

## Hashing:

We briefly encountered a similar problem when dealing with balls and bins.

If  $n$  balls were placed in  $n$  bins uniformly at random, no bin contains more than  $\frac{3 \ln(n)}{\ln \ln(n)}$  balls w.p  $\geq 1 - \frac{1}{n}$ .

Number of empty bins was concentrated around its expectation of  $\frac{n}{e}$ .

## Perfect hashing:

1. Space + time to be  $O(n)$
2. Additions  $\sim O(1)$  time
3. Query  $\sim O(1)$  time.

Given a set  $S$  of  $n$  keys from the universe  $U$ , build a lookup table of size  $O(n)$  s.t a membership query can be answered in  $O(1)$  time.

↳ we call it "perfect hashing for  $S$ ".

Defn: A set of hash functions  $H$  is called a weak universal family if for all  $x, y \in U$ ,  $x \neq y$ .  $H: U \rightarrow [m]$ .

$$\Pr_{h \in H} [h(x) = h(y)] = \frac{O(1)}{m}.$$

Fix an element  $x$ .

Expected chain length =  $\mathbb{E} \left[ \# \text{ of } y \text{ s.t. } h(x) = h(y) \right] + 1$

We want  $1 + \frac{n-1}{m} = O(1)$ .

$m$  and  $n$ .  $m = O(n)$ .

$$= 1 + \sum_y \Pr_{h \in H} [h(x) = h(y)]$$

$$= 1 + (n-1) \cdot O\left(\frac{1}{m}\right)$$

$$\leq 1 + \frac{1}{m} \cdot (n-1)$$

## Fredman - Komlos - Szemeredi hashing:

- Expected  $O(n)$  time
  - Worst case  $O(n)$  space
  - $O(1)$  worst case query time.
- [2001].

Suppose  $m = \Omega(n^2)$ ; then if we pick  $h$  randomly from  $H$

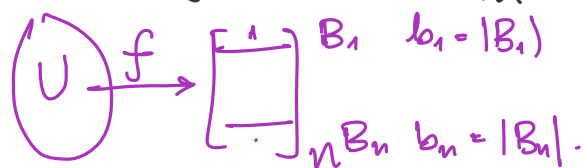
then

$$\begin{aligned} \mathbb{E}[\text{no. of collisions}] &= \sum_{\substack{x, y \in S \\ x \neq y}} \Pr[h(x) = h(y)] \\ &= \binom{n}{2} \cdot \frac{O(1)}{m} \\ &\leq \underline{O(1)}. \checkmark \end{aligned}$$

⇒  $O(1)$  trials needed to obtain collision free hashing.

Suppose  $m \sim n$  then

$$\mathbb{E}[\text{no. of collisions}] = \binom{n}{2} \cdot \frac{O(1)}{m} = \underline{O(n)}.$$



$m \sim n$   
 $U = \{0, \dots, |U|-1\}$

FKS gave a 2-step hashing algo.

- Find a hashing fn  $f: U \rightarrow [n]$  that partitions

$S$  into buckets  $B_1, \dots, B_n$ .

$$|B_i| = b_i \Rightarrow \sum b_i = n.$$

← Obtain this from <sup>weak</sup> universal class of hash functions.

• For each bucket  $B_i$ : find a function  $g_i: U \rightarrow [0(b_i^2)]$

Say  $|B_i| = b_i$ .

For each element  $x \in S$ , let  $C_x$  be the no. of <sup>its</sup> collisions

$$\checkmark \mathbb{E}[C_x] = \frac{|S|-1}{n} = \frac{n-1}{n} < 1. \quad \checkmark$$

$$\sum_{y \neq x} \Pr[y \neq x : h(x) = h(y)] \leq \frac{n-1}{n} < 1$$

1. Select a random function from  $H$ .

2. Compute a hash table with chaining, so insertion takes  $O(1)$  time

3. Compute an auxillary array  $B_2$  s.t.  $|B_2(i)| = \underline{O(b_i^2)}$

4. If  $\sum_{i=1}^n b_i^2 > \beta n$  then go to step 1. Else "record" f.  
 $\sum b_i^2 \leq \beta n$ .

Let  $t$  be the no. of iterations. We want to bound the expected no. of iterations.

Claim: If  $\beta \gg 4$ ,  $\mathbb{E}[t] \leq 2$ .

Proof: Total no. of collisions ( $C_s$ ) is as follows

$$C_s = \sum_{i=1}^n |\{ (x, y) \mid x, y \in B_i, x \neq y \}|$$

$$= \sum_{i=1}^n \underline{b_i} (b_i - 1)$$

$$\sum_{i=1}^n \underline{b_i} = n.$$

$$= \sum_{i=1}^n (b_i^2 - b_i) = \left( \sum_{i=1}^n b_i^2 \right) - n$$

$< 1$

$$\mathbb{E}[C_S] = \mathbb{E}\left[\sum_{i=1}^n b_i^2\right] - n$$

We know that  $\mathbb{E}[C_S] < n$ .

$$\mathbb{E}[C_S] = \sum_{x \in S} \mathbb{E}[C_x]$$

$$< \sum_{x \in S} 1 = n.$$

$$\Rightarrow \mathbb{E}\left[\sum b_i^2\right] < 2n.$$

Using Markov's ineq:  $\Pr\left[\sum_{i=1}^n b_i^2 > 4n\right] < \frac{1}{2}.$

$$b_i \sim O(b_i^2).$$

$$\text{w.p. } \geq \frac{1}{2} \quad \sum \underline{b_i^2} \leq \underline{4n}.$$

- Select  $\underline{g_i} : \underline{U} \rightarrow [\underline{\alpha b_i^2}]$  from  $H$ .
- If for some  $\underline{x_i} \in \underline{B_i}$ ; there is a collision, pick a new  $\underline{g_i}$ .

Claim: If  $\alpha \geq 2$  then  $\mathbb{E}[t_i] = O(b_i^2).$

Proof:  $C_x$  = no. of collisions of  $x$  in  $B_i$

$$\mathbb{E}[C_x]_{g_i} < \frac{b_i}{\alpha b_i^2} = \frac{1}{\alpha b_i}.$$

$$\Pr_{g_i \sim H}[g_i(x) = g_i(y)] \leq \frac{1}{\alpha b_i^2}$$

From Markov's ineq.

$$\Pr_{g_i}[C_x \geq 1] \leq \mathbb{E}[C_x]_{g_i} < \frac{1}{\alpha b_i}.$$

$B_i$

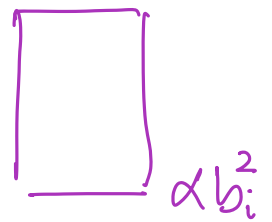
Over all elements in  $\underline{B_i}$

$$\Pr_{g_i}[\exists x \text{ s.t. } C_x \geq 1] \leq \sum_x \Pr_{g_i}[C_x \geq 1] < b_i \cdot \frac{1}{\alpha b_i} = \underline{\underline{\frac{1}{\alpha}}}.$$

If  $\alpha = 2$  then w.p  $\geq \frac{1}{2}$ , no collisions happen.

$\Rightarrow$  At most 2 trials to get  $g_i$  w/ no collisions.

$\Rightarrow$  Expected time =  $O(b_i^2)$ .  
for this step

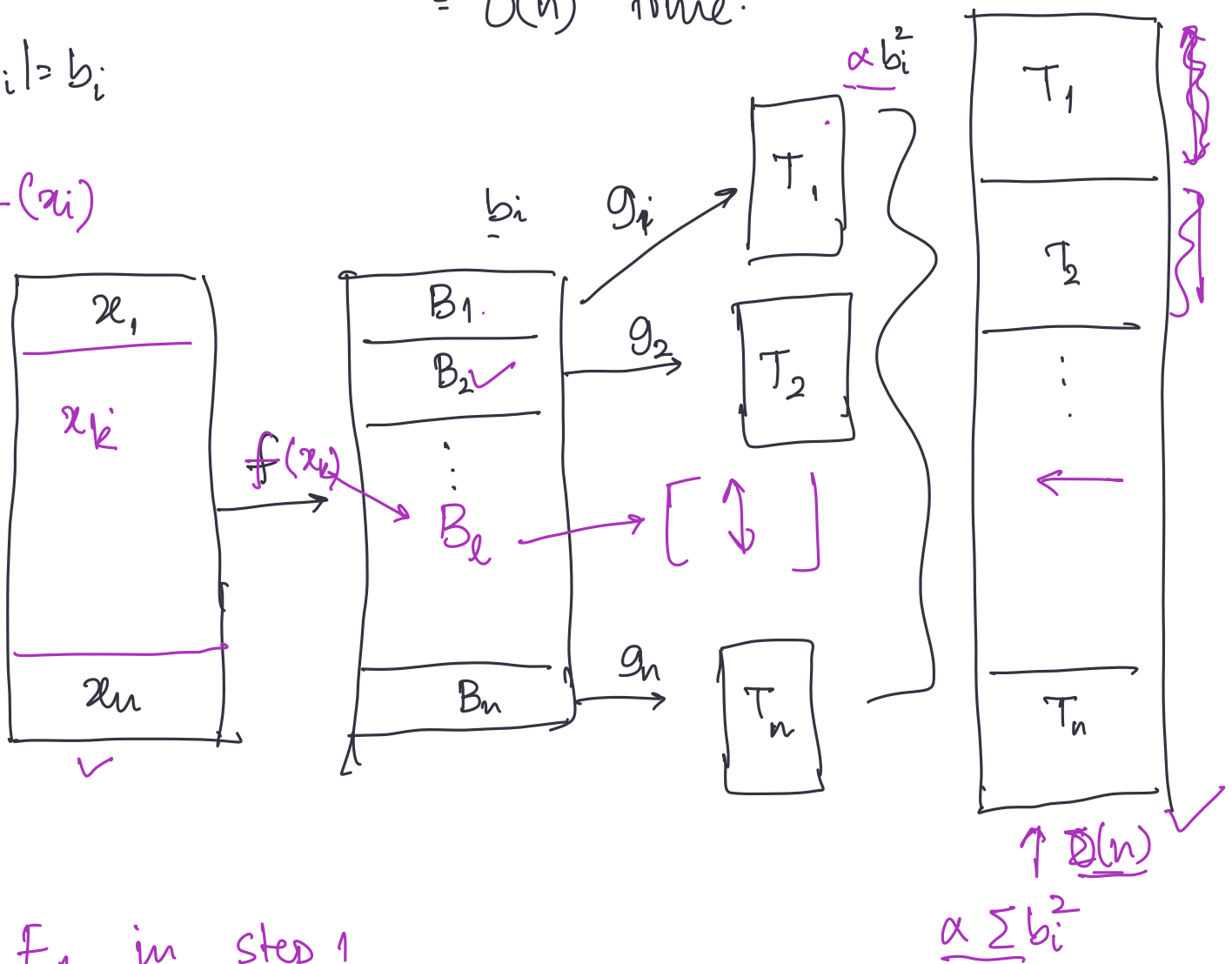


Total <sup>expected</sup> running time:  $O(n) + \sum O(b_i^2) + \underbrace{O(n)}_{\text{preprocessing}}$

=  $O(n)$  time.

$|B_i| = b_i$

$f(x_i)$



$E_1$  in step 1

$E_2$  in step 2.

$\frac{1}{2}$

Total error  $\leq E_1 + E_2 < 1$ .