

# SURBHI GOEL

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[first name][last initial]@cis.upenn.edu

## EDUCATION

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**The University of Texas at Austin**

August 2015 - June 2020

M.S. and Ph.D. in Computer Science

Advisor: Adam R. Klivans

Dissertation: [Towards Provably Efficient Algorithms for Learning Neural Networks](#)

Committee: Alex Dimakis, Raghu Meka, Eric Price

**Indian Institute of Technology, Delhi**

July 2011 - May 2015

B.Tech. in Computer Science and Engineering

## APPOINTMENTS

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**University of Pennsylvania, Philadelphia, PA**

January 2023 (*expected*)

*Magerman Term Assistant Professor, Computer and Information Science*

**Microsoft Research, New York, NY**

July 2020 - November 2022 (*expected*)

*Postdoctoral Researcher, Machine Learning Group*

**Institute for Advanced Study, Princeton, NJ**

January - May 2020

*Visiting Graduate Student, Theoretical Machine Learning Program*

**Simons Institute for Theory of Computing, Berkeley, CA**

May - August 2019

*Research Fellow, Foundations of Deep Learning Program*

## RESEARCH INTERESTS

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My research is on the theoretical aspects of the modern practice of machine learning, where my goal is to develop the next generation of principled machine learning methods. In the pursuit of this goal, my work focuses on quantifying the computational and statistical aspects of state-of-the-art deep learning methods, and expanding the toolbox of current algorithms using new theoretically grounded insights.

## AWARDS AND FELLOWSHIPS

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- 2020 Bert Kay Dissertation Award for best dissertation in CS at UT Austin
- 2019 Rising Stars in ML by University of Maryland
- 2019 Rising Stars in EECS by UIUC
- 2019 The University of Texas at Austin Graduate Dean's Prestigious Fellowship Supplement
- 2019 J.P. Morgan AI PhD Fellowship
- 2019 Simons-Berkeley Research Fellowship for Foundations of Deep Learning program
- 2018 The University of Texas at Austin Graduate Continuing Bruton Fellowship
- 2017 The University of Texas at Austin Graduate School Summer Fellowship
- 2015 ICIM Stay Ahead Award for Undergraduate Thesis
- 2015 Suresh Chandra Memorial Trust Award for Undergraduate Thesis
- 2011 Aditya Birla Scholarship awarded to 12 students from all over India
- 2011 OPJEM Scholarship awarded to 1 out of 850 students in the batch at IIT Delhi
- 2011 All India Rank 37 (Rank 2 in girls) in IITJEE among 450,000 students
- 2010 National Mathematics Olympiad finalist (1 out of 30 from all over India)

## PUBLICATIONS

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\* indicates  $\alpha$ - $\beta$  (alphabetical) ordering.

### THESIS

**Surbhi Goel**

**Towards Provably Efficient Algorithms for Learning Neural Networks**

The University of Texas at Austin, 2020

*Received the Bert Kay dissertation award*

### CONFERENCE PAPERS

**Surbhi Goel\***, Sham M. Kakade\*, Adam T. Kalai\*, Cyril Zhang\*

**Recurrent Convolutional Neural Networks Learn Succinct Learning Algorithms**

Neural Information Processing Systems (NeurIPS) 2022

Boaz Barak\*, Benjamin L. Edelman\*, **Surbhi Goel\***, Sham M. Kakade\*, Eran Malach\*, Cyril Zhang\*

**Hidden Progress in Deep Learning: SGD Learns Parities Near the Computational Limit**

Neural Information Processing Systems (NeurIPS) 2022

Benjamin L. Edelman\*, **Surbhi Goel\***, Sham M. Kakade\*, Cyril Zhang \*

**Inductive Biases and Variable Creation in Self-Attention Mechanisms**

International Conference on Machine Learning (ICML) 2022

Nikunj Saunshi, Jordan T. Ash, **Surbhi Goel**, Dipendra Misra, Cyril Zhang, Sanjeev Arora, Sham M. Kakade, Akshay Krishnamurthy

**Understanding Contrastive Learning Requires Incorporating Inductive Biases**

International Conference on Machine Learning (ICML) 2022

Jordan T. Ash, Cyril Zhang, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade

**Anti-Concentrated Confidence Bonuses For Scalable Exploration**

International Conference on Learning Representations (ICLR) 2022

Jordan T. Ash\*, **Surbhi Goel\***, Akshay Krishnamurthy\*, Dipendra Misra\*

**Investigating the Role of Negatives in Contrastive Representation Learning**

International Conference on Artificial Intelligence and Statistics (AISTATS) 2022

Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade

**Gone Fishing: Neural Active Learning with Fisher Embeddings**

Neural Information Processing Systems (NeurIPS) 2021

Naman Agarwal\*, **Surbhi Goel\***, Cyril Zhang\*

**Acceleration via Fractal Learning Rate Schedules**

International Conference on Machine Learning (ICML) 2021

Anthimos-Vardis Kandiros, Yuval Dagan, Nishanth Dikkala, **Surbhi Goel**, Constantinos Daskalakis

**Statistical Estimation from Dependent Data**

International Conference on Machine Learning (ICML) 2021

**Surbhi Goel\***, Adam R. Klivans\*, Pasin Manurangsi\*, Daniel Reichman\*

**Tight Hardness Results for Learning One-Layer ReLU Networks**

Innovations in Theoretical Computer Science (ITCS) 2021

**Surbhi Goel\***, Adam R. Klivans\*, Frederic Koehler\*

**From Boltzmann Machines to Neural Networks and Back Again**

Neural Information Processing Systems (NeurIPS) 2020

**Surbhi Goel\***, Aravind Gollakota\*, Adam R., Klivans\*

**Statistical-Query Lower Bounds via Functional Gradients**

Neural Information Processing Systems (NeurIPS) 2020

**Surbhi Goel\***, Aravind Gollakota\*, Zhihan Jin\*, Sushrut Karmalkar\*, Adam R. Klivans\*

**Superpolynomial Lower Bounds for Learning One-Layer Neural Networks using Gradient Descent**

International Conference on Machine Learning (ICML) 2020

Omar Montasser, **Surbhi Goel**, Ilias Diakonikolas, Nathan Srebro

**Efficiently Learning Adversarially Robust Halfspaces with Noise**

International Conference on Machine Learning (ICML) 2020

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis

**Learning Mixtures of Graphs from Epidemic Cascades**

International Conference on Machine Learning (ICML) 2020

Ilias Diakonikolas\*, **Surbhi Goel\***, Sushrut Karmalkar\*, Adam R. Klivans\*, Mahdi Soltanolkotabi\*

**Approximation Schemes for ReLU Regression**

Conference on Learning Theory (COLT) 2020

**Surbhi Goel**

**Learning Ising and Potts Models with Latent Variables**

International Conference on Artificial Intelligence and Statistics (AISTATS) 2020

**Surbhi Goel\***, Sushrut Karmalkar\*, Adam R. Klivans\*

**Time/Accuracy Trade-offs for Learning a ReLU with respect to Gaussian Marginals**

Neural Information Processing Systems (NeurIPS) 2019

*Selected for a spotlight presentation*

**Surbhi Goel\***, Daniel Kane\*, Adam R. Klivans\*

**Learning Ising Models with Independent Failures**

Conference on Learning Theory (COLT) 2019

**Surbhi Goel\***, Adam R. Klivans\*

**Learning Neural Networks with Two Nonlinear Layers in Polynomial Time**

Conference on Learning Theory (COLT) 2019

**Surbhi Goel\***, Adam R. Klivans\*, Raghu Meka\*

**Learning One Convolutional Layer with Overlapping Patches**

International Conference on Machine Learning (ICML) 2018

*Selected for a full oral presentation*

**Surbhi Goel\***, Adam R. Klivans\*

**Eigenvalue Decay Implies Polynomial-Time Learnability for Neural Networks**

Neural Information Processing Systems (NeurIPS) 2017

**Surbhi Goel\***, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\*

**Reliably Learning ReLU in Polynomial Time**

Conference on Learning Theory (COLT) 2017

## WORKSHOP PAPERS

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis

**Disentangling Mixtures of Epidemics on Graphs**

Graph Representation Learning, Neural Information Processing Systems (NeurIPS) 2019

**Surbhi Goel\***, Adam R. Klivans\*

**Learning Depth-Three Neural Networks in Polynomial Time**

Deep Learning: Bridging Theory and Practice, Neural Information Processing Systems (NeurIPS) 2017

**Surbhi Goel\***, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\*

**Reliably Learning ReLU in Polynomial Time**

Optimization for Machine Learning (OPT), Neural Information Processing Systems (NeurIPS) 2016

*Selected for an oral presentation*

## WORKING PAPERS

Bingbin Liu, Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Cyril Zhang

**Transformers Learn Shortcuts to Automata**

In preparation, 2022

## MANUSCRIPTS

**Surbhi Goel\***, Rina Panigrahy\*

**Learning Two layer Networks with Multinomial Activation and High Thresholds**

Manuscript, 2019

Matthew Jordan, Naren Manoj, **Surbhi Goel**, Alexandros Dimakis

**Quantifying Perceptual Distortion of Adversarial Examples**

Manuscript, 2019

Simon Du\*, **Surbhi Goel\***

**Improved Learning of One-hidden-layer Convolutional Neural Networks with Overlaps**

Manuscript, 2018.

## INVITED TALKS

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**Sparse Feature Emergence in Deep Learning**

*Symposium on New Directions in Theoretical Machine Learning [slides]*

*September 2022*

**What Functions do Self-attention Blocks Prefer to Represent?/  
Demystifying Attention-based Architectures in Deep Learning**

*ML Foundations Seminar at MSR Redmond*

*August 2022*

*Workshop on Algorithms for Learning and Economics (WALE) in Greece*

*June 2022*

*ML Symposium at USC*

*December 2021*

*ELLIS Talk Series at IST Austria*

*December 2021*

*Learning Theory Workshop at Google*

*October 2021*

**The Hidden Progress Behind Loss Curves**

*Workshop on Learning: Optimization and Stochastics at EPFL*

*July 2022*

## **Principled Algorithm Design in the Era of Deep Learning**

*CS/CSE Colloquium at NYU Courant/Tandon*

*April 2022*

*CS Colloquium at UW-Madison*

*March 2022*

*CS Colloquium at Halicioglu Data Science Institute, UCSD*

*March 2022*

*CS Colloquium at UMD*

*February 2022*

*SCS Talk at CMU*

*February 2022*

*CS Colloquium at Duke*

*February 2022*

*CIS Colloquium at UPenn*

*February 2022*

*CS Colloquium at Cornell University*

*February 2022*

*Talks at TTIC*

*February 2022*

## **Computational Barriers For Learning Some Generalized Linear Models**

*Information-Computation Trade-offs Workshop at Simons Institute [[video](#)]/[[slides](#)]*

*September 2021*

## **Computational Complexity of ReLU Regression**

*The Multifaceted Complexity of Machine Learning Workshop at IMSI [[video](#)]*

*April 2021*

## **Computational Complexity of Learning Neural Networks over Gaussian Marginals**

*MIC Seminar at NYU*

*May 2020*

*Algorithms Seminar at Duke University*

*October 2020*

*ML Theory Seminar at Harvard University [[video](#)]*

*October 2020*

*ARC Colloquium at Georgia Tech*

*November 2020*

*IDEAL Seminar at TTIC*

*November 2020*

*TOC Colloquium at MIT*

*December 2020*

*SILO Seminar at UW-Madison*

*January 2020*

*Statistics Seminar at Stanford University*

*July 2021*

## **Approximation Schemes for ReLU Regression**

*Deep Learning Program Reunion at Simons Institute*

*August 2020*

## **Provably Efficient Algorithms for Learning Neural Networks**

*Microsoft Research New York*

*February 2020*

*Microsoft Research New England*

*February 2020*

*Microsoft Research Redmond*

*February 2020*

## **Time/Accuracy Tradeoffs for Learning a ReLU wrt Gaussian Marginals**

*Spotlight Talk at Neural Information Processing Systems (NeurIPS)*

*December 2019*

## **Exploring Surrogate Losses for Learning Neural Networks**

*TTIC Young Researcher Seminar Series*

*December 2019*

## **Efficiently Learning Simple Neural Networks**

*Rising Star in ML Talk at University of Maryland*

*September 2019*

## **Learning Ising Models with Independent Failures**

*Research Fellows Talk at Simons Institute*

*July 2019*

## **Efficiently Learning Simple Convolutional Networks**

*China Theory Week at Tsinghua University*

*September 2019*

## **Learning One Convolutional Layer with Overlapping Patches**

*Google Research Theory Reading Group*

*June 2018*

## Reliably Learning the ReLU in Polynomial Time

*OPT-ML Workshop at Neural Information Processing Systems (NeurIPS)*

*December 2016*

## WORK EXPERIENCE

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**Google, Mountain View CA**

*Research Intern*

May - August 2018

*Supervisor: Rina Panigrahy*

**Dell, Round Rock TX**

*Research Intern*

June - August 2017

**Google, New York, NY**

*Research Intern*

May - August 2016

*Supervisor: Natalia Ponomareva*

**Google, Mountain View CA**

*Software Engineering Intern*

May - August 2014

*Supervisor: Neha Jha*

**University of Michigan, Ann Arbor MI**

*Research Scholar*

May - July 2013

*Supervisor: Atul Prakash*

## TEACHING EXPERIENCE

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**University of Texas at Austin**

*Course: Data Mining (Hons.)*

Spring 2018

*Guest Lecture*

**University of Texas at Austin**

*Course: Distributed Computing (Hons.)*

Spring 2016

*Teaching Assistant*

**University of Texas at Austin**

*Course: Data Structures*

Fall 2015

*Teaching Assistant*

**Indian Institute of Technology Delhi**

*Course: Data Structures*

Spring 2015

*Teaching Assistant*

## OUTREACH

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**Co-founder**

*Learning Theory Alliance (LeT-All)*

2020-Present

Co-organizing the [Fall 2022 Mentoring Workshop](#) in collaboration with FODSI

Co-organized the [COLT 2022 Mentoring Panel](#)

Co-organized the [ALT 2022 Mentoring Workshop](#)

Co-organized the [Graduate Applications Support Program](#) in collaboration with WiML-T

Co-organized the [COLT 2021 Mentoring Workshop](#)

Co-organized the [ALT 2021 Mentoring Workshop](#)

**Mentor**

*Women in Machine Learning Theory (WiML-T) Mentoring Program*

*2021-Present*

*UT Austin's Women in CS (GWC-WiCS) Mentoring Program*

*2018-19*

## Panelist

*WiML Un-Workshop, ICML 2022*

*July 2022*

*New Horizons in Theoretical Computer Science*

*June 2022*

*VMware Nirman for Women in Tech*

*January 2021*

## SERVICE ROLES

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### Virtual Experience Chair

2021

*Conference on Learning Theory (COLT)*

Co-organized the virtual part of the hybrid conference, including the 2-day virtual-only program

### Co-organizer

2020-21

*One World Machine Learning Seminar Series*

### Treasurer

2016-17

*Graduate Representative Association of Computer Sciences (GRACS)*

### Program Committee

*International Conference on Algorithmic Learning Theory (ALT)*

*2021/22*

*Conference on Learning Theory (COLT)*

*2021/22*

*International Conference on Artificial Intelligence and Statistics (AISTATS) (area chair)*

*2023*

### Conference Reviewing

*Symposium on Theory of Computing (STOC)*

*2019/20/21*

*Neural Information Processing Systems (NeurIPS)*

*2018 (top 30%)/20/21*

*Conference on Learning Theory (COLT)*

*2018/19/20*

*International Conference on Learning Representations (ICLR)*

*2019/20/23*

*Symposium on Discrete Algorithms (SODA)*

*2020/23*

*Foundations of Computer Science (FOCS)*

*2020/22*

*International Conference on Machine Learning (ICML)*

*2019 (top 5%)*

### Journal Reviewing

*Journal of Machine Learning Research*

*2021/22*

*IEEE Transactions on Information Theory*

*2020*