# YUE SUN

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# **EDUCATION**

University of Washington

Seattle, WA, US

Ph.D. candidate in Electrical Engineering

2016.9 - 2022.3

Academics: Overall GPA 3.82/4

Tsinghua University

Beijing, China

Bachelor of Engineering in Electronic Engineering

2012.8 - 2016.7

Academics: Overall GPA 89.2/100

 $\textbf{Programming:} \ \ \text{Python, Matlab, JAVA, C/C++; Pytorch, Keras, Tensorflow, Scikit-learn, Xgboost; Spark; AWS and Scikit-learn, Variable of the Scikit-learn and Scikit-lea$ 

Key Words: Nonconvex Optimization, Compressive Sensing, Control, Representation Learning

## WORKING EXPERIENCE

## Nokia Bell Labs, Math & ALGO Bell Labs Summer Intern

Seattle, WA, US

Project: Audio Echo Cancellation with Sparse Coefficients

2021.6 - 2021.8

Host: Shirin Jalali

- Reframed audio echo cancellation problem as online least squares.
- $\bullet$  Implemented online LS algorithms including SGD, tail averaged SGD, APA, IML, Catalyst.
- Combined with sparsity of the parameters, incorporated RIP of circulant matrix into algorithm analysis. Derived and implemented proportionate algorithms and regularized algorithms for sparse coefficient estimation.

# Google, Software Engineering Intern (PhD)

Mountain view, CA, US

Project: Online Learning for Entropy Coding in Next-generation Video Codec

2019.6 - 2019.9

First authored paper in DCC 2020, with a patent. Host: Jingning Han

- Implemented online update algorithm for probability estimation for entropy coding.
- Generalized baseline algorithm by maximum apriori estimator, wrapped baseline algorithms with an adaptive layer based on online optimization for model ensembling.
- Implemented experiments on real dataset and the compressed file is 2% smaller than baseline (C++ & python).

# RESEARCH EXPERIENCE

#### Papers published in NeurIPS, CDC, ICASSP, L4DC, ICML workshop, TSP

# University of Washington, Research Assistant

Seattle, WA, US

Project: Towards Understanding the Role of Representation Dimension in Meta-Learning

2020.9 - 2022.3

First/second authored paper accepted by NeurIPS 2021, TOPML 2021, ICASSP 2021, Advisor: Maryam Fazel

- Demonstrated the procedure and obtained statistical guarantee (sample complexity & error) of meta-learning.
- Obtained guarantee of meta-learning accuracy in the overparametrized regimes, theoretically proved the double descent phenomenon and verified it by numerical experiments.
- Generalized to non-linear models, e.g., multiple class classification, validated on image (CNN/Resnet) and text (LSTM/attention/transformer) classification with MAML as baseline (python/pytorch, AWS).

Project: Learning Linear Dynamical System via Regularization

2018.10 - 2022.3

First authored paper (oral) in L4DC 2020, Advisor: Maryam Fazel

- Obtained optimal error rate of unregularized method for linear system identification.
- Learning with provably fewer data and smaller error by Hankel nuclear norm (low rank) regularization.
- Implemented regularized method on Daisy, Gym and Mujoco dynamical systems and observed the advantage in input sensitivity, simplicity of tuning parameters, training data size and estimation error (python/pytorch).

Side Project: Learning Yelp Votes Data

- Used **Python/Pandas** and **Python/Seaborn** for visualizing and preprocessing Yelp votes data. Implemented neural networks for learning (**Python/Pytorch & Pyspark**).
- Processed text by transformer (Python/Pytorch). Compared error of NNs' with different depth and structure.
- Implemented the entire model by ensemble learning (Python/Xgboost, AWS).

First authored paper in CDC 2021, Advisor: Maryam Fazel

- Proposed a generic approach to connect policy gradient method to convexification method.
- Generalized from static controller to dynamic controller design, and proposed the convergence guarantee of policy gradient method when quadratic invariance holds.

# Simons Institute for the Theory of Computing, Visiting Graduate Student

Berkeley, CA, US

Project: Perturbed Gradient Descent Method for Non-convex Optimization

2017.9 - 2017.12

First authored paper in NeurIPS 2019, ICML workshop 2018

- Derived convergence rate of noisy gradient descent method for nonsmooth nonconvex optimization problems and extended approximation strategy to Riemannian metric.
- Applied to image processing task where the retraction operator is trained by conditional GAN (python/tensorflow).

#### Ohio State University, Research Assistant

Columbus, OH, US

Project: Phase Retrieval and Low Rank Matrix Completion

2015.7 - 2015.9

First/second authored papers in ICASSP 2016, TSP, Advisor: Yuejie Chi

- Reproduced the derivation and conduced performance test for various phase retrieval algorithms.
- Derived an extension of Wirtinger Flow algorithms by expanding it from rank 1 case to low rank case. Analyzed the convexity of L1 distance function and its advantages with outliers.

#### PUBLICATIONS

- 1. Yue Sun, Adhyyan Narang, Ibrahim Gulluk, Samet Oymak and Maryam Fazel, "Towards Sample-Efficient Overparameterized Meta-Learning", in 35th Conference on Neural Information Processing Systems (NeurIPS 2021) & 2021 Workshop on the Theory of Overparameterized Machine Learning (TOPML).
- 2. Yue Sun and Maryam Fazel, "Learning Optimal Controllers by Policy Gradient: Global Optimality via Convex Parameterization", in 60th Conference on Decision and Control (CDC 2021) & 2021 INFORMS Annual Meeting.
- 3. Ibrahim Gulluk, Yue Sun, Samet Oymak and Maryam Fazel, "Sample Efficient Subspace-based Representations for Nonlinear Meta-Learning", in 2021 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
- 4. Yue Sun, Samet Oymak and Maryam Fazel, "Finite Sample System Identification: Improved Rates and the Role of Regularization", (oral) in 2020 Conference of Learning for Dynamics and Control (L4DC).
- 5. Yue Sun, Jingning Han and Yaowu Xu, "Online Probability Model Estimation for Video Compression", in 2020 Data Compression Conference (DCC). Patent: Probability Estimation for Entropy Coding (WO 2021/092531 A1).
- 6. Yue Sun, Nicolas Flammarion and Maryam Fazel. "Escaping from Saddle Points on Riemannian Manifolds," in 33rd Conference on Neural Information Processing Systems (NeurIPS 2019).
- 7. Yue Sun and Maryam Fazel. "Escaping Saddle Points Efficiently in Equality-constrained Optimization Problems", in ICML Workshop on Modern Trends in Nonconvex Optimization for Machine Learning, 2018.
- 8. Yuanxin Li, Yue Sun and Yuejie Chi. "Low-Rank Positive Semidefinite Matrix Recovery From Corrupted Rank-One Measurements". IEEE Transactions on Signal Processing 65.2 (2017): 397-408.
- 9. Yue Sun, Yuanxin Li and Yuejie Chi. "Outlier-Robust Recovery of Low-Rank Positive Semidefinite Matrices from Magnitude Measurements", in 2016 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP).
- 10. Zhong Hua, Yixin Su, Yue Sun and Xin Wang, "Handwritten Numeral Recognition Algorithms and Implementation Based on the Multifunction Touch Screen", in 2014 Asia-Pacific Congress on Sports Technology and Engineering.

#### TECHNICAL REPORTS

# https://scholar.google.com/citations?user=3wR9USQAAAAJ&hl=en

- 1. Yue Sun, "Linear System Identification with Redundancy in Output".
- 2. Yue Sun, "A Short Note on Solving Box Inequality and Linear Equality Constrained Optimization Problem".
- 3. Yue Sun, "Globally Optimizing the Learning Rate of the Heavy Ball Method".

## REVIEWING SERVICE

ICML Workshop 2018, NeurIPS 2019/20, L4DC 2020, ICLR 2021/22, ALT 2021, ISIT 2021, KDD 2021, JMLR, SICON, TIT