

Lecture 2: Sets and Functions

Introduction to Mathematical Thinking

January 31st, 2019

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Announcements

- Permission codes will be sent out tomorrow morning. The deadline to add units without a fee is tomorrow, so make sure to add the units ASAP!
 - Along with your permission code, you will get invites to Piazza and Gradescope.
 - **If you're also in EE 16A, there shouldn't be an issue with regards to the time conflict. However, if you get some sort of error, please email me with your information and I'll forward it to the enrollment office who will manually enroll you.**
- Homework 1 will be out late Friday or early Saturday, and is due next Friday at 11:59PM
- Office hours start next week!
- Content from last lecture is available on the site.
 - Generally, annotated slides will be posted almost immediately after lecture, while the video may take a day.
- **Based on the votes from last class, the first quiz will be on Thursday, Feb. 14.** Note that this date is after the drop deadline.

Quick Primer on Notation

We're about to introduce a lot of new notation. I'll explain this notation as it appears, but just so that you are aware:

- \forall means "for all"
- \exists means "there exists"
- $:$, $|$, and *s.t.* all mean "such that"
- \Rightarrow means "implies"
 - We will talk significantly more about the implication in a few lectures, but this will suffice for now

Chapter 1.5 in [our book](#) contains a nice cheat sheet regarding all of this notation.

Sets and Set Operations

Corresponds to 1.1 in book.imt-decal.org

Sets

In mathematics, a **set** is a well-defined collection of objects.

By well-defined, we mean that for any object x , we can easily determine whether or not x is an element (also referred to as a "member") of the set.

$$\mathbb{Z} = \{-\dots, -3, -2, -1, 0, 1, 2, 3, 4, \dots\}$$

$$x \in S$$

"element of"
"is in"

$$-3 \in \mathbb{Z}$$

$$\pi \notin \mathbb{Z}$$

"is not an
element of"

Describing Sets

We define sets in one of two ways:

- By listing out all of the elements in the set, e.g. $A_1 = \{1, 3.14, -15, \pi + e\}$,
 $A_2 = \{\dots, -3, -2, -1, 0, 1, 2, \dots\}$ or $A_3 = \{\text{Lebron, Jordan, Kobe}\}$
- By stating a property that determines the set, e.g. $A_4 = \{x : x + 3 \text{ is prime}\}$ or
 $A_5 = \{t : t^2 - 5t + 6 = 0\}$

such that
set-builder
notation

$$B = \{x^2 : 0 \leq x \leq 10, x \in \mathbb{Z}\}$$

all x^2 such that x is an integer between $0, 10$ (inclusive)

$[x^2 \text{ for } x \text{ in range(11)}]$

list comprehension!

Cardinality

The cardinality $|S|$ of a finite set S is the number of elements in S .

$$A = \{ 0, 1, 2, 3, 4, 5 \}$$

$$|A| = 6$$

sets only
consist of
unique
elements!

$$B = \{ -1, -1, 2, 2, 3, 4 \}$$

$$|B| = 4 \quad (-1, 2, 3, 4)$$

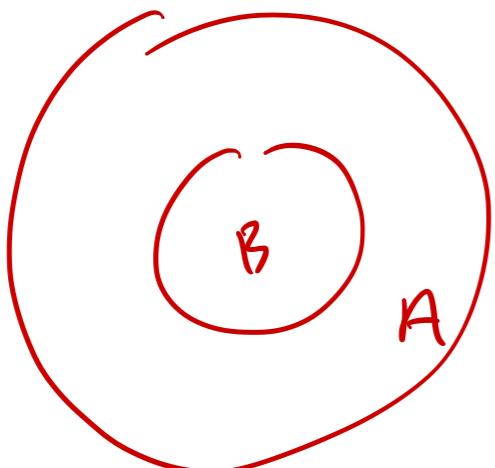
$$Z = \{ \dots, -3, -2, -1, 0, 1, 2, \dots \} \quad |Z| = ?$$

Subsets

$$\begin{aligned} 3 &< 4 \\ \rightarrow 3 &\leq 4 \\ \rightarrow \text{and } 3 &\neq 4 \end{aligned}$$



Given some set A , another set B is a **subset** of A if and only if every element in B is also an element of A . Equivalently, we can say A is a **superset** of B .



we say B is a subset of A .
 $A \supseteq B$ $B \subseteq A$ " B is a subset of A "

$A \supset B$ $B \subset A$ "B is a proper subset of A"

$$\mathcal{B} = \{\text{LeBron}, \text{Kobe}\}$$

$$A = \{ \text{LeBron, Kobe, Jordan} \}$$

$$B \subseteq A \quad B \subset A$$

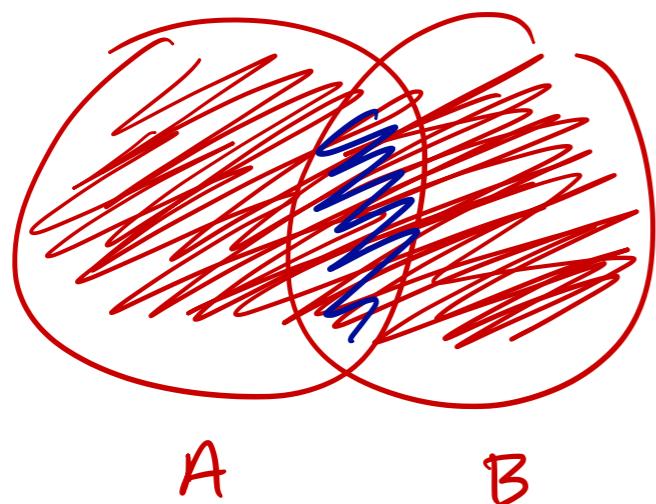
i.e. a subset
that is
not equal

Set Operations

We will now look at operations that act on two (or more) sets.

Union

The **union** of two sets A, B is the set of everything contained by either A or B .

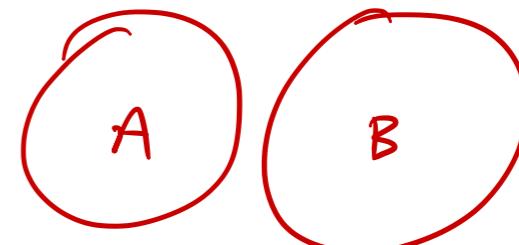
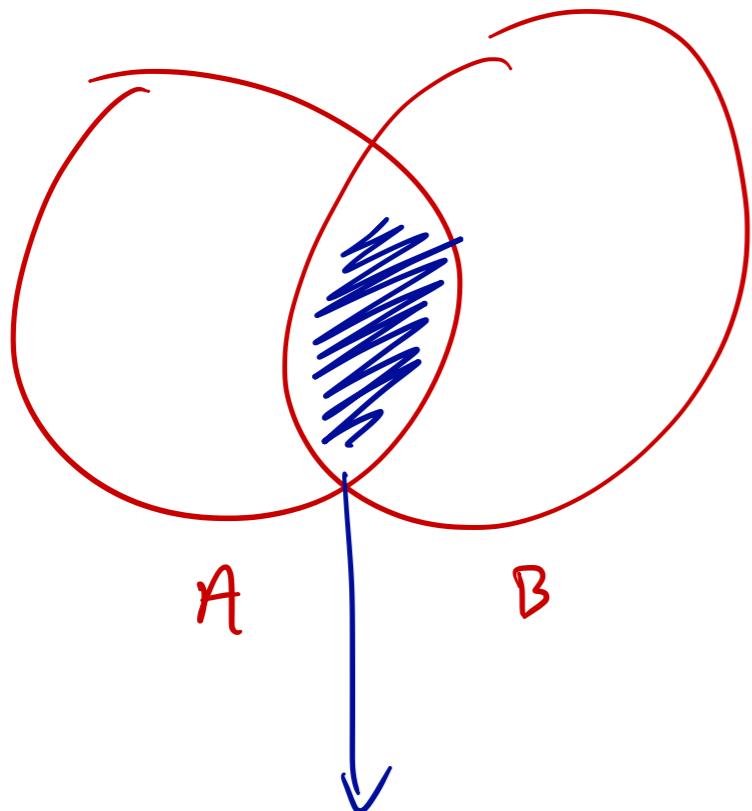


$$\boxed{A \cup B} = \{x : x \in A \text{ or } x \in B\}$$
$$\{x : x \in A \vee_{\text{"or"}} x \in B\}$$

"or"
"disjunction"

Intersection

The **intersection** of two sets A, B is the set of everything contained in both A and B .



DISJOINT
when $A \cap B = \emptyset = \{\}$

empty
set

$$A \cap B = \{ x : x \in A \text{ and } x \in B \}$$

$$= \{ x : x \in A \wedge x \in B \}$$

"conjunction": and

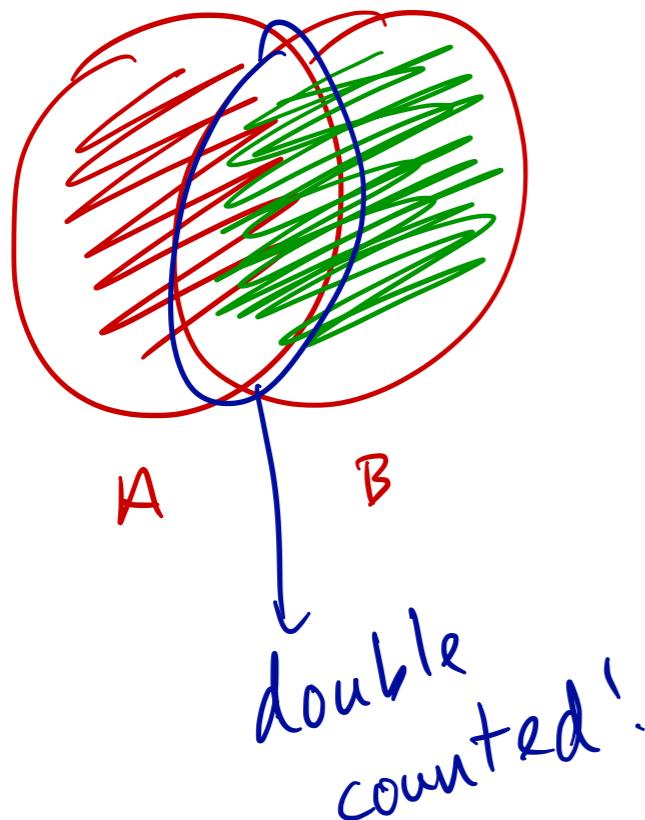
Cardinality of the Union

$$|A|, |B| < \infty$$

also known

Suppose A, B are two sets, and $|A \cap B|$ is a known quantity. How can we determine $|A \cup B|$?

"cardinality of
the intersection"



$$|A \cup B| = |A| + |B| - |A \cap B|$$

"Principle of
Inclusion - Exclusion"

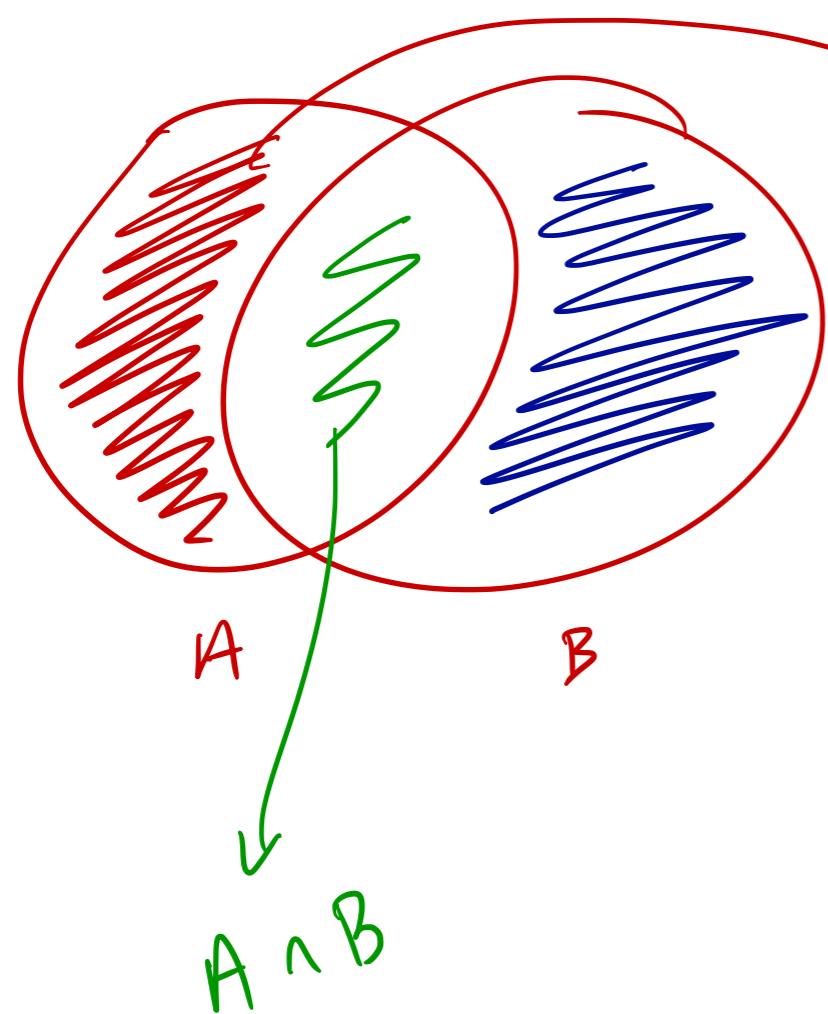
$$A = \{1, 2, 3, 4\}$$

$$B = \{3, 4\}$$

$$A \setminus B = \{1, 2\}$$

Set Difference

The **difference** of two sets A, B is the set of everything contained in A but not contained in B .



set difference : $A - B$
 $A \setminus B$

$$A \setminus B = \{x : x \in A \text{ and } x \notin B\}$$

$$B \setminus A = \{x : x \in B \text{ and } x \notin A\}$$

$$B \times A = \left\{ \begin{array}{l} (x, 1), (y, 1), (z, 1) \\ \dots \\ (z, 3) \end{array} \right\}$$

Cartesian Product

The **Cartesian product** of two sets A, B is the set of all possible combinations of one element in A and one element in B .

$$A \times B = \left\{ (x, y) \mid x \in A \text{ and } y \in B \right\}$$

$$A \times B \neq B \times A$$

$$A = \{1, 2, 3\}$$

$$B = \{x, y, z\}$$

$$(A \times B) = |A| \cdot |B|$$

$$A \times B = \left\{ \begin{array}{l} (1, x), (1, y), (1, z), \\ (2, x), \dots, (2, z), \\ \dots, (3, z) \end{array} \right\}$$

$$= \left\{ \begin{array}{l} (1, x), (2, x), (3, x), \\ (1, y), \dots, \\ \dots, (3, z) \end{array} \right\}$$

Cartesian plane
vectors $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$, $\mathbb{R}^n : n$ length vectors

Universes and Complements

We usually deal with a **universe**, \mathbb{U} , that represents the set of all objects under consideration. For example, if we're looking at different groups of undergraduates at Berkeley, our "universe" could be the set of *all* undergraduates at Berkeley.

This enables us to talk about the notion of a **complement**.

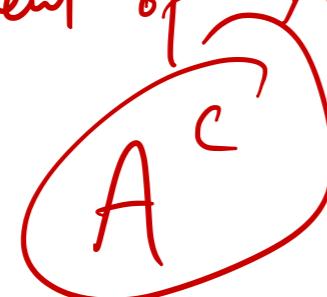
$$\mathbb{U} = \{1, 2, 3, \dots, 10\}$$

$$A^c = \mathbb{U} \setminus A$$

$$= \{x : x \in \mathbb{U} \text{ and } x \notin A\}$$

$$A = \{2, 4, 6, 8\}$$

complement of A : $\{1, 3, 5, 7, 9, 10\}$



A' , \bar{A}

De Morgan's Laws

$$\textcircled{1} \quad (A \cup B)^c = A^c \cap B^c$$

$$(A \cap B)^c = A^c \cup B^c$$

This is not
a proof !!!

$$U = \{1, 2, 3, \dots, 10\}$$

$$A = \{1, 2, 3, 5, 6, 10\}$$

$$B = \{2, 4, 6, 8, 10\}$$

$$\textcircled{1} \quad A \cup B = \{1, 2, 3, 4, 5, 6, 8, 10\}$$

$$\rightarrow (A \cup B)^c = \{7, 9\}$$

$$A^c = \{4, \textcircled{7}, 8, \textcircled{9}\}$$

$$B^c = \{1, 3, 5, \textcircled{7}, \textcircled{9}\}$$

$$\rightarrow A^c \cap B^c = \{7, 9\}$$

Functions

Corresponds to 1.2 in book.imt-decal.org

Relations

relation is
a set!

A relation with *domain A* and *codomain B* is a subset of $A \times B$.

$$r: \{ (\underline{1}, \underline{1}), (\underline{1}, \underline{2}), (\underline{3}, \underline{5}), (\underline{4}, \underline{1}), (\underline{4}, \underline{5}) \}$$

$$A = \{ 1, 2, 3, 4 \}$$

$$B = \{ 1, 5, 7 \}$$

$$A \times B = \{ (1, 1), (2, 1), \dots, (4, 5), (4, 7) \}$$

r is a relation

with domain A

and codomain B

→ tells you input and output
are related
in some way

"inputs"

"outputs"

We say f is a **function** with domain A and codomain B if $f \subset A \times B$ such that for every element in A , there is exactly one element in B . Symbolically, we represent this as $f : A \rightarrow B$.

for every input,
exactly one output

$$A = \{1, 2, 3, 4\}$$

$$B = \{1, 5, 7\}$$

$$A \times B = \{(1, 1), (2, 1), \dots, (4, 5), (4, 7)\}$$

$$f : \{(1, \underline{1}), (2, \underline{1}), (3, \underline{5}), (4, \underline{1})\}$$

each $a \in A$

is mapped to

exactly one $b \in B$

Vertical
line test!

e.g. $A = \{\text{"Hi"}, \text{"Hey"}\}, B = \{5, 10\}$

$A \times B = \{(\text{"Hi"}, 5), (\text{"Hi"}, 10), (\text{"Hey"}, 5), (\text{"Hey"}, 10)\}$

Are the following functions?

$f = \{(\text{"Hi"}, 10), (\text{"Hey"}, 5)\}$

$g = \{(\text{"Hi"}, 5), (\text{"Hey"}, 5)\}$

$h = \{(\text{"Hi"}, 10), (\text{"Hi"}, 5)\}$

f, g, h all relations

f, g functions



- 2 outputs for Hi

- 0 outputs for Hey

$$f = \{("Hi", 10), ("Hey", 5)\}$$

$$g = \{("Hi", 5), ("Hey", 5)\}$$

$f, g \subset A \times B$

$f: A \rightarrow B$
 $f(A) = B$
 \uparrow set A \uparrow set B

A is the **domain**, as it represents all possible inputs.

B is the **codomain**, as it represents all possible outputs; as a result, we say that $f(A) = B$.

The **image**, or **range**, is the set of all actual outputs of a function.

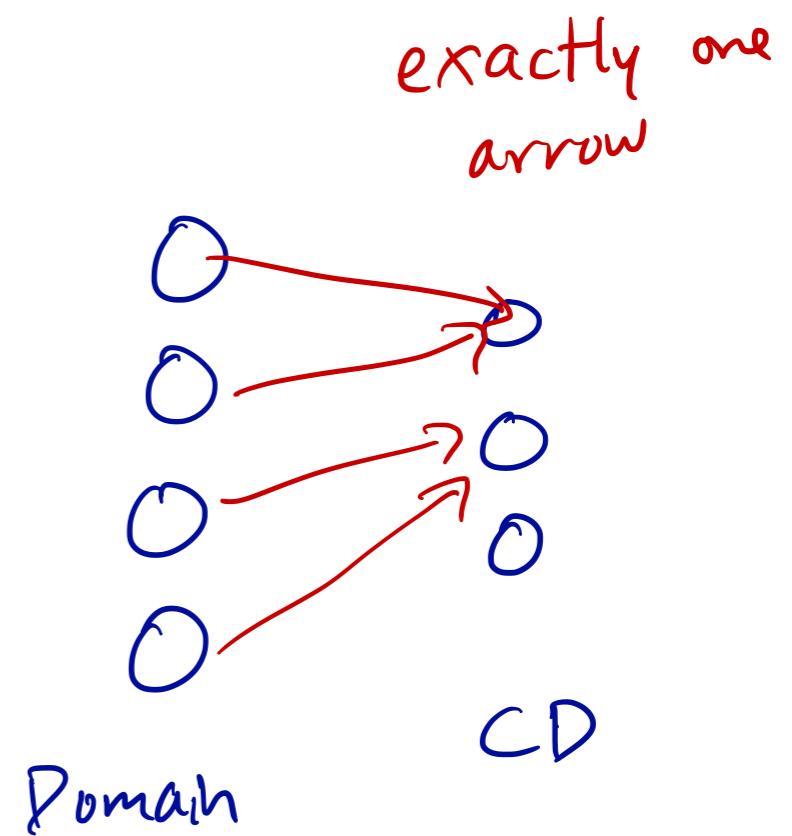
$$f(x,y) = e^{xy} + x^2$$

$f: \mathbb{R}^2 \rightarrow \mathbb{R}$

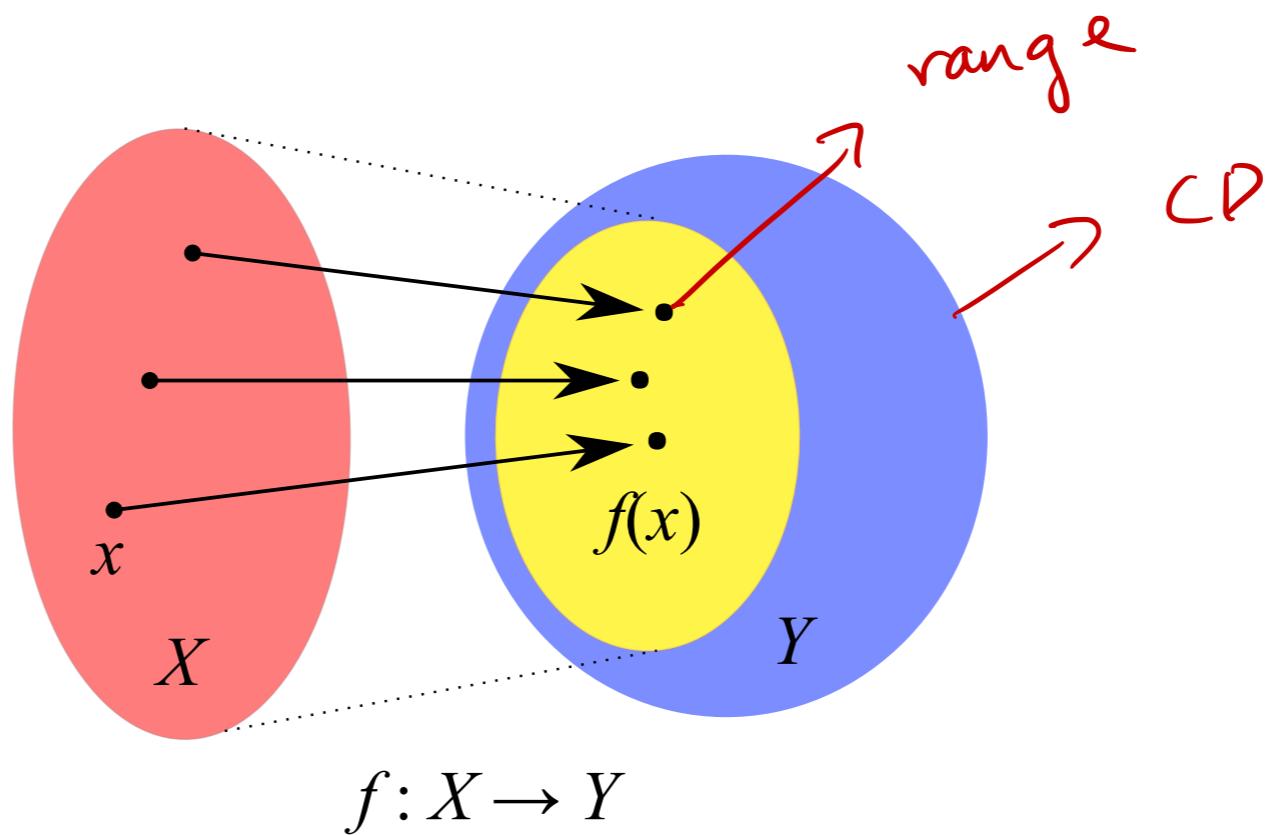
$f:$ codomain : $B \quad \{5, 10\}$
range : $\{5, 10\}$

$g:$ codomain : $\{5, 10\}$
range : $\{5\}$

range \subseteq codomain



In the following [image](#), the red area represents the domain, the blue the codomain, and the yellow the range.



In order to define a function f , we need to specify the domain, codomain, and how to **map** elements of the domain to the codomain. We can do this in two ways:

- List out the pairs (as we did for the previous examples)
- Specify a rule that describes how to get from input to corresponding output

There are two different notations we can use to specify a rule:

$$f(x) = x^2$$

$$f : x \mapsto x^2$$

$$\begin{aligned} f &: A \rightarrow B \\ f &: x \mapsto e^{x^2} \end{aligned}$$

Note the difference between \rightarrow and \mapsto .

Function definitions don't necessarily need to be algebraic!

$$f(x) = \text{the } x^{\text{th}} \text{ smallest prime number}$$

Injections, Surjections and Bijections

We'll now look at a few different (related) types of functions.

Injections

We say a function $f : A \rightarrow B$ is **injective**, or **one-to-one**, if no two elements in the input have the same output.

In formal mathematical notation, we'd say

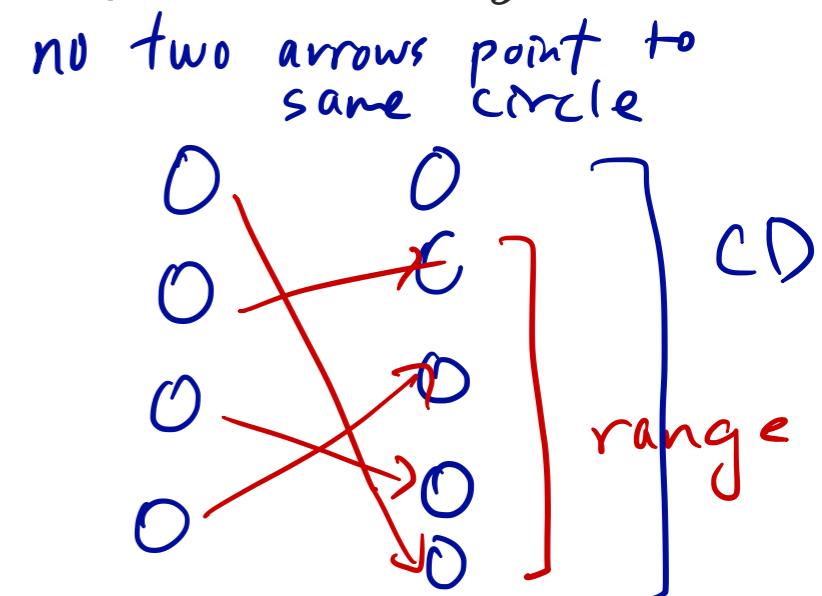
for all x, y

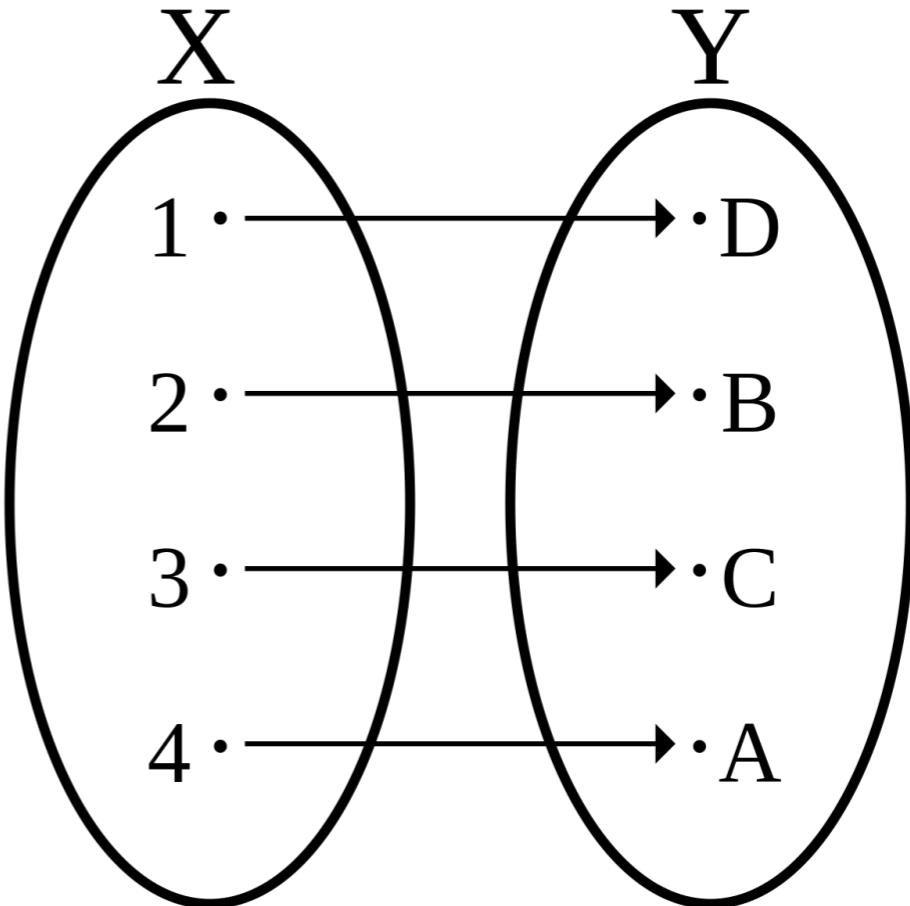
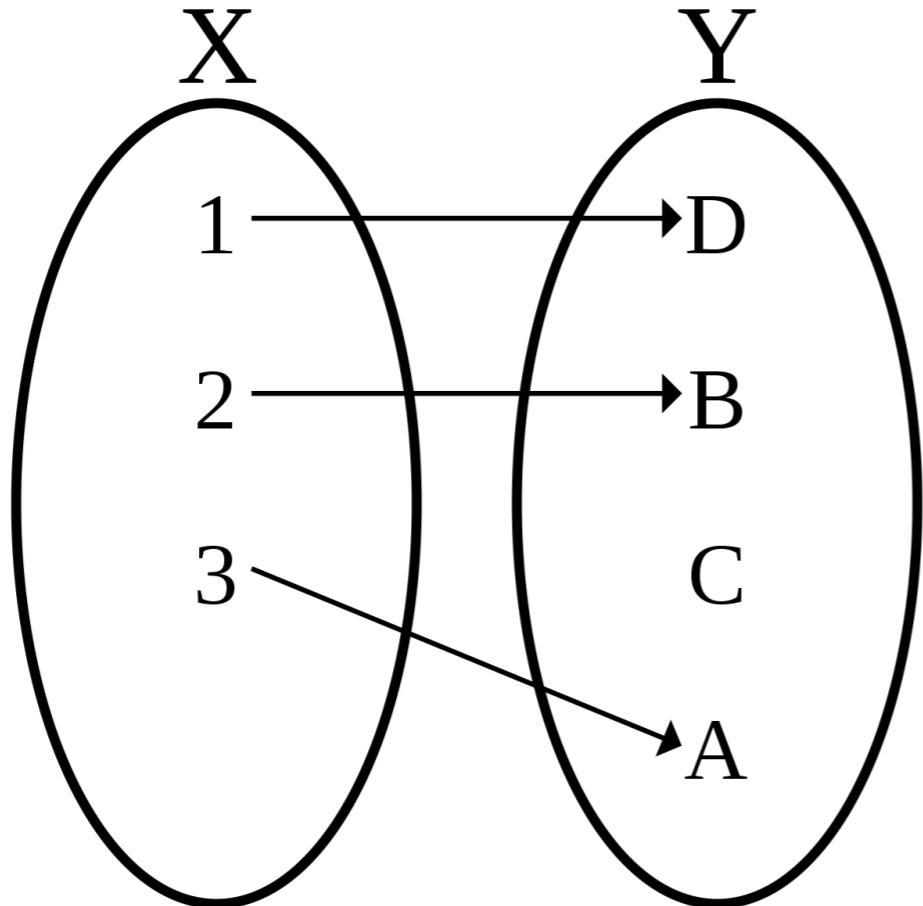
$$\forall x, y \in A, f(x) = f(y) \Rightarrow x = y$$

implies

This translates to "for all x, y in the domain, if x 's output is equal to y 's output, then x and y must be the same element."

$$\forall x, y \in A, x \neq y \Rightarrow f(x) \neq f(y)$$





Both of these functions are examples of injections.

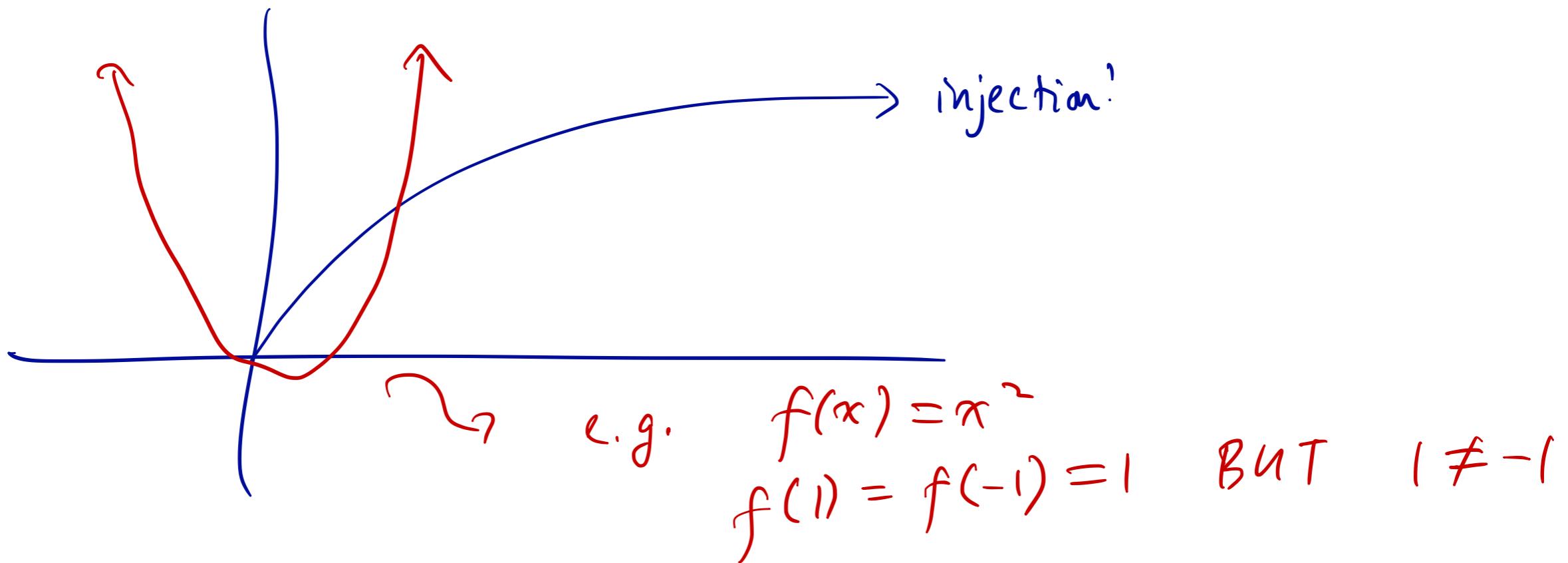
Are the following functions injections?

Your answer may change depending on the domain and codomain!

- $f(x) = e^x$
- $g : x \mapsto \sqrt{x^2}$
- $h(x) = x^3 + 3x$

vertical line test:

check if
function



The "Injection" Test

We know that the derivative $f'(x)$ of a function $f(x)$ describes the rate of change of f . Specifically, $f'(a)$ tells us the rate at which $f(x)$ is changing at $x = a$.

It turns out, if a function's derivative is always greater than 0 or always less than 0, then the function is injective.



$$h(x) = x^3 + 3x$$

$$h'(x) = 3x^2 + 3 \geq 3 > 0 \quad : \text{strictly increasing}$$

\rightarrow injection!