## 'Method' Analysis

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<Understanding the technologies and math terms used in the paper>

Bringing Old Photos Back to Life Paper

**Supplementary Material** 

#### Terminology

- latent space: refers to an *abstract multi-dimensional space* containing feature values that we cannot interpret directly, but which encodes a meaningful internal representation of externally observed events.
- LSGAN: Least Squares Generative Adversarial Networks <u>VAE(Variational AutoEncoder)</u>
- VGG:

https://s3-us-west-2.amazonaws.com/secure.notion-static.com/9b2d47a3-cc 6a-40d6-834c-a061d473320b/VGG.pdf

#### **Problems:**

#### 1. Generalization issue

Old photos contain far more complex degradation that is hard to be modeled realistically and there always exists a **domain gap between synthetic and real photos**. As such, the network usually cannot generalize well to real photos by purely learning from synthetic data.

#### 2. Mixed degradation issue

<u>The defects</u> of old photos are a compound of multiple degradations, thus essentially requiring different strategies for restoration.

#### Unstructured defects

- film noise, blurriness and color fading, etc.
- can be restored with spatially homogeneous filters by making use of surrounding pixels within the local patch
- Structured defects
  - scratches and blotches
  - should be inpainted by considering the global context to ensure the structural consistency

# Method #1: Restoration via latent space translation

usage of VAE <u>VAE (Variational Autoencoder)</u>

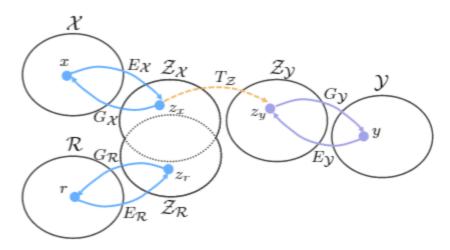


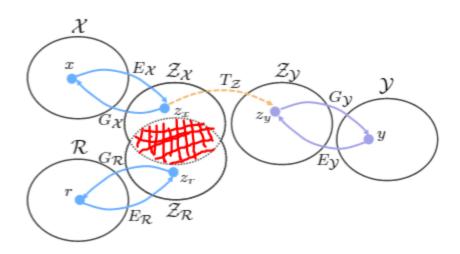
Illustration of translation method with three domains

- image translation problem
- translate images across three domains
  - 1. *R* : the real photo domain
  - 2. X: the synthetic domain; where images suffer from artificial degradation
  - 3. Y: ground truth domain; where comprises images without degradation and corresponding to X
- Images:  $r \in R$ ,  $x \in X$ ,  $y \in Y$ 
  - o x and y are paired by data synthesizing, i.e., x is degraded from y

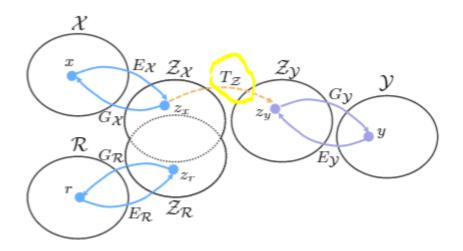
#### **Process**

Step #1. we propose to map R, X, Y to corresponding latent spaces via

- $E_R$ :  $R \rightarrow Z_R$
- $E_X: X \to Z_X$
- $E_Y$ :  $Y \rightarrow Z_Y$



 $Z_R \approx Z_X$ : we align latent spaces of synthetic images and real old photos into the shared domain by enforcing some constraints because both are corrupted; sharing similar appearances. This aligned latent space encodes features for all the corrupted images, either synthetic or real ones.

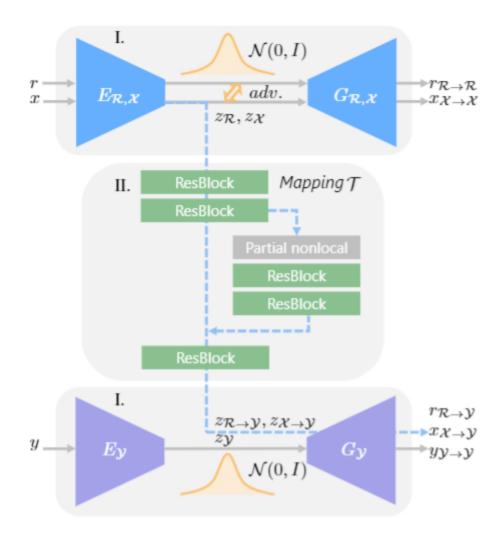


 $T_Z$  = $Z_X \to Z_Y$  : we learn the translation from the latent space of corrupted images,  $Z_X$ , to the latent space of ground truth,  $Z_Y$ 

 $Z_Y$  can be further reversed to Y through generator  $G_Y$  :  $Z_{Y} o Y$  .

#### Final restoration formula of latent space translation:

$$r_{R o Y}=G_Y{}^{\circ}T_Z{}^{\circ}E_R(r)$$



Architecture of restoration network

#### I. Domain alignment in the VAE latent space (I.)

- Assumption: R and X are encoded into the same latent space.
- Concept: Utilize variational autoencoder (VAE) to encode images with compact representation, whose domain gap is further examined by an adversarial discriminator.
   GAN(Generative Adversarial Network)
- Process:
  - 1st stage:
    - $VAE_1$ :

- Old photos  $\{r\}$  & synthetic images  $\{x\}$ , encoder  $E_{R,X}$  and generator  $G_{R,X}$
- Premise: images from both corrupted domains(x,r) can be mapped to a shared latent space.
- their domain gap(x,r) is closed by jointly training an adversarial discriminator
- optimization:
  - $\circ$  **O**bjective with r

$$\mathcal{L}_{VAE_{1}}(r) = KL(E_{\mathcal{R},\mathcal{X}}(z_{r}|r)||\mathcal{N}(0,I))$$

$$+ \alpha \mathbb{E}_{z_{r} \sim E_{\mathcal{R},\mathcal{X}}(z_{r}|r)} \left[ \|G_{\mathcal{R},\mathcal{X}}(r_{\mathcal{R} \to \mathcal{R}}|z_{r}) - r\|_{1} \right]$$

$$+ \mathcal{L}_{VAE_{1},GAN}(r)$$

 $\circ$   $\bigvee$  differentiates  $Z_R,Z_X$  , loss:

$$\mathcal{L}_{\text{VAE}_{1},\text{GAN}}^{\text{latent}}(r,x) = \mathbb{E}_{x \sim \mathcal{X}}[D_{\mathcal{R},\mathcal{X}}(E_{\mathcal{R},\mathcal{X}}(x))^{2}] + \mathbb{E}_{r \sim \mathcal{R}}[(1 - D_{\mathcal{R},\mathcal{X}}(E_{\mathcal{R},\mathcal{X}}(r)))^{2}].$$

 $\circ$  votal objective function for  $VAE_1$ :

$$\min_{E_{\mathcal{R},\mathcal{X}},G_{\mathcal{R},\mathcal{X}}} \max_{D_{\mathcal{R},\mathcal{X}}} \mathcal{L}_{VAE_1}(r) + \mathcal{L}_{VAE_1}(x) + \mathcal{L}_{VAE_1,GAN}^{latent}(r,x).$$

- $VAE_2$ :
  - ullet ground true images  $\{y\}$ , the encoder-generator pair $\{E_Y,\,G_Y\}$
  - trained for clean images

- o 2nd stage:
  - With VAEs, images are transformed to compact latent space

#### II. Restoration through latent mapping (II.)

- learn the mapping that restores the corrupted images to clean ones in the latent space
- leverage the synthetic image pairs x, y and propose to learn the image restoration by mapping their latent space (the mapping network M)
- · 3 Benefits:
  - 1. As R and X are aligned into the same latent space, the mapping from  $Z_X$  to  $Z_Y$  will also generalize well to restoring the images in R
  - 2. the mapping in a compact low-dimensional latent space(code in VAE) is in principle much easier to learn than in the high-dimensional image space
  - 3. The generator  $G_Y$  can always get an absolutely clean image without degradation given the latent code  $z_Y$  mapped from  $Z_X$ , whereas degradations will likely remain if we learn the translation in pixel level

#### Process:

- 1. Get  $r_{R \to Y}, x_{X \to Y}$  and  $y_{Y \to Y}$  be the final translation out-puts for r,x and y, respectively.
- 2. solely train the parameters of the latent mapping network T and fix the two VAEs
  - ullet Loss function  $L_T$  (imposed at both the latent space and the end of generator  $G_Y$ )

$$\mathcal{L}_{\mathcal{T}}(x,y) = \lambda_1 \mathcal{L}_{\mathcal{T},\ell_1} + \mathcal{L}_{\mathcal{T},GAN} + \lambda_2 \mathcal{L}_{FM}$$

 $L_T$  consists of three terms:

a. the latent space loss

(penalizes the  $l_1$  distance of the corresponding latent codes)

$$\mathcal{L}_{\mathcal{T},\ell_1} = \mathbb{E} \| \mathcal{T}(z_x) - z_y) \|_1$$

b. the adversarial loss

**GAN(Generative Adversarial Networks)** 

( still in the form of LSGAN, to encourage the ultimate translated synthetic image  $x_{X \to Y}$  to look real )

$$\mathcal{L}_{\mathcal{T}, GAN}$$

c. feature matching loss

(to stabilize the GAN training)

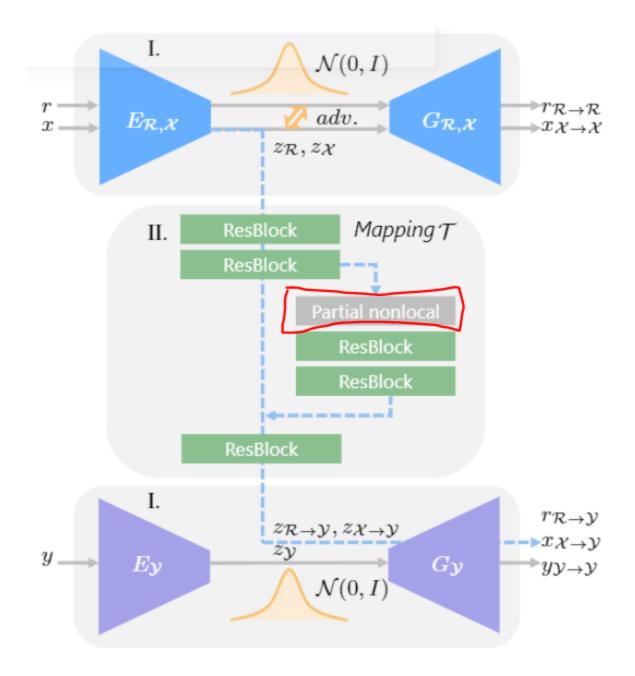
• specifically matches the multi-level activations of the adversarial network  $D_M$ , and that of the pretrained VGG network (also known as perceptual loss) where  $\phi^i_{D_T}(\phi^i_{VGG})$  denotes the  $i^{th}$  layer feature map of the discriminator (VGG network), and  $n^i_{D_T}(n^i_{VGG})$  indicates the number of activations in that layer.

$$\mathcal{L}_{FM} = \mathbb{E}\left[\sum_{i} \frac{1}{n_{D_{\mathcal{T}}}^{i}} \|\phi_{D_{\mathcal{T}}}^{i}(x_{\mathcal{X} \to \mathcal{Y}}) - \phi_{D_{\mathcal{T}}}^{i}(y_{\mathcal{Y} \to \mathcal{Y}})\|_{1} + \sum_{i} \frac{1}{n_{VGG}^{i}} \|\phi_{VGG}^{i}(x_{\mathcal{X} \to \mathcal{Y}}) - \phi_{VGG}^{i}(y_{\mathcal{Y} \to \mathcal{Y}})\|_{1}\right],$$

### Method #2: Multiple degradation restoration

- background:
  - The latent restoration(method #1) using the residual blocks, as described earlier, only concentrates on local features due to the limited receptive field of each layer
  - the restoration of structured defects requires plausible inpainting, which has to consider long-range dependencies so as to ensure global structural consistency

Concept:



- enhance the latent restoration network by incorporating a global branch, which composes of a nonlocal block that considers global context and several residual blocks in the following.
  - nonlocal block: explicitly utilizes the mask input so that the pixels in the corrupted region will not be adopted for completing those area
  - partial nonlocal block

• painting as partial nonlocal block. Formally, let  $F \in RC \times HW$  be the intermediate feature map in M(C, H and W are number of channels, height and width respectively), and  $m \in \{0,1\}^{HW}$  represents the binary mask downscaled to the same size, where 1 represents the defect regions to be inpainted and 0 represents the intact regions. The affinity(s) between  $i^{th}$  location and  $j^{th}$  location in F, denoted by  $s_{i,j} \in R^{HW \times HW}$ , is calculated by the correlation of  $F_i$  and  $F_j$  modulated by the mask  $(1-m_j)$