the wind speed and, consequently, the thermal comfort. The U-shape of courtyard with aspect ratio of 1:2 (which is considered as a rectangle) performs better than the U-shape of courtyard with aspect ratio of 1:1 (which is considered as a square). In addition, increasing the shading area using cantilevered roof has shown a remarkable improvement for the thermal comfort.</p>
(Taleghani et al. 2014) Temperate climate (De Bilt, Netherlands)	<p>A parametric study into different geometries and orientations of urban courtyard blocks in the Netherlands was therefore conducted for the hottest day. The study also considered the most severe climate scenario for the Netherlands for the year 2050. Three urban heat mitigation strategies that moderate the microclimate of the courtyards were investigated: changing the albedo of the facades of the urban blocks, including water ponds and including urban vegetation.</p> <p>Morphology: Square and rectangular courtyards. The models vary in length and width from 10 to 50 m with steps of 10 m; and have four main orientations N–S, E–W, NW–SE, and NE–SW.</p> <p>Modelling Tool: ENVI-met 3.1</p> <p>Indicators: Air temperature and mean radiant temperature</p> <p>Results: The results showed that a north–south canyon orientation provides the shortest and the east–west direction the longest duration of direct sun at the centre of the courtyards. Moreover, increasing the albedo of the facades actually increased the mean radiant temperature in a closed urban layout such as a courtyard. In contrast, using a water pool and urban vegetation cooled the microclimates; providing further evidence of their promise as strategies for cooling cities.</p>
(Ghaffarianhoseini et al. 2015) Tropical climate (Kuala Lumpur, Malaysia)	<p>This study evaluates the ability of unshaded courtyards for providing thermally comfortable outdoor spaces according to different design configurations and scenarios, including the orientations, height and albedo of wall enclosure, and use of vegetation.</p> <p>Morphology: Square courtyards. Variable height</p> <p>Modelling Tool: ENVI-met 3.1</p> <p>Indicators: Predicted mean vote (PMV) and Physiologically Equivalent Temperature (PET) index</p> <p>Results: Simulations reveal that the courtyard facing North has slightly better thermal performance with minimum air temperature of 300 K at 8:00 and maximum air temperature of 305 K at 15:00. Increasing the height of wall enclosures in courtyards significantly improves the outdoor thermal comfort by blocking the intense solar radiations and providing more shaded areas. PET values reveal that increasing the height of courtyard significantly reduces the duration of thermal discomfort from 9 h (9:00 to 18:00) to 3 h (12:00 to 15:00). As a result, guidelines are proposed in order to optimize the design of courtyards towards enhancing their thermal performance characteristics.</p>
(Tablada et al. 2009) Hot-humid climate (La Habana, Cuba)	<p>This paper first presents an historical overview of the typological evolution of the residential architecture in this part of the city and its relation to natural ventilation and thermal comfort. Based on the historical overview, the measurements and the survey, some preliminary design recommendations for residential buildings in Old Havana are provided.</p> <p>Morphology: Compact urban morphology of Old Havana (Courtyards)</p> <p>Modelling Tool: Field measurements and a limited comfort survey</p> <p>Indicators: Air temperature, relative humidity, wind/air speed, air temperature, radiant temperature, relative humidity, and ET* comfort temperature.</p> <p>Results: Based on the comfort survey, the obtained comfort zone for summer conditions for Old Havana residential buildings ranges from 24.7 to 30.7 <math>^\circ C</math> ET*. The evident way of achieving cross ventilation is with courtyards that are permeable to the exterior environment both from the ground floor and from the top. This more open courtyard configuration allows higher indoor ventilation rates than the one achieved in buildings with a single narrow courtyard which are only open at the top. In cases of wide courtyards, additional solar protection should be provided.</p>
(Martinelli and Matzarakis 2016) Oceanic climate,	<p>The study carries out a theoretical investigation of the influence of height/width (H/W) proportions to the thermal comfort of courtyards, for Italian climate zones.</p> <p>Morphology: Square courtyards. Variable height</p>

Temperate continental climate, Temperate subcontinental climate, Subcoastal climate, Temperate-hot climate, subtropical climate (Italy)	Modelling Tool: RayMan Indicators: Physiologically Equivalent Temperature (PET) index Results: The results indicate that high height/width proportions appear to have a stabilizing effect over thermal comfort, for both winter and summer season. As a general rule, to be carefully tested for each specific case, higher H/W proportions of 4:5 to 5:5 may be suggested for warmer climates, while lower-medium H/W proportions of 3:5 to 4:5 could be suitable for colder climates.
(Taleghani et al. 2015) Temperate climate (Netherlands)	In this paper, singular East-West and North-South, linear East-West and North-South, and a courtyard form were analysed for the hottest day so far in the temperate climate of the Netherlands. Morphology: The three main urban forms studied (singular, linear and courtyard), each with a different compactness, provide different situations in their microclimate. Modelling Tool: ENVI-met 3.1, RayMan model Indicators: Physiologically Equivalent Temperature (PET) index Results: This paper shows that the courtyard provides the most comfortable microclimate in the Netherlands in June compared to the other studied urban forms. The courtyard provides a more protected microclimate which has less solar radiation in summer. Since courtyards are not yet very common in temperate climates, the changing global climate, with an expected increase of temperature levels in Western Europe, advocates the usage of courtyards in (new or redeveloped) urban settings.

1

2 *Table 1: Studies on the impact of courtyards geometry on outdoors thermal conditions*

## + DOCUMENTA MUNDI

### Historical urban centre of Camagüey / Representative urban complexes in the Old Town



**Proportions of large courtyards**



**1 ★ Convent of Carmen**  
(width = 20 m , height = 15 m)

Construction of the convent commenced on 1826 and terminated on 1829. It consists of two two-storey cloisters, the first of which is quadrangular and the second trapezoidal. The interior of the first cloister, adjacent to the church, displays a colonial ambience with semicircular arches and coloured fanlights around the central courtyard.

*Current function: Office of the City Historian (Its refurbishment was awarded the National Monument Restoration prize in 2002).*

The Merced (church and convent) was founded in 1601 and went through different transformations to the image it currently displays. The monastery has a single cloister surrounded on three sides by the C-shaped ground plan. In this case there is no gallery attached to the church. The cloister has arcades on both levels: basket-handle on the ground floor and semicircular on the top floor. These are supported by thick low pillars, square in plan.  
*Current function: The building currently serves as the Diocesan Centre.*

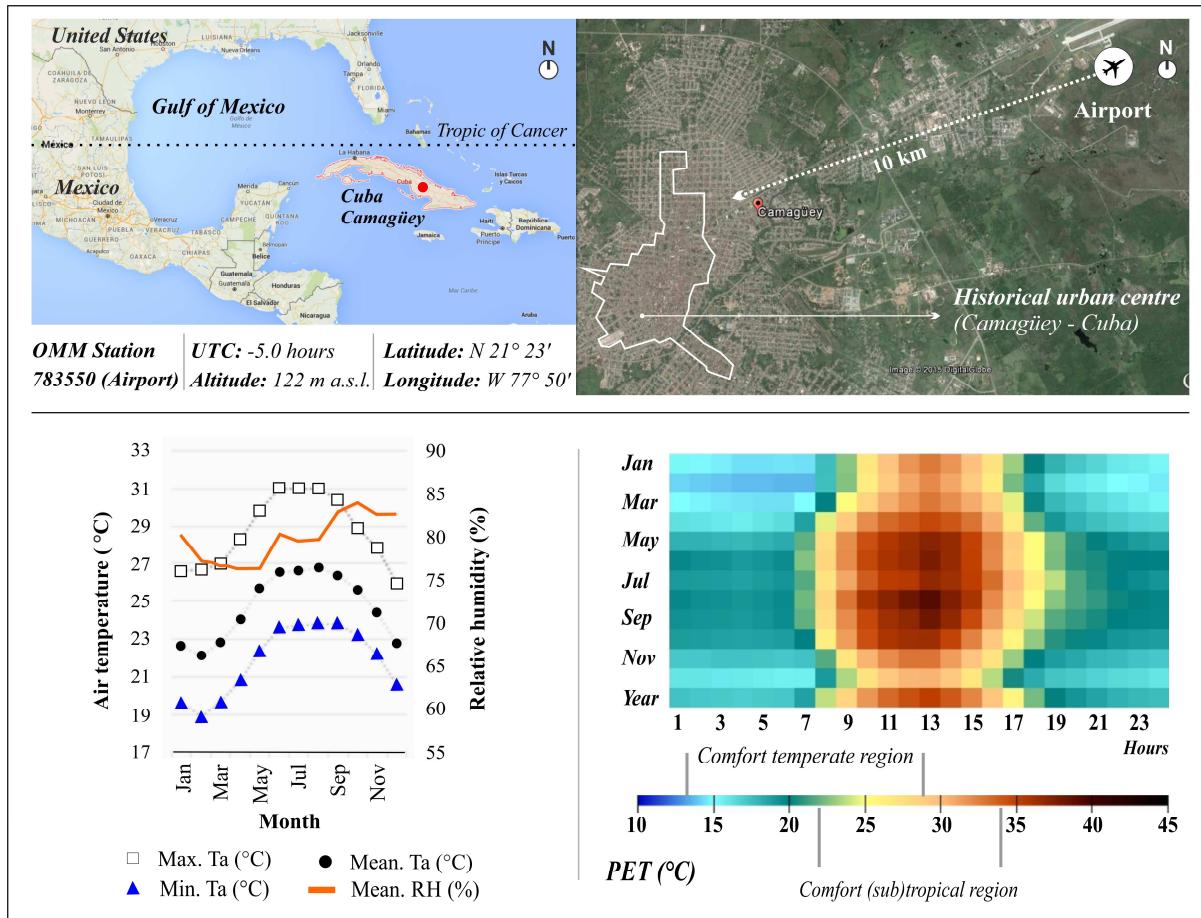
**2 ★ Nuestra Señora de la Merced**  
(width = 28 m, height = 15 m)

The earliest records of the San Juan de Dios (church and convent) date to 1687 and 1692. The convent cloister has galleries based on semicircular arches on the ground floor and basket-handle arches on the top floor, with wooden ceilings and a roof made of wood and Creole tiling, which culminates in the traditional sprocketed eaves.  
*Current function: Museum*

**3 ★ San Juan de Dios**  
(width = 18 m, height = 11 m)

This building is adjacent to the Sacred Heart Church and replaced the former St Francis's Church and Convent complex. It is a two-storey building constructed as a school. The two levels are articulated around large interior courtyards surrounded by semicircular arcades. The transition from one courtyard to another is via an open gallery, a feature that generates exceptional spatial merit.  
*Current function: School*

**4 ★ Pías School**  
(width = 23 m, height = 15 m)



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7 **Fig. 2:** Climate conditions of the study area

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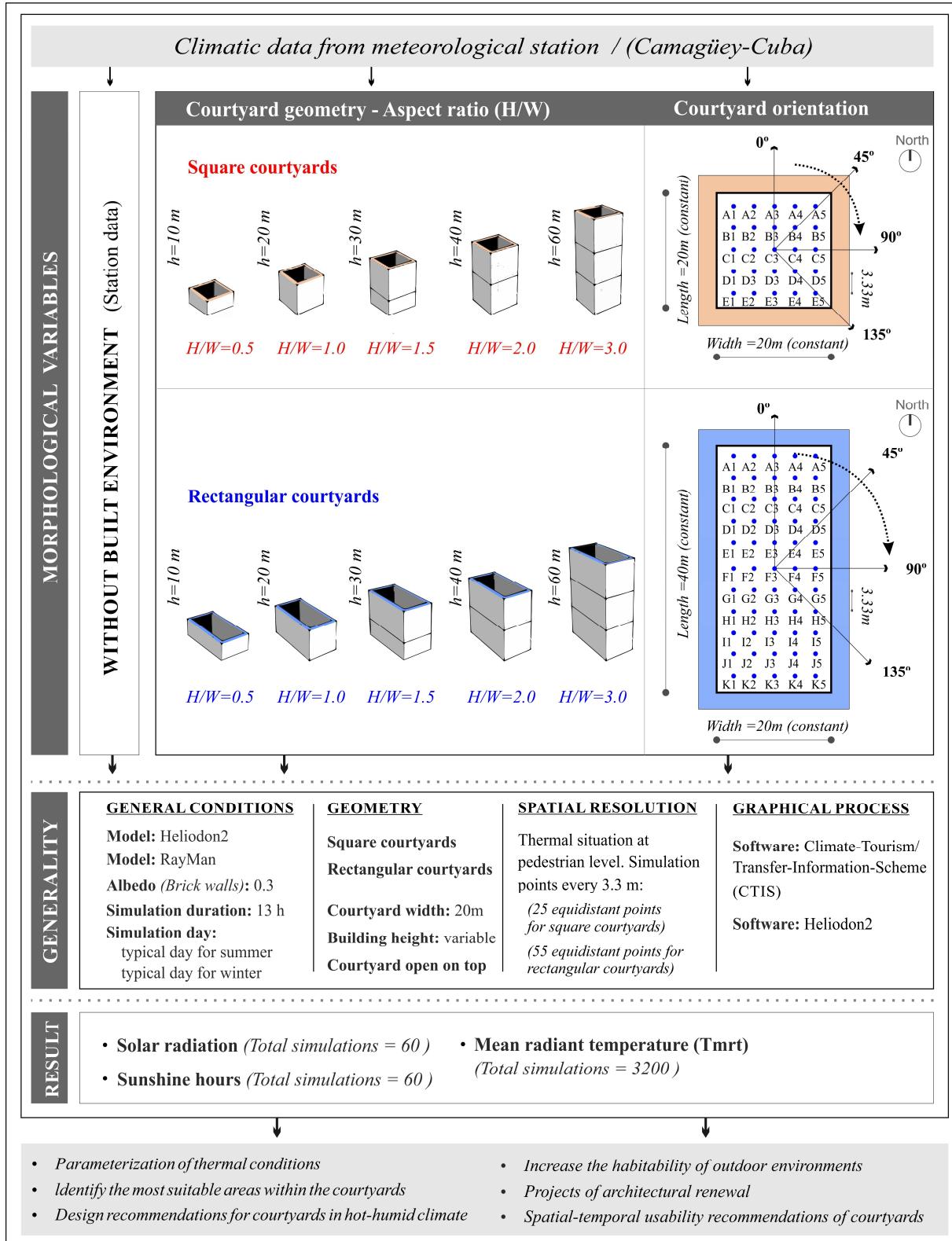
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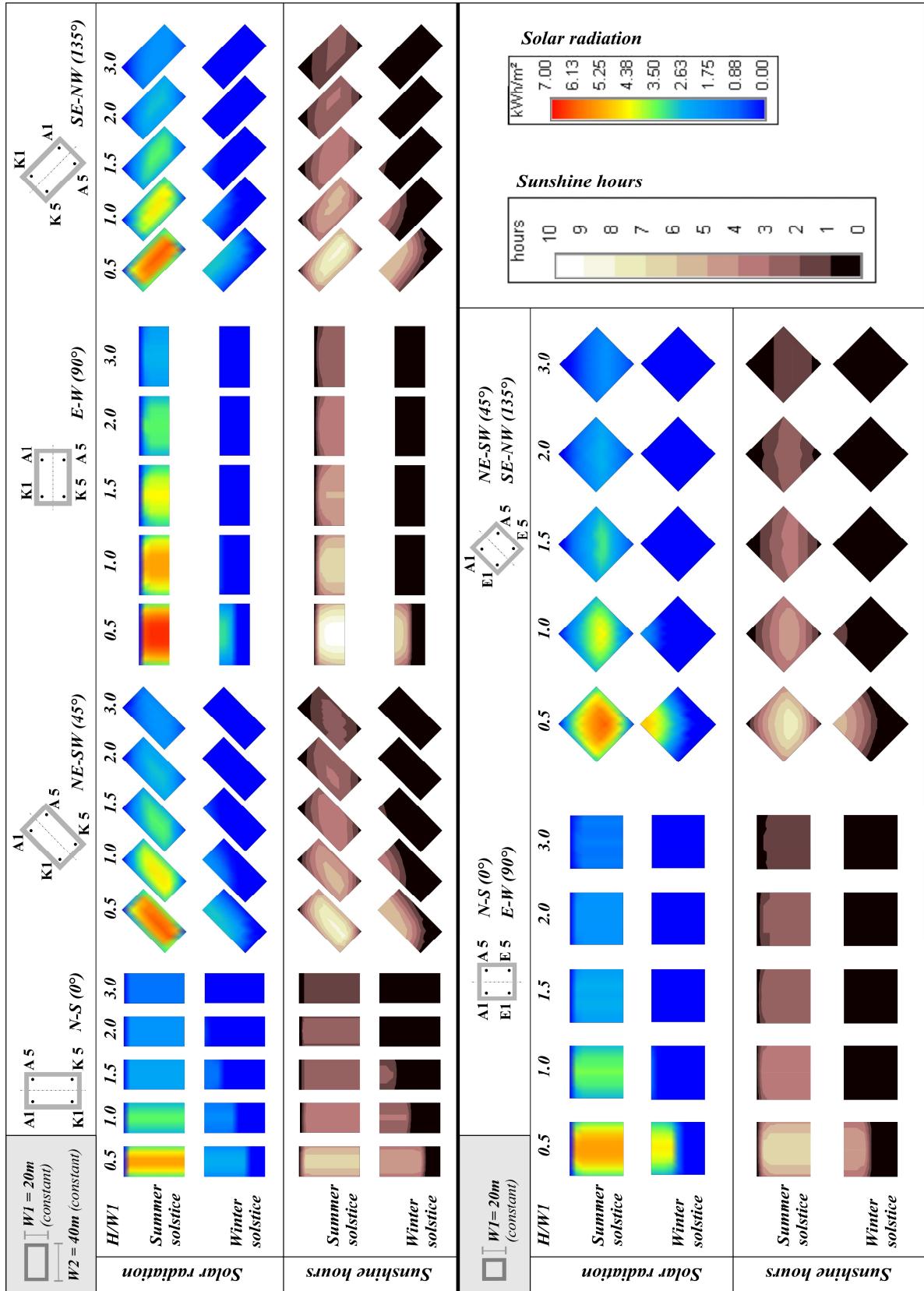
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18 **Fig. 3: Methodological framework**

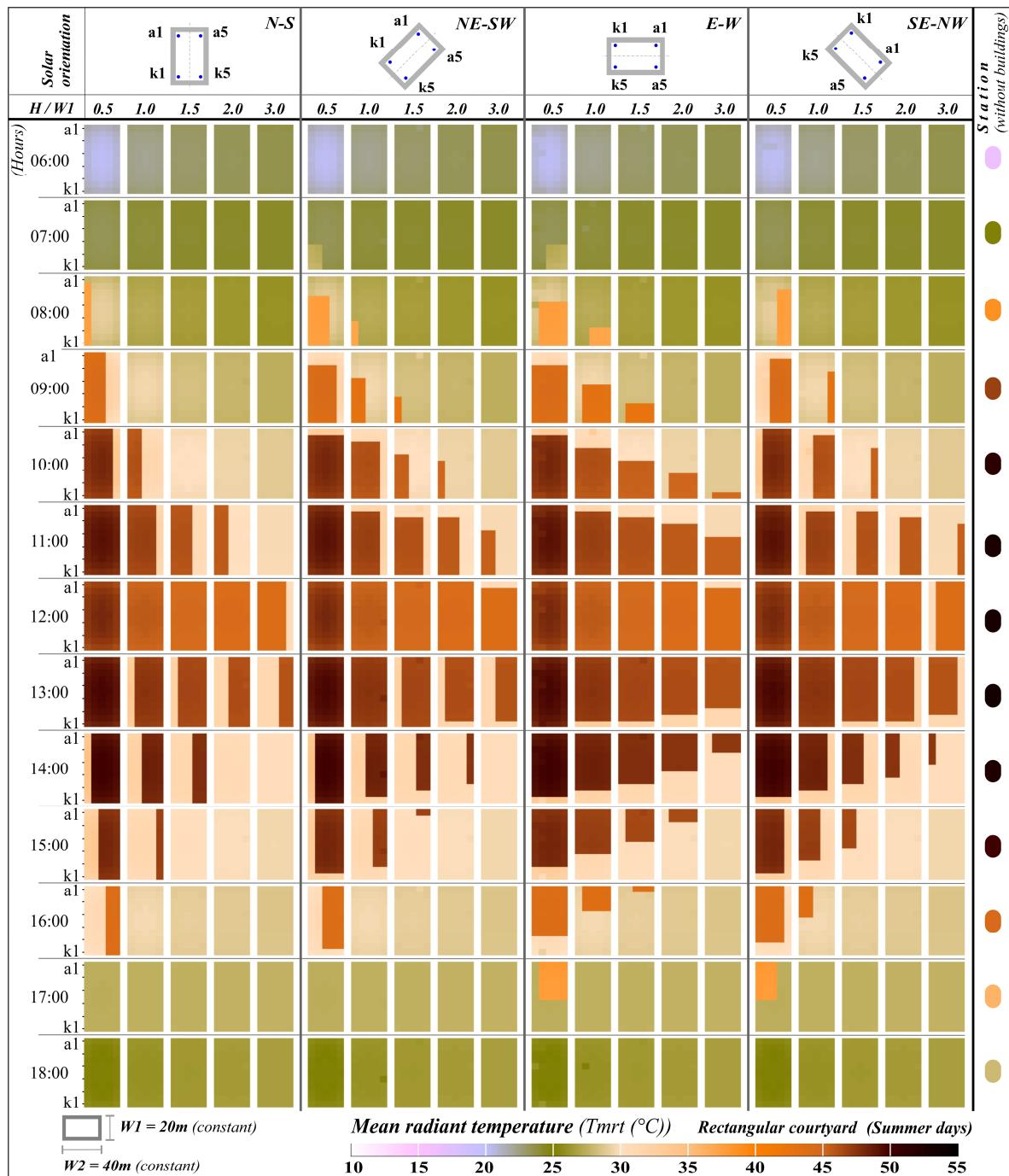
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21 **Fig. 4:** Sunshine hours and solar radiation for different aspect ratios and orientations of courtyards -

22 (winter and summer solstices).



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24 **Fig. 5a:** Diurnal courses of  $T_{mrt}$  (°C) for rectangular courtyards with different aspect ratios and  
25 orientations - (typical summer day)

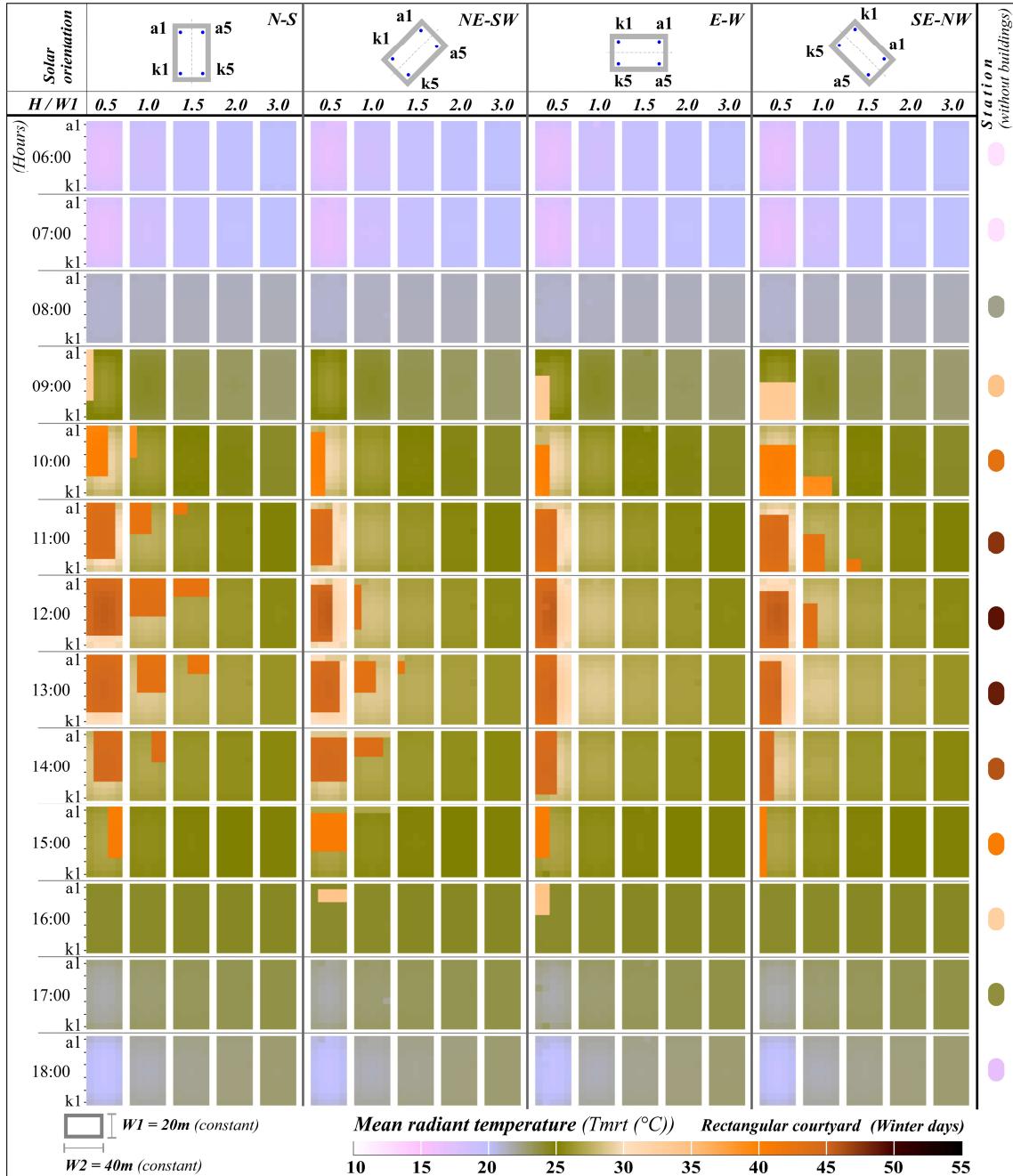
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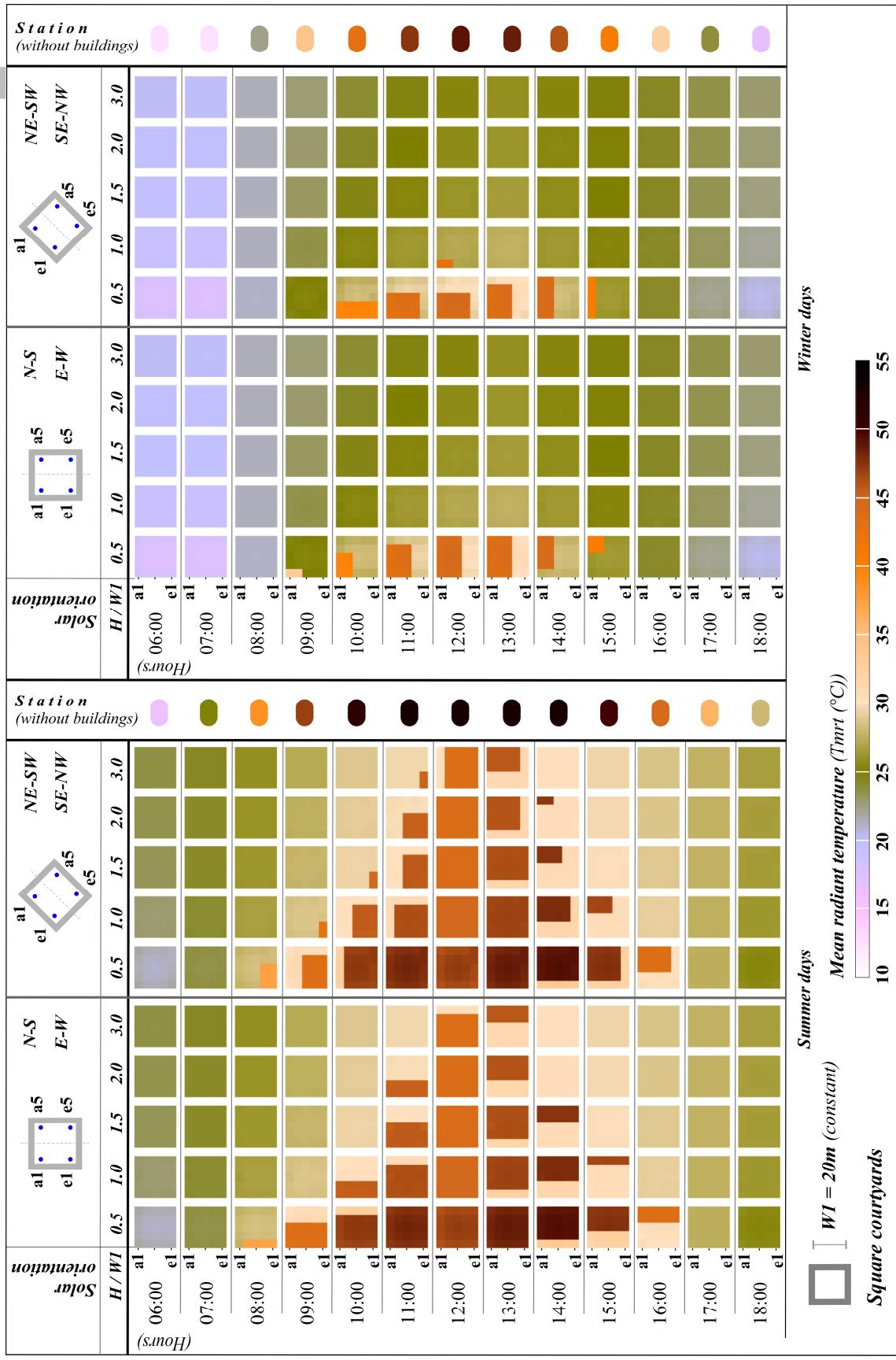
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33 **Fig. 5b:** Diurnal courses of  $T_{mrt}$  (°C) for rectangular courtyards with different aspect ratios and  
 34 orientations - (typical winter day)



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37 **Fig. 6: Diurnal courses of  $T_{mr}$  ( $^{\circ}\text{C}$ ) for square courtyards with different aspect ratios and orientations -**38 **(typical winter and summer days).**

GEOMETRY		RECTANGULAR COURTYARDS												SQUARE COURTYARDS												
ORIENTATIONS		N-S			NE-SW			E-W			SE-NW			N-S / E-W			NE-SW / SE-NW									
H/W		0.5	1	1.5	2	3	0.5	1	1.5	2	3	0.5	1	1.5	2	3	0.5	1	1.5	2	3	0.5	1	1.5	2	3
Percentage of areas with $T_{mrt} \geq 45^{\circ}\text{C}$ (summer)																										
Hours	9:00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	10:00	80	40	0	0	0	91	65	25	11	0	91	73	55	29	0	80	55	15	0	0	80	40	0	0	0
	11:00	100	80	60	40	0	100	73	65	49	25	100	91	82	73	55	100	73	55	49	15	100	80	60	40	0
	12:00	100	100	100	100	80	100	100	100	100	90	100	100	100	100	90	100	100	100	100	80	100	100	100	100	80
	13:00	100	80	80	60	40	100	100	80	73	55	100	91	91	82	73	100	100	91	73	65	100	80	80	60	40
	14:00	80	60	40	0	0	80	55	33	15	0	91	82	73	55	22	91	65	44	25	9	80	60	40	0	0
	15:00	60	20	0	0	0	73	33	4	0	0	82	64	36	15	0	73	44	22	0	0	60	20	0	0	0
	16:00	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Average percentage of areas with $PET \geq 30^{\circ}\text{C}$ (summer)																										
Hours	9:00 - 10:00	60	0	0	0	0	65	25	7	0	0	82	44	22	0	0	55	15	0	0	0	60	0	0	0	0
	11:00 - 14:00	100	80	60	40	0	100	73	65	49	25	100	91	82	73	55	100	73	55	49	15	100	80	60	40	0
	15:00 - 16:00	60	20	0	0	0	73	33	0	0	0	82	64	36	15	0	73	44	22	0	0	60	20	0	0	0

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40 **Table 2:** Average percentage of areas with  $T_{mrt} \geq 45^{\circ}\text{C}$  and  $PET \geq 30^{\circ}\text{C}$  for summer day

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<p><b>Convent of Carmen</b> (Square courtyard, Aspect ratio = 0.75)</p>  	<p><b>Summer:</b></p> <ul style="list-style-type: none"> <li>Outdoor activities are not recommended between 11:00 h and 14:00 h.</li> <li>The most comfortable subzones are next to east and west sides of the courtyard in the morning and in the afternoon respectively.</li> <li>The eastern subzones of the courtyard (in red color) requires constant sun protection between 11:00h and 14:00 h. Shading elements would preferably be located on this area.</li> <li>It is recommended the management of grass, trees and awnings.</li> </ul> <p><b>Winter:</b></p> <ul style="list-style-type: none"> <li>It is advisable to plan activities on the north side of the courtyard, especially around midday between 10:00 h and 16:00 h.</li> <li>Avoid areas of dense shading especially on the south side of the courtyard where solar access is very limited at this time of year.</li> </ul>
<p><b>San Juan de Dios</b> (Square courtyard, Aspect ratio = 0.60)</p>  	<p><b>Summer:</b></p> <ul style="list-style-type: none"> <li>It is advisable to avoid outdoor activities between 10:00 h and 15:00 h.</li> <li>The most comfortable subzones are those adjacent to the surrounding interior walls of courtyard.</li> <li>Shading elements would preferably be located on the central subzones of the courtyard (in red color) especially between 10:00 h and 15:00 h.</li> <li>It is recommended the properly management of awnings, forestry and green areas to promote shading areas.</li> </ul> <p><b>Winter:</b></p> <ul style="list-style-type: none"> <li>It is not advisable to plan activities next to southeast and southwest facades of the courtyard, especially before 9h and after 17h.</li> <li>Avoid areas of dense shading especially on the south side of the courtyard.</li> </ul>
<p><b>Pias School</b> (Square courtyard, Aspect ratio = 0.65)</p>  	<p><b>Summer:</b></p> <ul style="list-style-type: none"> <li>Outdoor activities are not recommended between 10:00 h and 15:00 h.</li> <li>The most comfortable subzones are next to west and east side of the courtyard.</li> <li>The central subzone of the courtyard (in red color) requires constant sun protection. Shading elements would preferably be located on this area.</li> <li>It is recommended the management of grass, trees and awnings that improve the thermal situation in the courtyard.</li> </ul> <p><b>Winter:</b></p> <ul style="list-style-type: none"> <li>It is advisable to plan activities on the north side of the courtyard, especially around midday between 10:00 h and 16:00 h.</li> <li>Avoid areas of dense shading especially on the south side of the courtyard where solar access is very limited at this time of year.</li> </ul>
<p>● Recommended areas for activities during the mornings of summer days (before 11:00h)</p> <p>● Recommended areas for activities during the afternoon of summer days (after 15:00h)</p> <p>● Placement of sun protection elements in summer days (especially at noon between 11:00h and 15:00h)</p> <p>● Areas recommended for activities during the winter days</p>	

42

43 *Figure 7: Implementation of the design recommendations and usability in three large courtyards in*44 *Camagüey, Cuba*

45

**Highlights**

- *Courtyard configuration has an evident impact on the improvement of human thermal comfort conditions at pedestrian level, especially during the summer.*
- *Better thermal conditions are obtained in courtyards with orientations N-S and NE-SW.*
- *Aspect ratios lower than 1 are not recommended, unless they are provided with sun shading elements in their central areas.*
- *In the mornings, the east side of N-S and E-W courtyards have better thermal condition for outdoor activities, however, the west side is recommended during the afternoon.*