



Control Walkthrough

Date – 03/11/2024

WALKTHROUGH SUMMARY

Control Name: User Access Management Control

Control ID: UAM-001

Control Type: Preventive

Nature of Control: Automated

Occurrence: Recurring

1. OVERVIEW OF WALKTHROUGH

The walkthrough reviewed user access controls to ensure they mitigate unauthorized access risks, meet compliance standards, and maintain security. Key recommendations focused on enhancing procedures, monitoring, and documentation.

2. CONTROL DESCRIPTION

User access management involves System Administrators, the Access Review Team, and Compliance & IT Security, who work together to manage, monitor, and review access to critical IT systems. Key activities include granting, modifying, and revoking user access, with daily monitoring and periodic reviews (quarterly or semi-annually) to ensure alignment with policy and compliance standards. These efforts are crucial for preventing unauthorized access, data breaches, and non-compliance, reducing internal threats and protecting sensitive information. The process includes documented access requests, the use of automated tools, regular reviews, and thorough record-keeping for audits.

3. CONCLUSIONS AND RECOMMENDATIONS

Conclusion: The meeting emphasized the need to ensure consistent application and monitoring of user access management controls to maintain compliance and mitigate risks effectively.

Recommendations:

- Regular reviews of user access rights to prevent unauthorized access.
- Implement automated alerts for suspicious access patterns.
- Conduct periodic audits to ensure that access controls remain effective and aligned with current compliance requirements.
- Enhance documentation for control procedures to improve clarity and accountability.