

# Lucas-Kanade Method

Shree K. Nayar

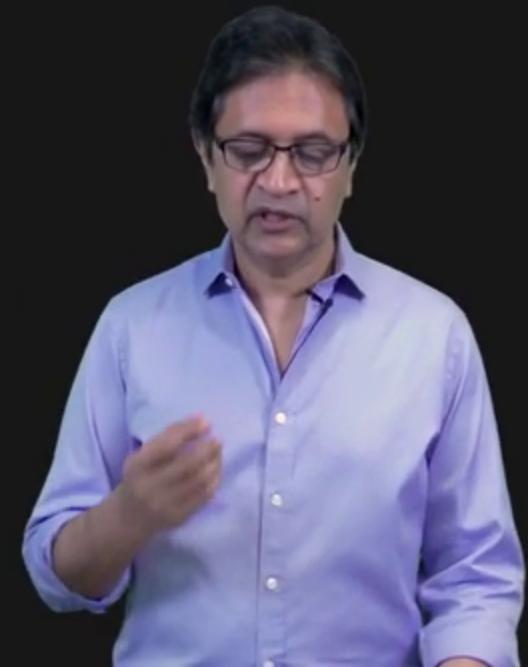
Columbia University

Topic: Motion and Optical Flow, Module: Reconstruction II

First Principles of Computer Vision

# Lucas-Kanade Solution

Assumption: For each pixel, assume Motion Field, and hence Optical Flow  $(u, v)$ , is constant within a small neighborhood  $W$ .



# Lucas-Kanade Solution

Assumption: For each pixel, assume Motion Field, and hence Optical Flow ( $u, v$ ), is constant within a small neighborhood  $W$ .



# Lucas-Kanade Solution

---

Assumption: For each pixel, assume Motion Field, and hence Optical Flow ( $u, v$ ), is constant within a small neighborhood  $W$ .



# Lucas-Kanade Solution

Assumption: For each pixel, assume Motion Field, and hence Optical Flow  $(u, v)$ , is constant within a small neighborhood  $W$ .



That is for all points  $(k, l) \in W$ :

$$I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$$



# Lucas-Kanade Solution

Assumption: For each pixel, assume Motion Field, and hence Optical Flow  $(u, v)$ , is constant within a small neighborhood  $W$ .



That is for all points  $(k, l) \in W$ :

$$I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$$



# Lucas-Kanade Solution

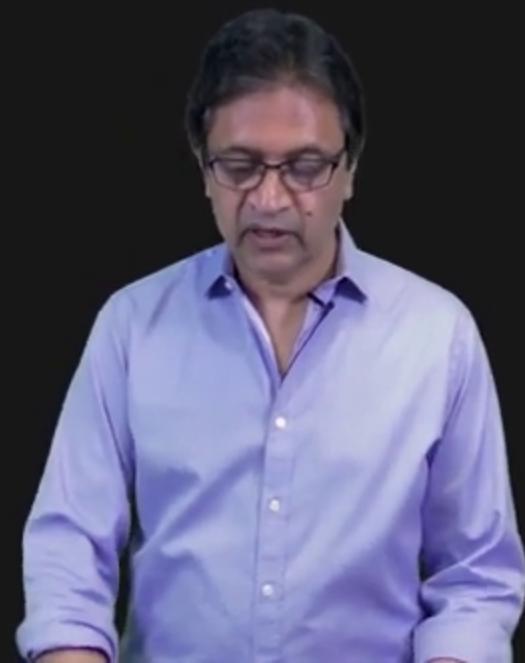
---

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$



# Lucas-Kanade Solution

---

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$



# Lucas-Kanade Solution

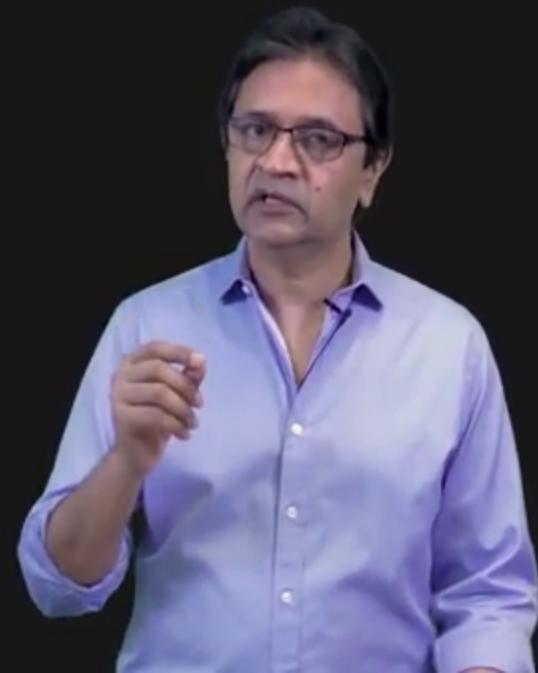
---

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$



# Lucas-Kanade Solution

---

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$



# Lucas-Kanade Solution

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$

$$\begin{array}{ccc} A & \mathbf{u} & B \\ (\text{Known}) & (\text{Unknown}) & (\text{Known}) \\ n^2 \times 2 & 2 \times 1 & n^2 \times 1 \end{array}$$



# Lucas-Kanade Solution

For all points  $(k, l) \in W$ :  $I_x(k, l)u + I_y(k, l)v + I_t(k, l) = 0$

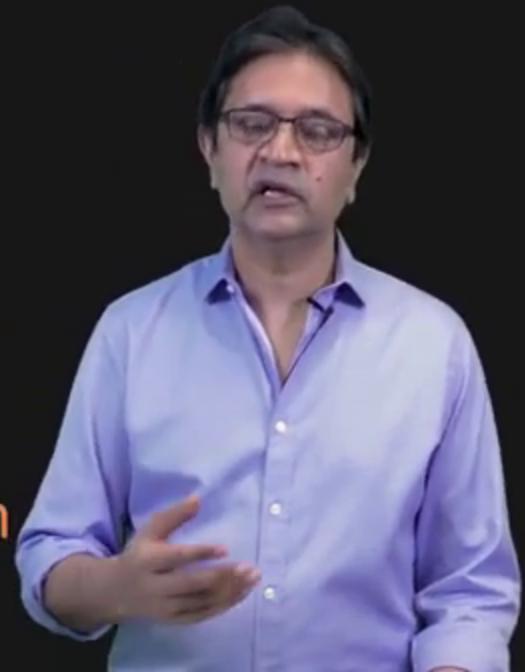
Let the size of window  $W$  be  $n \times n$

In matrix form:

$$\begin{bmatrix} I_x(1,1) & I_y(1,1) \\ I_x(k, l) & I_y(k, l) \\ \vdots & \vdots \\ I_x(n, n) & I_y(n, n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} I_t(1,1) \\ I_t(k, l) \\ \vdots \\ I_t(n, n) \end{bmatrix}$$

$A \qquad \mathbf{u} \qquad B$   
(Known) (Unknown) (Known)  
 $n^2 \times 2 \qquad 2 \times 1 \qquad n^2 \times 1$

$n^2$  Equations, 2 Unknowns: Find Least Squares Solution

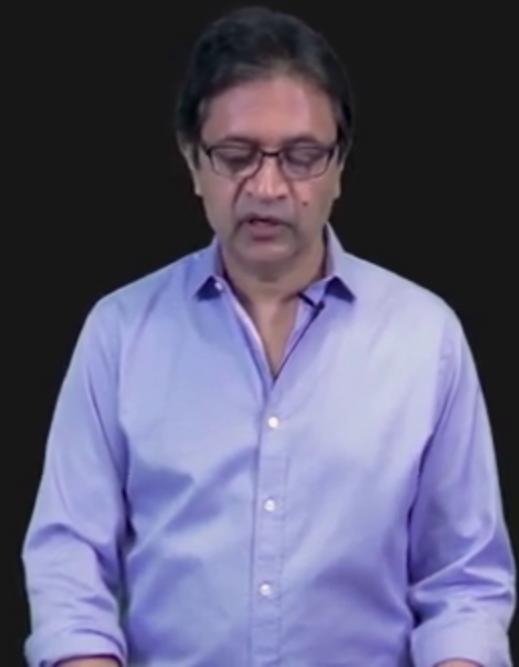


# Least Squares Solution

---

Solve linear system:  $A\mathbf{u} = B$

$$A^T A \mathbf{u} = \underset{\hat{A}}{A^T B} \quad (\text{Least-Squares using Pseudo-Inverse})$$



# Least Squares Solution

Solve linear system:  $A\mathbf{u} = B$

$$A^T A \mathbf{u} = A^T B \quad (\text{Least-Squares using Pseudo-Inverse})$$

In matrix form:

$$\begin{bmatrix} \sum_w I_x I_x & \sum_w I_x I_y \\ \sum_w I_x I_y & \sum_w I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum_w I_x I_t \\ -\sum_w I_y I_t \end{bmatrix}$$

Indices  $(k, l)$   
not written  
for simplicity

$A^T A$ (Known)	$\mathbf{u}$ (Unknown)	$A^T B$ (Known)
$2 \times 2$	$2 \times 1$	$2 \times 1$



# Least Squares Solution

Solve linear system:  $A\mathbf{u} = B$

$$A^T A \mathbf{u} = A^T B \quad (\text{Least-Squares using Pseudo-Inverse})$$

In matrix form:

$$\begin{bmatrix} \sum_w I_x I_x & \sum_w I_x I_y \\ \sum_w I_x I_y & \sum_w I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum_w I_x I_t \\ -\sum_w I_y I_t \end{bmatrix}$$

Indices  $(k, l)$   
not written  
for simplicity

$A^T A$ (Known)	$\mathbf{u}$ (Unknown)	$A^T B$ (Known)
$2 \times 2$	$2 \times 1$	$2 \times 1$

$$\mathbf{u} = (A^T A)^{-1} A^T B$$

Fast and Easy to Solve



# Least Squares Solution

Solve linear system:  $A\mathbf{u} = B$

$$A^T A \mathbf{u} = A^T B \quad (\text{Least-Squares using Pseudo-Inverse})$$

In matrix form:

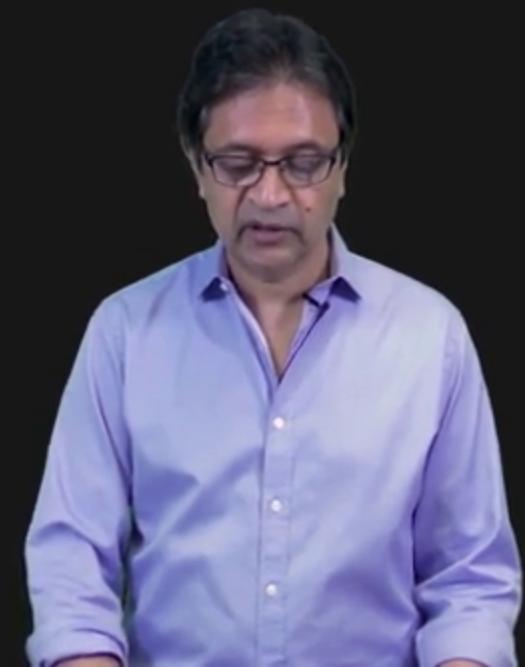
$$\begin{bmatrix} \sum_w I_x I_x & \sum_w I_x I_y \\ \sum_w I_x I_y & \sum_w I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum_w I_x I_t \\ -\sum_w I_y I_t \end{bmatrix}$$

Indices  $(k, l)$   
not written  
for simplicity

$A^T A$ (Known)	$\mathbf{u}$ (Unknown)	$A^T B$ (Known)
$2 \times 2$	$2 \times 1$	$2 \times 1$

$$\mathbf{u} = (A^T A)^{-1} A^T B$$

Fast and Easy to Solve



# Least Squares Solution

Solve linear system:  $A\mathbf{u} = B$

$$A^T A \mathbf{u} = A^T B \quad (\text{Least-Squares using Pseudo-Inverse})$$

In matrix form:

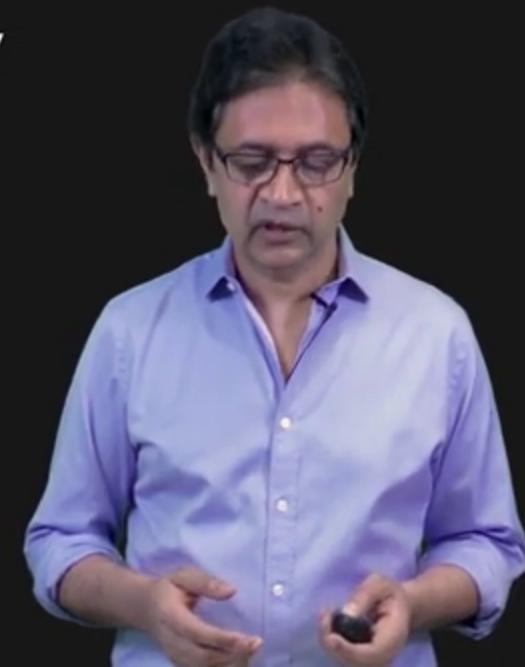
$$\begin{bmatrix} \sum_w I_x I_x & \sum_w I_x I_y \\ \sum_w I_x I_y & \sum_w I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum_w I_x I_t \\ -\sum_w I_y I_t \end{bmatrix}$$

Indices  $(k, l)$   
not written  
for simplicity

$A^T A$ (Known)	$\mathbf{u}$ (Unknown)	$A^T B$ (Known)
$2 \times 2$	$2 \times 1$	$2 \times 1$

$$\mathbf{u} = (A^T A)^{-1} A^T B$$

Fast and Easy to Solve



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be invertible. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be well-conditioned.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$$\lambda_1 > \epsilon \text{ and } \lambda_2 > \epsilon$$

$$\lambda_1 \geq \lambda_2 \text{ but not } \lambda_1 \ggg \lambda_2$$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

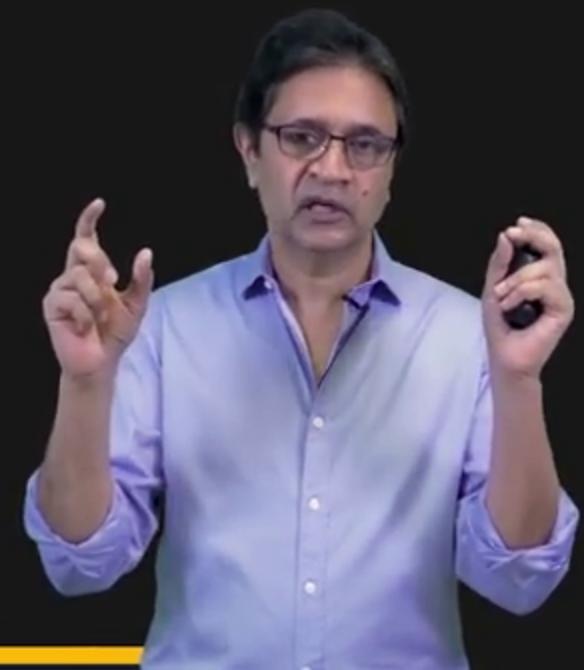
$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$$\lambda_1 > \epsilon \text{ and } \lambda_2 > \epsilon$$

$$\lambda_1 \geq \lambda_2 \text{ but not } \lambda_1 \ggg \lambda_2$$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$$\lambda_1 > \epsilon \text{ and } \lambda_2 > \epsilon$$

$$\lambda_1 \geq \lambda_2 \text{ but not } \lambda_1 \ggg \lambda_2$$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$\lambda_1 > \epsilon$  and  $\lambda_2 > \epsilon$

$\lambda_1 \geq \lambda_2$  but not  $\lambda_1 \ggg \lambda_2$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

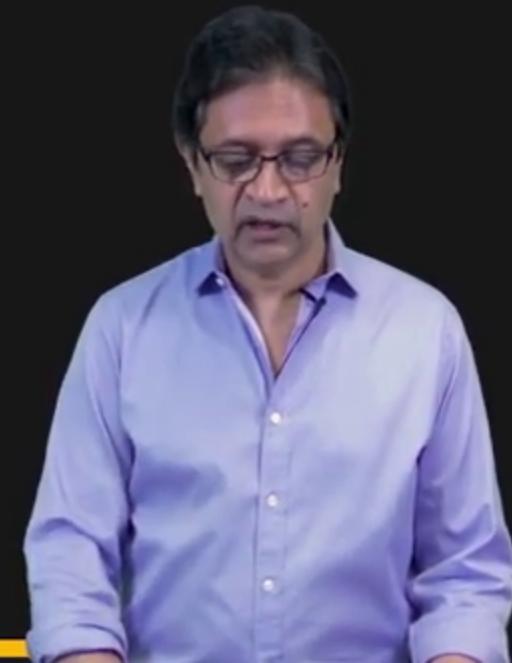
$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$\lambda_1 > \epsilon$  and  $\lambda_2 > \epsilon$

$\lambda_1 \geq \lambda_2$  but not  $\lambda_1 \ggg \lambda_2$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$$\lambda_1 > \epsilon \text{ and } \lambda_2 > \epsilon$$

$$\lambda_1 \geq \lambda_2 \text{ but not } \lambda_1 \ggg \lambda_2$$



# When Does Optical Flow Estimation Work?

---

$$A\mathbf{u} = B$$

$$A^T A \mathbf{u} = A^T B$$

- $A^T A$  must be **invertible**. That is  $\det(A^T A) \neq 0$
- $A^T A$  must be **well-conditioned**.

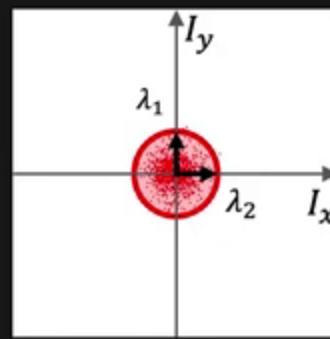
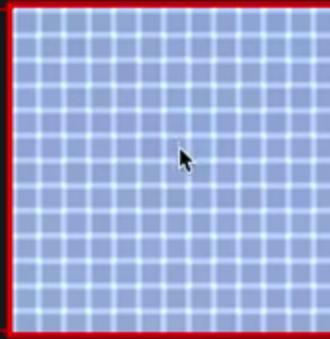
If  $\lambda_1$  and  $\lambda_2$  are eigen values of  $A^T A$ , then

$$\lambda_1 > \epsilon \text{ and } \lambda_2 > \epsilon$$

$$\lambda_1 \geq \lambda_2 \text{ but not } \lambda_1 \ggg \lambda_2$$



# Smooth Regions (Bad)



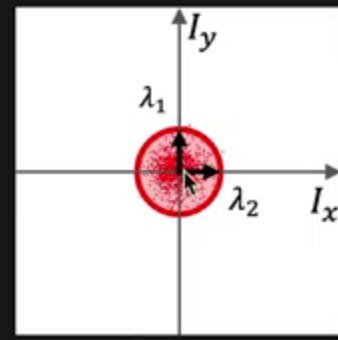
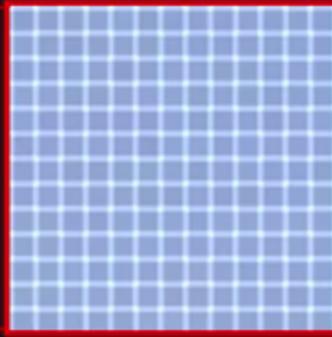
$\lambda_1 \sim \lambda_2$   
Both are Small

Equations for all pixels in window are more or less the same

Cannot reliably compute flow!



# Smooth Regions (Bad)



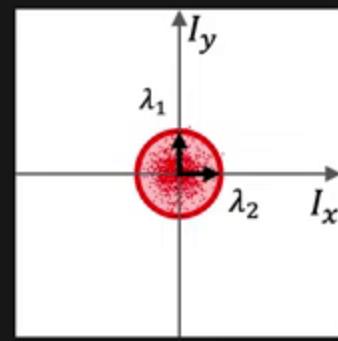
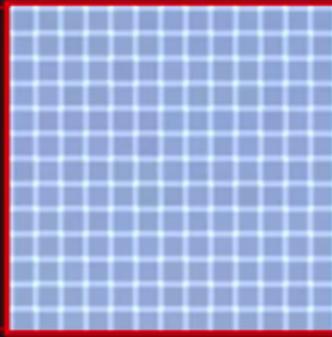
$\lambda_1 \sim \lambda_2$   
Both are Small

Equations for all pixels in window are more or less the same

Cannot reliably compute flow!



# Smooth Regions (Bad)



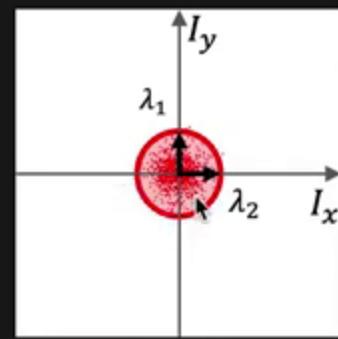
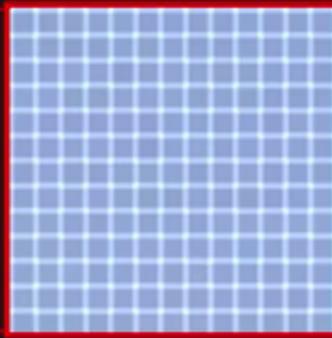
$\lambda_1 \sim \lambda_2$   
Both are Small

Equations for all pixels in window are more or less the same

Cannot reliably compute flow!



# Smooth Regions (Bad)



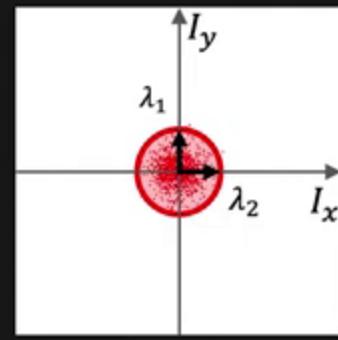
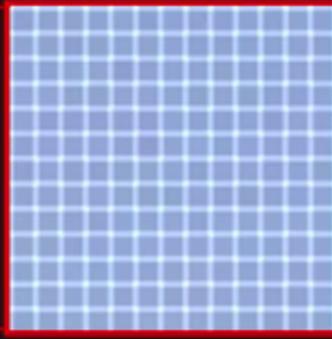
$\lambda_1 \sim \lambda_2$   
Both are Small

Equations for all pixels in window are more or less the same

Cannot reliably compute flow!



# Smooth Regions (Bad)



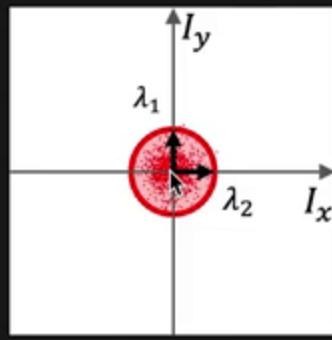
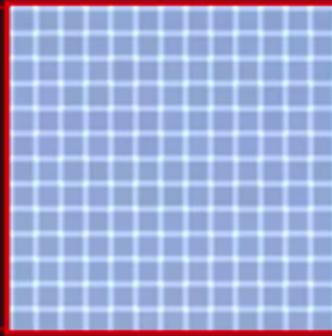
$\lambda_1 \sim \lambda_2$   
Both are Small



Equations for all pixels in window are more or less the same

Cannot reliably compute flow!

# Smooth Regions (Bad)



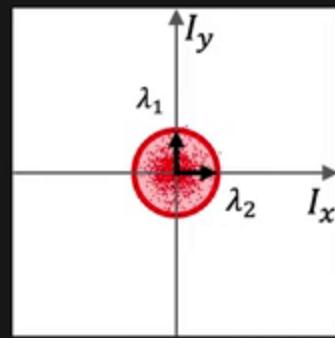
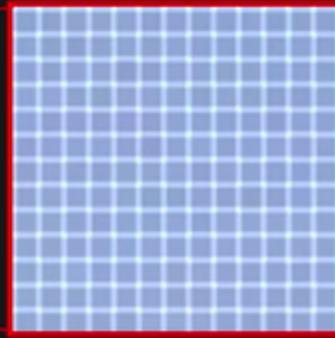
$\lambda_1 \sim \lambda_2$   
Both are Small

Equations for all pixels in window are more or less the same

Cannot reliably compute flow!



# Smooth Regions (Bad)



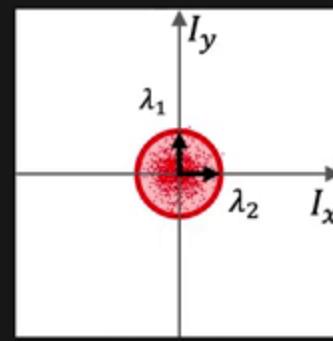
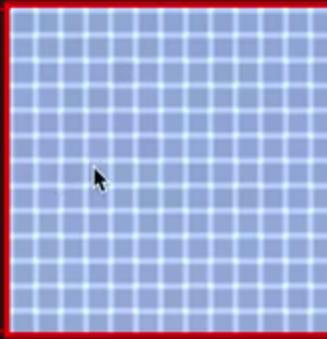
$\lambda_1 \sim \lambda_2$   
Both are Small



Equations for all pixels in window are more or less the same

Cannot reliably compute flow!

# Smooth Regions (Bad)



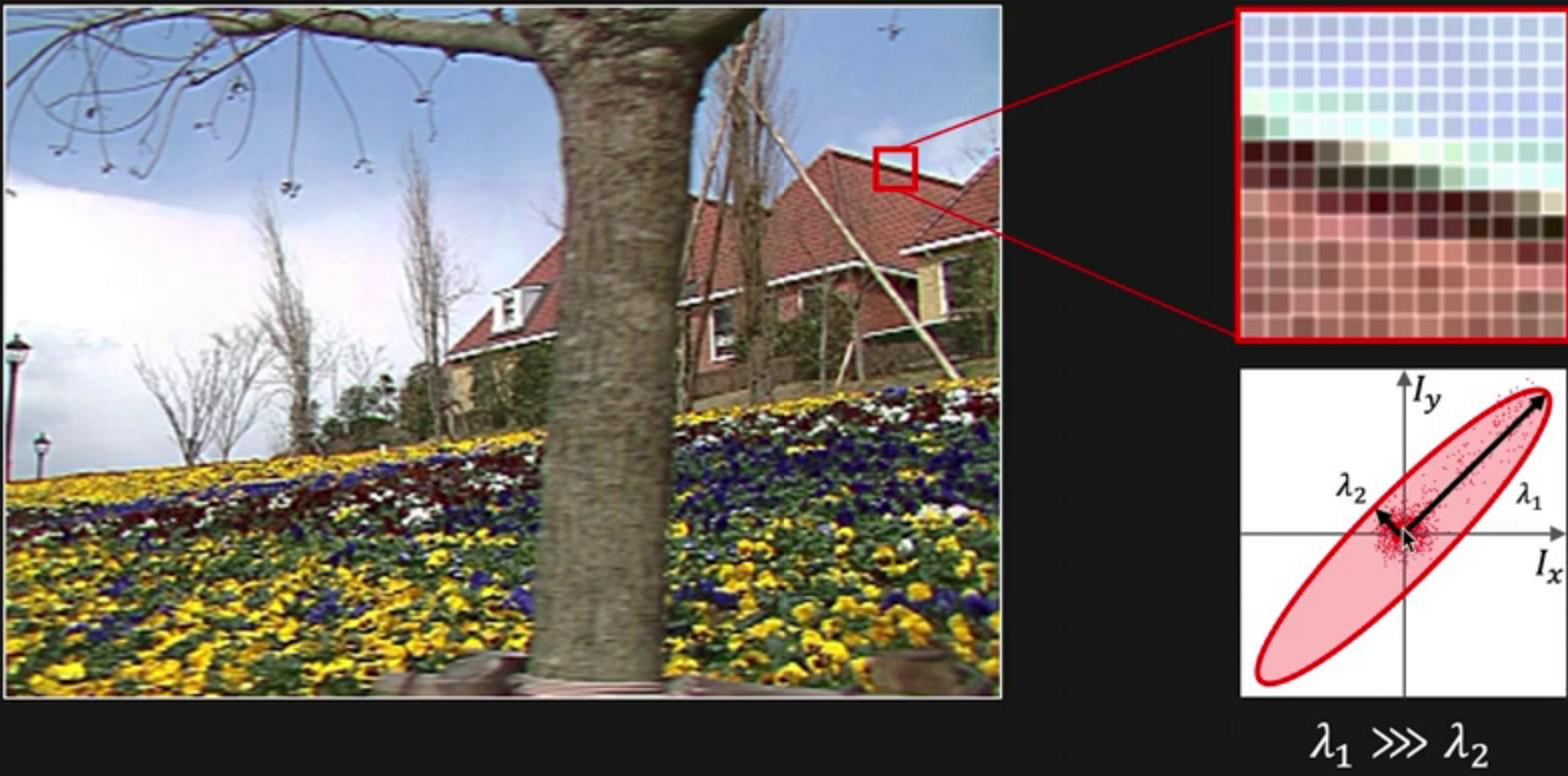
$\lambda_1 \sim \lambda_2$   
Both are Small



Equations for all pixels in window are more or less the same

Cannot reliably compute flow!

# Edges (Bad)

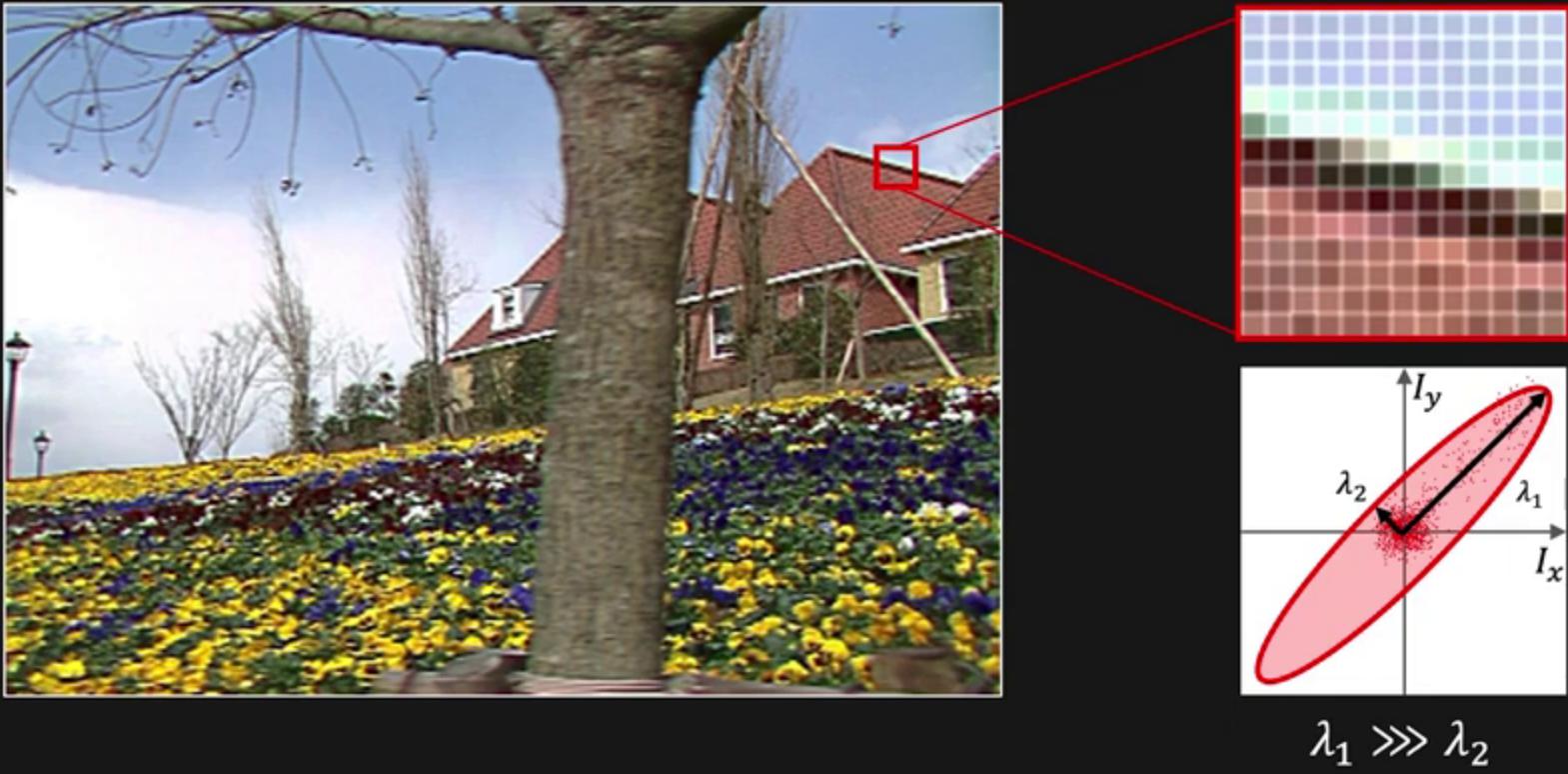


Badly conditioned. Prominent gradient in one direction.

Cannot reliably compute flow!  
Same as Aperture Problem.



# Edges (Bad)

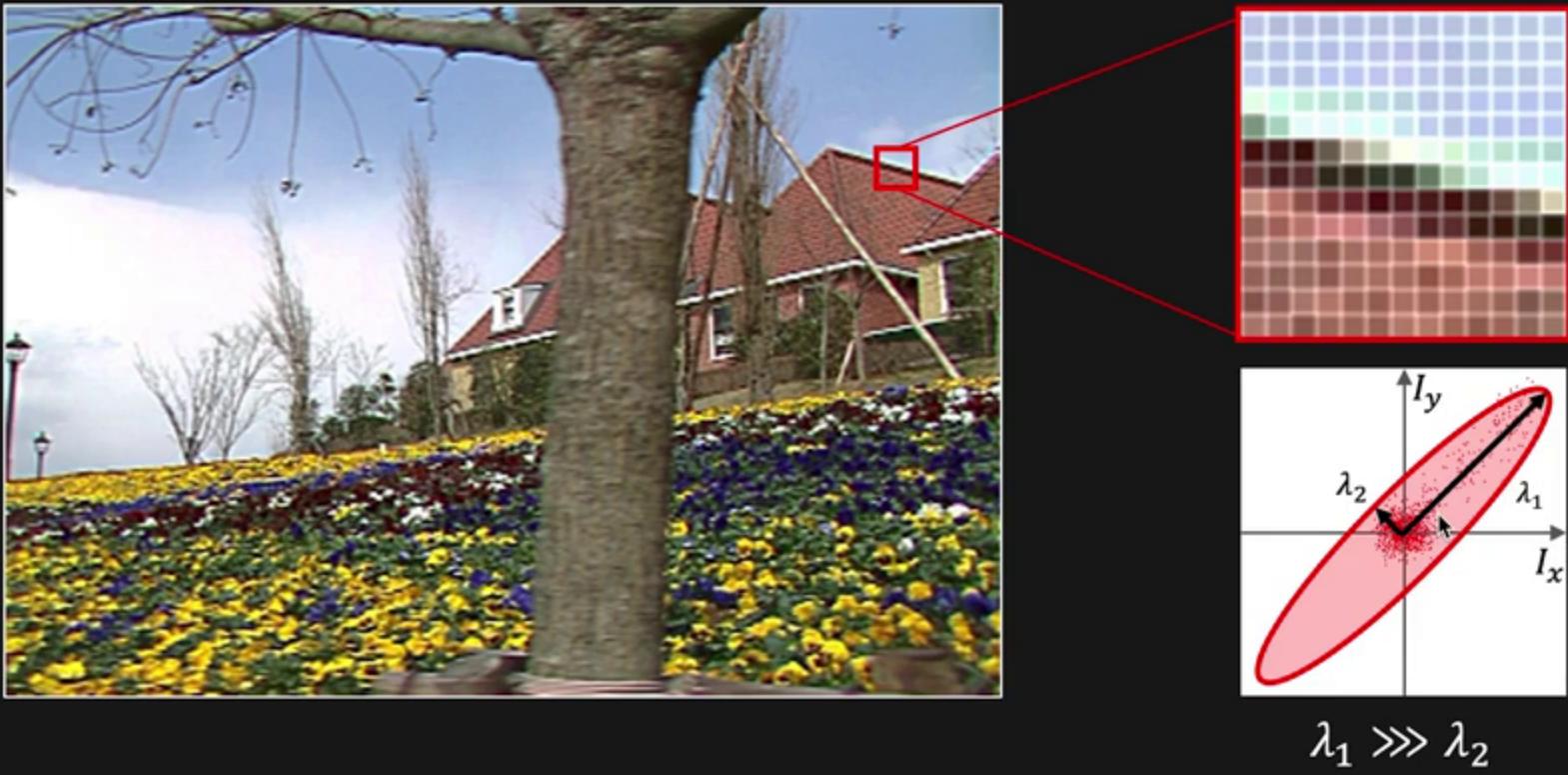


Badly conditioned. Prominent gradient in one direction.

Cannot reliably compute flow!  
Same as Aperture Problem.

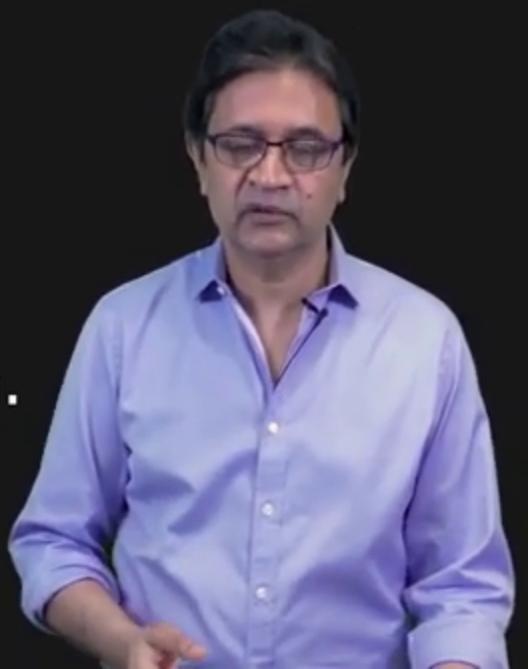


# Edges (Bad)

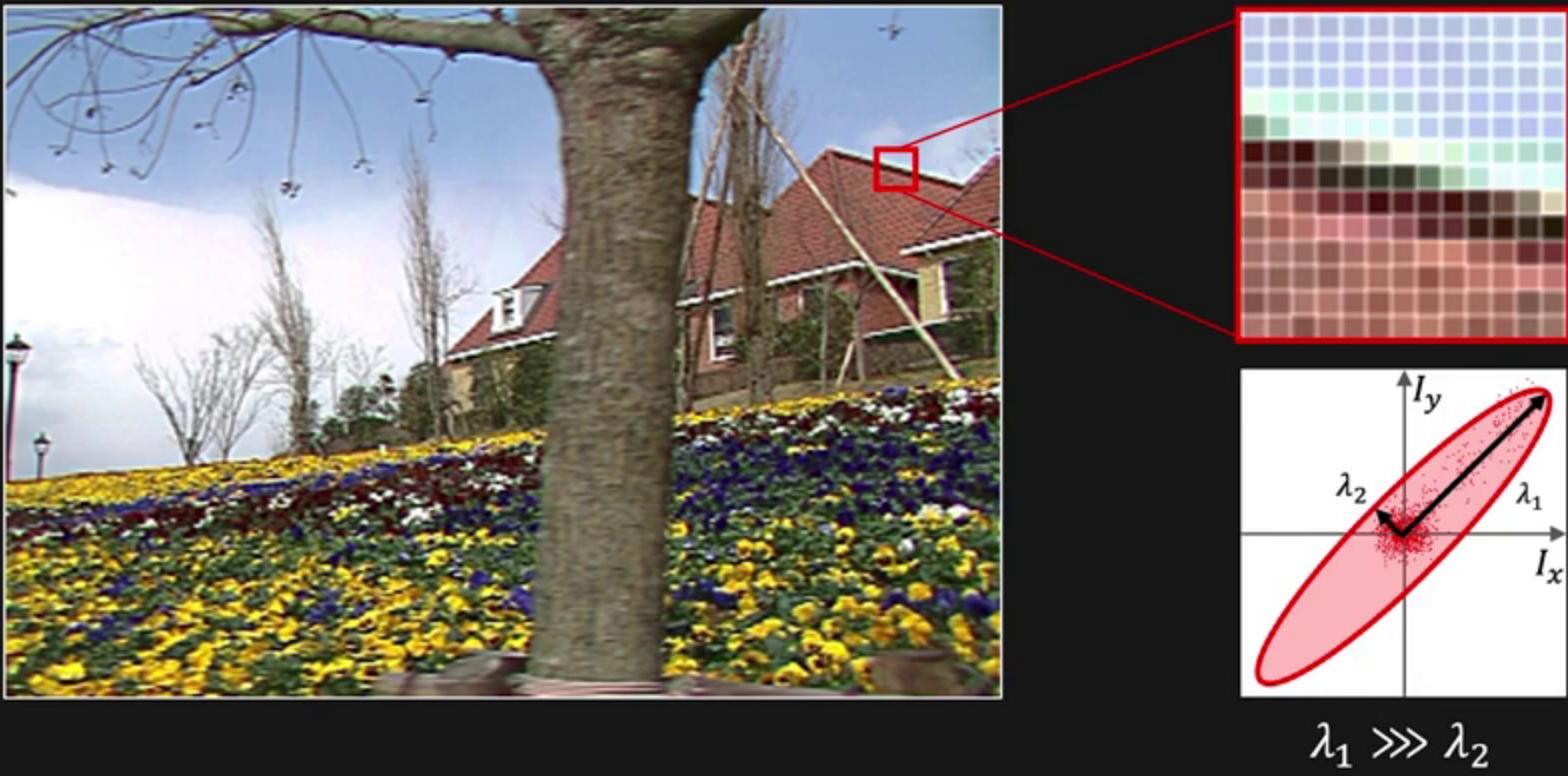


Badly conditioned. Prominent gradient in one direction.

Cannot reliably compute flow!  
Same as Aperture Problem.



# Edges (Bad)

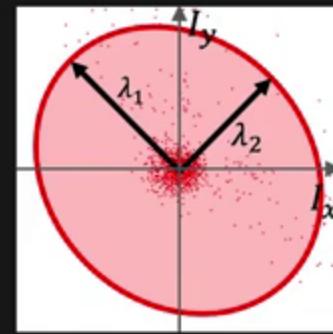
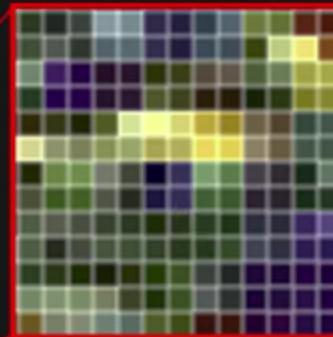


Badly conditioned. Prominent gradient in one direction.

Cannot reliably compute flow!  
Same as Aperture Problem.



# Textured Regions (Good)



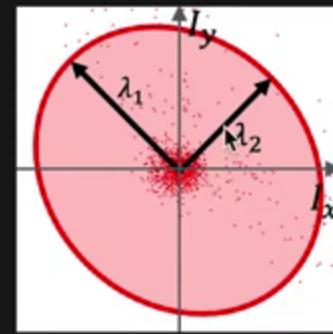
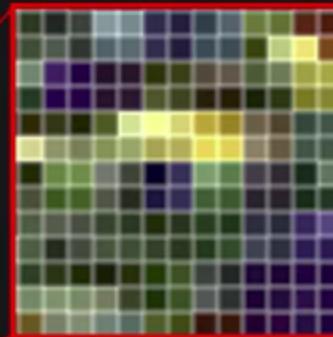
$\lambda_1 \sim \lambda_2$   
Both are Large

Well conditioned. Large and diverse gradient magnitudes.

Can reliably compute optical flow.



# Textured Regions (Good)



$\lambda_1 \sim \lambda_2$   
Both are Large



Well conditioned. Large and diverse gradient magnitudes.

Can reliably compute optical flow.