

# Lab01-Preliminary

VE281 - Data Structures and Algorithms, Xiaofeng Gao, TA: Qingmin Liu, Autumn 2019

\* Please upload your assignment to website. Contact webmaster for any questions.

\* Name: Sun Yiwen Student ID: 517370910213 Email: sunyw99@sjtu.edu.cn

1. What is the time complexity of the following code?

```
1 // REQUIRES: an integer k
2 // EFFECTS: return the number of times that Line ?? is executed
3 int count(int k)
4 {
5     int count = 0;
6     int n = pow(2,k); // n=2^k
7     while (n>=1)
8     {
9         int j;
10        for (j=0;j<n;j++)
11        {
12            count += 1;
13        }
14        n /= 2;
15    }
16    return count;
17 }
```

**Solution.** The statements  $j < n$ ;  $j++$ ;  $\text{count} += 1$ ; all occur  $2^k + 2^{k+1} + \dots + 2 + 1$  times. Suppose  $T(k) = 2^k + 2^{k+1} + \dots + 2 + 1$ , then if we pick constants  $c$  and  $k_0$  so that for any  $k > k_0$ ,  $T(k) \leq c \cdot 2^k$ , then we can prove  $T(k) = O(2^k)$ . We choose  $c = 2$  and  $k_0 = 1$ , then for any  $k > 1$ ,  $T(k) = 2^k + 2^{k+1} + \dots + 2 + 1 < 2^k + 2^{k+1} + \dots + 2 + 1 + 1 = 2^{k+1} = 2 \cdot 2^k$ . Therefore, the time complexity of the above code is  $O(2^k)$ .  $\square$

2. Given an array **nums** of  $n$  integers, are there elements  $a, b, c$  in **nums** such that  $a + b + c = 0$ ? Write a program to find all unique triplets in the array which gives the sum of zero. Give your code as the answer. **Claim that the time complexity of your program should be less than or equal to  $O(n^2)$ .**

Examples: Input array  $[-1, 0, 1, 2, -1, -4]$ , the solution is  $[[-1, 0, 1], [-1, -1, 2]]$

**Solution.** Please explain your design and fill in the following block: `vector<vector<int>> res;`  
`int i=0, j=0, k=n-1; for (i=0;i<n-2;i++) TODO return res;`

```
1 // REQUIRES: an integer array nums of size n
2 // EFFECTS: return a list of triplets, the sum of each triplet
   equals to 0.
3 #include <vector>
4 vector<vector<int>> findTriplet(vector<int>& nums, int n)
5 {
6     vector<vector<int>> res;
7     vector<int> ans;
8     vector<int> sorted;
```

```

9      int i, j, k;
10     for (i = 0; i < n; i++) {
11         if (sorted.empty()) sorted.push_back(nums[0]);
12         else {
13             vector<int>::iterator it;
14             for (it = sorted.begin(); it != sorted.end(); ++it) {
15                 if (nums[i] <= *it) {
16                     sorted.insert(it, nums[i]);
17                     break;
18                 }
19                 else if (it == sorted.end() - 1) {
20                     sorted.push_back(nums[i]);
21                     break;
22                 }
23             }
24         }
25     }
26     for (i = 0; i < n - 2; i++) {
27         while (i > 0 && sorted[i] == sorted[i - 1]) i++;
28         j = i + 1;
29         k = n - 1;
30         while (j < k) {
31             if (sorted[i] + sorted[j] + sorted[k] < 0) j++;
32             else if (sorted[i] + sorted[j] + sorted[k] > 0) k--;
33             else {
34                 ans.push_back(sorted[i]);
35                 ans.push_back(sorted[j]);
36                 ans.push_back(sorted[k]);
37                 if (res.empty()) res.push_back(ans);
38                 else if (ans != res.back()) res.push_back(ans);
39                 ans.clear();
40                 j++;
41             }
42         }
43     }
44     return res;
45 }

```

Explain the time complexity of your solution here.

My code can be divided into two parts. The first part(11.10-25) is where the program sorts the original array in ascending order, which is necessary because we want all the triplets in the result to be unique. The second part(11.26-43) is where the program finds all unique triplets that meet the requirement.

For the first part, note that the statements **i<n; i++**; **if (sorted.empty())...else...** all occur  $n$  times. The time complexity can be calculated as  $O(n)$ . For the second part, note that the statements **j<k**; occur  $(n-1) + (n-2) + \dots + 2 = \frac{(n-1+2)(n-2)}{2}$  times in the worst case.

So the time complexity of the second part can be calculated as  $O(n^2)$ . Because of the rule that “If  $f_1(n) = O(g_1(n))$  and  $f_2(n) = O(g_2(n))$ , then  $f_1(n) + f_2(n) = O(\max\{g_1(n), g_2(n)\})$ ”, the time complexity of my entire solution is  $O(n^2)$ .  $\square$

### 3. Equivalence Class

**Definition 1** (*o*-Notation). Let  $f(n)$  and  $g(n)$  be functions from the set of natural numbers to the set of nonnegative real numbers.  $f(n)$  is said to be  $o(g(n))$ , written as  $f(n) = o(g(n))$ , if

$$\forall c. \exists n_0. \forall n \geq n_0. f(n) < cg(n).$$

An equivalence relation  $\mathcal{R}$  on the set of complexity functions is defined as follows:

$$f \mathcal{R} g \text{ if and only if } f(n) = \Theta(g(n)).$$

A complexity class is an equivalence class of  $\mathcal{R}$ .

The equivalence classes can be ordered by  $\prec$  defined as:  $f \prec g$  iff  $f(n) = o(g(n))$ .

**Example:**  $1 \prec \log \log n \prec \log n \prec \sqrt{n} \prec n^{\frac{3}{4}} \prec n \prec n \log n \prec n^2 \prec 2^n \prec n! \prec 2^{n^2}$ .

Please order the following functions by  $\prec$  and give your explanation:

$$(\sqrt{2})^{\log n}, (n+1)!, ne^n, (\log n)!, n^3, n^{1/\log n}.$$

**Solution.** (a) Using the formula  $a^{\log_b c} = c^{\log_b a}$ , we can get  $(\sqrt{2})^{\log n} = n^{\log \sqrt{2}} = n^{1/2} = \sqrt{n}$ .

(b) Since  $(n+1)! - n! = n+1$ , we get  $n! < (n+1)!$  for  $n \geq 1$ . With  $n$  approaching infinity,  $(n+1)! \rightarrow n!$ . Therefore,  $n! \prec (n+1)!$ .

(c) Since  $e > 2$ , for  $n \geq 1$ ,  $e^n > 2^n$ . Since  $ne^n - e^n = (n-1)e^n$ , we get  $ne^n > e^n$  for  $n > 1$ . Therefore,  $2^n \prec ne^n$ .

We want to show  $\lim_{n \rightarrow \infty} \frac{ne^n}{n!} = 0$ . Let  $n > 2e$ .

$$\begin{aligned} \frac{ne^n}{n!} &= \frac{e^{n-2e} a^{2e}}{(n-1)(n-2)\dots(2e)(2e-1)!} < \frac{e^{n-2e} e^{2e}}{n^{n-2e}(2e-1)!} < \left(\frac{1}{2}\right)^{n-2e} \frac{e^{2e}}{(2e-1)!} \\ \frac{e^{2e}}{(2e-1)!} &\text{ is constant, } \left(\frac{1}{2}\right)^{n-2e} \rightarrow 0 \text{ as } n \rightarrow \infty. \end{aligned}$$

Therefore,  $ne^n \prec n!$ .

(d) According to the Stirling's approximation, the most important term of the Stirling's approximation of the factorial is  $n^n$ . So  $(\log n)!$  can be approximated as  $\log n^{\log n}$ , with some extra factors which make it a bit smaller.

$$\log n^{\log n} = e^{\log \log n \cdot \log n} = e^{\log n \cdot \log \log n} = n^{\log \log n}.$$

Since  $\log \log n \prec \log n \prec \sqrt{n}$ , we get  $\log \log n \cdot \log n \prec \sqrt{n} \cdot \sqrt{n} = n$ . Thus, as  $n$  approaches infinity,  $e^{\log \log n \cdot \log n} < e^n$ .

Therefore,  $(\log n)! \prec e^n$ .

$f(n) = \log \log n$  is monotonically increasing and  $f(500) = \log \log 500 \approx 3.164 > 3$ . Thus, as  $n \rightarrow \infty$ ,  $\log \log n > 3$ . And we get  $\lim_{n \rightarrow \infty} \frac{n^3}{n^{\log \log n}} = 0$ .

Therefore,  $n^3 \prec (\log n)!$ .

(e)  $f(n) = \log n$  is monotonically increasing and  $f(4) = \log 4 = 2$ . Thus, for  $n > 4$ ,  $\log n > 2 \Rightarrow \frac{1}{\log n} < \frac{1}{2} \Rightarrow n^{1/\log n} < n^{1/2}$ . Therefore,  $n^{1/\log n} \prec \sqrt{n}$ .

Combining all the above with the given Example, we get the final result:

$$n^{1/\log n} \prec (\sqrt{2})^{\log n} \prec n^3 \prec (\log n)! \prec ne^n \prec (n+1)!$$

Now, I would like to plot graphs using Wolfram Mathematica to further prove my result. The Mathematica code are as follows:

```
Plot[{(Sqrt[2])^(Log2[n]), (n + 1)!, n * E^n, (Log2[n])!, n^3, n^(1/Log2[n])}, {n, n_min, n_max}, PlotLegends -> "Expressions"]
```

(绘图) (平方根) (以2为底的对数) (以2为底的对数) (绘图的图例)

Note that  $n_{min}$  and  $n_{max}$  will be replaced with actual numbers to change the scale when plotting. The following graphs are the plot results when the scale is  $n \in [0, 500]$ ,  $n \in [0, 1000]$ ,  $n \in [0, 1000000]$ ,  $n \in [2, 2.7]$  correspondingly.

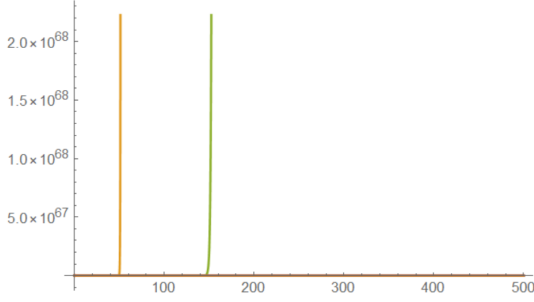


Figure 1: Function plots when  $n \in [0, 500]$ .

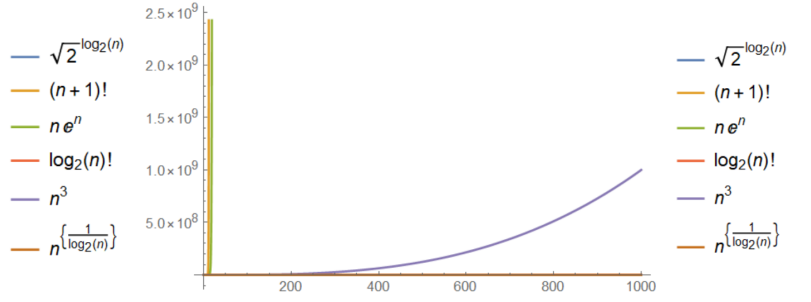


Figure 2: Function plots when  $n \in [0, 1000]$ .

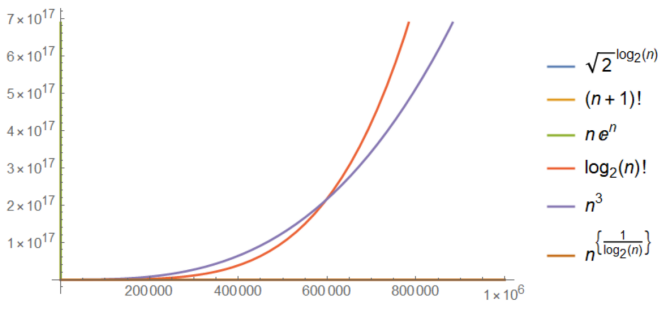


Figure 3: Function plots when  $n \in [0, 1000000]$ .

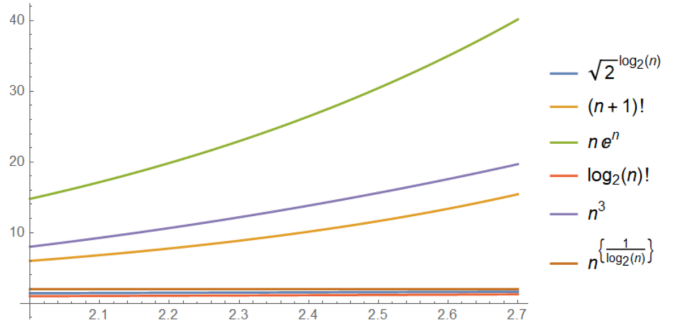


Figure 4: Function plots when  $n \in [2, 2.7]$ .

As shown in Figure 1 and Figure 2, the growing rate of  $(n + 1)!$  is greater than  $ne^n$  and the growing rate of  $(n + 1)!$  and  $ne^n$  are greater than the other four functions. Although  $(\log n)!$  seems to grow slower than  $n^3$  in Figure 2, according to Figure 3, it will catch up with and eventually surpass  $n^3$  around  $n = 600000$ . As shown in Figure 3,  $n^{1/\log n}$  and  $(\sqrt{2})^{\log n}$  grow much slower than the other four functions. And according to Figure 4, the growing rate of  $(\sqrt{2})^{\log n}$  is a little bit faster than  $n^{1/\log n}$ .

In conclusion, we get the same result:

$$n^{1/\log n} \prec (\sqrt{2})^{\log n} \prec n^3 \prec (\log n)! \prec ne^n \prec (n + 1)!$$

Again, we cannot assume these four plots give us the correct result for they only show us parts of the functions. But they can be back-up evidence for my mathematical proof in the previous part.  $\square$