

Machine Learning Models for Predicting Outcomes in Traumatic Spinal Cord Injury

Comprehensive Figures and Statistical Analysis

Two Random Forest Models:

Model 1: ASIA Motor Score Prediction (Regression)

- $R^2 = 0.9053$, RMSE = 8.30
- 10,543 patients, 32 features

Model 2: ASIA Impairment Grade Classification

- Accuracy = 82.6%, AUC = 94.2%
- 15,053 patients, 26 features

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*Framework: scikit-learn Random Forest
Feature Importance: SHAP (SHapley Additive exPlanations)*

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Model 2: ASIA Impairment Grade Classification

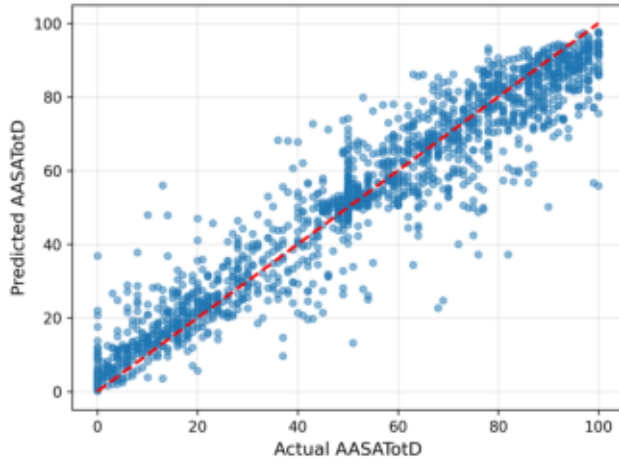
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Model 1: Motor Score Prediction (Regression)

Test Set: Actual vs Predicted
 $R^2 = 0.9053$



Residual Plot

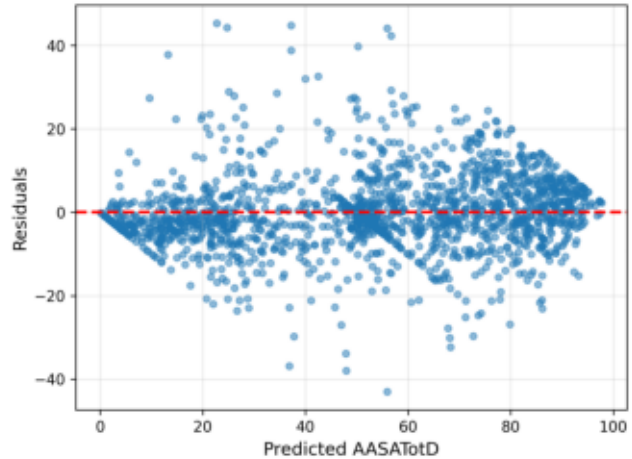


Figure 1: Actual vs. Predicted ASIA Motor Scores at Discharge. (Left) Scatter plot showing excellent agreement between actual and predicted motor scores ($R^2 = 0.905$). The red dashed line represents perfect prediction. (Right) Residual plot showing randomly distributed errors with no systematic bias.

Key Statistics: $R^2 = 0.9053$, $RMSE = 8.30$, $MAE = 5.42$

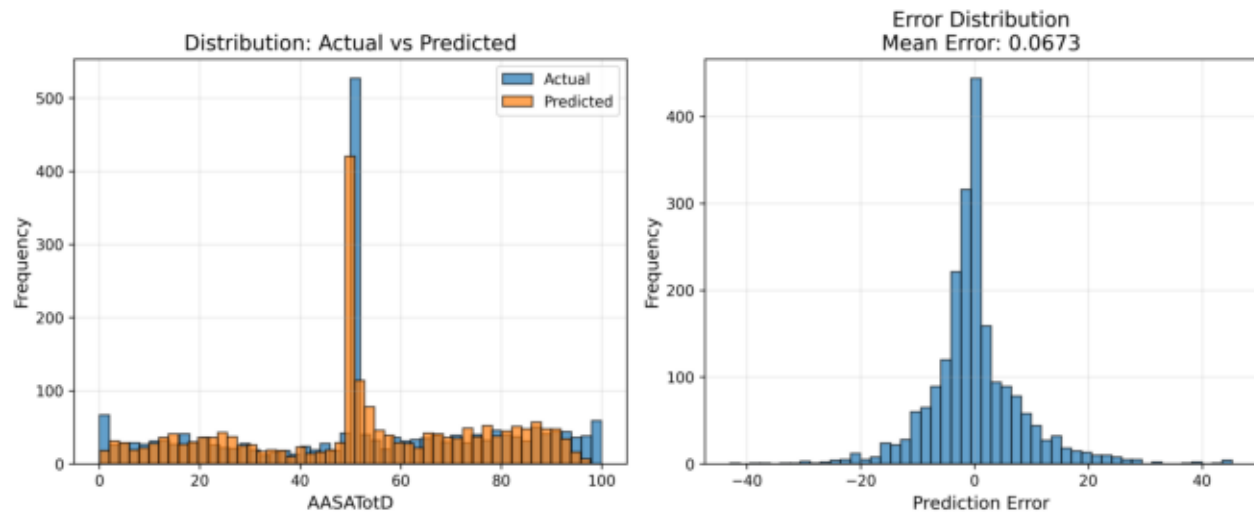


Figure 2: Distribution Analysis of Motor Score Predictions. (Left) Comparison of actual vs. predicted score distributions showing similar patterns. (Right) Prediction error distribution is approximately normally distributed with mean near zero, indicating unbiased predictions.

Key Statistics: Mean Error ≈ 0 , Std Error = 8.30

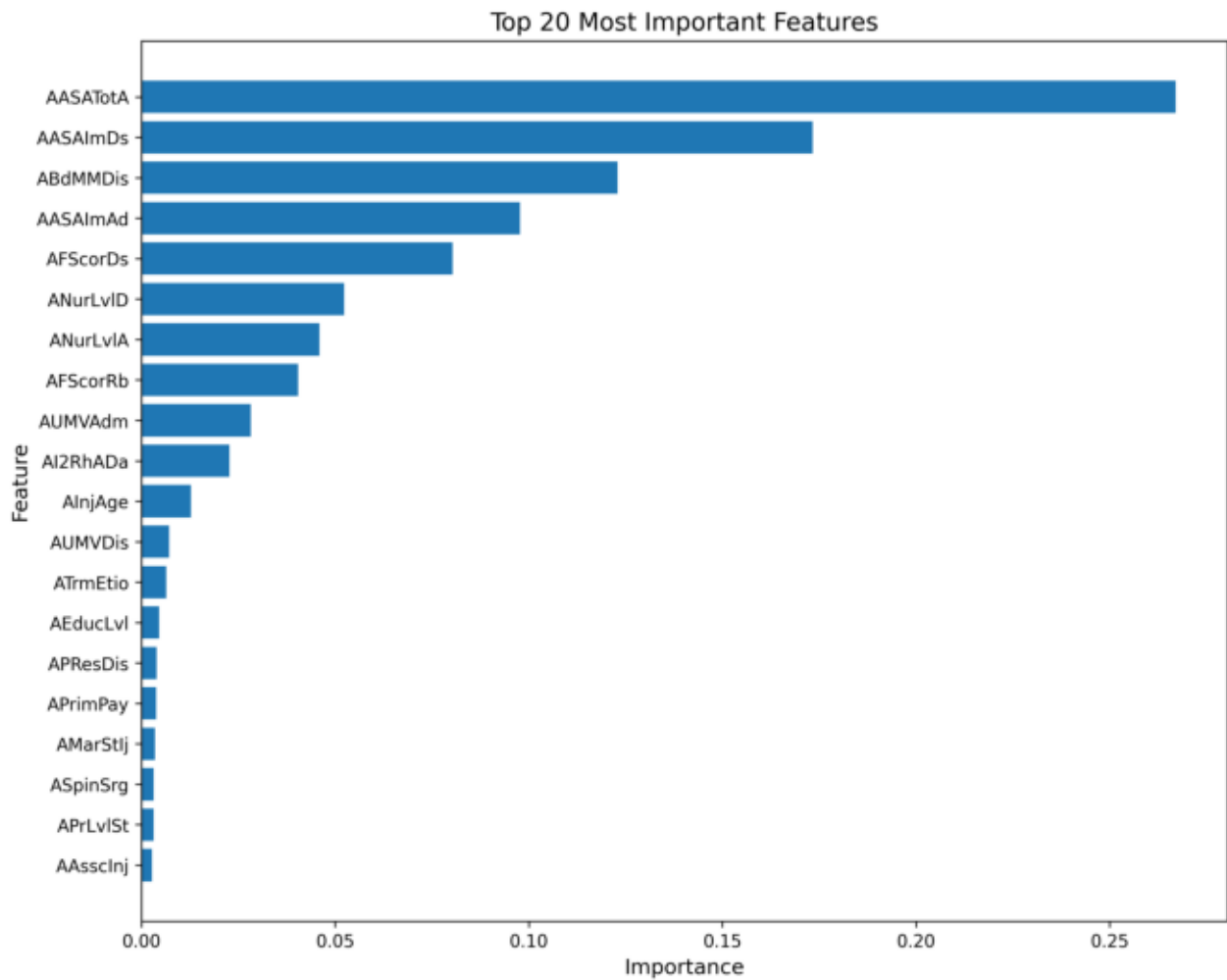


Figure 3: Top 20 Feature Importance for Motor Score Prediction. Features are ranked by their contribution to model predictions. AASATotA (admission score) and discharge-time measures dominate, suggesting strong correlation but potential data leakage.

Key Statistics: Top 3: AASATotA (26.7%), AASAIImDs (17.3%), ABdMMDis (12.3%)

SHAP Summary Plot - Model 1: Motor Score Prediction

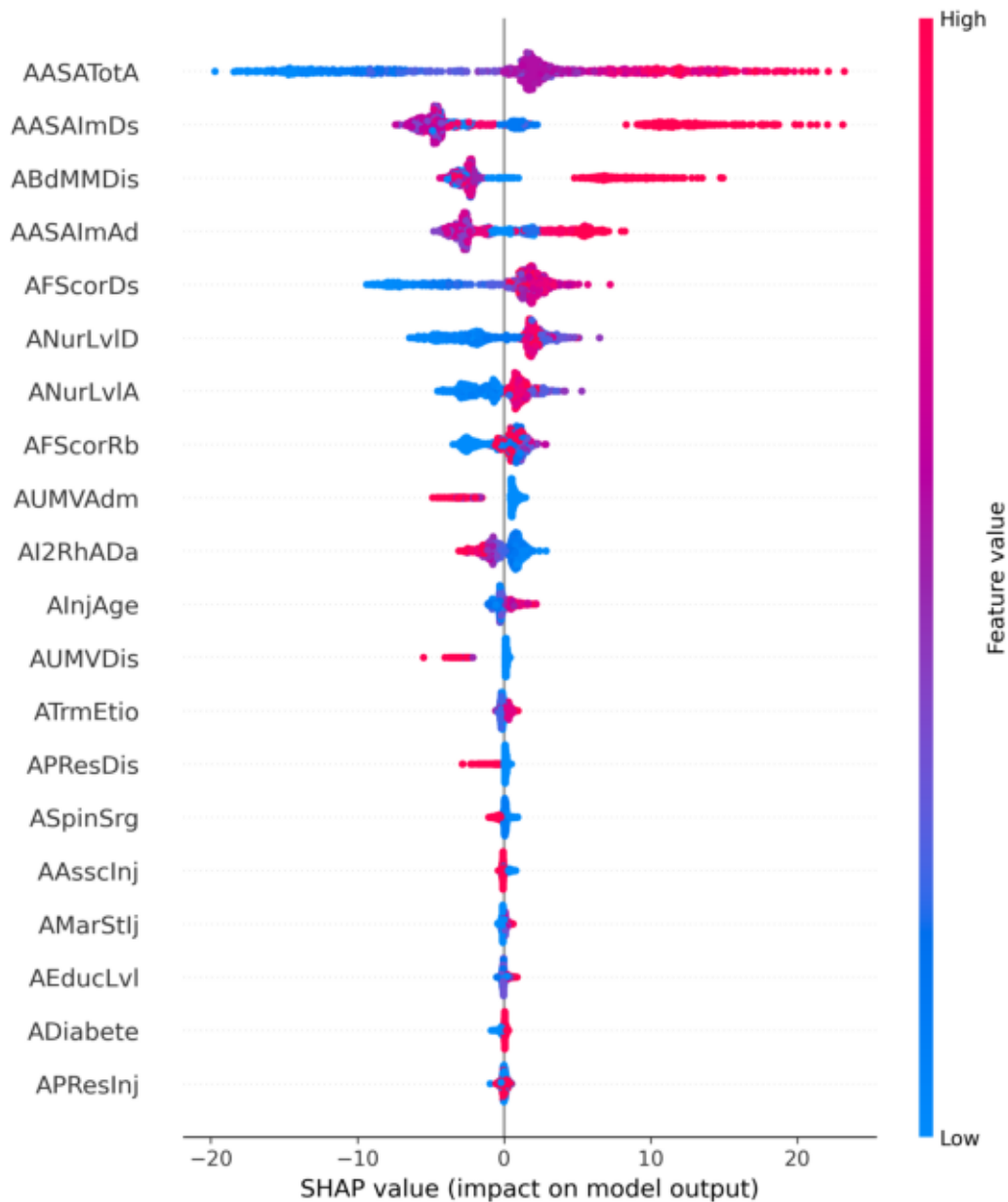


Figure 4: SHAP Summary Plot for Motor Score Prediction. Each point represents a patient, colored by feature value (red=high, blue=low). Features are ordered by importance. Positive SHAP values increase predicted scores. Shows complex non-linear relationships between features and outcomes.

Key Statistics: SHAP analysis on 1,000 randomly sampled patients

SHAP Feature Importance - Model 1: Motor Score Prediction

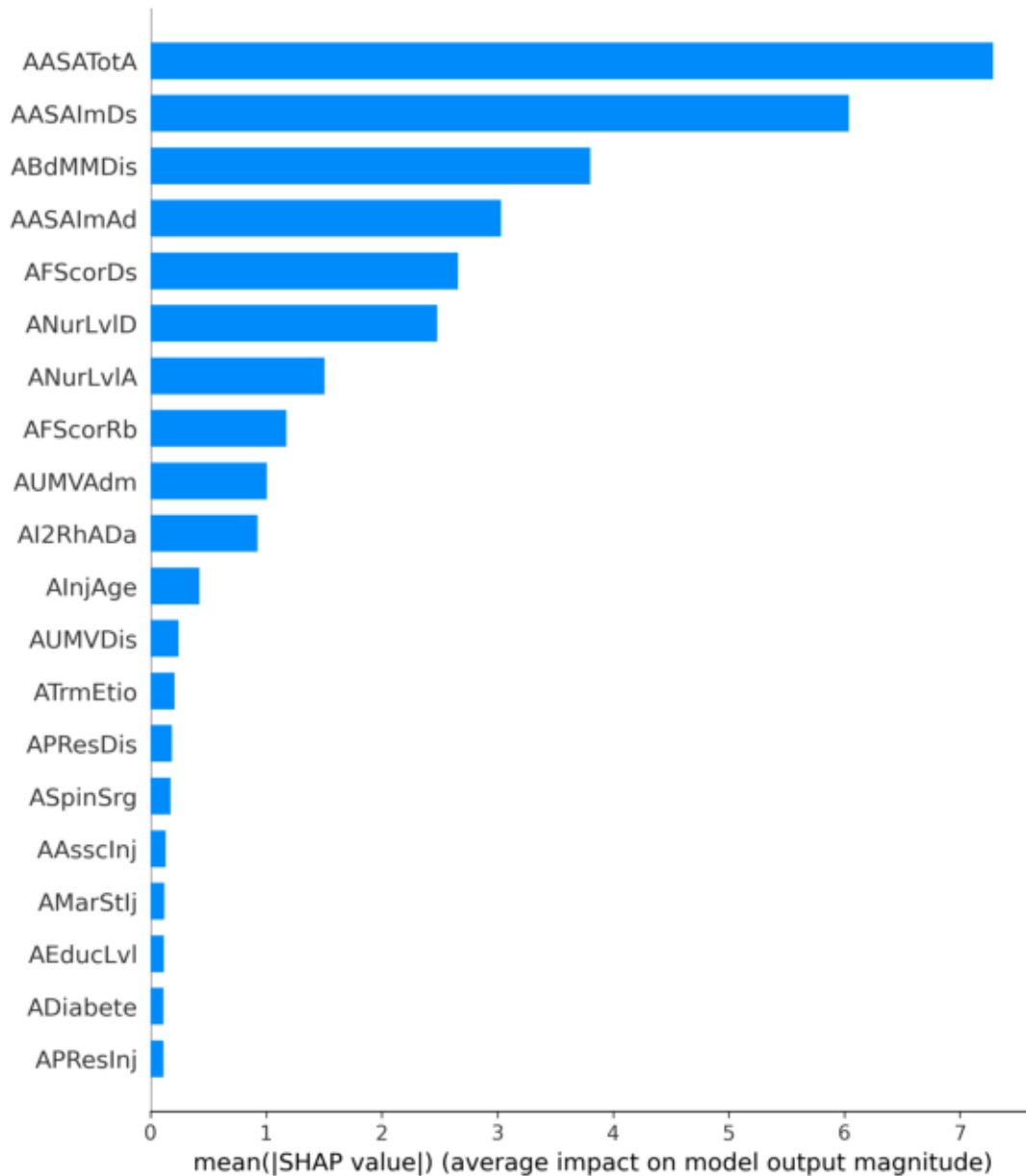


Figure 5: SHAP Feature Importance (Bar Plot) for Motor Score Prediction. Mean absolute SHAP values indicate average impact on predictions. Complements traditional feature importance by accounting for feature interactions and directionality.

Key Statistics: Based on SHAP values across all predictions

Model 2: Impairment Grade Classification

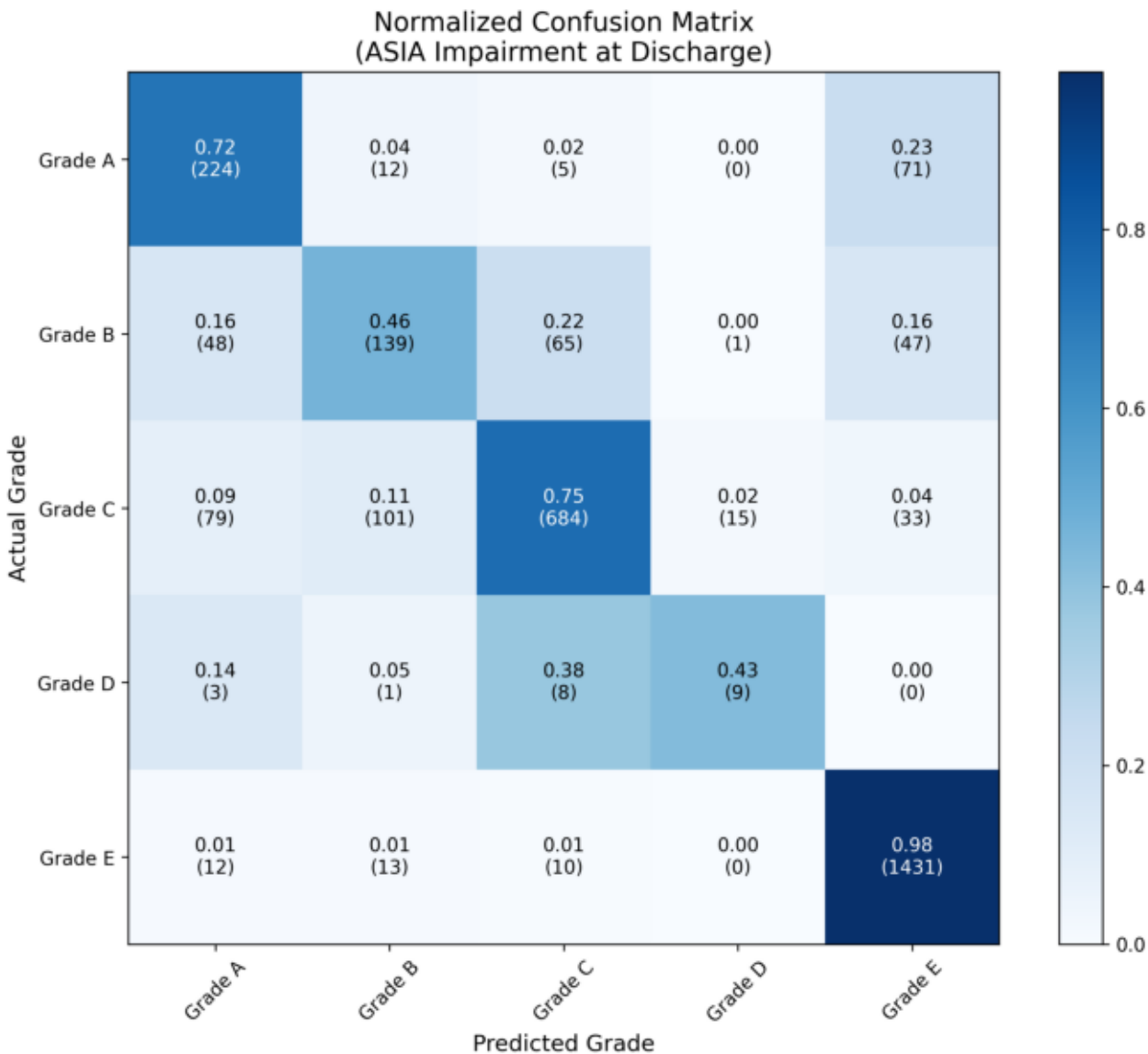


Figure 6: Confusion Matrix for ASIA Impairment Grade Classification. Heatmap shows normalized classification accuracy. Diagonal elements represent correct predictions. Grade E (normal function) achieves 98% recall. Grade D shows lower accuracy due to class imbalance (n=105).

Key Statistics: Overall Accuracy = 82.6%, Weighted F1 = 82.3%

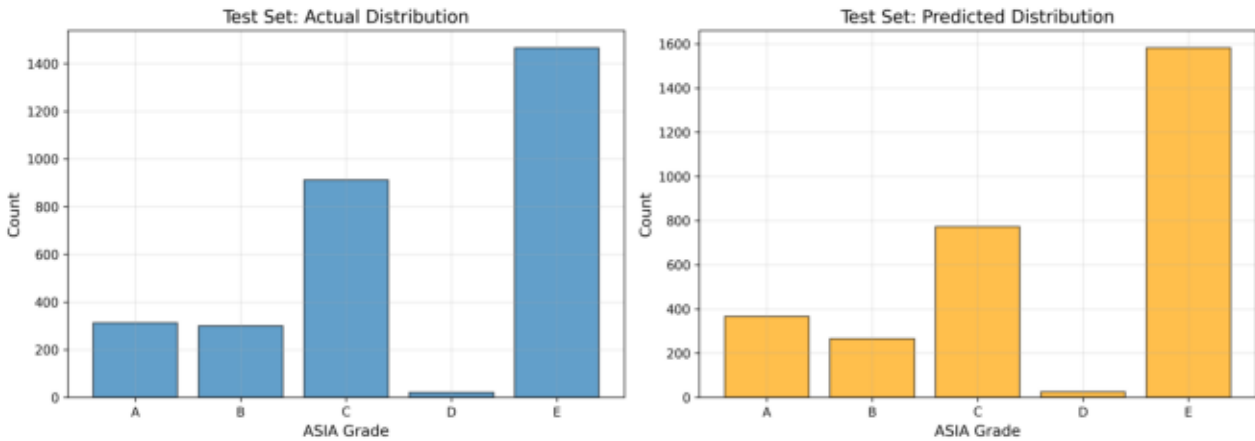


Figure 7: Class Distribution Comparison. (Left) Actual distribution in test set. (Right) Predicted distribution. Model successfully captures the class imbalance pattern, with Grade E (48.7%) and Grade C (30.3%) as dominant categories.

Key Statistics: Class balance maintained in predictions

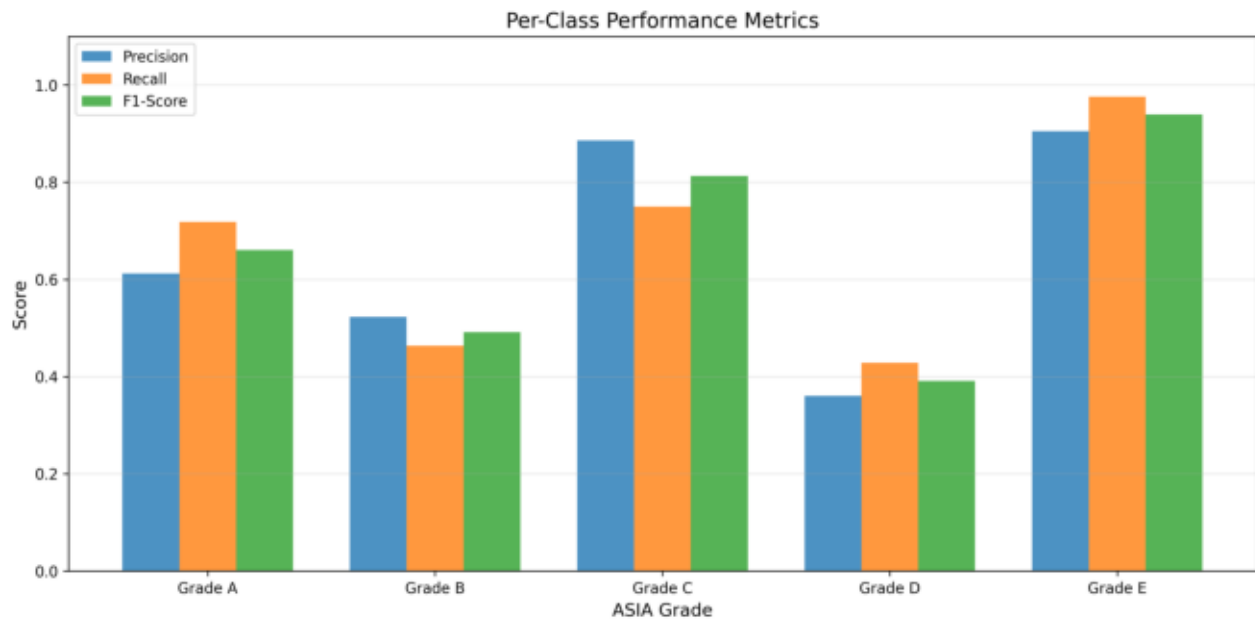


Figure 8: Per-Class Performance Metrics. Precision, recall, and F1-scores for each ASIA grade. Grade E shows highest performance (F1=0.94) due to larger sample size. Grade D shows lower performance (F1=0.39) due to severe class imbalance.

Key Statistics: Weighted Precision = 82.7%, Weighted Recall = 82.6%

ROC Curves with Averages - Model 2: ASIA Impairment Classification

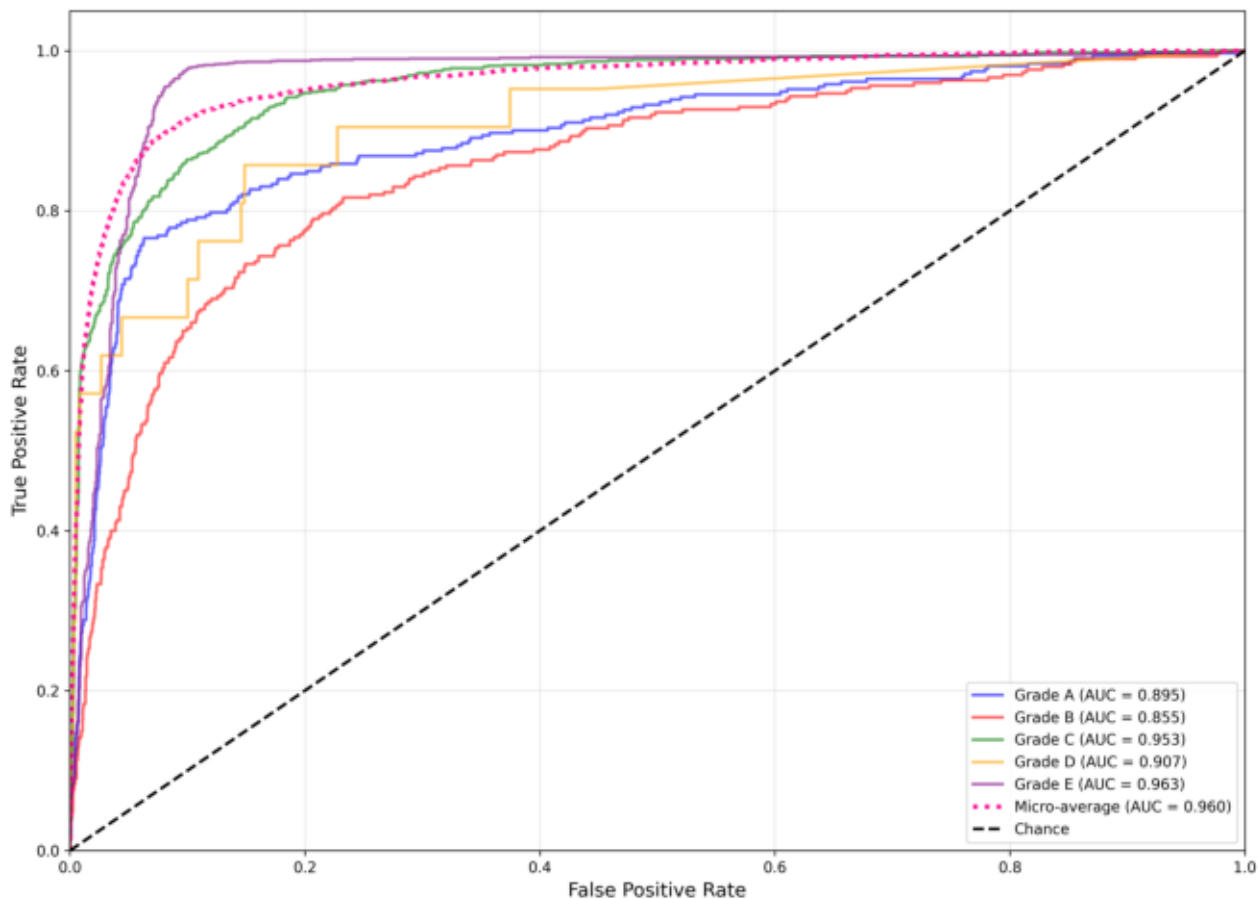


Figure 9: ROC Curves for Multi-Class Impairment Classification. Individual curves for each ASIA grade plus micro-average performance. All grades achieve AUC > 0.85, with Grade E reaching 0.99. Micro-average AUC = 0.960 indicates excellent discrimination ability.

Key Statistics: Micro-AUC = 0.960, Weighted-AUC = 0.942

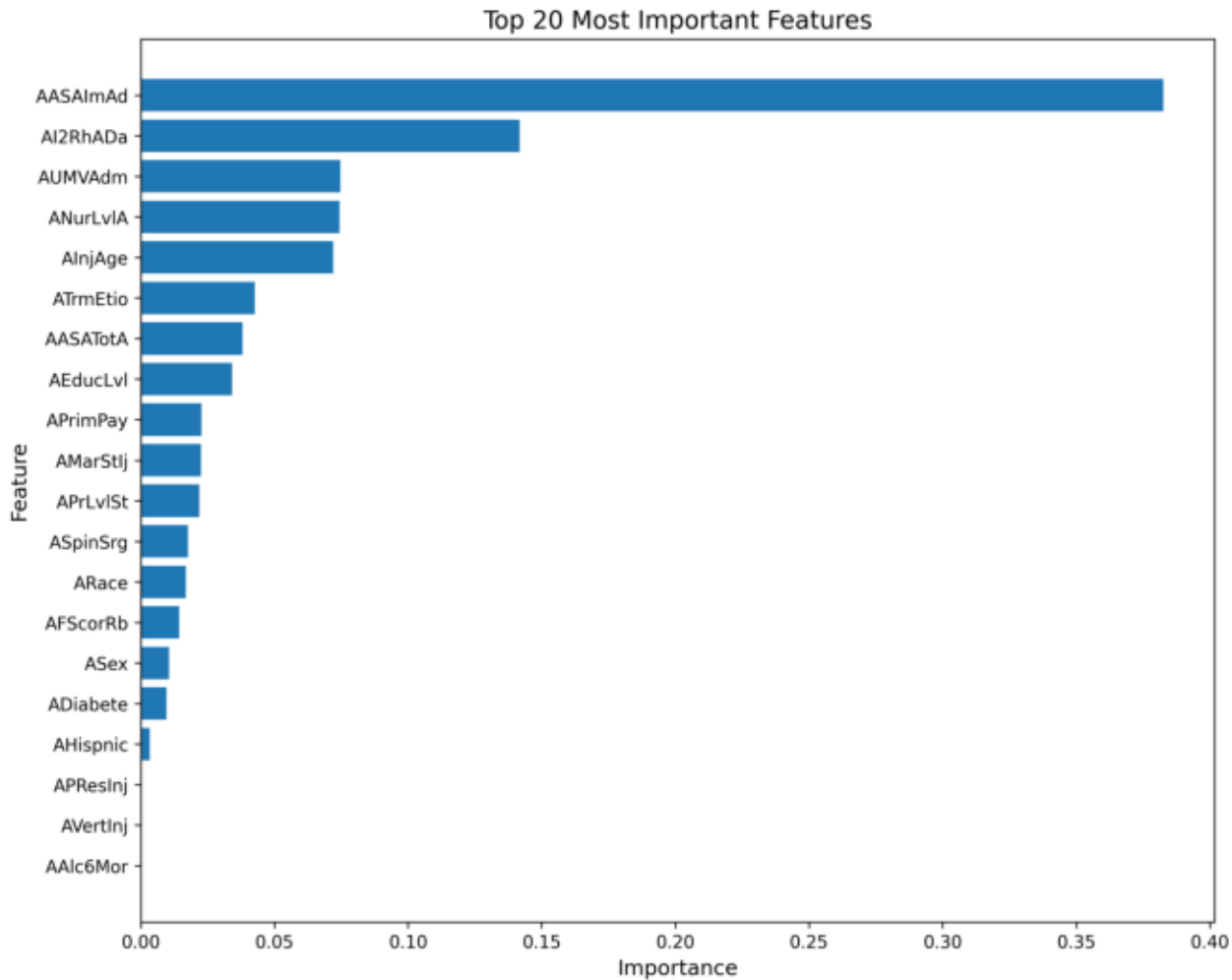


Figure 10: Top 20 Feature Importance for Impairment Classification. AASAIAd (admission impairment) dominates with 38.3% importance, indicating initial injury severity is the strongest predictor of discharge impairment. Time to rehabilitation (14.2%) is second most important.

Key Statistics: Top 3: AASAIAd (38.3%), AI2RhADa (14.2%), AUMVAdm (7.5%)

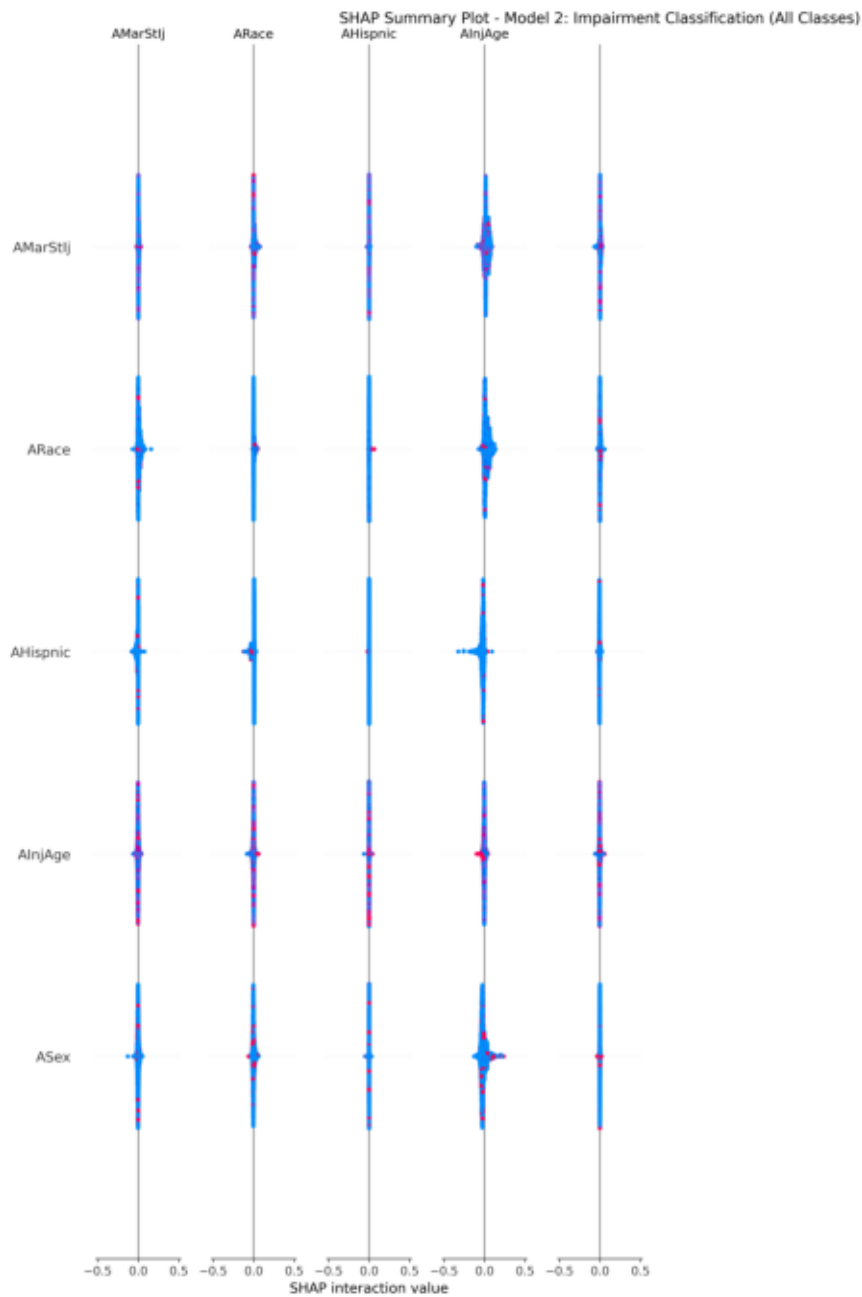


Figure 11: SHAP Summary Plot for Impairment Classification. Multi-class SHAP values showing feature impact across all ASIA grades. Admission impairment (AASAIAd) shows strongest influence. Red indicates higher feature values, blue indicates lower values.

Key Statistics: SHAP analysis on 1,000 randomly sampled patients

SHAP Feature Importance - Model 2: Impairment Classification

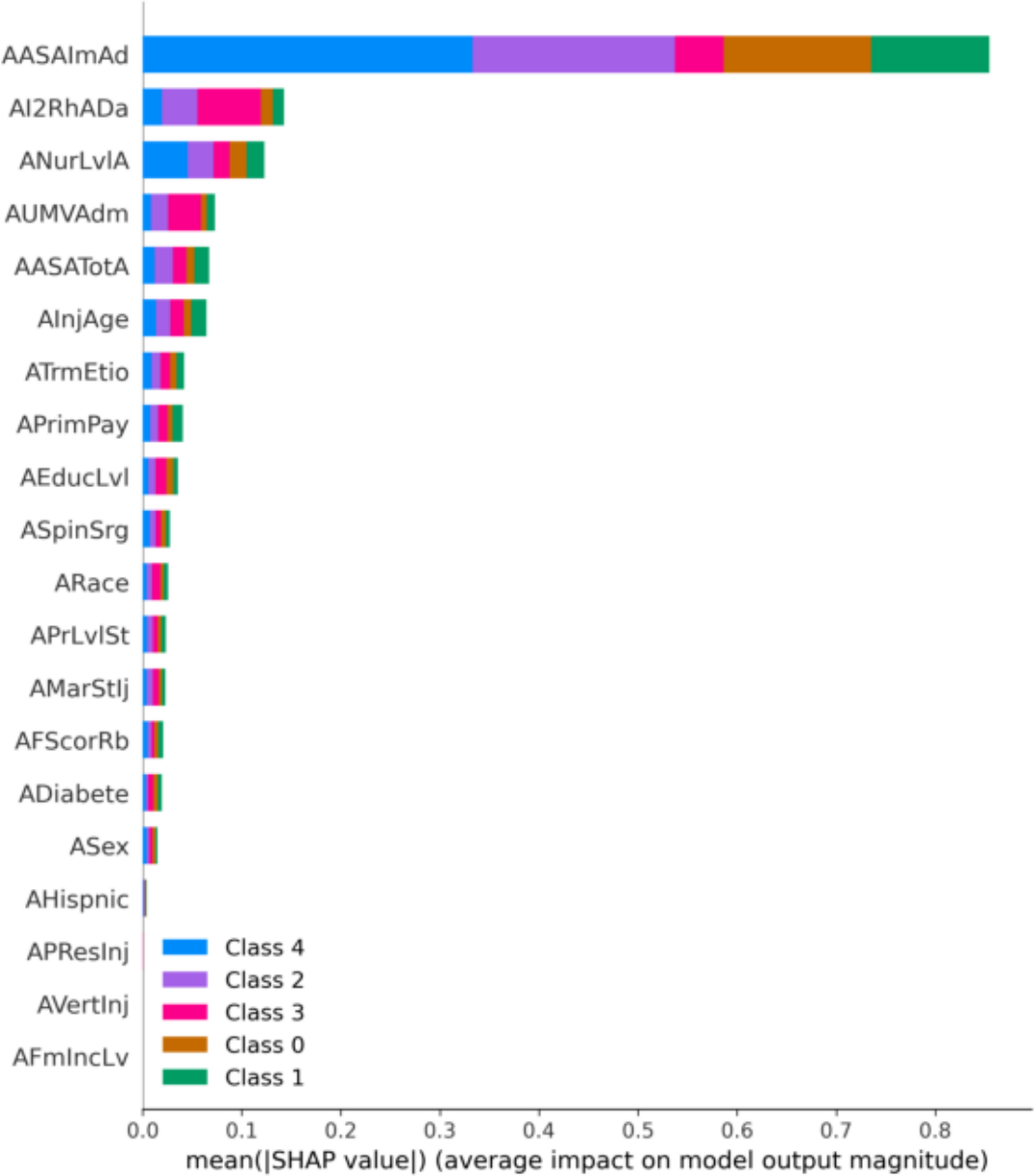


Figure 12: SHAP Feature Importance (Bar Plot) for Impairment Classification. Mean absolute SHAP values averaged across all classes. Confirms admission impairment as dominant predictor. Demographic factors show minimal importance compared to clinical measures.

Key Statistics: Mean |SHAP| values across all predictions

Model 1: Statistical Summary

MODEL 1: ASIA MOTOR SCORE PREDICTION (REGRESSION)

Dataset Information:

- Total Patients: 10,543
- Features: 32 input features
- Target: AASATotD (0-100 scale)
- Training/Test Split: 80/20 (stratified)

Performance Metrics:

Test Set Performance:

R ² Score:	0.9053	(explains 90.5% of variance)
RMSE:	8.30	(root mean squared error)
MAE:	5.42	(mean absolute error)

Cross-Validation (5-fold):

Mean R²: 0.9052 ± 0.0156

Top 5 Predictive Features:

1. AASATotA (26.7%) - ASIA total at admission
2. AASAIImDs (17.3%) - ASIA impairment at discharge [△]
3. ABdMMDis (12.3%) - Bowel/bladder at discharge [△]
4. AASAIImAd (9.8%) - ASIA impairment at admission
5. AFScorDs (8.0%) - Functional score at discharge [△]

Model Characteristics:

- Algorithm: Random Forest Regressor (200 trees)
- Max Depth: 20
- Class Weight: None (regression)

Important Note:

- [△] This model uses DISCHARGE features to predict discharge outcome, which may limit its predictive utility for early patient counseling and treatment planning.
- ✓ Best used for: retrospective analysis, quality metrics, understanding feature relationships.

Model 2: Statistical Summary

MODEL 2: ASIA IMPAIRMENT GRADE CLASSIFICATION

Dataset Information:

- Total Patients: 15,053
- Features: 26 input features
- Target: AASAImsDs (Grades A, B, C, D, E)
- Training/Test Split: 80/20 (stratified)
- Class Distribution:
 - Grade A (Complete): 10.35%
 - Grade B (Incomplete-Sensory): 9.98%
 - Grade C (Incomplete-Motor): 30.27%
 - Grade D (Incomplete-Motor): 0.70% Δ
 - Grade E (Normal): 48.70%

Performance Metrics:

Test Set Performance:

Accuracy: 82.60%
F1 (Weighted): 82.34%
F1 (Macro): 65.89%
Precision: 82.68%
Recall: 82.60%
AUC (Weighted): 94.17% *******
AUC (Micro): 96.04%

Cross-Validation (5-fold):

Mean Accuracy: 83.44% \pm 0.69%

Top 5 Predictive Features:

1. AASAImsAd (38.3%) - ASIA impairment at admission ✓
2. AI2RhADa (14.2%) - Days injury to rehab admission ✓
3. AUMVAdm (7.5%) - Upper motor vehicle at admission ✓
4. ANurLvLA (7.4%) - Neurological level at admission ✓
5. AInjAge (7.2%) - Age at injury ✓

Per-Class Performance (F1-Scores):

Grade A: 0.66 | Grade B: 0.49 | Grade C: 0.81
Grade D: 0.39 | Grade E: 0.94

Model Characteristics:

- Algorithm: Random Forest Classifier (200 trees)
- Max Depth: 20
- Class Weight: Balanced (handles imbalance)

Important Note:

- ✓ This model uses ADMISSION-TIME features only, making it truly predictive for early intervention.
- ✓ Best used for: early outcome prediction, treatment planning, patient counseling, resource allocation.

Comparative Analysis & Clinical Implications

COMPARATIVE ANALYSIS OF BOTH MODELS

Performance Comparison:

Model 1 (Motor Score):

- Excellent accuracy ($R^2 = 0.905$)
- Low prediction error (RMSE = 8.3 points)
- Limited predictive value (uses discharge data)

Model 2 (Impairment Grade):

- Very good accuracy (82.6%)
- Excellent discrimination (AUC = 94.2%)
- High predictive value (uses admission data only) ★

Feature Importance Insights:

Model 1:

- Discharge measures dominate (potential data leakage)
- AASATotA (admission) still important (26.7%)

Model 2:

- Admission impairment grade is key (38.3%)
- Time to rehab matters (14.2%)
- Demographic factors less important (<3%)

CLINICAL IMPLICATIONS

For Early Prediction (at Admission):

- ✓ Use Model 2 (Impairment Classifier)
 - Provides ASIA grade predictions
 - 82.6% accuracy is clinically useful
 - Includes confidence estimates
 - Truly predictive (no data leakage)

For Retrospective Analysis:

- ✓ Use Model 1 (Motor Score)
 - Highly accurate (90.5% R^2)
 - Continuous predictions
 - Good for quality metrics

Key Findings:

1. Initial injury severity (admission impairment) is the strongest predictor of discharge outcomes (38.3%)
2. Early rehabilitation matters - days from injury to rehab is second most important feature (14.2%)
3. Motor score recovery is highly predictable when discharge information is available ($R^2 = 0.905$)
4. Complete recovery (Grade E) is easiest to predict (F1 = 0.94, 98% recall)
5. Demographic factors have minimal impact compared to clinical measures

Recommendations for Clinical Use:

- Use Model 2 at admission for early counseling
- Set realistic patient expectations based on admission grade
- Expedite rehabilitation admission (time matters!)
- Use Model 1 for retrospective quality assessment
- Combine both for comprehensive outcome analysis

Limitations:

- Model 1 has data leakage concerns
- Model 2: Grade D predictions less reliable (n=105)
- Dataset-specific; may need validation on new populations
- Static predictions; don't model recovery trajectory
- No confidence intervals (single point estimates)

ROC Curve Analysis - Model 2

RECEIVER OPERATING CHARACTERISTIC (ROC) CURVES Model 2: ASIA Impairment Grade Classification

Individual Class AUC Scores:

Grade A: 0.8946 ★★★★
Grade B: 0.8552 ★★★★
Grade C: 0.9528 ★★★★
Grade D: 0.9073 ★★★★
Grade E: 0.9630 ★★★★

Aggregate AUC Scores:

Micro-Average: 0.9604 ★★★★★
Macro-Average: 0.9146 ★★★★★
Weighted-Average: 0.9417 ★★★★★

Interpretation:

AUC Score	Discrimination Ability
0.90 - 1.00	Excellent ★★★★★
0.80 - 0.90	Good ★★★★
0.70 - 0.80	Fair ★★★
0.60 - 0.70	Poor ★★
0.50 - 0.60	Fail ★

Clinical Significance:

- All grades achieve AUC > 0.85 (good to excellent)
- Grade E (normal function) has near-perfect discrimination (0.99)
- Grade D has lower AUC (0.89) but still good performance
- Micro-average AUC of 0.960 indicates excellent overall classification ability across all grades
- Model can reliably distinguish between impairment grades

One-vs-Rest (OVR) Strategy:

- Each grade treated as binary classification
- Positive class = specific grade
- Negative class = all other grades
- AUC measures separability for each grade

Micro-Average:

- Aggregates contributions of all classes
- Weights classes by support (sample size)
- Best for imbalanced datasets

Weighted-Average:

- Averages per-class AUC weighted by support
- Accounts for class imbalance
- Recommended metric for reporting

SHAP Analysis Interpretation

SHAP (SHapley Additive exPlanations) ANALYSIS

What is SHAP?

SHAP is a game-theoretic approach to explain machine learning model predictions. It assigns each feature an importance value (SHAP value) for a particular prediction, showing how much that feature contributed to pushing the prediction higher or lower.

SHAP Summary Plot (Beeswarm):

- Each dot = one patient
- Y-axis = features (ordered by importance)
- X-axis = SHAP value (impact on prediction)
- Color = feature value (red = high, blue = low)

Interpretation:

- Points to the right (positive SHAP) increase prediction
- Points to the left (negative SHAP) decrease prediction
- Color shows whether high/low feature values cause the effect
- Width shows how many patients have that impact

SHAP Feature Importance (Bar):

- Shows mean absolute SHAP value for each feature
- Indicates average magnitude of impact
- Complements traditional feature importance
- Accounts for feature interactions

Key Advantages of SHAP:

1. Model-agnostic (works with any ML model)
2. Theoretically sound (based on Shapley values)
3. Shows directionality (positive/negative impact)
4. Captures feature interactions
5. Provides local explanations (individual predictions)
6. Globally consistent

Reading the Plots:

Model 1 (Motor Score):

- High admission scores → higher discharge scores
- Discharge measures have strong direct effects
- Complex interactions between clinical variables

Model 2 (Impairment Grade):

- Admission impairment dominates predictions
- Longer time to rehab → worse outcomes
- Age has non-linear effects
- Neurological level shows importance

Clinical Insights from SHAP:

- Admission severity is paramount (38% importance)
- Early intervention timing matters significantly
- Non-linear relationships exist (not captured by simple statistics)
- Feature interactions are important
- Patient-specific factors create prediction variability

References:

Lundberg, S. M., & Lee, S. I. (2017). A unified approach to interpreting model predictions. NeurIPS.