# SURBHI GOEL

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#### **EDUCATION**

The University of Texas at Austin

August 2015 - June 2020

M.S. and Ph.D. in Computer Science

GPA: 4.0/4.0

Advisor: Adam R. Klivans

Dissertation: Towards Provably Efficient Algorithms for Learning Neural Networks

Committee: Alex Dimakis, Raghu Meka, Eric Price

Indian Institute of Technology, Delhi

July 2011 - May 2015

B.Tech. in Computer Science and Engineering

Major GPA: 9.55 / 10.0

#### APPOINTMENTS

Microsoft Research, New York, NY

July 2020 - Present

Postdoctoral Researcher, Machine Learning Group

Manager: Sham M. Kakade

Institute for Advanced Study, Princeton, NJ

January - May 2020

Visiting Graduate Student, Theoretical Machine Learning Program

Simons Institute for Theory of Computing, Berkeley, CA

May - August 2019

Research Fellow, Foundations of Deep Learning Program

#### RESEARCH INTERESTS

My research is on the theoretical aspects of the modern practice of machine learning, where my goal is to develop the next generation of principled machine learning methods. In the pursuit of this goal, my work focuses on advancing the theoretical foundations of modern machine learning with an emphasis on quantifying the computational and statistical aspects of deep learning methods, and expanding the toolbox of current algorithms using new theoretically grounded insights.

# AWARDS AND FELLOWSHIPS

2020	Bert Kay	Dissertation A	$\mathbf{A}$ ward for	best:	dissertation	in	CS	at UT	Austin

- 2019 Rising Stars in ML by University of Maryland
- 2019 Rising Stars in EECS by UIUC
- 2019 The University of Texas at Austin Graduate Dean's Prestigious Fellowship Supplement
- 2019 J.P. Morgan AI PhD Fellowship
- 2019 Simons-Berkeley Research Fellowship for Foundations of Deep Learning program
- 2018 The University of Texas at Austin Graduate Continuing Bruton Fellowship
- 2017 The University of Texas at Austin Graduate School Summer Fellowship
- 2015 ICIM Stay Ahead Award for Undergraduate Thesis
- 2015 Suresh Chandra Memorial Trust Award for Undergraduate Thesis
- 2011 Aditya Birla Scholarship awarded to 12 students from all over India
- 2011 OPJEM Scholarship awarded to 1 out of 850 students in the batch at IIT Delhi
- 2011 All India Rank 37 (Rank 2 in girls) in IITJEE among 450,000 students
- 2010 National Mathematics Olympiad finalist (1 out of 30 from all over India)

\* indicates  $\alpha$ - $\beta$  (alphabetical) ordering.

## **THESIS**

# Surbhi Goel

Towards Provably Efficient Algorithms for Learning Neural Networks The University of Texas at Austin, 2020 Received the Bert Kay dissertation award

## CONFERENCE PAPERS

Jordan T. Ash, **Surbhi Goel**, Akshay Krishnamurthy, Sham M. Kakade Gone Fishing: Neural Active Learning with Fisher Embeddings Neural Information Processing Systems (NeurIPS) 2021

Naman Agarwal\*, Surbhi Goel\*, Cyril Zhang\* Acceleration via Fractal Learning Rate Schedules International Conference on Machine Learning (ICML) 2021

Yuval Dagan\*, Constantinos Daskalakis\*, Nishanth Dikkala\*, **Surbhi Goel**\*, Anthimos Vardis Kandiros\* **Statistical Estimation from Dependent Data** International Conference on Machine Learning (ICML) 2021

Surbhi Goel\*, Adam R. Klivans\*, Pasin Manurangsi\*, Daniel Reichman\* Tight Hardness Results for Learning One-Layer ReLU Networks Innovations in Theoretical Computer Science (ITCS) 2021

Surbhi Goel\*, Adam R. Klivans\*, Frederic Koehler\*
From Boltzmann Machines to Neural Networks and Back Again
Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel\*, Aravind Gollakota\*, Adam R., Klivans\* Statistical-Query Lower Bounds via Functional Gradients Neural Information Processing Systems (NeurIPS) 2020

Surbhi Goel\*, Aravind Gollakota\*, Zhihan Jin\*, Sushrut Karmalkar\*, Adam R. Klivans\* Superpolynomial Lower Bounds for Learning One-Layer Neural Networks using Gradient Descent

International Conference on Machine Learning (ICML) 2020

Omar Montasser, **Surbhi Goel**, Ilias Diakonikolas, Nathan Srebro Efficiently Learning Adversarially Robust Halfspaces with Noise International Conference on Machine Learning (ICML) 2020

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis Learning Mixtures of Graphs from Epidemic Cascades International Conference on Machine Learning (ICML) 2020

Ilias Diakonikolas\*, **Surbhi Goel**\*, Sushrut Karmalkar\*, Adam R. Klivans\*, Mahdi Soltanolkotabi\* **Approximation Schemes for ReLU Regression** Conference on Learning Theory (COLT) 2020

#### Surbhi Goel

Learning Ising and Potts Models with Latent Variables International Conference on Artificial Intelligence and Statistics (AISTATS) 2020

Surbhi Goel\*, Sushrut Karmalkar\*, Adam R. Klivans\*

Time/Accuracy Trade-offs for Learning a ReLU with respect to Gaussian Marginals Neural Information Processing Systems (NeurIPS) 2019

Selected for a spotlight presentation

Surbhi Goel\*, Daniel Kane\*, Adam R. Klivans\* Learning Ising Models with Independent Failures Conference on Learning Theory (COLT) 2019

Surbhi Goel\*, Adam R. Klivans\*

Learning Neural Networks with Two Nonlinear Layers in Polynomial Time Conference on Learning Theory (COLT) 2019

Surbhi Goel\*, Adam R. Klivans\*, Raghu Meka\* Learning One Convolutional Layer with Overlapping Patches International Conference on Machine Learning (ICML) 2018 Selected for a full oral presentation

Surbhi Goel\*, Adam R. Klivans\*

Eigenvalue Decay Implies Polynomial-Time Learnability for Neural Networks Neural Information Processing Systems (NeurIPS) 2017

Surbhi Goel\*, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\* Reliably Learning ReLU in Polynomial Time Conference on Learning Theory (COLT) 2017

# WORKSHOP PAPERS

Jessica Hoffmann, Soumya Basu, **Surbhi Goel**, Constantine Caramanis **Disentangling Mixtures of Epidemics on Graphs** Graph Representation Learning, Neural Information Processing Systems (NeurIPS) 2019

Surbhi Goel\*, Adam R. Klivans\*

Learning Depth-Three Neural Networks in Polynomial Time
Deep Learning: Bridging Theory and Practice, Neural Information Processing Systems (NeurIPS) 2017

Surbhi Goel\*, Varun Kanade\*, Adam R. Klivans\*, Justin Thaler\*
Reliably Learning ReLU in Polynomial Time
Optimization for Machine Learning (OPT), Neural Information Processing Systems (NeurIPS) 2016
Selected for an oral presentation

#### **PREPRINTS**

Benjamin L. Edelman\*, **Surbhi Goel**\*, Sham M. Kakade\*, Cyril Zhang \* **Inductive Biases and Variable Creation in Self-Attention Mechanisms** In submission, 2021

Jordan T. Ash\*, Surbhi Goel\*, Akshay Krishnamurthy\*, Dipendra Misra\* Investigating the Role of Negatives in Contrastive Representation Learning In submission, 2021.

Jordan T. Ash, Cyril Zhang, Surbhi Goel, Akshay Krishnamurthy, Sham M. Kakade Anti-Concentrated Confidence Bonuses For Scalable Exploration In submission, 2021

# Surbhi Goel\*, Rina Panigrahy\*

Learning Two layer Networks with Multinomial Activation and High Thresholds Manuscript, 2019

Matthew Jordan, Naren Manoj, Surbhi Goel, Alexandros Dimakis Quantifying Perceptual Distortion of Adversarial Examples Manuscript, 2019

# Simon Du\*, Surbhi Goel\*

Microsoft Research New England

Improved Learning of One-hidden-layer Convolutional Neural Networks with Overlaps Manuscript, 2018.

# IN

NVITED TALKS	
What Functions do Self-attention Blocks Prefer to Represent?	
ML Symposium at USC	$December\ 2021$
ELLIS Talk Series at IST Austria	$December\ 2021$
Learning Theory Workshop at Google	October 2021
Computational Barriers For Learning Some Generalized Linear Models	
$Information-Computation\ Trade-offs\ Workshop\ at\ Simons\ Institute\ [video][slides]$	September 2021
Computational Complexity of ReLU Regression	
The Multifaceted Complexity of Machine Learning Workshop at IMSI [video]	April 2021
Computational Complexity of Learning Neural Networks over Gaussian	Marginals
MIC Seminar at NYU	$May\ 2020$
Algorithms Seminar at Duke University	$October\ 2020$
ML Theory Seminar at Harvard University [video]	$October\ 2020$
ARC Colloquium at Georgia Tech	November 2020
IDEAL Seminar at TTIC	November 2020
TOC Colloquium at MIT	December 2020
SILO Seminar at UW-Madison	January 2020
Statistics Seminar at Stanford University	July 2021
Learning Ising and Potts Models with Latent Variables	
International Conference on Artificial Intelligence and Statistics (AISTATS)	$August\ 2020$
Approximation Schemes for ReLU Regression	
Conference on Learning Theory (COLT) [video]	July 2020
Deep Learning Program Reunion at Simons Institute	August 2020
Provably Efficient Algorithms for Learning Neural Networks	
Microsoft Research New York	February 2020

February 2020

February 2020

Time/Accuracy Tradeoffs for Learning a ReLU wrt Gaussian Marginals

Spotlight Talk at Neural Information Processing Systems (NeurIPS)

December 2019

**Exploring Surrogate Losses for Learning Neural Networks** 

TTIC Young Researcher Seminar Series

December 2019

Efficiently Learning Simple Neural Networks

Rising Star in ML Talk at University of Maryland

September 2019

Learning Ising Models with Independent Failures

Conference on Learning Theory (COLT)

July 2019

Research Fellows Talk at Simons Institute

July 2019

Learning Neural Networks with Two Nonlinear Layers in Polynomial Time

Conference on Learning Theory (COLT)

July 2019

Efficiently Learning Simple Convolutional Networks

China Theory Week at Tsinghua University

September 2019

Learning One Convolutional Layer with Overlapping Patches

Google Research Theory Reading Group

June 2018

International Conference on Machine Learning (ICML)

July 2018

Reliably Learning the ReLU in Polynomial Time

Conference on Learning Theory (COLT)

July 2017

Oral at OPT-ML Workshop at Neural Information Processing Systems (NeurIPS)

December 2016

**INTERNSHIPS** 

Google, Mountain View CA

May - August 2018

Research Intern

Supervisor: Rina Panigrahy

Dell, Round Rock TX

June - August 2017

Research Intern

Google, New York, NY

May - August 2016

Research Intern

Supervisor: Natalia Ponomareva

Google, Mountain View CA

May - August 2014

Software Engineering Intern

Supervisor: Neha Jha

University of Michigan, Ann Arbor MI

May - July 2013

Research Scholar

Supervisor: Atul Prakash

TEACHING EXPERIENCE

University of Texas at Austin

Spring 2018

Course: Data Mining (Hons.)

Guest Lecture

University of Texas at Austin

Spring 2016

Course: Distributed Computing (Hons.)

Teaching Assistant

University of Texas at Austin

Fall 2015

Course: Data Structures

Teaching Assistant

Indian Institute of Technology Delhi

Spring 2015 Course: Data Structures Teaching Assistant

## **OUTREACH**

Co-founder 2020-Present

Learning Theory Alliance (LeT-All)

Co-organized the Graduate Applications Support Program

Co-organized the COLT 2021 Mentoring Workshop

Co-organized the ALT 2021 Mentoring Workshop

2021-Present Mentor

Women in Machine Learning Theory (WiML-T) Mentoring Program

**Panelist** 2021

VMware Nirman for Women in Tech

Mentor 2018-19

UT Austin's Women in CS (GWC-WiCS) Mentoring Program

## SERVICE ROLES

# Virtual Experience Chair

2021

Conference on Learning Theory (COLT)

Co-organized the virtual part of the hybrid conference, including the 2-day virtual-only program

Co-organizer 2020-Present

One World Machine Learning Seminar Series

Treasurer 2016-17

Graduate Representative Association of Computer Sciences (GRACS)

# **Program Committee**

International Conference on Algorithmic Learning Theory (ALT) 2021/22 Conference on Learning Theory (COLT) 2021

# Conference Reviewing

Symposium on Theory of Computing (STOC) 2019/20/21

Neural Information Processing Systems (NeurIPS) (top 30%) 2018/20/21

Conference on Learning Theory (COLT) 2018/19/20

International Conference on Learning Representations (ICLR) 2019/20

Symposium on Discrete Algorithms (SODA) 2020

Foundations of Computer Science (FOCS) 2020

International Conference on Machine Learning (ICML) 2019 (top 5%)

## Journal Reviewing

Journal of Machine Learning Research 2021

IEEE Transactions on Information Theory 2020