



# Decomposing the profile of PM in two low polluted German cities – Mapping of air mass residence time, focusing on potential long range transport impacts



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## ABSTRACT

This paper aims to decompose the profile of particulates in Karlsruhe and Potsdam (Germany), focusing on the localization of PM potential transboundary sources. An air mass cluster analysis was implemented, followed by a study of air mass residence time on a grid of a  $0.5^\circ \times 0.5^\circ$  resolution. Particulate/gaseous daily air pollution and meteorological data were used to indicate PM local sources. Four Principal Component Analysis (PCA) components were produced: traffic, photochemical, industrial/domestic and particulate. PM<sub>2.5</sub>/PM<sub>10</sub> ratio seasonal trends, indicated production of PM<sub>COARSE</sub> (PM<sub>10</sub>–PM<sub>2.5</sub>) from secondary sources in Potsdam during warm period (WP). The residing areas of incoming slow moving air masses are potential transboundary PM sources. For Karlsruhe those areas were mainly around the city. An air mass residence time secondary peak was observed over Stuttgart. For Potsdam, areas with increased dwelling time of the arriving air parcels were detected particularly above E/SE Germany.

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## 1. Introduction

Human exposure to outdoor air pollution is associated with adverse health effects (Chen et al., 2010). Elevated concentrations of PM<sub>10</sub> (diameter less than 10  $\mu\text{m}$ ) and primarily PM<sub>2.5</sub> (diameter less than 2.5  $\mu\text{m}$ ) particulate matter (Linares and Diaz, 2010), are related with increased respiratory and cardiovascular incidents (Guo et al., 2010; Jiménez et al., 2010), mainly within the most vulnerable cohorts of the population (Tabaku et al., 2011; Jiménez et al., 2009). European Union (EU) legislation has set the daily limit for PM<sub>10</sub> at 50  $\mu\text{g}/\text{m}^3$ , an average concentration that should not be exceeded more than 35 times per year, whereas the annual limit is set at 40  $\mu\text{g}/\text{m}^3$ . For PM<sub>2.5</sub> only an annual EU limit of 25  $\mu\text{g}/\text{m}^3$  is established. Various local and transboundary sources of particulates (Viana et al., 2014; Kuo et al., 2014; Mirante et al., 2014) affect air quality in urban areas.

Principal Component Analysis (PCA) is a commonly used technique for the identification of the origin of air pollutants (Sarkar and Khillare, 2013; Zhu et al., 2013). High loadings of gaseous

pollutants in PCA components have been used as markers of local emission sources (Dimitriou and Kassomenos, 2013; Yoo et al., 2011; Vardoulakis and Kassomenos, 2008). PCA identified four factors of air pollution in Modena and three in Castello (Minguillon et al., 2013).

Backward atmospheric trajectories are implemented to identify intrusions of exogenous PM in urban areas (Riccio et al., 2007). The classification scheme of backward trajectories, defined three aerosol types enriching the atmosphere of Gdynia in Poland (Lewandowska et al., 2013), and indicated long range transport of PM in East Hungary, from the so called “Black triangle” region of Central Europe (Borbély-Kiss et al., 1999). Slow moving short range trajectories were associated with higher concentrations of PM (Makra et al., 2011; Kassomenos et al., 2012), due to increased residence time of air parcels over potential source areas (Salvador et al., 2010; Karaca and Camci, 2010; Fleming et al., 2012).

The residing time of air masses over specific areas, was analyzed by Xu et al. (2006), within a  $1^\circ \times 1^\circ$  resolution grid. Multiple-linear regression of the residence time, against the corresponding concentrations at the receptor, was proven to be a useful tool for attributing aerosol loading to relatively large source areas. A similar method was followed by Kavouras et al. (2013), in Amsterdam, Athens, Birmingham and Helsinki, and also by Chalbot et al. (2013),

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**Table 1**  
Information for the Background Environmental (ENVI) and meteorological (METE) monitoring stations in Karlsruhe and Potsdam.

| City      | Station code and name        | Type | Area     | Longitude  | Latitude   |
|-----------|------------------------------|------|----------|------------|------------|
| Karlsruhe | DEBW081 (Karlsruhe-Nordwest) | ENVI | Suburban | 8.355.556  | 49.028.610 |
|           | 0051 (Karlsruhe)             | METE |          | 8.210.540  | 49.020.210 |
| Potsdam   | DEBB021 (Potsdam-Zentrum)    | ENVI | Urban    | 13.063.889 | 52.401.943 |
|           | 0054 (Potsdam)               | METE |          | 13.030.500 | 52.230.000 |

to identify and quantify the types of PM<sub>10</sub> and PM<sub>2.5</sub> sources in Athens. Polissar et al. (2001) and Kong et al. (2013), implemented a potential PM source contribution function, based on residence time of air masses into the cells of a 0.5° × 0.5° resolution grid.

In this paper, a mixture of statistical techniques is used, in order to decompose the profile of PM in two low polluted German cities namely: Karlsruhe and Potsdam, emphasizing on potential long range transport impacts. Backward atmospheric trajectories corresponding to days with increased PM<sub>10</sub> concentrations were deployed and organized in clusters at 1500 m and 750 m AGL. Residing time of air masses suspicious for PM long range transport, was analyzed on a 0.5° × 0.5° resolution grid and plotted on density maps, in order to reveal potential transboundary sources of exogenous particulates. PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO and O<sub>3</sub> daily air pollution data, were also elaborated by a PCA analysis during cold (CP) and warm (WP) periods, as to indicate local sources of particulates. In addition, meteorological parameters and PM<sub>2.5</sub>/PM<sub>10</sub> fraction values were also studied, in order to support the findings from the other procedures.

## 2. Data and methodology

### 2.1. Stations and sampling methods

For this paper, daily (due to the absence of hourly) concentrations of PM<sub>10</sub> and PM<sub>2.5</sub> and hourly concentrations of NO<sub>2</sub>, SO<sub>2</sub>, CO and O<sub>3</sub>, measured from one environmental station in Potsdam [DEBB021 “Potsdam-Zentrum”] and one in Karlsruhe [DEBW081 “Karlsruhe-Nordwest”] (Table 1), were downloaded from the website of the EU Air Quality Database (Airbase) in µg/m<sup>3</sup> except of CO (mg/m<sup>3</sup>). The range of the dataset has duration of 5 years and extends through the time interval 2003–2007. For the monitoring of PM<sub>2.5</sub> and PM<sub>10</sub> concentrations, Gravimetric samplers were used. Analyzers based on UV absorption, UV fluorescence, infrared absorption and Chemiluminescence were used for the measurements of O<sub>3</sub>, SO<sub>2</sub>, CO and NO<sub>2</sub> respectively. As demanded from EU guidelines, air pollution analysers should operate within 15% of uncertainty bounds. Both of the sampling sites have background characteristics, present low levels of air pollution (Norra and Stuben, 2004), and were selected for this paper because their position facilitates the identification of PM long range transport impacts. In addition, the authors chose DEBB021 and DEBW081 stations, due to the availability of PM<sub>2.5</sub> data. Average daily mean levels of air pollution at the two sampling sites are separately included in Table 2 for CP [1 October–31 March] and WP [1 April–30 September] (Dimitriou and Kassomenos, 2013). The role of meteorology was also taken into account for this paper, thus daily

Mean Wind Speed (MWS in m/s), daily Maximum Wind Gust (MWG in m/s) and daily Relative Humidity (RH in 1%) data, were elaborated at a daily base. These meteorological data were produced by in situ measurements performed by one meteorological station in Karlsruhe [0051 “Karlsruhe”] and one in Potsdam [0054 “Potsdam”] (Table 1), and were downloaded from the website of the European Climate Assessment & Dataset project (ECA&D). Hourly values of meteorological parameters were not available in the database.

### 2.2. Description of sampling sites

#### 2.2.1. Karlsruhe

The city of Karlsruhe belongs to the German state of Baden-Württemberg, in southwest Germany, and has a population of approximately 300,000 inhabitants. Background air pollution monitoring station Karlsruhe-Nordwest (DEBW081) is localized at the north western part of the city of Karlsruhe. The sampling site's area is mainly industrial and secondarily residential. The station is sited at a parking site across the railway lines, neighboring to the two line road of “Daimler Strasse”. The nearest major street to the sampling site is “Neureuter Strasse”, but the traffic flow has no direct impact at the station's measurements. High buildings exist within a 100 m radius around the station's position and the remaining land between them is covered by lawn (Norra and Stuben, 2004), but generally the vegetation is very limited with no high trees and bushes. In addition, the coal fired thermal plant “Rheinhafen” (longitude: 49.012.568, latitude: 8.302.000), situated 2 km southwest of the Karlsruhe-Nordwest station, is a major source of air pollution which has to be underlined, while the oil refinery “Oberrhein” (longitude = 8.329.880, latitude = 49.058.950), is also located 3.5 km to the north away from the sampling site.

#### 2.2.2. Potsdam

The city of Potsdam is the capital city of the German state of Brandenburg, has a total population of approximately 160,000 people, and is located 26 km to the west of the city of Berlin, the capital of Germany. Background air pollution monitoring station Potsdam-Zentrum (DEBB021) is sited at the northwest part of a city block located in the center of Potsdam. This city block is surrounded by a network of four streets namely: “Hebbel Strasse”, “Gutenberg Strasse”, “Am Bassin” and “Charlotten Strasse”, and principally includes the “Bassiniplatz” park and a parking space. No high buildings and no important industrial units exist in the vicinity of the sampling site, whereas the area around the block is mainly residential. The nearby wide street of “Gutenberg Strasse” has no direct

**Table 2**  
Average daily mean concentration values of PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO and O<sub>3</sub> at DEBB021 and DEBW081 during cold [CP] and warm [WP] seasons separately.

| Station | Period | PM <sub>2.5</sub> (µg/m <sup>3</sup> ) | PM <sub>10</sub> (µg/m <sup>3</sup> ) | SO <sub>2</sub> (µg/m <sup>3</sup> ) | O <sub>3</sub> (µg/m <sup>3</sup> ) | CO (mg/m <sup>3</sup> ) | NO <sub>2</sub> (µg/m <sup>3</sup> ) |
|---------|--------|--|---------------------------------------|--------------------------------------|-------------------------------------|-------------------------|--------------------------------------|
| DEBW081 | CP     | 20.17                                  | 26.12                                 | 7.29                                 | 29.50                               | 0.31                    | 30.10                                |
|         | WP     | 11.46                                  | 17.09                                 | 3.76                                 | 62.40                               | 0.16                    | 17.80                                |
| DEBB021 | CP     | 21.31                                  | 28.53                                 | 5.02                                 | 34.10                               | 0.54                    | 28.00                                |
|         | WP     | 13.19                                  | 21.40                                 | 3.41                                 | 67.80                               | 0.36                    | 18.90                                |

influence at the station's measurements, due to the station's position away from the road curbs. The "Bassinplatz" park, which is neighboring to the Potsdam-Zentrum station, contains trees and bushes, and the soil is covered with grass.

### 2.3. Methodology

A PCA analysis was implemented, in order to define components corresponding to local sources of air pollution (Yoo et al., 2011; Vardoulakis and Kassomenos, 2008). Gaseous air pollutants were used as markers of emission sources. More specifically CO, SO<sub>2</sub> and O<sub>3</sub>, were considered as indicators of traffic, industrial, and photochemical air pollution respectively (Deacon et al., 1997), whereas NO<sub>2</sub> is produced by multiple combustion sources. PCA was performed with average daily concentrations of PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO and O<sub>3</sub>, due to the lack of hourly data for PM. The availability of at least 18 of each day's 24 hourly concentration values was set as a precondition, in order to be averaged then to daily values. Timelines were divided to cold and warm periods [CP, WP respectively] (Vardoulakis and Kassomenos, 2008), because different climatological conditions and social activities among CP and WP, influence decisively the emission and detection of air pollutants. The fraction PM<sub>2.5</sub>/PM<sub>10</sub> was also calculated in a daily basis, to identify possible seasonal changes of PM size distribution among CP and WP (Chaloulakou et al., 2003). An Independent Variables T-Test was applied, in order to detect possible statistically significant at 95% Confidence Interval (CI) trends in the proportion of fine particles in the total PM<sub>10</sub> mass, between CP and WP (Gehrig and Buchmann, 2003). Pearson Correlation Coefficients (PCC) were also calculated among meteorological factors (MWS, MWG and RH) and daily mean concentrations of PM<sub>10</sub> (Demirci and Cuhadaroglu, 2000; Oguz et al., 2003; Gupta et al., 2004), as to reveal possible statistically significant correlations.

HYSPLIT trajectory model of the NOAA Air Resources Laboratory was used, in order to define atmospheric pathways, possibly contributing to elevated PM<sub>10</sub> concentrations (Karaca et al., 2009; Riccio et al., 2007) due to long range transport, in Karlsruhe and Potsdam. A five year period (2003–2007) was studied. During this period, 10% of days with highest daily PM<sub>10</sub> concentrations were used as temporal starting points for the extraction of 4-day backward air mass trajectories (Charron et al., 2007; Grivas et al., 2008), arriving at the studied locations at 750 m (intermediate boundary layer) and 1500 m (upper boundary layer) Above Ground Level (AGL). PM measurements at the selected background stations show low concentrations of particulates (Table 2) and thus, the trajectory study was focused on 10% of days with highest PM<sub>10</sub> levels (Engler et al., 2012; Dimitriou and Kassomenos, 2013), in order to identify and analyze atmospheric circulations possibly associated to severe daily events of air quality degradation (Grivas et al., 2008; Kocak et al., 2007). PM<sub>10</sub> were preferred from PM<sub>2.5</sub> for this part of the study, due to substantially fewer deficiencies in the data series. The time of every air parcel's arrival in the two cities was set at 12:00 UTC.

The trajectories were then organized in a small number of groups (Dorling et al., 1992; Dorling and Davies, 1995), by an application of a K-means cluster analysis based on the Euclidean distance (Borge et al., 2007; Markou and Kassomenos, 2010). The longitude and latitude of the trajectories over consecutive 1-h intervals were used as clustering variables. Clusters which gathered less than 3% of the total trajectories were rejected as non representative (McGregor, 1993). An average centroid trajectory was computed at each trajectory group, defining the cluster's length and orientation. Haversine formula of the great circle distance between two points was used to define the length ( $D$ ) of each cluster's centroid trajectory. This length was calculated as the sum of the 96

hourly distances  $D_i$  of each pair of neighboring points, along the centroid trajectory (Markou and Kassomenos, 2010), during the 4-day interval. For the needs of this study, trajectory clusters were divided into four main categories, according to the length ( $D$ ) of their centroid trajectories (Dimitriou and Kassomenos, 2013).

- Short range cluster  $0 < D < 1000$  (km)
- Medium range cluster  $1000.1 < D < 1800$  (km)
- Long range cluster  $1800.1 < D < 3000$  (km)
- Very long range cluster  $3000.1 < D$  (km)

The relation of slow moving short range trajectories with the conveyance of PM from transboundary sources, has been revealed in many recent publications (Karaca and Camci, 2010; Salvador et al., 2010; Borge et al., 2007; Makra et al., 2011; Fleming et al., 2012; Uditi et al., 2012; Kassomenos et al., 2012). In short-range transport, the airflow pathway is more influenced by emission source areas than in long range transport, where various exchange and mixing processes (e.g. deposition and advection), physical losses and chemistry have more influence on the composition at the receptor location (Fleming et al., 2012). According to the trajectory analysis conducted by Xu et al. (2006) and Kavouras et al. (2013), the amount of time air spends over a region is linearly related to that region's contribution to pollutants measured at the receptor site. Hence, at all backward atmospheric trajectory clusters in which PM<sub>10</sub> long range transport impacts were suggested at DEBW081 and DEBB021 sampling sites, residence time of incoming air masses was analyzed on a grid of a  $0.5^\circ \times 0.5^\circ$  resolution (Kong et al., 2013), as the sum of the number of trajectory points within each cell. The coordinates of the center of each  $0.5^\circ \times 0.5^\circ$  grid cell were used as mapping points, in order to isolate and determine more efficiently, potential external sources of particulates influencing PM<sub>10</sub> concentrations in Karlsruhe and Potsdam (Chalbot et al., 2013). All the data processing, statistical analysis and graphical representation were performed with SPSS (v.20), Microsoft Excel (v.2007) and R code.

## 3. Results

### 3.1. Characterization of PCA results

- **Component 1:** High loadings for CO indicate that this component is strongly affected by traffic emissions (Table 3). During CP, high CO loadings are combined with increased loadings for NO<sub>2</sub>, PM<sub>10</sub> and PM<sub>2.5</sub>, thus the production of particulates is primarily vehicular. Lower loadings for NO<sub>2</sub> at DEBW081 station during CP were considered as a result of simultaneous industrial NO<sub>2</sub> emissions. During WP, PM loadings in Component 1 were significantly reduced (Table 3), hence particulate emissions were no longer related to traffic. The decisive drop of PM loadings during WP was detected for both PM<sub>10</sub> and PM<sub>2.5</sub>, but it was more enhanced for PM<sub>10</sub> (Table 3), due to the higher dependence of PM<sub>2.5</sub> emissions by vehicular combustion (Gomes et al., 2008; Kuo et al., 2014). These changes at Component 1 loadings among CP and WP were attributed to reduced traffic flow during WP, as it was indicated by the strongly decreased average daily mean concentrations of CO (Table 2). Thus, Component 1 explained substantially less percentage of the total variance during WP, at both stations (Table 3).
- **Component 2:** During CP and WP, Component 2 is dominated by highly enriched coefficients for O<sub>3</sub> and NO<sub>2</sub>, but with opposite signs (Table 3). NO<sub>2</sub> typically arises via the oxidation of Nitric Oxide (NO), which is a well known sink for O<sub>3</sub>, thus photochemical air pollution is indicated at both sampling sites.

**Table 3**

PCA analysis loadings and variance explanation at DEBB021 and DEBW081 stations, during CP and WP separately.

| Station                      | Component         | Cold period (CP) |        |        |   | Warm period (WP) |        |       |       |
|------------------------------|-------------------|------------------|--------|--------|---|------------------|--------|-------|-------|
|                              |                   | 1                | 2      | 3      | 4 | 1                | 2      | 3     | 4     |
| DEBW081 (Karlsruhe-Nordwest) | PM <sub>2.5</sub> | 0.872            | 0.333  | 0.326  | – | 0.232            | 0.347  | 0.220 | 0.846 |
|                              | PM <sub>10</sub>  | 0.826            | 0.310  | 0.439  | – | 0.109            | 0.303  | 0.190 | 0.904 |
|                              | SO <sub>2</sub>   | 0.355            | 0.258  | 0.876  | – | 0.085            | 0.063  | 0.952 | 0.273 |
|                              | O <sub>3</sub>    | –0.280           | –0.912 | –0.231 | – | –0.020           | 0.940  | 0.042 | 0.232 |
|                              | CO                | 0.591            | 0.346  | 0.651  | – | 0.975            | –0.022 | 0.090 | 0.200 |
|                              | NO <sub>2</sub>   | 0.474            | 0.584  | 0.493  | – | 0.231            | –0.392 | 0.428 | 0.710 |
|                              | Variance (%)      | 37.0             | 26.1   | 29.8   | – | 18.0             | 20.9   | 19.7  | 36.8  |
|                              |                   |                  |        |        |   |                  |        |       |       |
| DEBB021 (Potsdam-Zentrum)    | PM <sub>2.5</sub> | 0.762            | 0.045  | 0.567  | – | 0.346            | 0.184  | 0.326 | 0.792 |
|                              | PM <sub>10</sub>  | 0.784            | 0.054  | 0.535  | – | 0.095            | 0.247  | .208  | 0.897 |
|                              | SO <sub>2</sub>   | 0.266            | 0.081  | 0.932  | – | .106             | 0.120  | .940  | 0.293 |
|                              | O <sub>3</sub>    | –0.206           | –0.960 | –0.058 | – | –0.262           | 0.857  | 0.153 | 0.370 |
|                              | CO                | 0.761            | 0.407  | 0.405  | – | 0.966            | –0.071 | 0.120 | 0.063 |
|                              | NO <sub>2</sub>   | 0.849            | 0.385  | 0.082  | – | 0.772            | –0.343 | 0.031 | 0.385 |
|                              | Variance (%)      | 43.5             | 20.8   | 27.5   | – | 29.0             | 16.1   | 17.9  | 30.1  |
|                              |                   |                  |        |        |   |                  |        |       |       |

Extraction Method: Principal Component Analysis. Rotation Method: Varimax with Kaiser Normalization.

- **Component 3:** The principal characteristic of Component 3 is the elevated loadings for SO<sub>2</sub> (Table 3), thus this component describes particularly the emissions from industrial (DEBW081) and domestic (DEBB021) activities. Moderate loadings for CO and PM during CP suggest that CO and PM emissions are partially associated with industrial/domestic combustion. The drop of energy consumption during WP provoked a decline of the corresponding at Component 3 percentage of the total variance: [29.8%–19.7%] and [27.5%–17.9%], at DEBW081 and DEBB021 stations respectively (Table 3).
- **Component 4:** This component was computed by PCA analysis only during WP, and reflects the sources of the total production of PM in the selected areas, while less traffic occurs. At the Karlsruhe station (DEBW081), Component 4 was highly enriched by PM<sub>10</sub>, PM<sub>2.5</sub> and NO<sub>2</sub> and thus, describes all the combined combustion emissions of particulates from industrial activities and the remaining traffic. At the Potsdam station (DEBB021), Component 4 included very high loadings for PM, moderate loadings for NO<sub>2</sub> and low burden for all the other gaseous pollutants, thus secondary emissions of PM (e.g. natural sources, dust resuspension etc) were more strongly indicated. This component explains a large fraction: 36.8% and 30.1% of the total variance, at DEBW081 and DEBB021 stations respectively (Table 3).

An Independent Variables *T*-Test, revealed a decrease of the PM<sub>2.5</sub>/PM<sub>10</sub> fraction during WP, at DEBW081 [6.6% (95% CI: 4.7%, 8.5%)] and DEBB021 [13.6% (95% CI: 11.1%, 16.1%)] stations. The more radical drop in Potsdam was attributed to decreased combustion, in conjunction with the production of more PM<sub>COARSE</sub> (PM<sub>COARSE</sub> = PM<sub>10</sub>–PM<sub>2.5</sub>), due to dust resuspension and biogenic emissions from “Bassiniplatz” park, during summer and spring.

### 3.2. Characterization of PCC results

PCC were extracted among meteorological parameters (MWS, MWG and RH) and PM<sub>10</sub> concentrations. Correlations between MWS and PM<sub>10</sub> were found equal to –0.432 and –0.210, whereas Pearson coefficients calculated among MWG and PM<sub>10</sub> resulted to be –0.463 and –0.280, in Karlsruhe and Potsdam respectively. These moderate (Karlsruhe) and low-moderate (Potsdam) negative correlations were statistically significant at the 0.01 level and thus, moderate dispersion of particulates due to wind was identified. PCC among RH and PM<sub>10</sub> concentrations were considered as too low to be meaningful at both areas (Karlsruhe: 0.035, Potsdam:

0.137), while in Karlsruhe the results were not statistically significant.

### 3.3. Localization of potential exogenous sources contributing to PM<sub>10</sub> episodes

- In Karlsruhe, 14 backward trajectory clusters corresponding to increased PM<sub>10</sub> concentrations were produced [Clusters 1–6 (1500 m AGL), Clusters 7–14 (750 m AGL)]. Clusters 7 and 10 were excluded from the paper [<3%] (Table 4a). At the 1500 m AGL analysis, short range Cluster 4 and medium range Clusters 2 and 5, summarized 33.0%, 22.0% and 23.1% of the total trajectories respectively (Table 4a). At the 750 m AGL analysis, short range Clusters 9 and 12, gathered 27.2% and 28.3% of total trajectories respectively (Table 4b). These high proportions of trajectories classified in short/medium range clusters, indicated associations among the inflow of slow moving air parcels and increased PM<sub>10</sub> concentrations. In addition, raised average and maximum values of daily mean concentrations of PM<sub>10</sub> were also calculated in Clusters 2, 4, 5, 9 and 12 (Table 4), and were attributed to the additional quantity of exogenous PM, due to long range transport. Clusters 4 and 12 are consisted from regional all around trajectories originated mainly from Northern Italy, France and Baden Württemberg, where multiple combustion PM sources exist (Fig. 1a). The few trajectories reaching Northern Africa (NA) in Cluster 4 were observed during CP and thus, were not associated with dust transport in Karlsruhe, because Sahara dust outbreak in the Mediterranean and central Europe presents maximum values during spring and summer and minimum values during winter (Athanasios et al., 2013; Varga et al., 2013). Clusters 5 and 9 include trajectories of continental air masses that approached Karlsruhe from east directions (Fig. 1a). Cluster 2 contains trajectories of air masses that arrived in Karlsruhe from the North, through Germany, Jutland Peninsula and the North Sea (Fig. 1a). In general, low mean wind speeds were calculated at all clusters (Table 4), thus weak dispersion of particulates is suggested.

According to the geographical distribution of the residence time of air masses (Fig. 1 b) approaching the city of Karlsruhe at 750 m AGL, potential transboundary PM sources are localized mainly to the Southwest (Cluster 12) and to the East (Cluster 9) of the city, in France and Germany respectively. Maximum values of air mass residence time are detected in regional areas around the city, and particularly in Baden Württemberg. At Cluster 9, a secondary peak



**Table 4**

Centroid trajectory length, average daily mean wind speed, number of episodes and PM<sub>10</sub> concentration statistics, corresponding to backward trajectory clusters at a) 1500 m AGL and b) 750 m AGL.

| a)                           | Clusters 1500 m AGL                            | 1     | 2     | 3     | 4     | 5     | 6     |      |      |
|------------------------------|--|-------|-------|-------|-------|-------|-------|------|------|
| Station                      |  |       |       |       |       |       |       |      |      |
| DEBW081 (Karlsruhe-Nordwest) | Centroid Length (km)                           | 2898  | 1544  | 4980  | 865   | 1700  | 2996  | –    | –    |
|                              | PM <sub>10</sub> Average (ug/m <sup>3</sup> )  | 49.7  | 52.1  | 50.3  | 53.2  | 52.7  | 48.0  | –    | –    |
|                              | PM <sub>10</sub> Maximum (ug/m <sup>3</sup> )  | 76    | 83    | 72    | 81    | 94    | 62    | –    | –    |
|                              | PM <sub>10</sub> Stan-dev (ug/m <sup>3</sup> ) | 12.5  | 11.0  | 12.3  | 10.1  | 13.3  | 7.8   | –    | –    |
|                              | PM <sub>10</sub> Episodes                      | 16    | 38    | 7     | 57    | 40    | 15    | –    | –    |
|                              | Trajectories (%)                               | 9.2   | 22.0  | 4.0   | 33.0  | 23.1  | 8.7   | –    | –    |
|                              | Mean wind speed (m/sec)                        | 2.9   | 2.8   | 4.1   | 2.8   | 3.2   | 2.8   | –    | –    |
|                              | Centroid Length (km)                           | 1746  | 1569  | 2516  | 2710  | 4202  | 769   | –    | –    |
| DEBB021 (Potsdam-Zentrum)    | PM <sub>10</sub> Average (ug/m <sup>3</sup> )  | 64.0  | 59.0  | 63.1  | 66.5  | 53.7  | 55.8  | –    | –    |
|                              | PM <sub>10</sub> Maximum (ug/m <sup>3</sup> )  | 158.5 | 134.7 | 119.7 | 143.3 | 79    | 192.5 | –    | –    |
|                              | PM <sub>10</sub> Stan-dev (ug/m <sup>3</sup> ) | 32.4  | 20.7  | 21.6  | 24.8  | 13.2  | 22.6  | –    | –    |
|                              | PM <sub>10</sub> Episodes                      | 26    | 39    | 21    | 24    | 8     | 61    | –    | –    |
|                              | Trajectories (%)                               | 14.5  | 21.8  | 11.7  | 13.4  | 4.5   | 34.1  | –    | –    |
|                              | Mean wind speed (m/sec)                        | 6.4   | 5.9   | 6.3   | 6.6   | 5.4   | 5.6   | –    | –    |
|                              |  |       |       |       |       |       |       |      |      |
| b)                           | Clusters 750 m AGL                             | 7     | 8     | 9     | 10    | 11    | 12    | 13   | 14   |
| Station                      |  |       |       |       |       |       |       |      |      |
| DEBW081 (Karlsruhe-Nordwest) | Centroid Length (km)                           |       | 2173  | 799   |       | 1634  | 589   | 1979 | 2063 |
|                              | PM <sub>10</sub> Average (ug/m <sup>3</sup> )  |       | 50.9  | 54.2  |       | 50.8  | 52.5  | 50.1 | 49.0 |
|                              | PM <sub>10</sub> Maximum (ug/m <sup>3</sup> )  |       | 70    | 94    |       | 83    | 81    | 66   | 62   |
|                              | PM <sub>10</sub> Stan-dev (ug/m <sup>3</sup> ) |       | 10.7  | 13.0  |       | 10.9  | 11.2  | 8.4  | 7.7  |
|                              | PM <sub>10</sub> Episodes                      | 2     | 17    | 47    | 3     | 28    | 49    | 14   | 13   |
|                              | Trajectories (%)                               | 1.2   | 9.8   | 27.2  | 1.7   | 16.2  | 28.3  | 8.1  | 7.5  |
|                              | Mean wind speed (m/sec)                        |       | 4.0   | 2.7   |       | 3.2   | 2.7   | 3.1  | 2.5  |
|                              | Centroid Length (km)                           | 1624  | 2562  | 515   | 2885  | 1743  | 1091  | –    | –    |
| DEBB021 (Potsdam-Zentrum)    | PM <sub>10</sub> Average (ug/m <sup>3</sup> )  | 59.1  | 65.0  | 58.8  | 67.6  | 58.7  | 57.7  | –    | –    |
|                              | PM <sub>10</sub> Maximum (ug/m <sup>3</sup> )  | 192.5 | 135.9 | 158.5 | 143.3 | 134.6 | 119.7 | –    | –    |
|                              | PM <sub>10</sub> Stan-dev (ug/m <sup>3</sup> ) | 25.7  | 31.0  | 22.1  | 26.1  | 25.5  | 19.7  | –    | –    |
|                              | PM <sub>10</sub> Episodes                      | 56    | 8     | 53    | 19    | 12    | 31    | –    | –    |
|                              | Trajectories (%)                               | 31.3  | 4.5   | 29.6  | 10.6  | 6.7   | 17.3  | –    | –    |
|                              | Mean wind speed (m/sec)                        | 5.9   | 6.5   | 5.9   | 6.7   | 5.9   | 5.8   | –    | –    |

Blank boxes correspond to clusters that include less than 3.0% of the total trajectories and were excluded from the procedure.

of dwelling time density is observed at the intensively industrialized area of Stuttgart, at the East-Southeast of Karlsruhe, and thus an important potential exogenous source of PM is identified. At the 1500 m AGL analysis, increased density of air mass residence time was indicated primarily to the South, mainly over Switzerland (Cluster 4) and up to the borders of industrialized North Italy, and to the East (Cluster 5) over South Germany. In addition, as in the 750 m AGL analysis, highest values of air mass residence time are detected in regional areas around the city.

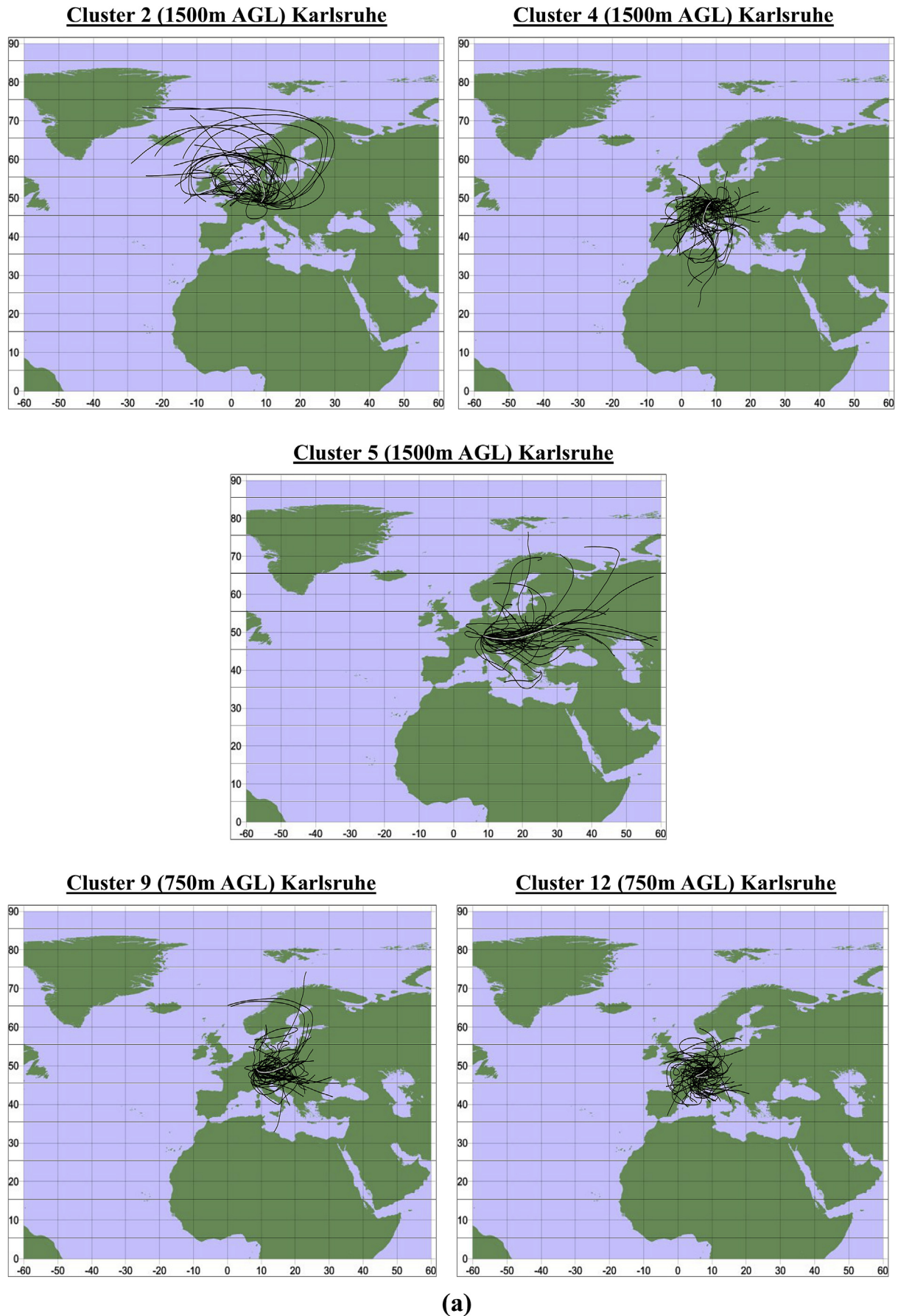
- In Potsdam, 12 clusters of trajectories associated to elevated PM<sub>10</sub> levels were created [Clusters 1–6 (1500 m AGL), Clusters 7–12 (750 m AGL)]. At the 1500 m AGL study, short range Cluster 6 and medium range Cluster 2, grouped 34.1% and 21.8% of total trajectories respectively (Table 4a). At the 750 m AGL analysis, medium range Cluster 7 and short range Cluster 9 summarized 31.3% and 29.6% of total trajectories correspondingly (Table 4b). Hence, associations among slow moving air masses and increased PM<sub>10</sub> levels were suggested. The continental trajectories that were categorized in Clusters 2 and 7, describe the movement of air masses originated from Eastern Europe, whereas Clusters 6 and 9 were consisted by short range all around trajectories that approached Potsdam, mainly through Germany and the Czech Republic. The trajectories that reached NA in Cluster 6 are limited and were observed during CP, hence no Sahara dust intrusion is suggested (Athanasios et al., 2013; Varga et al., 2013). Higher maximum daily mean PM<sub>10</sub> concentrations were observed in Clusters 2, 6, 7 and 9. The unexpectedly high average daily mean PM<sub>10</sub> levels at long range Clusters 3, 4, 8, and 10, which summarized reduced fractions of

trajectories (Table 4), were not considered as markers of long range transport contribution and were attributed to enhanced local PM emissions, in conjunction with atmospheric stagnation conditions due to low wind speed (Table 4).

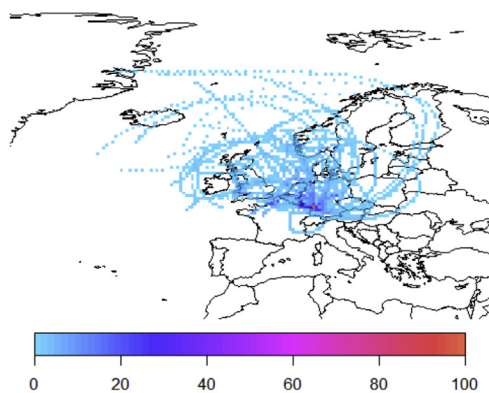
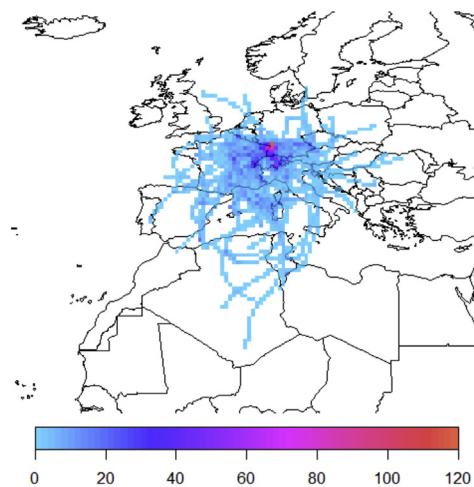
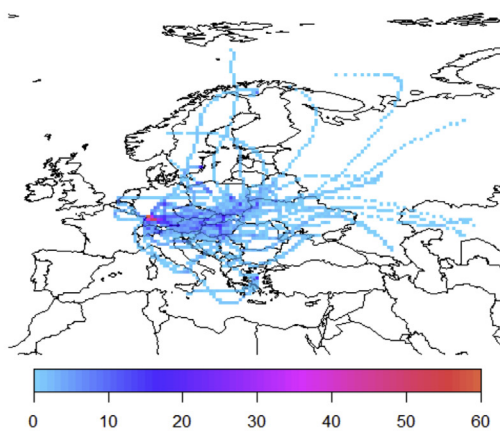
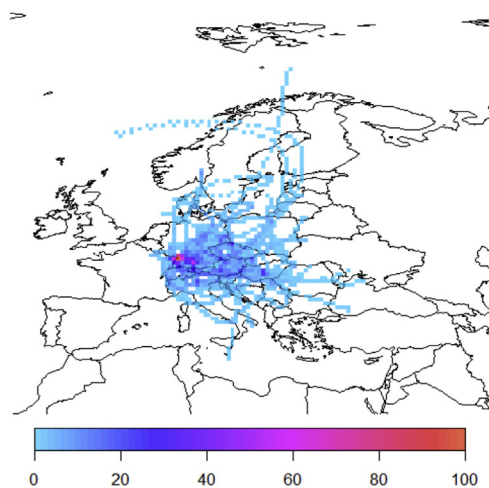
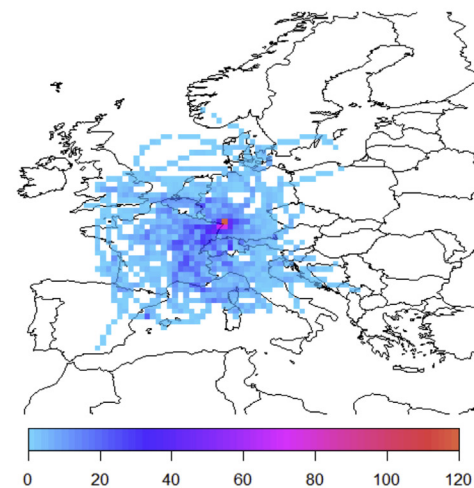
The analysis of air mass residence time revealed elevated dwelling time of incoming air parcels primarily to the south of Potsdam over East and Southeast Germany, the Czech Republic and West Poland. The results were similar at the 1500 m AGL (Cluster 6) and at the 750 m AGL (Cluster 9) analysis (Fig. 2). The so-called European Black Triangle at the Czech-German-Polish border line is included in the area. PM<sub>10</sub> pollution is believed to be still an actual problem at the Black Triangle, due to coal mining and the presence of large combustion resources (Hykyšová and Brejcha, 2009; Worobiec et al., 2008). At Cluster 2 (1500 m AGL) and primarily Cluster 7 (750 m AGL), enhanced residence time of air parcels approaching Potsdam from East Europe was detected to the Southeast of the city, across Austria and the Czech Republic.

#### 4. Conclusions

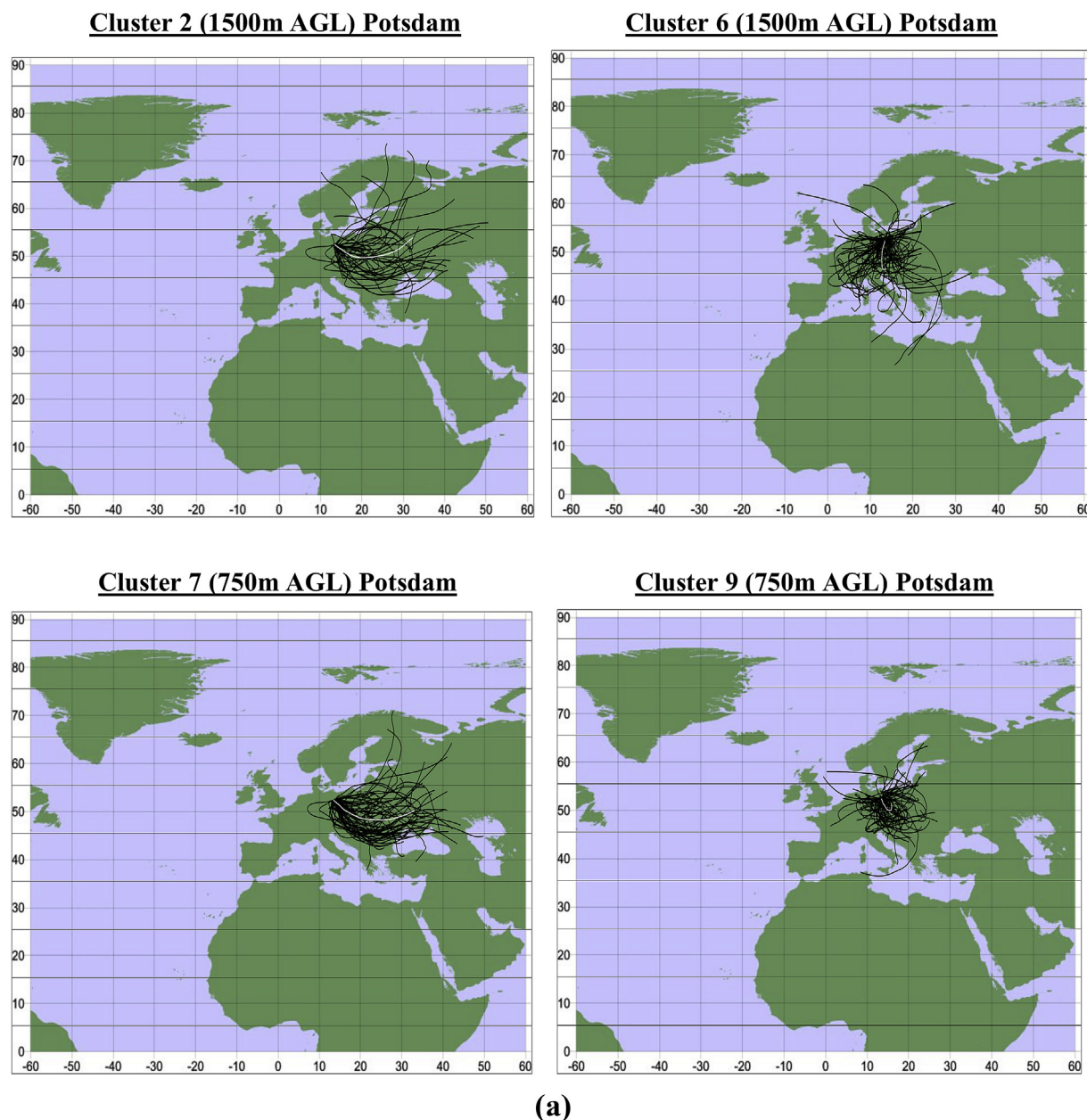
The main objective of this paper was to decompose the profile of PM in two low polluted German cities namely: Karlsruhe and Potsdam, focusing on the localization of potential transboundary sources. For this purpose a backward trajectory cluster analysis was implemented, followed by an additional study of air mass residence time on a grid of a 0.5° × 0.5° resolution. In addition, air pollution and meteorological data were inserted to a combination of statistical tools, in order to identify PM emissions in the vicinity of the selected sampling sites.



**Fig. 1.** a) Trajectory clusters and b) residence time (hours) of air masses, associated with potential PM<sub>10</sub> transportation in Karlsruhe at 750 m and 1500 m AGL.

**Cluster 2 (1500m AGL) Karlsruhe Residence Time****Cluster 4 (1500m AGL) Karlsruhe Residence Time****Cluster 5 (1500m AGL) Karlsruhe Residence Time****Cluster 9 (750m AGL) Karlsruhe Residence Time****Cluster 12 (750m AGL) Karlsruhe Residence Time****(b)****Fig. 1.** (continued).



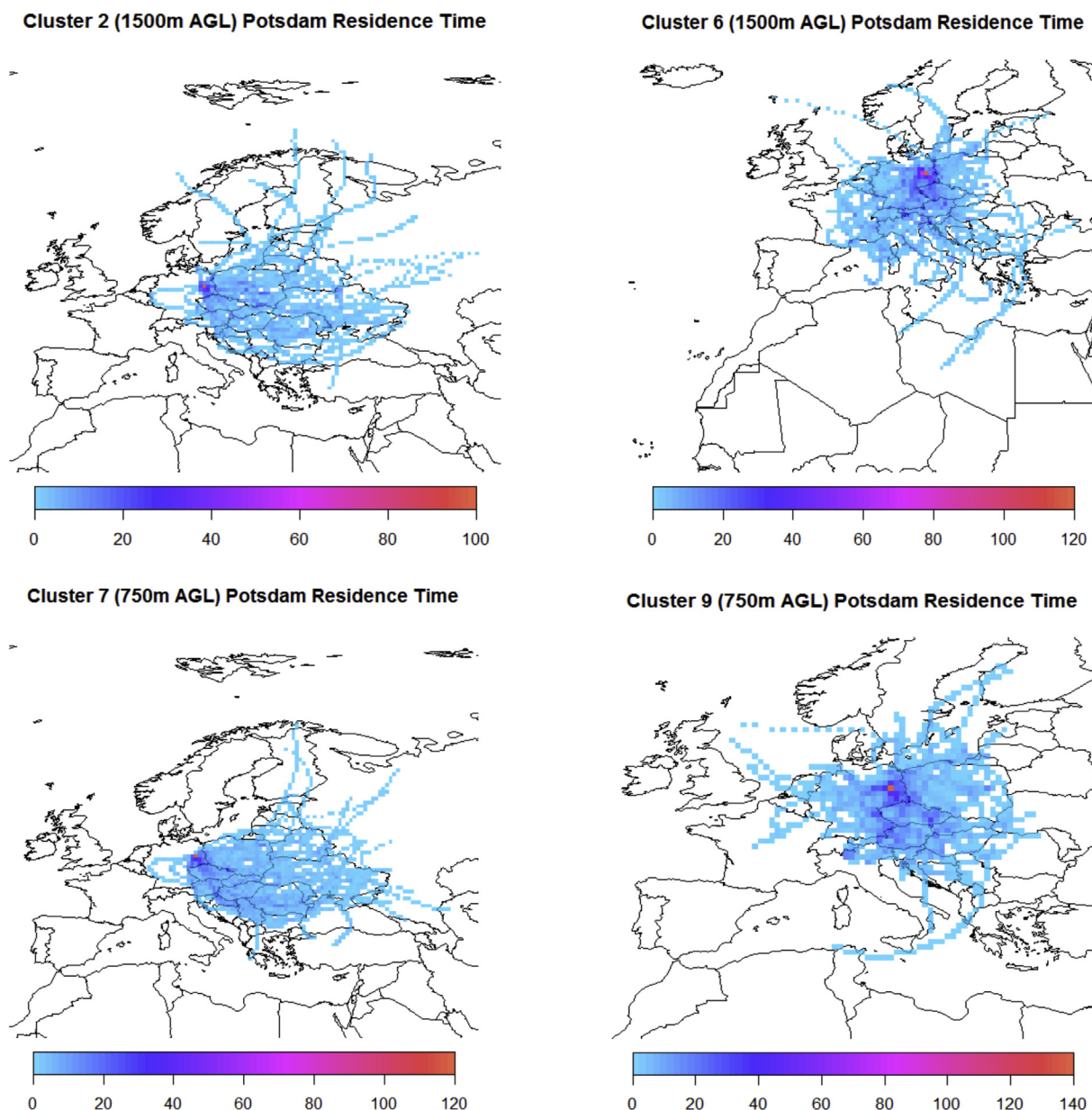


**Fig. 2.** a) Trajectory clusters and b) residence time (hours) of air masses, associated with potential PM<sub>10</sub> transportation in Potsdam at 750 m and 1500 m AGL.

The calculation of PCC, between PM<sub>10</sub> and MWS/MWG, revealed moderate wind dispersion of aerosols at both cities. Daily air pollution data were elaborated by a PCA analysis during CP and WP. PCA produced four air pollution components: Component 1 [traffic], Component 2 [photochemical], Component 3 [industrial/domestic], and Component 4 [particulate]. In the Karlsruhe PCA analysis, Component 4 depicts the total combustion emissions of PM in the area. In the Potsdam PCA analysis, the contribution of secondary PM sources (e.g. natural sources, dust resuspension etc) was indicated. A statistically significant at 95% CI drop in PM<sub>2.5</sub>/PM<sub>10</sub> values during WP was calculated in Karlsruhe [DEBW081: 6.6% (95% CI: 4.7%, 8.5%)] and Potsdam [DEBB021: 13.6% (95% CI: 11.1%, 16.1%)]. The more radical drop of the proportion PM<sub>2.5</sub>/PM<sub>10</sub> in Potsdam was attributed to decreased combustion, in conjunction with the production of more PM<sub>COARSE</sub> from secondary sources. During CP, when Component 4 was not computed, the generation of particles was proven to be primarily vehicular.

Karlsruhe and Potsdam are generally characterized as two low polluted cities and thus, potential particulate intrusions from transboundary sources are critical for the provocation of severe daily PM<sub>10</sub> episodes, downgrading air quality and health. Short and medium range trajectory clusters, gathered large fractions (Karlsruhe (1500 m AGL): 22.0%–33.0%, Karlsruhe (750 m AGL): 27.2%–28.3%, Potsdam (1500 m AGL): 21.8%–34.1%, Potsdam (750 m AGL): 29.6%–31.3%) of total trajectories corresponding to highest 10% daily mean PM<sub>10</sub> concentrations and thus, associations among slow moving air masses and increased PM<sub>10</sub> levels were indicated. Elevated maximum and average daily mean PM<sub>10</sub> concentrations were calculated at short range clusters affecting Karlsruhe. In Potsdam, peak PM<sub>10</sub> daily mean concentrations were also detected at short range clusters, whereas high average daily mean PM<sub>10</sub> levels, observed at long range clusters consisted by reduced fractions (1500 m AGL: 11.7%–14.5%, 750 m AGL: 4.5%–10.6%) of total trajectories, were considered as a result of enhanced local





(b)

Fig. 2. (continued).

emissions, in conjunction with atmospheric stagnation due to low wind. In general, weak wind speeds (2.8 m/sec–6.7 m/s) were calculated in all clusters at both cities.

The residing areas of incoming in Karlsruhe and Potsdam slow moving air masses are potential transboundary sources of PM. For the case of Karlsruhe, those areas were mainly localized in regional terrains around the city, and also in neighboring parts of Germany, France and Switzerland, up to the borders of industrialized North Italy. A secondary peak of residence time was observed over the district of Stuttgart. For the case of Potsdam, areas with increased dwelling time of the arriving air masses were detected particularly above East and Southeast Germany, the Czech Republic and West Poland, where multiple urban and industrial facilities exist. No Sahara dust intrusions were indicated at both cities.

It is a strong belief of the authors that the implemented mixture of methods achieved the goals of this paper. Nevertheless, further research and discussion is needed on this matter, primarily in the field of long range transport. An analysis of PM chemical properties at the receptor sites could provide beneficial information and expand the findings of this paper.

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