

## University of Pisa

Department of Information Engineering

Master's Degree Artificial Intelligence and Data Engineering

Cloud Computing Project: Inverted Index

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## Introduction

### 1.1 Problem presentation

This project, developed within the field of *cloud computing*, focuses on implementing an *inverted index* on a large dataset composed by small-sized scientific papers (on the order of kilobytes).

The application was implemented in three ways: using **Hadoop MapReduce**, with both a combiner and an in-mapper combining variant; a distributed version with **Apache Spark** and a non parallel version developed in **Python**. The output maps each word (word) to the list of files in which it appears, along with the number of occurrences per file, in the following format:

cloud file1.txt:3 file2.txt:4
computing file2.txt:2 file3.txt:1

The project concludes with a comparative analysis of the three approaches, focusing on execution time and resource management.

### 1.2 Dataset description

The dataset was collected using a custom Python web scraping script that automatically extracted scientific articles from the arXiv website. The original PDF files were converted to plain text to enable processing with distributed frameworks such as MapReduce and Apache Spark.

To assess the performance and scalability of the implementations, six dataset versions were generated, varying in total size and number of files.

Dataset name	Size	Number of files
dataset_kilobytes	276 KB	6
dataset_megabytes	94 MB	1,622
dataset_megabytes2	353 MB	5,609
dataset_megabytes3	706 MB	11,218
dataset_gigabytes	1.1 GB	19,064
dataset_gigabytes2	2.2 GB	57,192

This segmentation allows for evaluating algorithm efficiency under increasing workload conditions, similar to those in modern search engines and large-scale text processing systems.

## Pseudocode

#### 2.1 External combiner version

#### Algorithm 1 Mapper

```
1: class InvertedIndexMapper extends Mapper
        Variables: stopWords \leftarrow new empty list
3:
        method Setup(context)
4:
             stopWordsFile \leftarrow load stopwords.txt
5:
             {\bf for\ all\ } \mathit{line}\ {\bf in\ } \mathit{stopWordsFile}\ {\bf do}
6:
                 w \leftarrow cleaned lowercase line
7:
                 if w \neq \text{empty then}
8:
                     {\rm add}\ w\ {\rm to}\ stop \textit{Words}
9:
                 end if
10:
             end for
11:
         end method
12:
         method Map(key, value, context)
13:
14:
             fName \leftarrow \text{get file name from } key
             tokens \leftarrow \tilde{P}reprocess(value)
15:
16:
             for all t in tokens do
                 \mathrm{EMIT}(t,\,(\mathit{fName},\,1))
17:
             end for
18:
19:
         end method
20: end class
```

#### **Algorithm 2** Combiner

```
1: class InvertedIndexCombiner extends Reducer
        method Reduce(key, values, context)
3:
            fileCounts \leftarrow new AssociativeArray
4:
            for all val in values do
5:
                fileName \leftarrow get the file name from val
6:
                count \leftarrow get the counter from val
                fileCounts\{fileName\} \leftarrow fileCounts\{fileName\} + count
7:
8:
9:
            {\bf for\ all\ } \mathit{file}\ \mathrm{in}\ \mathit{fileCounts}\ {\bf do}
10:
                 count \leftarrow fileCounts\{file\}
                 {\bf EMIT}({\bf key},\,(file,\,fileCounts\{file\}))
11:
12.
             end for
13:
         end method
14: end class
```

#### Algorithm 3 Reducer

```
1: class InvertedIndexReducer extends Reducer
        method Reduce(key, values, context)
3:
            fileCounts \leftarrow \text{new AssociativeArray}
4:
            result \leftarrow \text{new text}
5:
            for all val in values do
                fileName \leftarrow get the file name from val
6:
7:
                count \leftarrow \text{get the counter from } val
                \mathit{fileCounts\{fileName\}} \leftarrow \mathit{fileCounts\{fileName\}} + \mathit{count}
8:
9:
10:
            for all file in fileCounts do
                 result \leftarrow concat(file:fileCounts\{file\})
11:
12.
             end for
13:
            EMIT(key, result)
14:
        end method
15: end class
```

## 2.2 In-Mapper combining version

#### Algorithm 4 Mapper with In-Mapper Combining

```
1: class InvertedIndexMapper extends Mapper
         Variables: stopWords \leftarrow empty list, wordMap \leftarrow empty map, fileName \leftarrow empty
3:
        \mathbf{method} \,\, \mathrm{Setup}(\mathrm{context})
4:
             stopWordsFile \leftarrow load stopwords.txt
5:
             \mathbf{for} \ \mathbf{all} \ \mathit{line} \ \mathbf{in} \ \mathit{stopWordsFile} \ \mathbf{do}
6:
                 w \leftarrow \text{cleaned lower
case } \mathit{line}
7:
                 if w \neq \text{empty then}
8:
                     add w to stop Words
9:
                 end if
10:
             end for
11:
         end method
12:
13:
         method Map(key, value, context)
14:
              curFile \leftarrow \text{get file name from } key
15:
             if fileName is null then
16:
                 fileName \leftarrow curFile
17:
              end if
             if fileName \neq curFile then
18:
19:
                 Flush(context), \mathit{fileName} \leftarrow \mathit{curFile}
20:
             for all t in Preprocess(value) do
21:
22:
                 if t \neq \text{empty then}
23:
                     wordMap\{t\} \leftarrow wordMap\{t\} + 1
24:
                 end if
25:
             end for
26:
         end method
27:
28:
         method Cleanup(context)
29:
             Flush(context)
30:
         end method
31:
32:
         method Flush(context)
33:
             \mathbf{for} \ \mathbf{all} \ w \ \mathrm{in} \ wordMap \ \mathbf{do}
34:
                 EMIT(w, fileName:wordMap\{w\})
35:
             end for
36:
             {\it clear}\ wordMap
37:
         end method
38: end class
```

#### Algorithm 5 Reducer

```
1: class InvertedIndexReducer extends Reducer
2: method Reduce(key, values, context)
3: result ← convert values in serializzable form
4: EMIT(key, result)
5: end method
6: end class
```

## Performance Evaluation

Before analyzing the performance of the different applications, it is important to note that the cluster, provided by the University of Pisa, consists of three VMs, each equipped with **7 GB of RAM** and **40 GB of disk**. Each VM has been configured with the following Hadoop and Spark settings:

Configuration	Value
yarn.nodemanager.resource.memory-mb	1536
yarn.scheduler.maximum-allocation-mb	1536

Configuration	Value
yarn.app.mapreduce.am.resource.mb	512
mapreduce.map.memory.mb	256
mapreduce.reduce.memory.mb	256

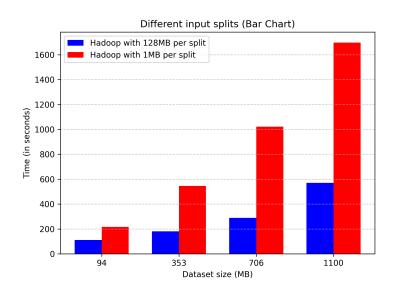
Configuration	Value
spark.master	yarn
spark.driver.memory	512m
spark.yarn.am.memory	512m
spark.executor.memory	512m

## 3.1 Different input split sizes

In this section, the application was experimentally evaluated by varying the *input split size*, thereby affecting the number of mappers. The use of CombineTextInputFormat allowed multiple small files to be grouped into a single input split, optimizing processing in scenarios with large numbers of small files.

Smaller input splits result in fewer spilled records per map task, reducing disk I/O and lowering the average mapper execution time. However, this setup leads to a longer overall job duration and a noticeable increase in memory usage.

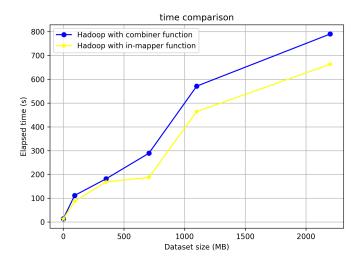
In conclusion, for applications dealing with many small files, as in this case, using CombineTextInputFormat with an input split size equal to the HDFS block size is an effective strategy.



### 3.2 External combiner vs In-Mapper combining

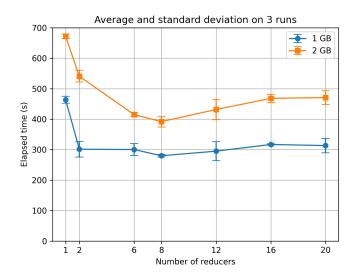
We ran the MapReduce application, first using an external combiner and then implementing in-mapper combining. We then compared the performance of the two approaches.

The In-Mapper solution offers several advantages. In all the cases analyzed, its execution time decreases, both in terms of CPU time and overall elapsed time. Additionally, memory utilization is reduced, not only in terms of peak memory usage but also in terms of the total memory consumed.



#### 3.3 Different number of reducers

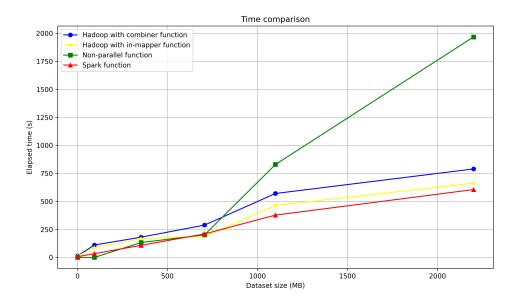
We now analyze how performance changes as the number of reducers increases. Specifically, we first tested the application on the 1 GB dataset and then on the 2 GB dataset, increasing the number of reducers up to 20 and performing 3 different runs for each configuration. This approach allowed us to compute the average elapsed time and its standard deviation for each setting. The results show that, for both datasets, the fastest execution was achieved when using 8 reducers which seems to be a good compromise between load balancing and overhead.



### 3.4 Time comparison between different solutions

Regarding execution time, it can be observed that **Spark proves to be the fastest solution**. This is particularly true when dividing the RDDs into partitions of approximately 750 files. This number was determined experimentally, aiming to reduce the number of partitions to lower overhead, while still leveraging only RAM for data processing, thus taking advantage of Spark's architecture.

It is evident that, although the non-parallel solution performs very well on small datasets, its execution time increases significantly as the data size grows. In contrast, both **Hadoop** and **Spark exhibit a growth trend that is similar to a logarithmic curve**, making them substantially more efficient when handling large-scale datasets.



## 3.5 Resources comparison between different solutions

Regarding the number of resources allocated by each of the compared solutions, the analysis considers the aggregate resource allocation, a metric available for both Hadoop and Spark. This value represents the **total amount of memory allocated over time**, calculated as the sum of the memory used by each container multiplied by the duration of its activity. For small datasets, resource usage remains nearly identical across all approaches. However, with increasing dataset size, a clear trend emerges: **the Hadoop solution with the external combiner allocates a significantly higher number of resources** compared to the other two solutions.

