SURBHI GOEL

https://www.surbhigoel.com [first name][last initial]@cis.upenn.edu

EDUCATION

The University of Texas at Austin

August 2015 - June 2020

M.S. and Ph.D. in Computer Science

Advisor: Adam R. Klivans

Committee: Alex Dimakis, Raghu Meka, Eric Price

Dissertation: Towards Provably Efficient Algorithms for Learning Neural Networks

Received the Bert Kay dissertation award

Indian Institute of Technology, Delhi

July 2011 - May 2015

B.Tech. in Computer Science and Engineering

APPOINTMENTS

University of Pennsylvania, Philadelphia, PA

January 2023 - Present

Magerman Term Assistant Professor, Computer and Information Science

Microsoft Research, New York, NY

July 2020 - December 2022

Postdoctoral Researcher, Machine Learning Group

Institute for Advanced Study, Princeton, NJ

January - May 2020

Visiting Graduate Student, Theoretical Machine Learning Program

Simons Institute for Theory of Computing, Berkeley, CA

May - August 2019

Research Fellow, Foundations of Deep Learning Program

RESEARCH INTERESTS

My research is on the theoretical aspects of the modern practice of machine learning, where my goal is to develop the next generation of principled machine learning methods. In the pursuit of this goal, my work focuses on quantifying the computational and statistical aspects of state-of-the-art deep learning methods, and expanding the toolbox of current algorithms using new theoretically grounded insights.

AWARDS AND FELLOWSHIPS

2020	Bert Kay	Dissertation	Award	tor	best	dissertation	$^{\mathrm{1n}}$	CS	at	U.I.	Austin
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- 2019 Rising Stars in ML by University of Maryland
- 2019 Rising Stars in EECS by UIUC
- 2019 The University of Texas at Austin Graduate Dean's Prestigious Fellowship Supplement
- 2019 J.P. Morgan AI PhD Fellowship
- 2019 Simons-Berkeley Research Fellowship for Foundations of Deep Learning program
- 2018 The University of Texas at Austin Graduate Continuing Bruton Fellowship
- 2017 The University of Texas at Austin Graduate School Summer Fellowship
- 2015 ICIM Stay Ahead Award for Undergraduate Thesis
- 2015 Suresh Chandra Memorial Trust Award for Undergraduate Thesis
- 2011 Aditya Birla Scholarship awarded to 12 students from all over India
- 2011 OPJEM Scholarship awarded to 1 out of 850 students in the batch at IIT Delhi
- 2011 All India Rank 37 (Rank 2 in girls) in IITJEE among 450,000 students
- 2010 National Mathematics Olympiad finalist (1 out of 30 from all over India)

PUBLICATIONS

* indicates α - β (alphabetical) ordering.

WORKING PAPERS

Kan Xu, Hamsa Bastani, **Surbhi Goel**, Osbert Bastani **Stochastic Bandits with ReLU Neural Networks** In submission, 2023

CONFERENCE PAPERS

Surbhi Goel*, Steve Hanneke*, Shay Moran*, Abhishek Shetty* Adversarial Resilience in Sequential Prediction via Abstention Neural Information Processing Systems (NeurIPS) 2023

Benjamin L. Edelman*, **Surbhi Goel***, Sham M. Kakade*, Eran Malach*, Cyril Zhang* Pareto Frontiers in Neural Feature Learning: Data, Compute, Width, and Luck Neural Information Processing Systems (NeurIPS) 2023

Selected as a spotlight presentation

Bingbin Liu, Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Cyril Zhang Exposing Attention Glitches with Flip-Flop Language Modeling Neural Information Processing Systems (NeurIPS) 2023

Selected as a spotlight presentation

Sitan Chen*, Zehao Dou*, **Surbhi Goel***, Adam R. Klivans*, Raghu Meka* **Learning Narrow One-Hidden-Layer ReLU Networks** Conference on Learning Theory (COLT) 2023

Bingbin Liu, Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Cyril Zhang Transformers Learn Shortcuts to Automata International Conference on Learning Representations (ICLR) 2023 Selected as a notable top-5% paper

Surbhi Goel*, Sham M. Kakade*, Adam T. Kalai*, Cyril Zhang* Recurrent Convolutional Neural Networks Learn Succinct Learning Algorithms Neural Information Processing Systems (NeurIPS) 2022

Boaz Barak*, Benjamin L. Edelman*, **Surbhi Goel***, Sham M. Kakade*, Eran Malach*, Cyril Zhang* Hidden Progress in Deep Learning: SGD Learns Parities Near the Computational Limit Neural Information Processing Systems (NeurIPS) 2022

Benjamin L. Edelman*, **Surbhi Goel***, Sham M. Kakade*, Cyril Zhang * Inductive Biases and Variable Creation in Self-Attention Mechanisms International Conference on Machine Learning (ICML) 2022

Nikunj Saunshi, Jordan T. Ash, **Surbhi Goel**, Dipendra Misra, Cyril Zhang, Sanjeev Arora, Sham M. Kakade, Akshay Krishnamurthy

Understanding Contrastive Learning Requires Incorporating Inductive Biases International Conference on Machine Learning (ICML) 2022

Jordan T. Ash, Cyril Zhang, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade **Anti-Concentrated Confidence Bonuses For Scalable Exploration** International Conference on Learning Representations (ICLR) 2022

Jordan T. Ash*, **Surbhi Goel***, Akshay Krishnamurthy*, Dipendra Misra* Investigating the Role of Negatives in Contrastive Representation Learning International Conference on Artificial Intelligence and Statistics (AISTATS) 2022

Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade Gone Fishing: Neural Active Learning with Fisher Embeddings Neural Information Processing Systems (NeurIPS) 2021

Naman Agarwal*, Surbhi Goel*, Cyril Zhang* Acceleration via Fractal Learning Rate Schedules International Conference on Machine Learning (ICML) 2021

Anthimos-Vardis Kandiros, Yuval Dagan, Nishanth Dikkala, **Surbhi Goel**, Constantinos Daskalakis Statistical Estimation from Dependent Data International Conference on Machine Learning (ICML) 2021

Surbhi Goel*, Adam R. Klivans*, Pasin Manurangsi*, Daniel Reichman* Tight Hardness Results for Learning One-Layer ReLU Networks Innovations in Theoretical Computer Science (ITCS) 2021

Surbhi Goel*, Adam R. Klivans*, Frederic Koehler*
From Boltzmann Machines to Neural Networks and Back Again
Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel*, Aravind Gollakota*, Adam R., Klivans* Statistical-Query Lower Bounds via Functional Gradients Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel*, Aravind Gollakota*, Zhihan Jin*, Sushrut Karmalkar*, Adam R. Klivans* Superpolynomial Lower Bounds for Learning One-Layer Neural Networks using Gradient Descent

International Conference on Machine Learning (ICML) 2020

Omar Montasser, **Surbhi Goel**, Ilias Diakonikolas, Nathan Srebro Efficiently Learning Adversarially Robust Halfspaces with Noise International Conference on Machine Learning (ICML) 2020

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis Learning Mixtures of Graphs from Epidemic Cascades International Conference on Machine Learning (ICML) 2020

Ilias Diakonikolas*, **Surbhi Goel***, Sushrut Karmalkar*, Adam R. Klivans*, Mahdi Soltanolkotabi* **Approximation Schemes for ReLU Regression** Conference on Learning Theory (COLT) 2020

Surbhi Goel

Learning Ising and Potts Models with Latent Variables International Conference on Artificial Intelligence and Statistics (AISTATS) 2020 Surbhi Goel*, Sushrut Karmalkar*, Adam R. Klivans*

Time/Accuracy Trade-offs for Learning a ReLU with respect to Gaussian Marginals Neural Information Processing Systems (NeurIPS) 2019

Selected for a spotlight presentation

Surbhi Goel*, Daniel Kane*, Adam R. Klivans*

Learning Ising Models with Independent Failures

Conference on Learning Theory (COLT) 2019

Surbhi Goel*, Adam R. Klivans*

Learning Neural Networks with Two Nonlinear Layers in Polynomial Time Conference on Learning Theory (COLT) 2019

Surbhi Goel*, Adam R. Klivans*, Raghu Meka*

Learning One Convolutional Layer with Overlapping Patches

International Conference on Machine Learning (ICML) 2018

Selected for a full oral presentation

Surbhi Goel*, Adam R. Klivans*

Eigenvalue Decay Implies Polynomial-Time Learnability for Neural Networks Neural Information Processing Systems (NeurIPS) 2017

Surbhi Goel*, Varun Kanade*, Adam R. Klivans*, Justin Thaler*

Reliably Learning ReLU in Polynomial Time

Conference on Learning Theory (COLT) 2017

WORKSHOP PAPERS

Bingbin Liu, Jordan T. Ash, Surbhi Goel, Akshay Krishnamurthy, Cyril Zhang

Exposing Attention Glitches with Flip-Flop Language Modeling

Challenges of Deploying Generative AI Workshop, International Conference on Machine Learning (ICML) 2023

Knowledge and Logical Reasoning in the Era of Data-driven Learning Workshop, International Conference on Machine Learning (ICML) 2023

Jessica Hoffmann, Soumya Basu, Surbhi Goel, Constantine Caramanis

Disentangling Mixtures of Epidemics on Graphs

Graph Representation Learning, Neural Information Processing Systems (NeurIPS) 2019

Surbhi Goel*, Adam R. Klivans*

Learning Depth-Three Neural Networks in Polynomial Time

Deep Learning: Bridging Theory and Practice, Neural Information Processing Systems (NeurIPS) 2017

Surbhi Goel*, Varun Kanade*, Adam R. Klivans*, Justin Thaler*

Reliably Learning ReLU in Polynomial Time

Optimization for Machine Learning (OPT), Neural Information Processing Systems (NeurIPS) 2016 Selected for an oral presentation

UNPUBLISHED MANUSCRIPTS

Surbhi Goel*, Rina Panigrahy*

Learning Two layer Networks with Multinomial Activation and High Thresholds Manuscript, 2019

Matthew Jordan, Naren Manoj, **Surbhi Goel**, Alexandros Dimakis Quantifying Perceptual Distortion of Adversarial Examples Manuscript, 2019

Simon Du*, Surbhi Goel*

Improved Learning of One-hidden-layer Convolutional Neural Networks with Overlaps Manuscript, 2018.

INVITED TALKS

Beyond Worst-case Sequential Prediction: Adversarial Robustness via A	bstention
$Math\ Machine\ Learning\ seminar\ at\ MPI\ MIS\ +\ UCLA$	August~2023
FODSI Workshop on Computational Complexity of Statistical Problems at MIT	June 2023
Thinking fast with Transformers - Algorithmic Reasoning via Shortcuts	
Youth in High Dimensions, Trieste, Italy	May 2023
$MaD\ Seminar\ at\ NYU$	April 2023
ASSET Seminar at UPenn	$April\ 2023$
Sparse Feature Emergence in Deep Learning	
Symposium on New Directions in Theoretical Machine Learning [slides]	$September\ 2022$
What Functions do Self-attention Blocks Prefer to Represent?	
Demystifying Attention-based Architectures in Deep Learning	
Joint IFML/Data-Driven Decision Processes Workshop at Simons Institute	$October\ 2022$
ML Foundations Seminar at MSR Redmond	August 2022
Workshop on Algorithms for Learning and Economics (WALE) in Greece	$\overline{June}~2022$
ML Symposium at USC	December 2021
ELLIS Talk Series at IST Austria	December 2021
Learning Theory Workshop at Google	October 2021
The Hidden Progress Behind Loss Curves	
Workshop on Learning: Optimization and Stochastics at EPFL	July 2022
Principled Algorithm Design in the Era of Deep Learning	
$CS/CSE\ Colloquium\ at\ NYU\ Courant/Tandon$	$April\ 2022$
CS Colloquium at UW-Madison	March~2022
CS Colloquium at Halicioglu Data Science Institute	March~2022
$CS\ Colloquium\ at\ UMD$	February 2022
SCS Talk at CMU	February 2022
CS Colloquium at Duke	February 2022
CIS Colloquium at UPenn	February 2022
CS Colloquium at Cornell	February 2022
Talks at TTIC	February 2022
Computational Barriers For Learning Some Generalized Linear Models Information-Computation Trade-offs Workshop at Simons Institute [video][slides]	September 2021
Computational Complexity of ReLU Regression	
The Multifaceted Complexity of Machine Learning Workshop at IMSI [video]	April 2021

Computational Complexity of Learning Neural Networks over	Gaussian Marginals
MIC Seminar at NYU	May 2020
Algorithms Seminar at Duke University	October 2020
ML Theory Seminar at Harvard University [video]	October 2020
ARC Colloquium at Georgia Tech	$November\ 2020$
IDEAL Seminar at TTIC	$November\ 2020$
TOC Colloquium at MIT	December 2020
SILO Seminar at UW-Madison	January 2020
Statistics Seminar at Stanford University	July 2021
Approximation Schemes for ReLU Regression	
Deep Learning Program Reunion at Simons Institute	$August\ 2020$
Provably Efficient Algorithms for Learning Neural Networks	
Microsoft Research New York	February 2020
Microsoft Research New England	February 2020
Microsoft Research Redmond	February 2020
Time/Accuracy Tradeoffs for Learning a ReLU wrt Gaussian N	Marginals
Spotlight Talk at Neural Information Processing Systems (NeurIPS)	December 2019
Exploring Surrogate Losses for Learning Neural Networks	
TTIC Young Researcher Seminar Series	December 2019
Efficiently Learning Simple Neural Networks	
Rising Star in ML Talk at University of Maryland	$September\ 2019$
Learning Ising Models with Independent Failures	
Research Fellows Talk at Simons Institute	July 2019
Efficiently Learning Simple Convolutional Networks	
China Theory Week at Tsinghua University	$September\ 2019$
Learning One Convolutional Layer with Overlapping Patches	
Google Research Theory Reading Group	June 2018
Reliably Learning the ReLU in Polynomial Time	
OPT-ML Workshop at Neural Information Processing Systems (NeurIP	S) December 2016
ORK EXPERIENCE	
Google, Mountain View CA	May - August 2018
Research Intern	Supervisor: Rina Panigrahy
Dell, Round Rock TX	June - August 2017
Research Intern	

Google, Mountain View CAMay - August 2014Software Engineering InternSupervisor: Neha Jha

May - August 2016

Supervisor: Natalia Ponomareva

Google, New York, NY

Research Intern

University of Michigan, Ann Arbor MI

Research Scholar $Supervisor:\ Atul\ Prakash$

May - July 2013

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OUTREACH	
	20-Present
Learning Theory Alliance (LeT-All)	
Co-organizing the Fall 2023 Mentoring Workshop Co-organized the Fall 2022 Mentoring Workshop in collaboration with FODSI Co-organized the COLT 2022 Mentoring Panel Co-organized the ALT 2022 Mentoring Workshop	
Co-organized the Graduate Applications Support Program in collaboration with WiML-T Co-organized the COLT 2021 Mentoring Workshop Co-organized the ALT 2021 Mentoring Workshop	
Mentor	
	021-Present 2018-19
Panelist	
WiML Un-Workshop, ICML 2022	July 2022
New Horizons in Theoretical Computer Science	$June\ 2022$
VMware Nirman for Women in Tech Je	inuary 2021
SERVICE ROLES	
Office Hours Chair International Conference on Learning Representations (ICLR) 2024	2023-24
Workshop Co-organizer Mathematics of Modern Machine Learning (M3L) at NeurIPS 2023	2023
Virtual Experience Chair Conference on Learning Theory (COLT) 2023	2023
Online Experience Chair Conference on Learning Theory (COLT) 2021	2021
Co-organized the virtual part of the hybrid conference, including the 2-day virtual-only pro-	ogram
Seminar Co-organizer One World Machine Learning Seminar Series	2020-21
Treasurer Graduate Representative Association of Computer Sciences (GRACS)	2016-17
Program Committee	0001/00/00
• • •	2021/22/23
Conference on Learning Theory (COLT) International Conference on Artificial Intelligence and Statistics (AISTATS) (area chair)	2021/22 2023
Neural Information Processing Systems (NeurIPS) (area chair)	2023 2023
International Conference on Algorithmic Learning Theory (ALT) (senior program commits	
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Conference Reviewing

Symposium on Theory of Computing (STOC)	2019/20/21
Neural Information Processing Systems (NeurIPS)	$2018 \; (top \; 30\%)/20/21$
Conference on Learning Theory (COLT)	2018/19/20
International Conference on Learning Representations (ICLR)	2019/20/23
Symposium on Discrete Algorithms (SODA)	2020/23
Foundations of Computer Science (FOCS)	2020/22
International Conference on Machine Learning (ICML)	2019 (top 5%)

Journal Reviewing

Journal of Machine Learning Research	2021/22
IEEE Transactions on Information Theory	2020