#### RECENT DEVELOPMENTS AND INNOVATIVE STRATEGIES IN ENVIRONMENTAL SCIENCES IN EUROPE



# Composition analysis of PM<sub>2.5</sub> at multiple sites in Zhengzhou, China: implications for characterization and source apportionment at different pollution levels

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#### **Abstract**

Zhengzhou is one of the most heavily polluted cities in China. This study collected samples of  $PM_{2.5}$  (atmospheric fine particulate matter with aerodynamic diameter  $\leq 2.5~\mu m$ ) at five sites in different functional areas of Zhengzhou in 2016 to investigate the chemical properties and sources of  $PM_{2.5}$  at three pollution levels, i.e.,  $PM_{2.5} \leq 75~\mu g/m^3$  (non-pollution, NP),  $75~\mu g/m^3 < PM_{2.5} \leq 150~\mu g/m^3$  (moderate pollution, MP), and  $PM_{2.5} > 150~\mu g/m^3$  (heavy pollution, HP). Chemical analysis was conducted, and source categories and potential source region were identified for  $PM_{2.5}$  at different pollution levels. The health risks of toxic elements were evaluated. Results showed that the average  $PM_{2.5}$  concentration in Zhengzhou was  $119~\mu g/m^3$ , and the sum of the concentrations of  $SO_4^{2-}$ ,  $NO_3^{-}$ , and  $NH_4^{+}$  increased with the aggravation of pollution level (23, 42, and 114  $\mu g/m^3$  at NP, MP, and HP days, respectively). Positive Matrix Factorization analysis indicated that secondary aerosols, coal combustion, vehicle traffic, industrial processes, biomass burning, and dust were the main sources of  $PM_{2.5}$  at three pollution levels, and accounted for 38.4%, 21.6%, 16.7%, 7.4%, 7.7%, and 8.1% on HP days, respectively. Trajectory clustering analysis showed that close-range transport was one of the dominant factors on HP days in Zhengzhou. The potential source areas were mainly located in Xinxiang, Kaifeng, Xuchang, and Pingdingshan. Significant risks existed in the non-carcinogenic risk of As (1.4–2.3) for children at three pollution levels and the non-carcinogenic risk of Pb (1.0–1.4) for children with NP and MP days.

**Keywords** Fine particle · Pollution characteristics · Positive Matrix Factorization · Hybrid Single-Particle Lagrangian Integrated Trajectory Model · Enrichment factor · Health risk assessment

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#### Highlights

- The mean levels of PM<sub>2.5</sub> on NP, MP, and HP days were 52, 107, and  $253 \mu g/m^3$ .
- OC/EC ratios of 8.2, 7.6, and 9.8 were recorded on NP, MP, and HP days
- The SAs had the highest contributions during every pollution levels.
- Significant non-carcinogenic risk of As and Pb exists for children.
- · Risks of toxic elements on HP days were greater than those on the other days.

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## Introduction

Due to rapid economic development, successful urbanization, and excessive energy consumption, regional air pollution, especially fine particle (PM<sub>2.5</sub>) pollution, have been identified as the most serious environmental problem in China and considered one of the major concerns of the government, scientists, and the public (Tan et al. 2009; Zhang et al. 2015a). PM<sub>2.5</sub> pollution mainly occurs in the developed and large population of urban agglomerations, and the most polluted areas include the Yangtze River Delta (Fu et al. 2008), Beijing–Tianjin–Hebei (Zhao et al. 2009), Pearl River Delta (Tao et al. 2014), and Central Plains Economic Region (CPER; Jiang et al. 2017).

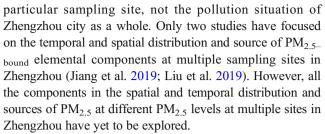
 $PM_{2.5}$  pollution has a profound impact on the ecosystem, visibility, traffic safety, economy, and interaction with climate (Chow et al. 2002; Andreae and Rosenfeld 2008; Zhang et al. 2015a).  $PM_{2.5}$  contains various toxic substances that negatively affect human health (Coyle et al. 2006; Cakmak et al.



2014). These chemical components are mainly derived from various human factors, such as coal combustion, automobile emissions, building dust re-suspension, biomass combustion, and industrial-related emissions. Controlling these pollution sources is the key to reducing PM<sub>2.5</sub> concentrations and enhancing air quality.

For PM<sub>2.5</sub> source apportionment, a multivariate analysis technique, namely, Positive Matrix Factorization (PMF), which was developed by Paatero and Tapper (1994), has been applied to conduct source analysis (Ogulei et al. 2005; Gildemeister et al. 2007; Subramanian et al. 2007; Liu et al. 2019). Backward trajectory and potential source contribution function (PSCF) models have been used to determine the transport pathways of air masses and the potential source regions of pollutants at sampling sites, respectively (Liu et al. 2018).

Zhengzhou is the core city of the CPER, with a high density of coal consumption and dense transportation systems and industries. In 2016, 30.8 Mt of coal was used by industrial enterprises whose main business income exceeded 20 million Yuan (1 USD \approx 6.95 Yuan in 2016), and various transport modes (railway, highway, and civil aviation) completed the freight of 220.4 Mt and the passenger traffic of 163.6 million persons (Bureau of Statistics of Zhengzhou 2017). Zhengzhou is surrounded by Xinxiang, Jiaozuo, Luoyang, Kaifeng, and Xuchang and near Hebei, Shandong, Anhui, Hubei, Shaanxi, and Shanxi Provinces, which are all densely populated and industrialized cities; these areas are frequently reported to have serious air pollution because of the dense emission of air pollutants (Wang et al. 2014, 2020a, b; Wang 2016; Liang et al. 2016; Feng et al. 2018; Jiang et al. 2019). With the intensive pollutant emission and adverse meteorological conditions in Zhengzhou, heavy PM<sub>2.5</sub> load and low visibility occur frequently. Jiang et al. (2018a) showed that the average PM<sub>2.5</sub> concentration in Zhengzhou dropped from  $191 \mu g/m^3$  in 2013 to  $150 \mu g/m^3$  in 2015. Although the annual mean concentration has decreased because of efforts from the local government, pollution remains serious and much higher than the annual limit of PM<sub>2.5</sub> (35  $\mu$ g/m<sup>3</sup>) in the Chinese National Ambient Air Quality Standard (NAAQS), especially in winter in 2015 when the average PM<sub>2.5</sub> concentration reached 191 µg/m<sup>3</sup> with the frequent occurrence of heavy pollution. Therefore, a comprehensive research on different PM<sub>2.5</sub> levels is a key factor of environmental management transition (i.e., from extensive management to refine management) in Zhengzhou. Huang et al. (2017) conducted a hierarchical study of PM<sub>2.5</sub> in the Beijing-Tianjin-Hebei region, indicating that PM2.5 components and source contributions were different in different pollution levels. There have been several reports on the study of PM<sub>2.5</sub> chemical components and source analysis at one sampling site in Zhengzhou (Wang et al. 2015; Jiang et al. 2018a, b; Li et al. 2019). However, these results only represent the pollution level around a



In this study, five sampling sites were set up in Zhengzhou to simultaneously collect PM<sub>2.5</sub> samples. Their chemical compositions were analyzed, and source distributions of PM<sub>2.5</sub> at different pollution levels were determined by PMF model. In this study, daily  $PM_{2.5} < 75 \mu g/m^3$ ,  $75 \mu g/m^3 \le daily <math>PM_{2.5} < 150 \mu g/m^3$ , and daily PM<sub>2.5</sub>  $\geq$  150 µg/m<sup>3</sup> were defined as non-pollution (NP) days, moderate pollution (MP) days, and heavy pollution (HP) days, respectively (Ministry of Environmental Protection of the People's Republic of China 2012). Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) and PSCF models were employed to analyze the potential region of PM<sub>2.5</sub> concentration and sources in Zhengzhou. This study could help comprehensively elucidate PM<sub>2.5</sub> at different pollution levels in cities with a similar contamination status and provide technical support for relevant government departments to formulate policies, especially for the control measures with relatively large contribution of pollution sources in HP days.

## **Materials and methods**

#### Sampling

In this study, PM<sub>2.5</sub> samples were collected synchronously at five sites, including traffic, industrial, and scenic sites, in each season from February to December of 2016. The detailed description and distribution of the site are shown in Table S1 and Fig. 1.

A total of 542 samples, including 271 samples for quartz microfiber filters (90 mm in diameter, PALLLFLEX, USA) and 271 samples for polypropylene filters (90 mm in diameter, Tianjin Xinyao, China), were collected from 10:00 AM on a previous day to 9:00 AM on the next day by using middle-volume samplers (100 L/min; TH-150AII, Tianhong, China) on the roof of buildings at the five sites. More detailed descriptions can be found in the Supplemental Materials and our previous study (Jiang et al. 2018a; Liu et al. 2019).

## Sample analysis

## Chemical composition analysis

The concentrations of organic carbon (OC) and elemental carbon (EC) were analyzed using a carbon analyzer (Sunset Laboratory, USA). More details of process can be found in Supplemental Materials and Jiang et al. (2018c). Water-soluble inorganic ions



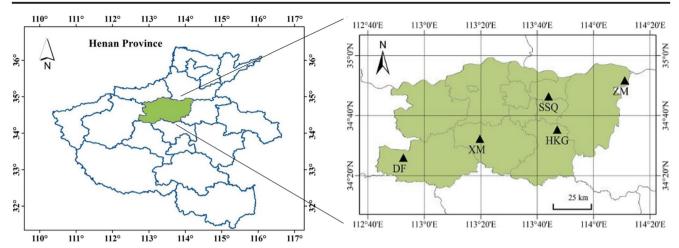


Fig. 1 Locations of sampling sites in Zhengzhou

(WSIIs, i.e., F<sup>-</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, and Ca<sup>2+</sup>) were measured through ion chromatography (ICS-900, ICS-90, Dionex, USA). Further information was described in Supplemental Materials and our previous study (Jiang et al. 2018a). Twenty inorganic elements, including Na, Mg, Al, and Si, in the samples were analyzed using an S8 TIGER wavelength scattering X-ray fluorescence spectrometer (Bruker, Germany). For more details, see Supplemental Materials and our previous study (Jiang et al. 2019).

#### Chemical mass closure

Chemically reconstructed  $PM_{2.5}$  mass was calculated as the sum of the compounds, including organic matter (OM), EC,  $NH_4^+$ ,  $NO_3^-$ ,  $C\Gamma$ ,  $SO_4^{2-}$ , crust elements, and trace elements. OM was estimated by multiplying OC by 1.8 (Chow et al. 1996; Simon et al. 2011).

The crust elements were calculated as follows (Tian et al. 2016):

[Crust elements]=
$$2.20 \times [Al]+2.42 \times [Fe]+1.63 \times [Ca]$$
  
+  $2.49 \times [Si] + 1.94 \times [Ti]$  (1)

Trace element content referred to the sum of 14 different element species and could be expressed as follows:

$$[Trace elements] = Ni + Na + Pb + Mg + K + Cr + V$$
 
$$+ Cu + Zn + Ba + Sb + Mn + As$$
 
$$+ Se \tag{2}$$

# **PMF** model

Input data included the concentrations and uncertainties for all species. Species concentration data, missing data, and lower method detection limit value pretreatment and uncertainty determination were conducted in accordance with the PMF 5.0 User Guide (U.S. EPA 2014). Species classification was determined using the signal-to-noise ratio (S/N). When S/N > 1, 0.5 < S/N < 1, and S/N < 0.5, the species was marked as "strong," "weak," and "bad," respectively. Moreover, if certain species are poorly modeled, they should be classified as "bad" based on the observed versus predicted scatter plots and time series. Bootstrap (BS) and displacement were used to estimate random error in matrix and rotational ambiguity, respectively (Paatero et al. 2014). BS was run with 100 resamples, and in PMF analysis mapping, it is acceptable for all PM factors to account for more than 80% of BS runs. Fpeak is a function that estimates the lower limit of rotational uncertainty (Reff et al. 2007; Manousakas et al. 2017). In our study, Fpeak varied from -2 to +2 to determine  $Q/Q_{exp}$ .

# **Back trajectory cluster**

Considering that the SSQ site is a national environmental air quality monitoring station, the online data is complete and the data quality can be guaranteed, and the site is located in the city center, this study selected SSQ site for backward trajectory analysis and to represent the regional transmission influence of Zhengzhou. In this study, 48 h air mass backward trajectories arriving in Zhengzhou (height: 100 m) were calculated at three pollution levels. The model was run 4 times a day at 0:00, 06:00, 12:00, and 18:00 UTC. Cluster analysis was then performed using the HYSPLIT model.

## **PSCF** model

In this study, the PSCF model was used to identify the potential source areas of PM<sub>2.5</sub> and their sources at SSQ site driven by meteorological fields. The domain of PSCF was  $26.94^{\circ}-59.04^{\circ}$  N and  $66.20^{\circ}-122.24^{\circ}$  E with a horizontal resolution of  $0.3^{\circ} \times 0.3^{\circ}$ . Other detailed descriptions can be found in our previous study (Jiang et al. 2019).



#### Health risk assessment

In this study, the health risk assessment model developed by the US Environmental Protection Agency was used to assess the carcinogenic risk of As, Pb, and Ni and the non-carcinogenic risk of V, Cu, As, Mn, Zn, Pb, and Ni. The target subjects were classified as children and adults and exposure routes were divided into ingestion, inhalation, and dermal. Exposure and risk assessment method was referred to US EPA (1989, 2004, 2009). As the average life expectancy and weight of Chinese and foreigners were different, the parameters of lifetime and body weight of Chinese were adopted in this study, and other relevant parameters were from the official website of US EPA. And the selection of parametric exposure frequency was based on the number of days corresponding to different pollution levels in this study. Other detailed descriptions can be found in the Supplemental Materials.

The non-carcinogenic risk index hazard quotient (HQ), hazard index (HI, HQ sum), and carcinogenic risk index (CR) of the elements were calculated as follows:

$$CR_{inhale} = IUR \times EC_{inhale}$$
 (3)

$$CR_{ingest} = CDI_{ingest} \times SF_O \tag{4}$$

$$CR_{dermal} = DAD_{dermal} \times \frac{SF_O}{GIABS}$$
 (5)

$$HQ_{inhale} = \frac{EC_{inhale}}{(RfC_i \times 1000 \mu g/mg)}$$
 (6)

$$HQ_{ingest} = \frac{CDI_{ingest}}{RfD_{O}}$$
 (7)

$$HQ_{dermal} = \frac{DAD_{dermal}}{RfD_{O} \times} GIABS$$
 (8)

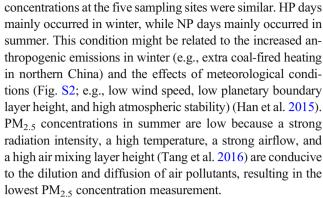
HI or 
$$CR = \sum_{i=1}^{n} HQ_i$$
 or  $CR_i$  (9)

where IUR is the inhalation unit risk  $(\mu g/m^3)^{-1}$ ;  $EC_{inhale}$  is the exposure concentration  $(\mu g/m^3)$ ;  $CDI_{ingest}$  is the chemical daily intake  $(mg/(kg \ day))$ ;  $SF_O$  is the slope factor  $(mg/(kg \ day))^{-1}$ ;  $DAD_{dermal}$  is the dermal absorption dose  $(mg/(kg \ day))$ ; GIABS is the gastrointestinal absorption factor;  $RfC_i$  is the inhalation reference concentrations,  $mg/m^3$ ; RfDo is the oral reference dose,  $mg/(kg \ day)$  (US EPA 2011a, b); and  $HQ_i$  and  $CR_i$  are the risk values of element i in the risk assessment model calculation.

# **Result and discussion**

# PM<sub>2.5</sub> concentration level

The time variability of PM<sub>2.5</sub> concentrations at the five sites (i.e., HKG, ZM, XM, SSQ, and DF) during the sampling period is shown in Fig. S1. The daily changes in PM<sub>2.5</sub> concentrations indicated that the time-related changes in PM<sub>2.5</sub>



The annual mean PM<sub>2.5</sub> concentration in average of five sites was  $119 \pm 98 \mu g/m^3$  (Table 1), which greatly exceeded the annual concentration standard (35 µg/m<sup>3</sup>) of the Chinese NAAQS. Compared with other cities in China, the annual PM25 concentration in Zhengzhou was higher than that in Shanghai (83 μg/m<sup>3</sup>; Wang et al. 2016), Xi'an (108 µg/m<sup>3</sup>; Dai et al. 2018), Heze  $(1001 \mu g/m^3; \text{ Liu et al. } 2017), \text{ Beijing } (85 \mu g/m^3),$ Tianjin (87 μg/m<sup>3</sup>), Tangshan (100 μg/m<sup>3</sup>), Chengdu  $(54 \mu g/m^3)$ , Langfang  $(90 \mu g/m^3)$  (Chen et al. 2017), and Guangzhou (75 μg/m<sup>3</sup>; Liu et al. 2014), indicating a very serious PM<sub>2.5</sub> pollution. Throughout the sampling period, the proportions of sample quantities on NP, MP, and HP days were 40%, 37%, and 23%, and the PM<sub>2.5</sub> concentrations were 52, 107, and 253 µg/m<sup>3</sup>, respectively. This shows that PM<sub>2.5</sub> contributes the most in HP days. In addition, the highest total number of MP and HP days of PM<sub>2.5</sub> at the five sampling sites was recorded in the SSQ site (76%), and this may be the SSQ site was located in the city center with dense population and large traffic flow, and construction near the sampling site, leading to large pollutant emissions. Moreover, the meteorological conditions were more stable in the polluted days, which was conducive to the accumulation of pollutants and leads to the aggravation of pollution.

# Chemical composition of PM<sub>2.5</sub>

# **Carbon components**

Table 2 shows that the annual average concentration of total carbonaceous (TC; OC plus EC) was 18  $\mu g/m^3$ , accounting for 15% of PM<sub>2.5</sub>. In addition, TC concentration in PM<sub>2.5</sub> increased from 7.3  $\mu g/m^3$  on NP days to 15  $\mu g/m^3$  on MP days and 39  $\mu g/m^3$  on HP days. The proportion of TC on HP days was 16%, which was higher than that on NP days (14%) and MP days (14%). This was related to the increase of pollutants emitted from fossil fuel combustion in HP days, and the inverse stable layer was stronger and thicker, and the increase of static stable weather, which was not conducive to the diffusion of pollutants. Moreover, low temperature is



Pollution levels	All sites			HKG			ZM			XM			SSQ			DF		
	$\mu g/m^3$	n	%	$\mu g/m^3$	n	%	μg/m <sup>3</sup>	n	%	$\mu g/m^3$	n	%	$\mu g/m^3$	n	%	$\mu g/m^3$	n	%
NP	52 ± 15	108	40	55 ± 12	15	30	48 ± 12	29	53	50 ± 21	24	42	57 ± 15	14	24	55 ± 12	26	51
MP	$107\pm22$	100	37	$107\pm22$	19	38	$99\pm21$	12	22	$106\pm24$	22	39	$107\pm22$	28	48	$113\pm23$	19	37
HP	$253\pm121$	63	23	$230 \pm 97$	16	32	$262\pm139$	14	25	$247\pm88$	11	19	$258\pm155$	16	28	$290\pm101$	6	12
AVE	$119 \pm 98$	271	100	$131 \pm 91$	50	100	$114 \pm 114$	55	100	$110 \pm 84$	57	100	$137 \pm 113$	58	100	$104 \pm 82$	51	100

Table 1 Level of  $PM_{2.5}$  concentration ( $\mu g/m^3$ ) and the sample numbers (n) and proportion (%) of different pollution days during study period at five sites

favorable for semi-volatile matter (e.g., polycyclic aromatic hydrocarbons) to remain in the particulate phase (Li et al. 2019), so that the concentration of TC in the particles was higher in HP days.

EC has good chemical stability because of the incomplete combustion of carbonaceous fuel, and it is often used as a tracer of primary emission sources (Turpin and Huntzicker 1995). OC was derived from both primary particulate matter emitted from fuel combustion and secondary organic carbon (SOC) generated from gaseous organic matter through various photochemical transformations. In this study, the mean OC/ EC ratios were 8.2, 7.6, and 9.8 on NP, MP, and HP days, respectively (Table 2). Similar variations were also observed at different pollution levels at the five sites (Table S2). The OC/EC ratios reported in various studies have different emission sources in different ranges, including 1.0-4.2 for vehicle exhaust (Schauer et al. 2002), 3.8-13.2 for biomass burning (Zhang et al. 2007), and 2.5–10.5 for coal combustion (Chen et al. 2006). The main sources of OC and EC emissions at DF site was coal emissions, while the other four sites were coal emissions and biomass combustion.

OC includes primary organic carbon (POC) and SOC. At present, there is no technology that can strictly separate POC and SOC. The minimum OC/EC ratio method for the content of SOC is used for quantitative (Turpin and Huntzicker 1995)

$$SOC = OC - POC = OC - \left(\frac{OC}{EC}\right)_{min}$$
 (10)

**Table 2** Concentrations of OC  $(\mu g/m^3)$  and EC  $(\mu g/m^3)$  in PM<sub>2.5</sub> and the OC/EC mass ratio in different pollution levels during the entire sampling period average of all five sites

	All sites				
	OC	EC	OC/EC	TC	SOC
NP	$6.5 \pm 2.4$	$0.9 \pm 0.4$	8.2 ± 3.1	$7.3 \pm 2.6$	2.5 ± 1.9
MP	$13 \pm 4.5$	$1.9\pm0.9$	$7.6\pm3.3$	$15\pm5.1$	$5.1\pm3.2$
HP	$35\pm17$	$4.5\pm2.7$	$9.8\pm7.0$	$39\pm18$	$16 \pm 16$
AVE	$15 \pm 14$	$2.1\pm2.0$	$8.3\pm4.4$	$18 \pm 15$	$6.5 \pm 9.4$

where SOC ( $\mu$ g/m³), EC ( $\mu$ g/m³), and OC ( $\mu$ g/m³) are the concentrations of SOC, EC, and OC, respectively, and (OC/EC)<sub>min</sub> represents the minimum OC/EC ratio in each site across different seasons throughout the sampling period. The results showed that the mean concentration of SOC was 6.5  $\mu$ g/m³, and the concentrations of NP, MP, and HP days in Zhengzhou were 2.5, 5.1, and 16  $\mu$ g/m³, respectively. Similar variations were also observed at different pollution levels at the five sites (Table S2). The higher concentration of SOC in HP days may be related to the rapid conversion of organic carbon precursors, that is, the rapid conversion of organic carbon precursors, VOCs (Cao et al. 2005).

#### WSIIs

Table 3 shows that WSIIs accounted for the largest proportion of PM<sub>2.5</sub> (50%) in Zhengzhou. Secondary inorganic aerosols (SIAs) (SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup>) were the most important components in WSIIs, accounting for 88.4%. Ca<sup>2+</sup>, Cl<sup>-</sup>, K<sup>+</sup>, Na+, Mg2+, and F had low proportion in WSIIs, and their total proportion in WSIIs was less than 13.0%. The concentrations (µg/m<sup>3</sup>) of Ca<sup>2+</sup>, Cl<sup>-</sup>, K<sup>+</sup>, Na<sup>+</sup>, Mg<sup>2+</sup>, and F<sup>-</sup> decreased in the following order:  $Cl^{-}(2.6) > Ca^{2+}(1.5) > K^{+}$  $(1.4) > Na^+ (0.4) > F^- (0.2) > Mg^{2+} (0.1)$  in average of five sites. Additionally, concentrations of all WSIIs were the highest on HP day, whereas the lowest concentrations were recorded on NP days. This may be because HP days occur more often in winter, coal heating leading to an increase in SO<sub>4</sub><sup>2-</sup> and Cl<sup>-</sup> emissions, and burning firewood for heating in some rural areas leading to an increase in K<sup>+</sup> emissions in this season. In addition, due to the combined action of photochemistry and heterogeneous reaction, NOx emission and gasaerosol balance, the concentration of NO<sub>3</sub> was larger (Zhang et al. 2013), and the dispersity was poor because of the stability of atmospheric structure in winter, resulting in high pollution levels of WSIIs. NP days occur more often in summer, when the radiation intensity, temperature, airflow, and boundary layer height were strong and conducive to the dilution and diffusion of air pollutants (Tang et al. 2016), resulting in low concentrations of all WSIIs.



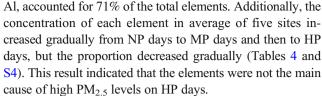
**Table 3** Concentrations of WSIIs  $(\mu g/m^3)$  in PM<sub>2.5</sub>, SOR, NOR, and WSIIs/PM<sub>2.5</sub> (%) in different pollution levels during the entire sampling period at all sites in Zhengzhou

	All sites			
	NP	MP	HP	AVE
Na <sup>+</sup>	$0.2 \pm 0.1$	$0.4 \pm 0.3$	$0.7 \pm 0.4$	$0.4 \pm 0.3$
$\mathrm{NH_4}^+$	$7.0\pm2.9$	$11\pm4.7$	$27\pm16$	$13 \pm 11$
K <sup>+</sup>	$0.4\pm0.2$	$1.0\pm0.6$	$3.6 \pm 3.1$	$1.4\pm2.0$
$Mg^{2+}$	$0.1\pm0.0$	$0.1\pm0.1$	$0.1\pm0.1$	$0.1\pm0.1$
$Ca^{2+}$	$0.8\pm0.8$	$1.9\pm1.3$	$1.9\pm2.2$	$1.5\pm1.5$
$F^{-}$	$0.1\pm0.1$	$0.2\pm0.2$	$0.4\pm0.3$	$0.2\pm0.2$
Cl <sup>-</sup>	$0.6\pm0.6$	$1.8\pm1.4$	$7.3 \pm 4.2$	$2.6 \pm 3.5$
$NO_3^-$	$6.4 \pm 4.4$	$17\pm8.7$	$50\pm34$	$20\pm24$
$SO_4^{2-}$	$9.8 \pm 3.7$	$14 \pm 5.2$	$37\pm28$	$17\pm18$
SNA	$23 \pm 9.2$	$42\pm17$	$114\pm76$	$51\pm52$
SNA/WSIIs	$91 \pm 6.2$	$87 \pm 8.4$	$87 \pm 7.8$	$88 \pm 7.7$
WSIIs/PM <sub>2.5</sub>	$49\pm13.8$	$45\pm15.8$	$49\pm13.7$	$48\pm14.6$
SOR	$0.3 \pm 0.2$	$0.4 \pm 0.2$	$0.5\pm0.2$	$0.4 \pm 0.2$
NOR	$0.1\pm0.1$	$0.3\pm0.1$	$0.4 \pm 0.2$	$0.2 \pm 0.2$

Nitrogen oxidation ratio (NOR =  $(n NO_3^-)/n NO_3^- + n$ NO<sub>2</sub>, where n NO<sub>3</sub> and n NO<sub>2</sub> represent the molar concentrations of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub>) and sulfur oxidation ratio (SOR =  $(n SO_4^{2-})/n SO_4^{2-} + n SO_2$ , where  $n SO_4^{2-}$  and  $n SO_2$  represent the molar concentrations of  $SO_4^{2-}$  and  $SO_2$ ) are usually used to indicate the secondary formation degree of nitrate and sulfate (Zhao et al. 2013; Huang et al. 2016). At higher NOR and SOR, more NO<sub>2</sub> and SO<sub>2</sub> in gaseous states are transformed to nitrate and sulfate in the atmosphere. In this study, SOR and NOR increased significantly when PM<sub>2.5</sub> concentration increased and maintained a high level on HP days (SOR mean 0.5, NOR mean 0.4). Similar variations were also observed on different pollution level days at the five sites (Table S3). Yang et al. (2015) revealed that the significant increase in SOR and NOR on HP days was mostly related to the strong secondary formation of SIAs. However, the increase in SIAs concentration was still significant on HP days.

# **Elements**

In Table 4, the concentration of total elements accounted for only 11% of  $PM_{2.5}$  throughout the sampling period, and the average concentration (ng/m³) of all elements was 11.1 µg/m³. The concentrations of elements (ng/m³) are found to be in the order of Ca (3329) > Si (2103) > K (1413) > Fe (1348) > Cl (1117) > Al (913) > Mg (209) > Zn (162) > Ti (114) > Na (106) > Pb (82) > Mn (56) > Ba (45) > Sb (23) > Cu (22) > As (13) > Cr (7.9) > V (7.9) > Se (7.4) > Ni (3.3). The relative abundance of soil-related elements, such as Ca, Si, Fe, Ti, and



Enrichment factors (EFs) are commonly calculated to identify the origin of elements and evaluate the extent of anthropogenic influences (Yang et al. 2010; Rogula-Kozłowska et al. 2013). If EFs are greater than 10, elements are mostly generated by anthropogenic sources; if EFs are close to 1, elements have a crustal origin (Nolting et al. 1999). In this study, EFs were calculated as described by Chen et al. (1991), and Al was used as the reference element for the crust (Hsu et al. 2016). The EF values of the elements in  $PM_{2.5}$  on different pollution days in average of five sites are shown in Fig. 2. The EF values of Zn, Na, Ca, Pb, As, Cu, and Sb exceeded 10 on different pollution days, indicating that the elements came from anthropogenic activities (Lough et al. 2004; Zhang et al. 2015b). The EF values of Al, Si, Mg, Fe, and Ti were close to 1, suggesting the crustal origin of the elements. The EF values of V, Mn, K, and Ba were between 1 and 10, implying that the elements came from anthropogenic and crustal sources (Han et al. 2015). The high EFs of As, Cu, Zn, Pb, Sb, and Na varied from 56 to 7709 on NP, MP, and HP days. This result implied that the elements were primarily released by anthropogenic sources and highly enriched. In addition, most of the elements (Na, Sb, Pb, Zn, Cu, As, Ca, Cr, Mn, K, Ba, Fe, Ti, and Mg) had the highest EFs on HP days. The EFs of elements in PM<sub>2.5</sub> at the five sampling sites and at different pollution levels during study period are shown in Fig. S3. The EF of Ca was higher than 10 in all sites except in the DF site (7.3–9.9). The EFs of Ba and K were below 10 but higher than 1 in the five sites and were above 10 in DF (32, 20), XM (18, 11), and ZM (35, 23) sites during HP period. The EFs of Cr, Ni, V, and Mn (4.6-16) were close to 10, indicating that these elements possibly came from anthropogenic sources.

## Chemical mass closure analysis

The comparison of reconstruction results and gravimetric results is shown in Fig. S4. A significant correlation was observed between reconstruction and gravimetric results ( $R^2 > 0.96$ ), indicating the strong reliability of the chemical reconstruction method. The relative concentrations and contribution of the major chemical components of PM<sub>2.5</sub> on different pollution days in Zhengzhou are shown in Figs. 3 and S5. PM<sub>2.5</sub> in Zhengzhou primarily comprised OM (28  $\mu$ g/m³, 23%), SIAs (51  $\mu$ g/m³, 43%), and crust elements (13  $\mu$ g/m³, 11%), and lower proportions of EC (2.1  $\mu$ g/m³, 1.8%), chloride (2.6  $\mu$ g/m³, 2.2%), and other trace elements (2.3  $\mu$ g/m³, 1.9%). Additionally, the concentration of almost all chemical



Table 4 Concentrations (ng/m<sup>3</sup>) of elements in PM<sub>2.5</sub> in different pollution levels during the entire sampling period at all sites in Zhengzhou

	All sites			
	NP	MP	HP	AVE
Element/PM <sub>2.5</sub> (%)	$10 \pm 6.1$	13 ± 8.4	$7.9 \pm 7.5$	11 ± 7.5
Na	$59 \pm 39$	$128\pm131$	$151 \pm 101$	$106\pm104$
Mg	$104\pm100$	$278\pm255$	$278\pm294$	$209\pm235$
Al	$478 \pm 421$	$1225\pm1123$	$1165\pm1408$	$913\pm1056$
Si	$1097 \pm 997$	$2903\pm2792$	$2558 \pm 3408$	$2103\pm2571$
K	$608 \pm 347$	$1356 \pm 692$	$2884\pm1883$	$1413\pm1343$
Ca	$1746\pm1531$	$4454\pm3821$	$4256\pm5894$	$3329\pm3992$
V	$5.9 \pm 4.3$	$8.3 \pm 6.1$	$11 \pm 8.0$	$7.9 \pm 6.2$
Ni	$2.3\pm1.7$	$4.0\pm2.7$	$3.9 \pm 3.5$	$3.3\pm2.7$
Cu	$13 \pm 10$	$22 \pm 13$	$36\pm22$	$22\pm17$
Zn	$95 \pm 145$	$162 \pm 134$	$275\pm161$	$162\pm160$
As	$5.9 \pm 6.4$	$12 \pm 6.6$	$26\pm18$	$13 \pm 13$
Se	$3.9\pm3.1$	$6.6\pm2.9$	$15 \pm 9.3$	$7.4 \pm 6.6$
Sb	$13 \pm 8.8$	$23 \pm 13$	$38\pm20$	$23 \pm 17$
Ba	$16 \pm 16$	$35 \pm 31$	$109\pm147$	$45 \pm 82$
Pb	$44 \pm 27$	$71 \pm 27$	$165\pm68$	$82 \pm 62$
Cr	$4.7 \pm 4.4$	$8.7 \pm 5.4$	$12 \pm 7.8$	$7.9 \pm 6.3$
Mn	$31 \pm 29$	$66 \pm 45$	$85 \pm 62$	$56 \pm 49$
Fe	$647 \pm 539$	$1788\pm1795$	$1852 \pm 2319$	$1348\pm1691$
Cl	$387 \pm 410$	$862 \pm 548$	$2772\pm1568$	$1117\pm1272$
Ti	$59 \pm 53$	$153\pm150$	$145\pm192$	$114\pm140$

components in  $PM_{2.5}$  increased continuously and noticeably with the aggravation of pollution. Especially, the OM,  $NO_3^-$ , and  $CI^-$  concentrations on NP, MP, and HP days were 62, 50, and 7.3  $\mu$ g/m³, respectively, and these values were 5.4–12.3 and 2.6–4.0 times as high as they were on NP and MP days, respectively. Hence, the formation of HP days was related to the increase in the contribution of all components.

#### Source apportionment

In this study, based on PMF modeling results, the number of sources was set from four to nine to find the minimum value of Q and make the value of residual matrix E as small as possible. Finally, six factors were chosen for PMF analysis (Fig. 4). The result was constrained with  $d_{\rm Qrobust}$  of 0.6%, and  $F_{\rm peak} = 0.0$ 

**Fig. 2** Enrichment factors of the elements in PM<sub>2.5</sub> during different pollution levels at all sites in Zhengzhou

was selected as the optimal solution because of the increasing Q value without optimizing the source profiles (Table S5).

The six sources are shown in Fig. 4: (1) dust as signified by the dominant presence of Ca, Si, Al, Mg, Ti, Fe, and Mn (Almeida et al. 2008; Tullio et al. 2008; Liu et al. 2016); (2) vehicle traffic indicated by a high loading of Zn, V, Cu, Ni, and Mn (Canha et al. 2012; Shafer et al. 2012; Lin et al. 2015); (3) coal combustion heavily weighted by As, Cl<sup>-</sup>, EC, Pb, and OC (Bhangare et al. 2011; Schleicher et al. 2011; Zheng et al. 2014; Khan et al. 2016; Liu et al. 2016); (4) secondary aerosols (SAs) suggested by the dominant presence of NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, and OC (Wang et al. 2006; Srimuruganandam and Nagendra 2012; Tao et al. 2013; Liu et al. 2015); (5) industrial processes characterized by high loadings of Cr, Se, and Ni (López et al. 2011; Morishita et al. 2011; Almeida et al.

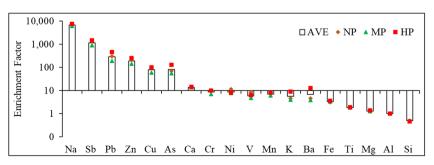
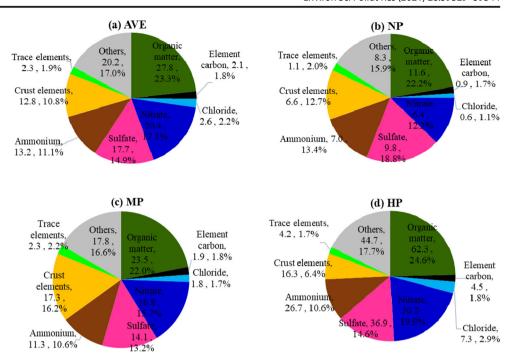




Fig. 3 Pie charts depicting the relative concentrations ( $\mu$ g/m³) and contribution (%) of major chemical components of gravimetric PM<sub>2.5</sub> based on annual data at all sites in Zhengzhou



2015; Yao et al. 2016); and (6) biomass burning mainly weighted by Cl<sup>-</sup>, OC, EC, and K (Heo et al. 2009; Hleis et al. 2013; Almeida et al. 2015).

Figure 5 shows the source contributions (%) and concentrations ( $\mu$ g/m³) of the identified sources to PM<sub>2.5</sub> mass. Generally, SAs had the highest contribution (35.1%) to PM<sub>2.5</sub> mass concentration during the sampling period probably because of an intensive secondary reaction. The wet desulfurization of coal-fired power plants can directly discharge

sulfate particles into the air to a certain extent (Ma et al. 2015). Coal combustion (17.6%) and vehicle traffic (17.3%) were also major sources contributed to ambient  $PM_{2.5}$  in Zhengzhou. The contributions of industrial processes, dust, and biomass burning sources on  $PM_{2.5}$  were 7.3%, 15.1%, and 7.7%, respectively. During the transition from NP days to HP days, SAs concentration was 6.3 times higher on HP days (97  $\mu$ g/m³) than on NP days (15  $\mu$ g/m³). The highest contribution of SAs on HP days attributed to the low

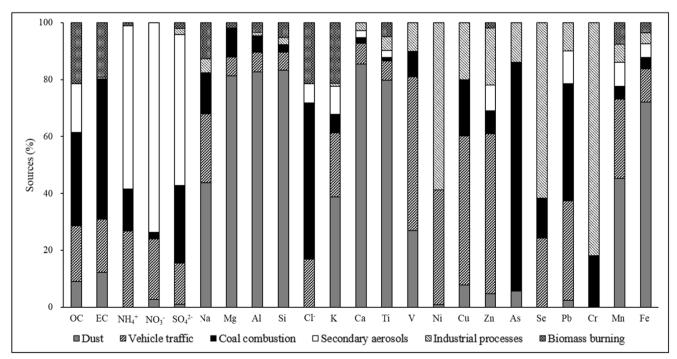
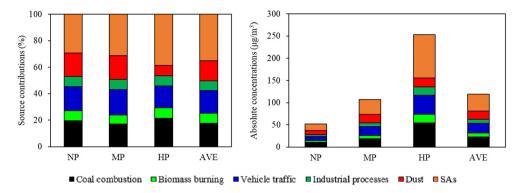


Fig. 4 Source contributions (%) for each species (by mass) (all sites and all pollution levels)



Fig. 5 The annual contributions (%) and absolute concentrations  $(\mu g/m^3)$  of identified sources to  $PM_{2.5}$  mass on different pollution levels at all sites in Zhengzhou



temperature, high humidity, and low planetary boundary layer in polluted days were conducive to the formation of secondary particles (Ogulei et al. 2006; Kim and Hopke 2008; Jiang et al. 2018d). The concentration of coal combustion rose from 10  $\mu g/m^3$  on NP days to 18  $\mu g/m^3$  on MP days and 55  $\mu g/m^3$  on HP days, implying that the impact of coal combustion was enhanced with the aggravation of PM<sub>2.5</sub> pollutions. The contributions of dust source on HP days decreased, indicating a relatively low influence on the aggravation of PM<sub>2.5</sub> pollutions. Furthermore, the contribution of biomass burning and industrial process sources varied slightly at different pollution levels. In brief, SAs and coal combustion played important roles in high PM<sub>2.5</sub> pollution, and the government should strengthen the control of precursor (SO<sub>2</sub>, NO<sub>X</sub>, NH<sub>3</sub>, and VOCs) emissions and coal combustion sources to mitigate heavy pollution levels.

The fractional contribution of sources to PM<sub>2.5</sub> mass at different pollution levels during the study period at the five sites is shown in Fig. S6. The SAs has the highest contribution to PM<sub>2.5</sub> mass concentration at each sampling site, with contribution ranging from 33.2% (HKG) to 42.0% (DF). Specifically, the contribution of SAs on HP days was 34.8% (ZM) to 59.8% (DF), and the contribution of SAs absolute concentration was 86  $\mu g/m^3$  (XM) to 172  $\mu g/m^3$  (DF). In addition, the common feature of each site was that the contribution of SAs on HP days was higher than that on NP and MP days. Coal combustion was one of the major sources of ambient PM<sub>2.5</sub> at each sampling site. At the XM sites, the coal combustion source exhibited significantly high contributions to PM<sub>2.5</sub> (20.4%), especially on HP days when its contribution accounted for 25.2%, which was likely associated with emissions from coal-fired burning industry because the XM site is an industrial site. The lowest contribution of coal combustion was recorded at the HKG (15.9%) site, which has relatively few factories nearby. SSQ site is located in a busy business district with heavy traffic, so the vehicle traffic (22.1%) proportion was highest. Furthermore, the HKG site is close to the Xinzheng International Airport. Considering the influence of jet fuel and vehicle, the traffic source contribution of the HKG site was higher (18.6%) than that of the three other sites. For industrial processes source, the contribution of this factor at the XM site (an industrial site, 11.4%,  $14 \mu g/m^3$ ) was higher than that at the other sites. For dust source, relatively high contributions were observed at SSQ and HKG sites, whereas relatively low contribution was observed at DF site, which is a scenic site. For biomass burning source, the highest contribution was recorded at ZM site, which is an agricultural site, probably due to open burning of straws and the use of straws as energy for cooking food and heating in rural areas.

## Source analysis based on backward trajectories

The backward trajectory cluster of source contributions at different pollution levels is shown in Fig. 6. On NP days, 93.8% of the air mass (cluster 1) as close-range transport was mainly from Zhumadian in Henan Province, with an average PM2 5 of 58 µg/m<sup>3</sup>. Cluster 2 (6.3%) as the middle-range transport originated from Fuyang in Anhui Province, with an average  $PM_{2.5}$  of 53 µg/m<sup>3</sup>. On MP days, all air masses came from the northwest, with middle-range transport (cluster 2) from Shanxi Province (14.6%, 104 μg/m<sup>3</sup>) and long-range transport (cluster 1) from Shaanxi Province (85.4%, 107 μg/m<sup>3</sup>). However, on HP days, 65.6% of the air mass (cluster 2) as close-range transport mainly came from Zhoukou in Henan Province, with a high  $PM_{2.5}$  level (284  $\mu g/m^3$ ). Cluster 1 as long-range transport originated from Mongolia (6.3%) and passed over Inner Mongolia, Shaanxi, and Shanxi, with an average PM<sub>2.5</sub> of 209 µg/m<sup>3</sup>. Therefore, close-range transport was still one of the dominant factors for HP days in Zhengzhou.

In addition to different PM<sub>2.5</sub> concentrations in different clusters, significant differences were observed in the proportion of its source contribution (Fig. 6). On NP days, the contributions of SAs, dust, vehicle traffic, and industrial processes in cluster 1 were higher than those in cluster 2. By contrast, the contributions of coal combustion and biomass burning sources exhibited an opposite pattern. SAs (54.9%, much higher than the value at SSQ site from PMF, Fig. S6, 32.6%) contribution of cluster 1 was the highest, and the coal combustion (37.1%) contribution of cluster 2 was the highest. Therefore, the air mass transport from Zhumadian and Fuyang is important for SAs and coal combustion on NP days,



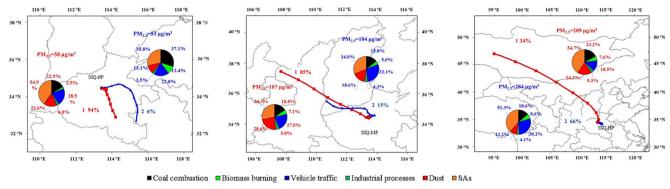


Fig. 6 Source contributions resolved from the PMF at each 48 h backward trajectory cluster during different pollution levels in Zhengzhou

respectively. On MP days, all sources except vehicle traffic contributed to cluster 1 > cluster 2, and the contribution of air mass cluster 1 mainly comes from dust (28.6%) and SAs (34.3%), while cluster 2 mainly comes from vehicle traffic (32.1%) and SAs (34.0%). Therefore, the air masses from northwest bring relative high dust, SAs, and vehicle traffic contribution on MP days. On HP days, the contribution of SAs, dust, coal combustion, and industrial processes in cluster 1 was higher than that in cluster 2, while the contribution of vehicle traffic and biomass burning sources in cluster 2 was higher than that in cluster 1. The contribution of dust (24.3%) and SAs (54.7%) in cluster 1 is relatively high. These pollution sources originate from northwest direction passing by the Gobi Desert to the sampling site through long-distance transmission. Vehicle traffic (38.2%) and SAs (51.3%) in cluster 2 have a relative high contribution, mainly coming from shortdistance transmission.

## Potential contribution source areas of PM<sub>2.5</sub>

Figures 7 and S7 present the potential source areas of  $PM_{2.5}$  on NP, MP, and HP days at the SSQ site. Generally, the potential source areas were mainly located in Xinxiang, Kaifeng, Xuchang, Pingdingshan, and Luoyang and distributed to the east, west, and south of Zhengzhou. On NP, MP, and HP days, the areas with high-weighted WPSCF values of  $PM_{2.5}$  (> 0.6) differed, and the areas were Pingdingshan and Kaifeng on NP days, Kaifeng and Xuchang on MP days, and Luoyang and Kaifeng on HP days.

The PSCF analysis of PM<sub>2.5</sub> sources on NP, MP, and HP days are displayed in Figs. 8 and S8. On NP days, the potential source areas of coal combustion, biomass burning, dust, and SAs were found in Pingdingshan, Xinxiang, and Kaifeng. The potential source regions of vehicle traffic and industrial processes were scattered across Pingdingshan and Xuchang. On MP days, high WPSCF values of coal combustion were distributed in Xinxiang, Xuchang, Luohe, Zhumadian, and Pingdingshan, and high WPSCF values of other sources were mainly distributed in Xuchang, Luohe, and Kaifeng. On HP

days, the potential regions of coal combustion, biomass burning, vehicle traffic, and industrial processes sources come from Kaifeng and Xinxiang, which are two cities with large amounts of emissions of industrial coal. Dust and SAs mainly originated from Pingdingshan and Kaifeng. Pingdingshan is the largest coal-producing city in Henan Province, and agriculture is flourishing in Kaifeng (Bureau of Statistics of Henan Province 2017), and the processes of mining and farming emits a large amount of dust.

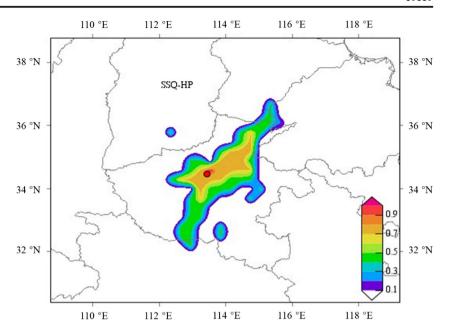
#### Health risks of elements

CR values from  $1 \times 10^{-6}$  to  $1 \times 10^{-4}$  indicate a tolerable risk, while CR values above  $1 \times 10^{-4}$  are intolerable. For non-carcinogenic risk, HQ (HI) > 1 indicates a significant risk for each element (total elements) (US EPA 1989). The carcinogenic and non-carcinogenic risks of toxic elements in PM<sub>2.5</sub> on different pollution days through the three exposure pathways are shown in Tables 5 and S6–S8.

The CR values of Pb, As, and Ni for children and adults ranged from  $8.5 \times 10^{-7}$  to  $3.5 \times 10^{-6}$ , from  $2.8 \times 10^{-5}$  to  $8.4 \times 10^{-5}$  $10^{-5}$ , and from  $9.7 \times 10^{-6}$  to  $6.9 \times 10^{-5}$ , respectively, showing a tolerable risk for each element. The total CR values through the three exposure pathways were higher than  $1.0 \times 10^{-4}$  for children on NP and MP days, suggesting an intolerable risk. An AEA (2011) research showed that As mainly comes from the production of metals and public electricity and heat, while Ni mainly comes from heavy fuel oil combustion. Therefore, local regulators should strengthen the management and control of emissions from these sources (i.e., coal-fired power plants, metal production, and non-road mobile sources) to reduce As and Ni levels. The non-carcinogenic risks of As (1.4–2.3) for children on different pollution days and the value of Pb (1.0-1.4) for children on NP and MP days were higher than 1, indicating significant risks. Although the use of leaded gasoline has been banned since 2000, reducing lead emissions from motor vehicles, lead aerosols in the atmosphere still come mainly from man-made sources (Widory 2006), e.g., the main source of lead in Zhengzhou was coal combustion,



**Fig. 7** PSCF analysis of PM<sub>2.5</sub> in HP days (the color legend represented to the WPSCF value)



which has a significant impact on human health. The non-carcinogenic risks of total toxic elements were above 1 for children at the three pollution levels, indicating an intolerable risk. Therefore, children with higher CR and HQ values were more sensitive than adults.

Generally, the intake pathway had the highest risk of the three exposure pathways. In the intake pathway, for As, with CR values ranging from  $2.5 \times 10^{-5}$  to  $8.1 \times 10^{-5}$  on different pollution days. The CR values of total toxic elements exceeded  $1.0 \times 10^{-4}$  for children on NP and MP days, indicating an intolerable carcinogenic risk. The HI

values ranged from 2.1 to 4.0 for children through the daily intake pathway during different pollution days, indicating an intolerable risk. In this pathway, the HQ values of As element at the three pollution levels for children and Pb on NP days for children were all over 1, indicating intolerable risks. Although the total CR and HI values of HP days were generally smaller than those of NP and MP days because of the least number of HP days, the average daily non-carcinogenic and carcinogenic risks of toxic elements on HP days were still greater than those on NP and MP days.

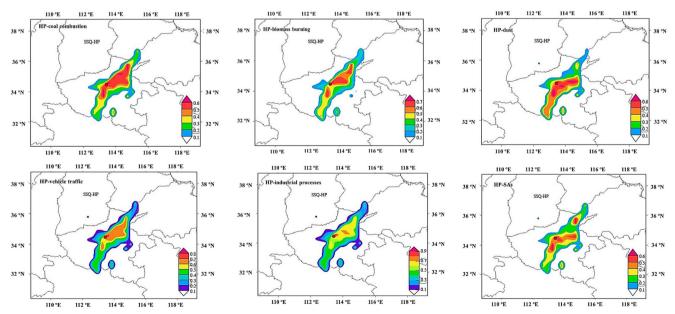


Fig. 8 PSCF analysis based on sources of coal combustion, biomass burning, vehicle traffic, industrial processes, dust, and SAs of PM<sub>2.5</sub> in HP days (the color legend represented to the WPSCF value)



Carcinogenic and non-carcinogenic risks for each element in PM<sub>2.5</sub> through three pathways in different pollution levels during the entire sampling period at all sites in Zhengzhou Fable 5

NP			MP			HP		
Toxic elements As Pb Ni CR	Carcinogenic risk (CR) Child 8.4E-05 3.5E-06 6.9E-05 1.6E-04	Carcinogenic risk (CR) Adult 4.4E-05 1.8E-06 4.1E-05 8.7E-05	Toxic elements As Pb Ni CR	Carcinogenic risk (CR) Child 7.6E-05 2.4E-06 4.6E-05 1.2E-04	Carcinogenic risk (CR) Adult 4.0E-05 1.3E-06 2.7E-05 6.9E-05	Toxic elements As Pb Ni CR	Carcinogenic risk (CR) Child 5.0E-05 1.6E-06 1.6E-05 6.8E-05	Carcinogenic risk (CR) Adult 2.8E-05 8.5E-07 9.7E-06 3.8E-05
Toxic elements	Non-carcinogenic risk Child	Non-carcinogenic risk Adult	Toxic elements	Non-carcinogenic risk Child	Non-carcinogenic risk Adult	Toxic elements	s Non-carcinogenic risk Child	Non-carcinogenic risk Adult
Cn C	2.8E-01 3.9E-02	5.1E-02 5.0E-03	Cu	1.7E-01 2.8E-02	3.4E-02 3.6E-03	Cu	7.3E-02 1.4E-02	1.8E-02 1.8E-03
As	2.3E+00 2.5E-01	3.4E-01 1.1F-01	As Mn	2.1E+00 2.3E-01	3.4E-01 1.7E-01	As	1.4E+00 1.0E-01	2.9E-01 1.3E-01
Zn	4.1E-02	5.2E-03	Zn	3.0E-02	3.9E-03	Zn	1.3E-02	1.7E-03
Pb	1.4E+00	1.8E-01	Pb	1.0E+00	1.3E-01	Pb	6.5E-01	8.4E-02
ïZ	4.6E-02	1.2E-02	ïZ	3.0E-02	1.3E-02	ïZ	1.1E-02	6.9E-03
HI	4.1E+00	7.0E-01	HI	3.4E+00	6.9E-01	H	2.1E+00	5.3E-01

## **Conclusions**

In this study, the chemical compositions and emission sources of PM<sub>2.5</sub> in Zhengzhou were comprehensively investigated. The annual mean concentration of PM<sub>2.5</sub> was 119 μg/m<sup>3</sup> in Zhengzhou. Heavily polluted days mainly occurred in winter, while non-polluted days mainly occurred in summer. The average concentration of PM<sub>2.5</sub> in Zhengzhou on NP, MP, and HP days were 52, 107, and 253 µg/m<sup>3</sup>, respectively. The carbonaceous species, WSIIs, and elements had contributions of 25%, 50%, and 10% to PM<sub>2.5</sub>, respectively. The OC/EC mean ratios of 8.2, 7.6, and 9.8 were recorded on NP, MP, and HP days, respectively. This finding indicated that more secondary organic matter was produced on HP days than on the other days, and secondary formation might enhance weather pollution. Both conditions increased the NOR and SOR as the pollution degree increased. Na, Sb, Pb, Zn, Cu, and As were closely related to anthropogenic activities, while Al, Si, Mg, Fe, and Ti mainly came from crustal sources.

The PMF model revealed that SAs, coal combustion, vehicle traffic, industrial processes, dust, and biomass burning were the main sources of  $PM_{2.5}$  in Zhengzhou. The SAs had the highest contributions during every pollution levels. Although the SAs contribution was 1.3 times higher on HP days than on NP days, SAs concentration was 6.3 times higher on HP days (97  $\mu$ g/m³) than on NP days (15  $\mu$ g/m³).

Backward trajectory cluster analysis showed that the transport of pollutants in Zhengzhou mainly came from Zhumadian and Zhoukou in Henan Province, Fuyang in Anhui Province, Shanxi, Shaanxi, Mongolia, and Inner Mongolia. On HP day, the main contributor to air quality transmission pollution was SAs. The PSCF analysis identified Xuchang, Pingdingshan, Luohe, Zhumadian, Xinxiang, and Kaifeng as the potential regions of PM<sub>2.5</sub> pollutant sources.

The non-carcinogenic and carcinogenic risk values of toxic elements from all sites during different pollution levels through the three pathways were higher in children than in adults. Among the three exposure pathways, the daily intake had the highest risk. Significant risks existed in the non-carcinogenic risk of As (1.4–2.3) for children at three pollution levels and the non-carcinogenic risk of Pb (1.0–1.4) for children with NP and MP. The average daily non-carcinogenic and carcinogenic risks of toxic elements on HP days were greater than those on the other days.

**Author's contributions** NJ, RZ, and SL conceived and designed the study; XL analyzed the date and wrote the paper; XY and QM performed aerosol sampling and date analyses.

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**Data availability** The datasets used during the current study are available from the corresponding author on reasonable request (jiangn@zzu.edu.cn).

# Compliance with ethical standards

Ethics approval Not applicable

Consent to participate Not applicable

Consent for publication Not applicable

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