

**Issue #:**

IS-001425

**Issue Name:**

Controls over key end-to-end processes and critical tools utilized to administer the legacy GAS block are insufficient.

**Root Cause Explanation:**

The root cause is primarily attributed to ownership of key processes transferring multiple times with insufficient resources to execute key controls consistently at the time of the transition.

**Issue Rating:**

Medium Repeat Issue: No

Status: Open Issue Target Date: 02/29/2024

**Operating Division:****Risk Category:**

12. Product, Operations and Trading

**Risk Sub-Category:**

12.1.6 Client Documentation and Reporting

**Root Cause Category:**

People