# SURBHI GOEL

https://www.surbhigoel.com [first name][last initial]@cis.upenn.edu

### **EDUCATION**

# The University of Texas at Austin

August 2015 - June 2020

M.S. and Ph.D. in Computer Science

Advisor: Adam R. Klivans

Dissertation: Towards Provably Efficient Algorithms for Learning Neural Networks

Committee: Alex Dimakis, Raghu Meka, Eric Price

# Indian Institute of Technology, Delhi

July 2011 - May 2015

B.Tech. in Computer Science and Engineering

#### APPOINTMENTS

## University of Pennsylvania, Philadelphia, PA

January 2023 (expected)

Magerman Term Assistant Professor, Computer and Information Science

## Microsoft Research, New York, NY

July 2020 - November 2022 (expected)

Postdoctoral Researcher, Machine Learning Group

# Institute for Advanced Study, Princeton, NJ

January - May 2020

Visiting Graduate Student, Theoretical Machine Learning Program

## Simons Institute for Theory of Computing, Berkeley, CA

May - August 2019

Research Fellow, Foundations of Deep Learning Program

# RESEARCH INTERESTS

My research is on the theoretical aspects of the modern practice of machine learning, where my goal is to develop the next generation of principled machine learning methods. In the pursuit of this goal, my work focuses on quantifying the computational and statistical aspects of state-of-the-art deep learning methods, and expanding the toolbox of current algorithms using new theoretically grounded insights.

## AWARDS AND FELLOWSHIPS

2020	D 17	D:+-+:	Λ1 .1	` 1+	1:	·	$\alpha$	- + TIT	A+:
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- 2019 Rising Stars in ML by University of Maryland
- 2019 Rising Stars in EECS by UIUC
- 2019 The University of Texas at Austin Graduate Dean's Prestigious Fellowship Supplement
- 2019 J.P. Morgan AI PhD Fellowship
- 2019 Simons-Berkeley Research Fellowship for Foundations of Deep Learning program
- 2018 The University of Texas at Austin Graduate Continuing Bruton Fellowship
- 2017 The University of Texas at Austin Graduate School Summer Fellowship
- 2015 ICIM Stay Ahead Award for Undergraduate Thesis
- 2015 Suresh Chandra Memorial Trust Award for Undergraduate Thesis
- 2011 Aditya Birla Scholarship awarded to 12 students from all over India
- 2011 OPJEM Scholarship awarded to 1 out of 850 students in the batch at IIT Delhi
- 2011 All India Rank 37 (Rank 2 in girls) in HTJEE among 450,000 students
- 2010 National Mathematics Olympiad finalist (1 out of 30 from all over India)

### **PUBLICATIONS**

\* indicates  $\alpha$ - $\beta$  (alphabetical) ordering.

#### **THESIS**

### Surbhi Goel

Towards Provably Efficient Algorithms for Learning Neural Networks The University of Texas at Austin, 2020 Received the Bert Kay dissertation award

#### CONFERENCE PAPERS

Benjamin L. Edelman\*, **Surbhi Goel**\*, Sham M. Kakade\*, Cyril Zhang \* Inductive Biases and Variable Creation in Self-Attention Mechanisms International Conference on Machine Learning (ICML) 2022

Nikunj Saunshi, Jordan T. Ash, **Surbhi Goel**, Dipendra Misra, Cyril Zhang, Sanjeev Arora, Sham M. Kakade, Akshay Krishnamurthy

Understanding Contrastive Learning Requires Incorporating Inductive Biases International Conference on Machine Learning (ICML) 2022

Jordan T. Ash, Cyril Zhang, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade **Anti-Concentrated Confidence Bonuses For Scalable Exploration** International Conference on Learning Representations (ICLR) 2022

Jordan T. Ash\*, Surbhi Goel\*, Akshay Krishnamurthy\*, Dipendra Misra\* Investigating the Role of Negatives in Contrastive Representation Learning International Conference on Artificial Intelligence and Statistics (AISTATS) 2022

Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade Gone Fishing: Neural Active Learning with Fisher Embeddings Neural Information Processing Systems (NeurIPS) 2021

Naman Agarwal\*, Surbhi Goel\*, Cyril Zhang\* Acceleration via Fractal Learning Rate Schedules International Conference on Machine Learning (ICML) 2021

Anthimos-Vardis Kandiros, Yuval Dagan, Nishanth Dikkala, **Surbhi Goel**, Constantinos Daskalakis Statistical Estimation from Dependent Data International Conference on Machine Learning (ICML) 2021

Surbhi Goel\*, Adam R. Klivans\*, Pasin Manurangsi\*, Daniel Reichman\* Tight Hardness Results for Learning One-Layer ReLU Networks Innovations in Theoretical Computer Science (ITCS) 2021

Surbhi Goel\*, Adam R. Klivans\*, Frederic Koehler\*
From Boltzmann Machines to Neural Networks and Back Again
Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel\*, Aravind Gollakota\*, Adam R., Klivans\* Statistical-Query Lower Bounds via Functional Gradients Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel\*, Aravind Gollakota\*, Zhihan Jin\*, Sushrut Karmalkar\*, Adam R. Klivans\* Superpolynomial Lower Bounds for Learning One-Layer Neural Networks using Gradient Descent

International Conference on Machine Learning (ICML) 2020

Omar Montasser, **Surbhi Goel**, Ilias Diakonikolas, Nathan Srebro Efficiently Learning Adversarially Robust Halfspaces with Noise International Conference on Machine Learning (ICML) 2020

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis Learning Mixtures of Graphs from Epidemic Cascades International Conference on Machine Learning (ICML) 2020

Ilias Diakonikolas\*, **Surbhi Goel**\*, Sushrut Karmalkar\*, Adam R. Klivans\*, Mahdi Soltanolkotabi\* **Approximation Schemes for ReLU Regression** Conference on Learning Theory (COLT) 2020

## Surbhi Goel

Learning Ising and Potts Models with Latent Variables International Conference on Artificial Intelligence and Statistics (AISTATS) 2020

Surbhi Goel\*, Sushrut Karmalkar\*, Adam R. Klivans\*
Time/Accuracy Trade-offs for Learning a ReLU with respect to Gaussian Marginals
Neural Information Processing Systems (NeurIPS) 2019
Selected for a spotlight presentation

Surbhi Goel\*, Daniel Kane\*, Adam R. Klivans\* Learning Ising Models with Independent Failures Conference on Learning Theory (COLT) 2019

Surbhi Goel\*, Adam R. Klivans\*

Learning Neural Networks with Two Nonlinear Layers in Polynomial Time Conference on Learning Theory (COLT) 2019

Surbhi Goel\*, Adam R. Klivans\*, Raghu Meka\*
Learning One Convolutional Layer with Overlapping Patches
International Conference on Machine Learning (ICML) 2018
Selected for a full oral presentation

Surbhi Goel\*, Adam R. Klivans\*

Eigenvalue Decay Implies Polynomial-Time Learnability for Neural Networks Neural Information Processing Systems (NeurIPS) 2017

Surbhi Goel\*, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\* Reliably Learning ReLU in Polynomial Time Conference on Learning Theory (COLT) 2017

# WORKSHOP PAPERS

Jessica Hoffmann, Soumya Basu, Surbhi Goel, Constantine Caramanis

# Disentangling Mixtures of Epidemics on Graphs

Graph Representation Learning, Neural Information Processing Systems (NeurIPS) 2019

# Surbhi Goel\*, Adam R. Klivans\*

# Learning Depth-Three Neural Networks in Polynomial Time

Deep Learning: Bridging Theory and Practice, Neural Information Processing Systems (NeurIPS) 2017

# Surbhi Goel\*, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\*

Reliably Learning ReLU in Polynomial Time

Optimization for Machine Learning (OPT), Neural Information Processing Systems (NeurIPS) 2016 Selected for an oral presentation

#### WORKING PAPERS

Surbhi Goel\*, Sham M. Kakade\*, Adam T. Kalai\*, Cyril Zhang \*

Recurrent Convolutional Neural Networks Learn Succinct Learning Algorithms In submission, 2022

Boaz Barak\*, Benjamin L. Edelman\*, **Surbhi Goel**\*, Sham M. Kakade\*, Eran Malach\*, Cyril Zhang\* Hidden Progress in Deep Learning: SGD Learns Parities Near the Computational Limit In submission, 2022

#### **MANUSCRIPTS**

Surbhi Goel\*, Rina Panigrahy\*

Learning Two layer Networks with Multinomial Activation and High Thresholds Manuscript, 2019

Matthew Jordan, Naren Manoj, **Surbhi Goel**, Alexandros Dimakis Quantifying Perceptual Distortion of Adversarial Examples Manuscript, 2019

# Simon Du\*, **Surbhi Goel**\*

Improved Learning of One-hidden-layer Convolutional Neural Networks with Overlaps Manuscript, 2018.

### INVITED TALKS

# Sparse Feature Emergence in Deep Learning

Simons Foundation Symposium on New Directions in Theoretical Machine Learning September 2022

# What Functions do Self-attention Blocks Prefer to Represent? Demystifying Attention-based Architectures in Deep Learning

ML Foundations Seminar at MSR Redmond	August~2022
Workshop on Algorithms for Learning and Economics (WALE) in Greece	June~2022
ML Symposium at USC	$December\ 2021$
ELLIS Talk Series at IST Austria	$December\ 2021$
Learning Theory Workshop at Google	October 2021

### The Hidden Progress Behind Loss Curves

Workshop on Learning: Optimization and Stochastics at EPFL July 2022

#### Pricipled Algorithm Design in the Era of Deep Learning

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CS/CSE	Colloquium	n at NYU Courant,	'T and on			$April\ 2022$
CS Collo	quium at $U$	$IW ext{-}Madison$				March 2022

CS Colloquium at Halicioglu Data Science Institute, UCSD CS Colloquium at UMD SCS Talk at CMU CS Colloquium at Duke CIS Colloquium at UPenn CS Colloquium at Cornell University Talks at TTIC	March February February February February February February	2022 2022 2022 2022 2022
Computational Barriers For Learning Some Generalized Linear Models Information-Computation Trade-offs Workshop at Simons Institute [video][slides]	September	2021
Computational Complexity of ReLU Regression The Multifaceted Complexity of Machine Learning Workshop at IMSI [video]	April	2021
Computational Complexity of Learning Neural Networks over Gaussian  MIC Seminar at NYU  Algorithms Seminar at Duke University  ML Theory Seminar at Harvard University [video]  ARC Colloquium at Georgia Tech  IDEAL Seminar at TTIC  TOC Colloquium at MIT  SILO Seminar at UW-Madison  Statistics Seminar at Stanford University	May October October November November December January	2020 2020 2020 2020
Approximation Schemes for ReLU Regression Deep Learning Program Reunion at Simons Institute	August	2020
Provably Efficient Algorithms for Learning Neural Networks  Microsoft Research New York  Microsoft Research New England  Microsoft Research Redmond  Time/Accuracy Tradeoffs for Learning a ReLU wrt Gaussian Marginals	February February February	2020
Spotlight Talk at Neural Information Processing Systems (NeurIPS)	December	2019
Exploring Surrogate Losses for Learning Neural Networks TTIC Young Researcher Seminar Series	December	2019
Efficiently Learning Simple Neural Networks Rising Star in ML Talk at University of Maryland	September	2019
Learning Ising Models with Independent Failures Research Fellows Talk at Simons Institute	July	2019
Efficiently Learning Simple Convolutional Networks China Theory Week at Tsinghua University	September	2019
Learning One Convolutional Layer with Overlapping Patches Google Research Theory Reading Group	June	2018
Reliably Learning the ReLU in Polynomial Time OPT-ML Workshop at Neural Information Processing Systems (NeurIPS)	December	2016

### WORK EXPERIENCE

Google, Mountain View CA

Research Intern Supervisor: Rina Panigrahy

May - August 2018

Dell, Round Rock TX June - August 2017

Research Intern

Google, New York, NY May - August 2016

Research Intern Supervisor: Natalia Ponomareva

Google, Mountain View CA May - August 2014

Software Engineering Intern Supervisor: Neha Jha

University of Michigan, Ann Arbor MI May - July 2013

Research Scholar Supervisor: Atul Prakash

TEACHING EXPERIENCE

University of Texas at Austin Spring 2018

Course: Data Mining (Hons.) Guest Lecture

University of Texas at Austin Spring 2016

Course: Distributed Computing (Hons.) Teaching Assistant

University of Texas at Austin Fall 2015

Course: Data Structures Teaching Assistant

Indian Institute of Technology Delhi Spring 2015

Course: Data Structures Teaching Assistant

OUTREACH

Co-founder 2020-Present

Learning Theory Alliance (LeT-All)

Co-organizing the Fall 2022 Mentoring Workshop

Co-organized the COLT 2022 Mentoring Panel

Co-organized the ALT 2022 Mentoring Workshop

Co-organized the Graduate Applications Support Program

Co-organized the COLT 2021 Mentoring Workshop

Co-organized the ALT 2021 Mentoring Workshop

Mentor

Women in Machine Learning Theory (WiML-T) Mentoring Program 2021-Present 2018-19

UT Austin's Women in CS (GWC-WiCS) Mentoring Program

Panelist

WiML Un-Workshop, ICML 2022 July 2022

New Horizons in Theoretical Computer Science June 2022

VMware Nirman for Women in Tech January 2021

Virtual Experience Chair	2021				
Conference on Learning Theory (COLT)					
Co-organized the virtual part of the hybrid conference, including the 2-day virtual-only program					
Co-organizer	2020-2021				
One World Machine Learning Seminar Series					
Treasurer	2016-17				
Graduate Representative Association of Computer Sciences (GRACS)					
Program Committee					
International Conference on Algorithmic Learning Theory (ALT)	2021/22				
Conference on Learning Theory (COLT)	2021/22				
International Conference on Artificial Intelligence and Statistics (AISTATS)	) (area chair) 2023				
Conference Reviewing					
Symposium on Theory of Computing (STOC)	2019/20/21				
Neural Information Processing Systems (NeurIPS)	$2018\ (top\ 30\%)/20/21$				
Conference on Learning Theory (COLT)	2018/19/20				
International Conference on Learning Representations (ICLR)	2019/20/23				
Symposium on Discrete Algorithms (SODA)	2020/23				
Foundations of Computer Science (FOCS)	2020/22				
International Conference on Machine Learning (ICML)	2019 (top 5%)				
Journal Reviewing					
Journal of Machine Learning Research	2021/22				
IEEE Transactions on Information Theory	2020				