
CS 375 Pattern Searching Algorithms

— Jeffrey Chan —
Anson Varughese

Pattern Searching Overview

- Brute Force
- Rabin Karp
- Knuth-Morris-Pratt (KMP)
- Time and Space Complexity Comparisons
- Demo

Brute Force

- Given a pattern with length m and text with length n
- For every substring of length m , check if each character matches the corresponding character in the pattern
- Runs in $O(nm)$ time

Rabin Karp

- Calculate hash for the pattern of length m
 - Compare to hashes for every substring of length m
 - Use a rolling hash
-
- Average case: $O(n+m)$
 - Worst case: $O(nm)$

Improve hashing through rolling hash

Text = ABCDEF

Given the hash for ABCD, you can quickly calculate the hash for BCDE in $O(1)$

A	B	C	D
---	---	---	---

base^3

base^2

base^1

base^0

$$= 65 * \text{base}^3 + 66 * \text{base}^2 + 67 * \text{base}^1 + 68 * \text{base}^0$$

Improve hashing through rolling hash

Text = A**BCD**EF

Given the hash for ABCD, you can quickly calculate the hash for BCDE in $O(1)$

	B	C	D
--	---	---	---

base^3

base^2

base^1

base^0

$$= \quad \quad \quad 66 * \text{base}^2 \quad + \quad 67 * \text{base}^1 \quad + \quad 68 * \text{base}^0$$

Improve hashing through rolling hash

Text = A**BC**DEF

Given the hash for ABCD, you can quickly calculate the hash for BCDE in $O(1)$

B	C	D	
---	---	---	--

base^3

base^2

base^1

base^0

$$= 66 * \text{base}^3 + 67 * \text{base}^2 + 68 * \text{base}^1$$

Improve hashing through rolling hash

Text = A**BCDEF**

Given the hash for ABCD, you can quickly calculate the hash for BCDE in $O(1)$

B	C	D	E
---	---	---	---

base^3

base^2

base^1

base^0

$$= 66 * \text{base}^3 + 67 * \text{base}^2 + 68 * \text{base}^1 + 69 * \text{base}^0$$

Rabin Karp Example

Text = ABCDEF

Pattern = CDEF

Pre-process first text window and pattern

Text = ABCDEF

Pattern = CDEF

Let base = 101

$$\text{hash(ABCD)} = A * \text{base}^3 + B * \text{base}^2 + C * \text{base} + D$$

$$= 65 * 101^3 + 66 * 101^2 + 67 * 101 + 68 = 67649666$$

$$\text{hash(CDEF)} = C * \text{base}^3 + D * \text{base}^2 + E * \text{base} + F$$

$$= 67 * 101^3 + 68 * 101^2 + 69 * 101 + 70 = 69730874$$



Calculate rolling hash

Text = ABCDEF

Pattern = CDEF

$$\text{hash}(\text{BCDE}) = \text{base} * (\text{hash}(\text{ABCD}) - A * 101^3) + E$$

$$= 101 * [67649666 - 65 * 101^3] + 69$$

$$= 68690270$$

$$\text{hash}(\text{CDEF}) = 69730874$$



Calculate rolling hash

Text = ABCDEF

Pattern = CDEF

$$\text{hash(CDEF)} = \text{base} * (\text{hash(BCDE)} - B * 101^3) + F$$

$$= 101 * [68690270 - 66 * 101^3] + 70$$

$$= 69730874$$

$$\text{hash(CDEF)} = 69730874$$



Now compare each character to verify...

Knuth-Morris-Pratt (KMP)

Searches text using a prefix-suffix array of the pattern to speed up the process

Algorithm:

- Create prefix-suffix array to find repeating substrings in pattern

- Use prefix-suffix array in searching to check repeats in text faster

Runtime : $\Theta(n+m)$

Space Complexity: $O(m)$, (where $m = |\text{pattern}|$)

KMP - Step 1: Create Prefix-Suffix Array

Pattern : AABAAC

A	A	B	A	A	C
0	0	0	0	0	0



Action:

Initialize array elements to 0.

KMP - Step 1: Create Prefix-Suffix Array

Pattern : AABAAC

A	A	B	A	A	C
0	1	0	0	0	0

Action:  

Two pointers to keep track of locations. Since the characters in the pattern match, increment j and set it to $arr[i]$. Increment i

KMP - Step 1: Create Prefix-Suffix Array

Pattern : AABAAC

A	A	B	A	A	C
0	1	0	0	0	0



Action:

Since the characters in the pattern DON'T match, we check if $j \neq 0$. Since j is 1, we keep i as is and set $j = \text{arr}[j-1]$ to go back and check for the prefix.

KMP - Step 1: Create Prefix-Suffix Array

Pattern : AABAAC

A	A	B	A	A	C
0	1	0	0	0	0

Action: 



Since the characters in the pattern DON'T match, we check if $j \neq 0$. Since j is 0 now, we increment i . Checking if $j == 0$ allows us to find the earliest prefix which is also the suffix (substring appearing twice).

KMP - Step 1: Create Prefix-Suffix Array

Pattern : AABAAC

A	A	B	A	A	C
0	1	0	0	0	0

Action: 



Now i is incremented and we keep repeating that process until we get the final prefix-suffix array.

KMP - Prefix-Suffix Array Complete

Pattern : AABAAC

A	A	B	A	A	C
0	1	0	1	2	0

KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

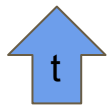
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

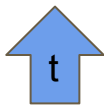
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

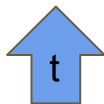
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

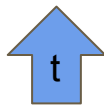
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

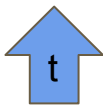
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

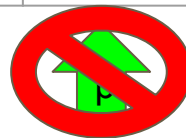
Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

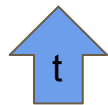
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

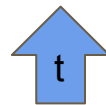
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

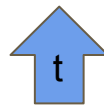
A	A	B	A	A	C
0	1	0	1	2	0



KMP - Step 2: Search Text using Prefix-Suffix Array

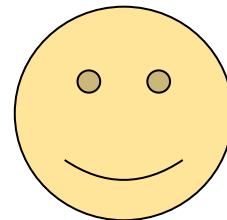
Text: AABAABAAC

A	A	B	A	A	B	A	A	C
---	---	---	---	---	---	---	---	---



Pattern: AABAAC

A	A	B	A	A	C
0	1	0	1	2	0



Runtime Comparison for Single Pattern Search

	Average Time Complexity	Worst Case Time Complexity	Space Complexity
Brute Force	$O(nm)$	$O(nm)$	$O(1)$
Rabin-Karp	$O(n+m)$	$O(nm)$	$O(1)$
KMP	$O(n+m)$	$O(n+m)$	$O(m)$

Demo

Comparing the pattern searching runtimes of:

- Brute Force
- Rabin-Karp
- KMP

on a random block of text.

Questions?