

Contents

Preface

xiii

Chapter 1 Fundamentals of Radiation for Atmospheric Applications

1.1	Concepts, Definitions, and Units	1
1.1.1	Electromagnetic Spectrum	1
1.1.2	Solid Angle	2
1.1.3	Basic Radiometric Quantities	4
1.1.4	Concepts of Scattering and Absorption	6
1.2	Blackbody Radiation Laws	9
1.2.1	Planck's Law	10
1.2.2	Stefan-Boltzmann Law	11
1.2.3	Wien's Displacement Law	12
1.2.4	Kirchhoff's Law	13
1.3	Absorption Line Formation and Line Shape	14
1.3.1	Line Formation	14
1.3.1.1	Bohr's Model	14
1.3.1.2	Vibrational and Rotational Transitions	16
1.3.2	Line Broadening	21
1.3.2.1	Pressure Broadening	21
1.3.2.2	Doppler Broadening	23
1.3.2.3	Voigt Profile	24
1.3.3	Breakdown of Thermodynamic Equilibrium	25
1.4	Introduction to Radiative Transfer	27
1.4.1	The Equation of Radiative Transfer	27
1.4.2	Beer-Bouguer-Lambert Law	28
1.4.3	Schwarzschild's Equation and Its Solution	29
1.4.4	The Equation of Radiative Transfer for Plane-Parallel Atmospheres	31
1.4.5	Radiative Transfer Equations for Three-Dimensional Inhomogeneous Media	33
	Exercises	34
	Suggested Reading	36

Chapter 2 Solar Radiation at the Top of the Atmosphere

2.1	The Sun as an Energy Source	37
2.1.1	The Structure of the Sun	39
2.1.2	Solar Surface Activity: Sunspots	41

2.2	The Earth's Orbit about the Sun and Solar Insolation	44
2.2.1	Orbital Geometry	44
2.2.2	Definition of the Solar Constant	50
2.2.3	Distribution of Solar Insolation	51
2.3	Solar Spectrum and Solar Constant Determination	54
2.3.1	Solar Spectrum	54
2.3.2	Determination of the Solar Constant: Ground-Based Method	57
2.3.3	Satellite Measurements of the Solar Constant	60
	Exercises	62
	Suggested Reading	64

Chapter 3 Absorption and Scattering of Solar Radiation in the Atmosphere

3.1	Composition and Structure of the Earth's Atmosphere	65
3.1.1	Thermal Structure	65
3.1.2	Chemical Composition	67
3.2	Atmospheric Absorption	70
3.2.1	Absorption in the Ultraviolet	73
3.2.1.1	Molecular Nitrogen	73
3.2.1.2	Molecular Oxygen	73
3.2.1.3	Ozone	75
3.2.1.4	Other Minor Gases	75
3.2.1.5	Absorption of Solar Radiation	75
3.2.2	Photochemical Processes and the Formation of Ozone Layers	79
3.2.3	Absorption in the Visible and Near Infrared	82
3.2.3.1	Molecular Oxygen and Ozone	82
3.2.3.2	Water Vapor	83
3.2.3.3	Carbon Dioxide	83
3.2.3.4	Other Minor Gases	84
3.2.3.5	Transfer of Direct Solar Flux in the Atmosphere	84
3.3	Atmospheric Scattering	87
3.3.1	Rayleigh Scattering	87
3.3.1.1	Theoretical Development	87
3.3.1.2	Phase Function, Scattering Cross Section, and Polarizability	90
3.3.1.3	Blue Sky and Sky Polarization	93
3.3.2	Light Scattering by Particulates: Approximations	96
3.3.2.1	Lorenz–Mie Scattering	96
3.3.2.2	Geometric Optics	97
3.3.2.3	Anomalous Diffraction Theory	100
3.4	Multiple Scattering and Absorption in Planetary Atmospheres	102
3.4.1	Fundamentals of Radiative Transfer	102
3.4.2	Approximations of Radiative Transfer	105
3.4.2.1	Single-Scattering Approximation	105
3.4.2.2	Diffusion Approximation	106
3.5	Atmospheric Solar Heating Rates	107
	Exercises	111
	Suggested Reading	114

Chapter 4 Thermal Infrared Radiation Transfer in the Atmosphere

4.1	The Thermal Infrared Spectrum and the Greenhouse Effect	116
-----	---	-----

4.2	Absorption and Emission in the Atmosphere	118
4.2.1	Absorption in the Thermal Infrared	118
4.2.1.1	Water Vapor	118
4.2.1.2	Carbon Dioxide	119
4.2.1.3	Ozone	120
4.2.1.4	Methane	121
4.2.1.5	Nitrous Oxide	121
4.2.1.6	Chlorofluorocarbons	121
4.2.2	Fundamentals of Thermal Infrared Radiative Transfer	122
4.2.3	Line-by-Line (LBL) Integration	125
4.3	Correlated K -Distribution Method for Infrared Radiative Transfer	127
4.3.1	Fundamentals	127
4.3.2	Application to Nonhomogeneous Atmospheres	128
4.3.3	Numerical Procedures and Pertinent Results	132
4.3.4	Line Overlap Consideration	135
4.4	Band Models	137
4.4.1	A Single Line	137
4.4.2	Regular Band Model	139
4.4.3	Statistical Band Model	141
4.4.4	Application to Nonhomogeneous Atmospheres	144
4.5	Broadband Approaches to Flux Computations	148
4.5.1	Broadband Emissivity	148
4.5.2	Newtonian Cooling Approximation	150
4.6	Infrared Radiative Transfer in Cloudy Atmospheres	152
4.6.1	Fundamentals	152
4.6.2	Exchange of Infrared Radiation between Cloud and Surface	154
4.6.3	Two/Four-Stream Approximation	157
4.7	Atmospheric Infrared Cooling Rates	160
	Exercises	165
	Suggested Reading	168

Chapter 5 Light Scattering by Atmospheric Particulates

5.1	Morphology of Atmospheric Particulates	169
5.2	Lorenz–Mie Theory of Light Scattering by Spherical Particles	176
5.2.1	Electromagnetic Wave Equation and Solution	176
5.2.2	Formal Scattering Solution	182
5.2.3	The Far-Field Solution and Extinction Parameters	186
5.2.4	Scattering Phase Matrix for Spherical Particles	191
5.3	Geometric Optics	195
5.3.1	Diffraction	196
5.3.2	Geometric Reflection and Refraction	200
5.3.3	Geometric Optics, Lorenz–Mie Theory, and Representative Results	209
5.4	Light Scattering by Ice Crystals: A Unified Theory	215
5.4.1	Geometric Optics for Ice Crystals	215
5.4.1.1	Conventional Approach	215
5.4.1.2	Improved Geometric Optics Approach	217
5.4.1.3	Absorption Effects in Geometric Optics	219
5.4.1.4	Monte Carlo Method for Ray Tracing	222
5.4.2	Introduction to the Finite-Difference Time Domain Method	224
5.4.3	Scattering Phase Matrix for Nonspherical Ice Particles	225

5.4.4 Presentation of a Unified Theory for Light Scattering by Ice Crystals	228
5.4.4.1 The Essence of the Unified Theory	228
5.4.4.2 Theory versus Measurement and Representative Results	231
5.5 Light Scattering by Nonspherical Aerosols	235
5.5.1 Finite-Difference Time Domain Method	237
5.5.2 <i>T</i> -Matrix Method	246
5.5.3 Note on Light-Scattering Measurements for Nonspherical Aerosols	249
Exercises	252
Suggested Reading	255

Chapter 6 Principles of Radiative Transfer in Planetary Atmospheres

6.1 Introduction	257
6.1.1 A Brief History of Radiative Transfer	257
6.1.2 Basic Equations for the Plane-Parallel Condition	258
6.2 Discrete-Ordinates Method for Radiative Transfer	261
6.2.1 General Solution for Isotropic Scattering	262
6.2.2 The Law of Diffuse Reflection for Semi-infinite Isotropic Scattering Atmospheres	265
6.2.3 General Solution for Anisotropic Scattering	267
6.2.4 Application to Nonhomogeneous Atmospheres	270
6.3 Principles of Invariance	274
6.3.1 Definitions of Scattering Parameters	274
6.3.2 Principles of Invariance for Semi-infinite Atmospheres	277
6.3.3 Principles of Invariance for Finite Atmospheres	280
6.3.4 The <i>X</i> and <i>Y</i> Functions	285
6.3.5 Inclusion of Surface Reflection	287
6.4 Adding Method for Radiative Transfer	290
6.4.1 Definitions of Physical Parameters	290
6.4.2 Adding Equations	292
6.4.3 Equivalence of the Adding Method and the Principles of Invariance	295
6.4.4 Extension to Nonhomogeneous Atmospheres for Internal Fields	297
6.4.5 Similarity between the Adding and Discrete-Ordinates Methods	299
6.5 Approximations for Radiative Transfer	302
6.5.1 Successive-Orders-of-Scattering Approximation	302
6.5.2 Two-Stream and Eddington's Approximations	303
6.5.3 Delta-Function Adjustment and Similarity Principle	310
6.5.4 Four-Stream Approximation	313
6.6 Radiative Transfer Including Polarization	317
6.6.1 Representation of a Light Beam	317
6.6.2 Formulation	322
6.7 Advanced Topics in Radiative Transfer	325
6.7.1 Horizontally Oriented Ice Particles	325
6.7.2 Three-Dimensional Nonhomogeneous Clouds	329
6.7.2.1 Monte Carlo Method	332
6.7.2.2 Successive-Orders-of-Scattering (SOS) Approach	334
6.7.2.3 Delta Four-Term (Diffusion) Approximation	337
6.7.3 Spherical Atmospheres	339
Exercises	343
Suggested Reading	347

Chapter 7 Application of Radiative Transfer Principles to Remote Sensing

7.1	Introduction	348
7.2	Remote Sensing Using Transmitted Sunlight	350
7.2.1	Determination of Aerosol Optical Depth and Size Distribution	351
7.2.1.1	Direct Linear Inversion	355
7.2.1.2	Constrained Linear Inversion	357
7.2.2	Determination of Total Ozone Concentration	358
7.2.3	Limb Extinction Technique	360
7.3	Remote Sensing Using Reflected Sunlight	361
7.3.1	Satellite–Sun Geometry and Theoretical Foundation	361
7.3.2	Satellite Remote Sensing of Ozone	366
7.3.3	Satellite Remote Sensing of Aerosols	367
7.3.4	Satellite Remote Sensing of Land Surfaces	369
7.3.5	Cloud Optical Depth and Particle Size	370
7.3.5.1	Bidirectional Reflectance	371
7.3.5.2	Polarization	377
7.3.5.3	Reflected Line Spectrum	379
7.4	Remote Sensing Using Emitted Infrared Radiation	383
7.4.1	Theoretical Foundation	383
7.4.2	Surface Temperature Determination	385
7.4.3	Remote Sensing of Temperature Profiles	387
7.4.3.1	Nonlinear Iteration Method	391
7.4.3.2	Minimum Variance Method: Hybrid Retrieval	392
7.4.3.3	Cloud Removal	396
7.4.4	Remote Sensing of Water Vapor and Trace Gas Profiles	398
7.4.4.1	Water Vapor from the 6.3 μm Vibrational–Rotational Band	398
7.4.4.2	Limb Scanning Technique	399
7.4.5	Infrared Remote Sensing of Clouds	403
7.4.5.1	Carbon Dioxide Slicing Technique for Cloud Top Pressure and Emissivity	403
7.4.5.2	Emitted Radiance for Cloud Cover	406
7.4.5.3	Retrieval of Cirrus Cloud Optical Depth and Temperature	406
7.4.5.4	Information Content in Infrared Line Spectrum	408
7.4.6	Remote Sensing of Infrared Cooling Rate and Surface Flux	409
7.5	Remote Sensing Using Emitted Microwave Radiation	414
7.5.1	Microwave Spectrum and Microwave Radiative Transfer	414
7.5.2	Rainfall Rate and Water Vapor Determination from Microwave Emission	419
7.5.3	Temperature Retrieval from Microwave Sounders	423
7.6	Remote Sensing Using Laser and Microwave Energy	427
7.6.1	Backscattering Equation: Theoretical Foundation	427
7.6.2	Lidar Differential Absorption and Depolarization Techniques	430
7.6.2.1	Differential Absorption Technique	430
7.6.2.2	Principle of Depolarization	431
7.6.3	Millimeter-Wave Radar for Cloud Study	434
	Exercises	436
	Suggested Reading	441

Chapter 8 Radiation and Climate

8.1	Introduction	442
-----	--------------	-----

8.2	Radiation Budget of the Earth–Atmosphere System	444
8.2.1	Observational Considerations	444
8.2.1.1	Black and White Sensors Based on Radiative Equilibrium	445
8.2.1.2	Scanning Radiometer and Angular Models	447
8.2.2	Radiation Budget Viewed from Space	449
8.2.3	Cloud Radiative Forcing Derived from ERB Data	451
8.2.4	Radiative Heating/Cooling Rates of the Atmosphere	454
8.2.5	Radiation Budget at the Surface	458
8.3	Radiative and Convective Atmospheres	459
8.3.1	Radiative Equilibrium	459
8.3.1.1	A Global Model	459
8.3.1.2	A Vertical Model	462
8.3.2	Radiative and Convective Equilibrium	464
8.3.2.1	Heat Budget of the Earth–Atmosphere System	464
8.3.2.2	Convective Adjustment	466
8.4	Radiation in One-Dimensional Climate Models	469
8.4.1	Carbon Dioxide Greenhouse Effects	469
8.4.2	Ozone and Other Greenhouse Gases	472
8.4.2.1	Ozone	472
8.4.2.2	Methane	473
8.4.2.3	Nitrous Oxide	474
8.4.2.4	Halocarbons	475
8.4.3	Radiation Feedback Consideration	475
8.4.4	Aerosols and Radiation	477
8.4.5	Cloud Radiative Forcing	480
8.4.5.1	Cloud Position and Cover	480
8.4.5.2	Cloud Microphysics	481
8.4.5.3	Aerosols/Clouds and Precipitation	483
8.5	Radiation in Energy Balance Climate Models	485
8.5.1	Energy Budget of the Atmosphere and the Surface	485
8.5.1.1	Atmosphere and Oceans	485
8.5.1.2	Surface Energy Budget	489
8.5.2	Radiative Forcing in Energy Balance Climate Models	491
8.5.2.1	Linear Heating Approach	492
8.5.2.2	Diffusion Approach	495
8.5.3	Solar Insolation Perturbation	497
8.6	Radiation in Global Climate Models	499
8.6.1	An Introduction to General Circulation Modeling	499
8.6.2	Cloud Radiative Forcing in Global Climate Models	503
8.6.2.1	Internal Radiative Forcing	504
8.6.2.2	Greenhouse Warming and Cloud Cover Feedback	505
8.6.2.3	Greenhouse Warming and Cloud Liquid/Ice Water Content Feedback	507
8.6.2.4	Cloud Particle Size Feedback	510
8.6.3	Direct Radiative Forcing: Aerosols and Contrails	510
8.6.3.1	Aerosols	511
8.6.3.2	Contrails	513
8.6.4	Radiation in El Niño–Southern Oscillation	514
	Exercises	516
	Suggested Reading	520
<i>Appendix A</i>	Derivation of the Planck Function	523
<i>Appendix B</i>	The Schrödinger Wave Equation	525

<i>Appendix C</i>	Spherical Geometry	527
<i>Appendix D</i>	Complex Index of Refraction, Dispersion of Light, and Lorentz–Lorenz Formula	529
<i>Appendix E</i>	Properties of the Legendre Polynomials and Addition Theorem	533
<i>Appendix F</i>	Some Useful Constants	536
<i>Appendix G</i>	Standard Atmospheric Profiles	537
<i>Appendix H</i>	Answers to Selected Exercises	538
<i>References</i>		543
<i>Index</i>		557