

REPORT

TITLE: Perform optical character recognition, usage

USAGE: ./ocr.py train-image-file.png train-text.txt test-image-file.png

Training file used during assignment: Tweets.train.txt

AUTHORS: Apurva Gupta, Surbhi Paithankar, Hasika Mahtta

(based on skeleton code by D. Crandall, Oct 2017)

This program aims at recognizing characters in a given image using the concept of HMM.

We have implemented this using three techniques viz.

- a. Simple Naive Bayes Algorithm
- b. Variable Elimination (Forward-Backward algorithm)
- c. Viterbi Algorithm.

Probabilities:

We calculated following probabilities required for implementation.

1. Initial Probability:

$P(\text{Letter } L1) = \text{Number of occurrences of Letter } L1 \text{ in training file} / \text{total Number of letters}$

Note : If No. of occurrences of Letter L1 is zero then $P(\text{Letter } L1) = 10e-14$

2. Transition Probability:

$P(L2 | L1) : \text{No. of occurrences where } L2 \text{ succeeds } L1 \text{ in training file} / \text{No. of occurrences of } L1$

Note : If No. of occurrences of Letter L1 is zero then $P(L2 | L1) = 10e-14$

3. Emission Probability:

We consider every pixel to be an observation $O1...n$.

So as per Naive bayes:

$P(O1...n | \text{Letter}) = P(O1 | \text{Letter})P(O2 | \text{Letter})....P(On | \text{Letter})$

In order to calculate $P(Oi | Li)$ we used:

If, a given pixel matches at a particular position:

$P(Oi | Li) = 1 + 1 / ((14 * 25) + \text{no. of black pixels in training letter } Li)$

else:

$P(Oi | Li) = 0 + 1 / ((14 * 25) + \text{no. black pixels in training letter } Li)$

Note: Since we are doing calculations at pixel level, the joint probabilities lead to underflow. Therefore we would be using logs for the implementation of simple & viterbi algorithm.

However in variable elimination, since we need to perform summation to eliminate a variable, we cannot use logs. This is because summation of terms in logs implies multiplication and not addition. Hence we multiply a scaling factor in the emission probability to handle the underflow.

SCALING FACTOR USED:

We used a scaling factor of $\log(10e308) + \log(10e308) + \log(10e272)$. We arrived at this value using the method of trial and error.

METHOD 1:

Simple Naive:

In this we consider every observation to be independent of other. In short, we did not take transition probabilities into account.

Formulae with log:

$$\text{Argmin } P(\text{Letter } L_i | \text{Observed } 14 \times 25 \text{ pixels}) = -\log(P(\text{Observed } 14 \times 25 \text{ pixels} | \text{Letter } L_i)) + -\log(P(\text{Letter } L_i))$$

METHOD 2:**Variable Elimination:**

In Variable elimination we take all other states into account and eliminate them by performing summation over all possible values.

Lets say We need to find $P(\text{Letter } L_i | \text{Pixel observation } O_1 \dots n)$ where n is number of letters in the image

Step 1:

Compute $\text{tow_table}(\alpha)$ using forward algorithm from first letter upto the desired letter. i.e $L_1 \dots L_i$

Step 2:

Compute $\text{tow_table}(\beta)$ using backward algorithm from last letter upto the desired letter. i.e $L_n \dots L_i$

Step 3:

Finally we multiply $\alpha(L_1 \dots L_i) * \text{emission}(\text{Joint observation } O_1 \dots n | \text{Letter } L_i) * \beta(L_i \dots n)$

METHOD 3:**Viterbi Algorithm:**

Step 1:

In this we created a viterbi matrix ($I \times J$) where i = number of letters in test set and j = number of training letters

$\text{vit}(i,j)$ stores viterbi values and a backpointer to the previous state.

Formula :

$$\text{vit}(0,j) = P(\text{Observed } 14 \times 25 \text{ pixels} | \text{Letter } L_j) * P(\text{Letter } L_j)$$

$$\text{vit}(L_i,j) = \max(\text{vit}(L_i-1,j) * P(L_i | L_i-1)) * P(\text{Observed } 14 \times 25 \text{ pixels} | \text{Letter } L_i)$$

Step 2:

After creating the viterbi matrix, we begin with the last letter and traverse along the path till the first letter using the backpointer maintained.

ASSUMPTION:

1. Every letter has same font with size 14×25 .

OBSERVATION:

1. Simple gives a good performance in guessing any word.
 2. We observe that VE and Viterbi performs sometimes better than simple.
 3. VE and Viterbi performs very well when the provided training file is very exhaustive enough. For example: When we used bc.train we saw it performing not very well,infact simple looked to perform much better. However if the training file is replaced with tweets.train.txt, we saw a significant improvement in results.
- This may be because of the training file better information for building the transition probability table.

LIMITATION

1. Due to underflow issue, we add some value to scale the emission probabilities, But sometimes it also cause overflow,when very big numbers get multiplied. We observed that after multiplication,values in tou table came out to be +infinity in some cases. Thus, overflow and underflow cause some issue in this regard.Out of 20 test images provided,one of them doesnt work properly because of overflow. We tried to calculate emssion probability in some other way,to avoid this problem,But we observed that this way of calculating emission probability gives the best result.