



CS 5594: BLOCKCHAIN TECHNOLOGIES

Spring 2021

THANG HOANG, PhD

(ZERO-KNOWLEDGE) VERIFIABLE COMPUTATION

Most slides derived from the one by Dmitry Khovratovich

Overview

Motivation

zk-STARK

Zk-SNARK

Bulletproofs

MOTIVATION

Verifiable Computation

Sometimes we need to delegate computation to remote agents whom we do not fully trust:

Database is searched or updated on a remote server;

Secure hardware signs the input.

Privacy-preserving AI training;

Blind auctions, **blockchain**, etc..

We might need to pay the agents for the work if it is done correctly.

Summary

Alice needs program C to be computed on input X;

Bob takes the task (C,X);

Bob returns answer A and proof of correctness P;

Alice verifies P spending much less time than Bob.

Alice rewards Bob.

How to do that so that Bob can not cheat?

Summary

Alice needs program C to be computed on input X;

Bob takes the task (C,X);

Bob returns answer A and proof of correctness P;

Alice verifies P spending much less time than Bob.

Alice rewards Bob.

How to do that so that Bob can not cheat?

A mistake in just one step can ruin the entire computation.

zk-STARK

Simple Example

Program:

Take input $X_0 = X$;

Compute $X_i \leftarrow (X_{i-1}^2 + 3)$ up to $i = 100$.

Return $A = X_{100}$.

No big number arithmetic, only lowest 10 digits (modulo 10^{10}).

Simple Example

Program:

Take input $X_0 = X$;

Compute $X_i \leftarrow (X_{i-1}^2 + 3)$ up to $i = 100$.

Return $A = X_{100}$.

No big number arithmetic, only lowest 10 digits (modulo 10^{10}).

Alice says $X = 1$.

Bob returns $A = 5251434499$ and some proof P (just a few bytes).

How can that be?

Protocol

Program:

Take input $X_0 = X$;

Compute $X_i \leftarrow (X_{i-1}^2 + 3)$ up to $i = 100$.

Return $A = X_{100}$.

No big number arithmetic, only lowest 10 digits (modulo 10^{10}).

Very simple protocol:

Bob computes some function f on 10000 inputs, from 1 to 10000.

Bob computes another function g on the same 10000 inputs.

Alice selects random $0 < s < 10000$.

Bob returns $f(s), f(s+1), g(s)$.

Alice verifies just one equation and any cheat is detected with probability 99%.

Protocol

Program:

Take input $X_0 = X$;

Compute $X_i \leftarrow (X_{i-1}^2 + 3)$ up to $i = 100$.

Return $A = X_{100}$.

No big number arithmetic, only lowest 10 digits (modulo 10^{10}).

Very simple protocol:

Bob computes some function f on 10000 inputs, from 1 to 10000.

Bob computes another function g on the same 10000 inputs.

Alice selects random $0 < s < 10000$.

Bob returns $f(s), f(s+1), g(s)$.

Alice verifies just one equation and any cheat is detected with probability 99%.

How exactly?

Details

Code	Value	f
X_0	1	$f(0)$
X_1	4	$f(1)$
X_2	19	$f(2)$
X_3	364	$f(3)$
...		
X_{100}	5251434499	$f(100)$

Let Bob's program be a table of 101 entries

- Compute polynomial f of degree 100 that interpolates on the memory

Details

Code	Value	f
X_0	1	$f(0)$
X_1	4	$f(1)$
X_2	19	$f(2)$
X_3	364	$f(3)$
...		
X_{100}	5251434499	$f(100)$

Let Bob's program be a table of 101 entries

- Compute polynomial f of degree 100 that interpolates on the memory
- Define **constraint**
$$C(x, y) = y - x^2 - 3.$$
- Bob executed the program if
$$C(f(x), f(x + 1)) = 0 \text{ for all } x$$
- Note that $C(f(x), f(x + 1))$ has degree 200, and
$$D(x) = x(x - 1)(x - 2) \cdots (x - 99)$$
divides it.
- Define
$$g(x) = C(f(x), f(x + 1))/D(x)$$

Details

Code	Value	f	$C(x, y) = y - x^2 - 3.$
X_0	1	$f(0)$	$D(x) = x(x - 1)(x - 2) \cdot (x - 99)$
X_1	4	$f(1)$	$g(x) = C(f(x), f(x + 1))/D(x)$
X_2	19	$f(2)$	
X_3	364	$f(3)$	
...			
X_{100}	5251434499	$f(100)$	
...	
	?	$f(10000)$	

Details

Code	Value	f	$C(x, y) = y - x^2 - 3.$
X_0	1	$f(0)$	$D(x) = x(x - 1)(x - 2) \cdots (x - 99)$
X_1	4	$f(1)$	$g(x) = C(f(x), f(x + 1))/D(x)$
X_2	19	$f(2)$	
X_3	364	$f(3)$	Bob goes on
...			■ Compute f and g up to 10000
X_{100}	5251434499	$f(100)$	
...	
?		$f(10000)$	

Details

$$C(x, y) = y - x^2 - 3.$$

Code	Value	f	
X_0	1	$f(0)$	$D(x) = x(x - 1)(x - 2) \cdots (x - 99)$
X_1	4	$f(1)$	$g(x) = C(f(x), f(x + 1))/D(x)$
X_2	19	$f(2)$	Bob goes on
X_3	364	$f(3)$	<ul style="list-style-type: none"> ▪ Compute f and g up to 10000
...			
X_{100}	5251434499	$f(100)$	<ul style="list-style-type: none"> ▪ Commit to the evaluations: $H_1 = H(f(0), f(1), \dots, f(10000));$ $H_2 = H(g(0), g(1), \dots, g(10000));$
...	<ul style="list-style-type: none"> ▪ Send H_1, H_2 to Alice with proofs that f, g of degree 100.
?		$f(10000)$	<ul style="list-style-type: none"> ▪ Alice sends random s between 0 and 10000 to Bob. ▪ Bob sends back $f(s), f(s + 1), g(s)$.

Details

Recall

$$C(x, y) = y - x^2 - 3.$$

$$D(x) = x(x - 1)(x - 2) \cdots (x - 99)$$

$$g(x) = C(f(x), f(x + 1))/D(x)$$

Alice verifies

$$C(f(s), f(s + 1))/D(s) = g(s).$$

It works if Bob is honest by definition.

Cheat

What if Bob cheats and does not know the true f ?

Code	Value	f
X_0	1	$f(0)$
X_1	4	$f(1)$
X_2	20	$f'(2) \neq f(2)$
X_3	365	$f'(3)$
...		
X_{100}	5251434499	$f(100)$
...
?		$f(10000)$

- He cannot compute proper $g = C(f, f)/D$ of degree 100
- $C(f', f')/D$ will differ from g on at least 1 point
- As polynomials they can agree on at most 100 points (they have degree 100) out of 10000.
- Thus for random s Alice detects the cheat with probability 99%

Cheat

What if Bob cheats and does not know the true f ?

Code	Value	f
X_0	1	$f(0)$
X_1	4	$f(1)$
X_2	20	$f'(2) \neq f(2)$
X_3	365	$f'(3)$
...		
X_{100}	5251434499	$f(100)$
...
?		$f(10000)$

- He cannot compute proper $g = C(f, f)/D$ of degree 100
- $C(f', f')/D$ will differ from g on at least 1 point
- As polynomials they can agree on at most 100 points (they have degree 100) out of 10000.
- Thus for random s Alice detects the cheat with probability 99%

Extensions

Zero knowledge: Bob can convince Alice revealing only $X_i, i > 100$.

Complex programs

Arbitrary Programs

Let C be a code of T steps. I can prove that

I executed the code on (secret) input K and got result X .

Let C_P be the code of my CPU (handling registers, function calls, memory, etc.).

Prepare T CPU-state variables, $\mathbf{S} = (S_1, S_2, \dots, S_T)$.

Using T copies of C_P , prove correct transitions.

Let $\mathbf{W} = (W_1, W_2, \dots, W_T)$ be the list of states S sorted by the memory address they access.

- Prove that successive memory accesses yield the same data.
- Prove that \mathbf{W} is a sort of \mathbf{S} using permutation networks/proof of shuffle, etc.

zk-SNARK

Pairings

Group G with generator g , for example a set of integers modulo a prime p

Pairing e is a function of two arguments such that

$$e(g^a, g^b) = e(g, g)^{ab}$$

and $e(g, g)$ is also a generator

Factorization Proof

Suppose you want to prove you know p and q

$$N = p \cdot q.$$

Then you provide $p' = g^p, q' = g^q$ and everyone can verify that

$$e(p', q') = e(g, g)^N$$

since

$$e(p', q') = e(g^p, g^q)$$

Sophisticated Programs

a_1, a_2 – inputs, a_n – output.

$$\begin{aligned}a_3 &\leftarrow a_1 \cdot a_2; \\a_4 &\leftarrow a_2 \cdot a_3; \\a_5 &\leftarrow a_1 \cdot (a_4 + a_2);\end{aligned}$$

...

Quite many real programs can be represented this way.

Suppose I have a correct program execution: (a_1, a_2, a_3, \dots) . How to prove it is correct?

- Selecting a random equation? Then it will be easy to cheat in the others
- Supply all a^i as g^{a_i} ? Too expensive.

Sophisticated Programs

Program with n lines

$$\begin{aligned}a_3 &\leftarrow a_1 \cdot a_2; \\a_4 &\leftarrow a_2 \cdot a_3; \\a_5 &\leftarrow a_1 \cdot (a_4 + a_2); \\&\dots\end{aligned}$$

Instead, try the following concept:

Trusted party squeezes the entire program into n polynomials $\{u_i, v_i, w_i\}$ of degree n which encodes which a_i gets into which equation with which coefficient so that $\{a_i\}$ is the program execution only if

$$\underbrace{\left(\sum_i a_i u_i(X) \right)}_A \cdot \underbrace{\left(\sum_i a_i v_i(X) \right)}_B = \underbrace{\left(\sum_i a_i w_i(X) \right)}_C + d(X)$$

Sophisticated Programs

Trusted party squeezes the entire program into n polynomials $\{u_i, v_i, w_i\}$ of degree n which encodes which a_i gets into which equation with which coefficient so that $\{a_i\}$ is the program execution only if

$$\underbrace{\left(\sum_i a_i u_i(X) \right)}_A \cdot \underbrace{\left(\sum_i a_i v_i(X) \right)}_B = \underbrace{\left(\sum_i a_i w_i(X) \right)}_C + d(X)$$

Then compute the polynomial on a secret input s and stores (exponentiated) all $g^{u_i(s)}$ and $g^{d(s)}$. This is called a proving key P .

Prover runs the program on his own input and computes the internal variables a_i . They should satisfy program equations. Then Prover computes g^A, g^B, g^C as a short proof π .

Verifier checks the proof in constant time by computing a few pairings to verify the equation above.

Form Single Equation From Many

$$\text{For } x = 0, x \neq 1, 2 \quad a_3 = a_1 \cdot a_2$$

$$\text{For } x = 1, x \neq 0, 2 \quad a_4 = a_2 \cdot a_3$$

$$\text{For } x = 2, x \neq 0, 1 \quad a_5 = a_1 \cdot (a_4 + a_2)$$

Proper multiplication:

$$a_3(x - 1)(x - 2)/2 = ((x - 1)(x - 2)/2)a_1 \cdot ((x - 1)(x - 2)/2)a_2$$

$$-a_4x(x - 2)/2 = (x(x - 2)/2)a_2 \cdot (x(x - 2)/2)a_3$$

$$x(x - 1)a_5 = x(x - 1)a_1 \cdot (x(x - 1)a_4 + x(x - 1)a_2)$$

Altogether

$$a_1a_2(x^2 - 3x + 2) + a_2a_3(x^2 - 2x) + \dots = 0$$

Polynomial Relation for the Entire Scheme

(a_1, a_2, \dots, a_n) are scheme execution if and only if the following polynomials are equal

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

How to test the latter?

Polynomial Relation for the Entire Scheme

(a_1, a_2, \dots, a_n) are scheme execution if and only if the following polynomials are equal

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

In the proving key a random point s is taken, and $g^{u_i(s)}, g^{v_i(s)}, g^{w_i(s)}$ are computed and published with $z' = g^{h(s)t(s)}$

Polynomial Relation for the Entire Scheme

(a_1, a_2, \dots, a_n) are scheme execution if and only if the following polynomials are equal

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

In the proving key a random point s is taken, and $g^{u_i(s)}, g^{v_i(s)}, g^{w_i(s)}$ are computed and published with $z' = g^{h(s)t(s)}$

The prover can then compute $g^{a_i u_i(s)}$ by taking $g^{u_i(s)}$ to the power of a_i . He can compute $x = g^{\sum_i a_i u_i(s)}$, also $y = g^{\sum_i a_i v_i(s)}$ and $z = g^{\sum_i a_i w_i(s)}$.

Polynomial Relation for the Entire Scheme

(a_1, a_2, \dots, a_n) are scheme execution if and only if the following polynomials are equal

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

In the proving key a random point s is taken, and $g^{u_i(s)}, g^{v_i(s)}, g^{w_i(s)}$ are computed and published with $z' = g^{h(s)t(s)}$

The prover can then compute $g^{a_i u_i(s)}$ by taking $g^{u_i(s)}$ to the power of a_i . He can compute $x = g^{\sum_i a_i u_i(s)}$, also $y = g^{\sum_i a_i v_i(s)}$ and $z = g^{\sum_i a_i w_i(s)}$.

Now verifier can check if $e(x, y) = z \cdot z'$

Polynomial Relation for the Entire Scheme

(a_1, a_2, \dots, a_n) are scheme execution if and only if the following polynomials are equal

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

In the proving key a random point s is taken, and $g^{u_i(s)}, g^{v_i(s)}, g^{w_i(s)}$ are computed and published with $z' = g^{h(s)t(s)}$

The prover can then compute $g^{a_i u_i(s)}$ by taking $g^{u_i(s)}$ to the power of a_i . He can compute $x = g^{\sum_i a_i u_i(s)}$, also $y = g^{\sum_i a_i v_i(s)}$ and $z = g^{\sum_i a_i w_i(s)}$.

Now verifier can check if $e(x, y) = z \cdot z'$

Wait, what if he cheats and just computes z to be as needed?

Missing Details

To prove that

$$\left(\sum_i a_i u_i(X) \right) \cdot \left(\sum_i a_i v_i(X) \right) = \left(\sum_i a_i w_i(X) \right) + h(X)t(X)$$

Proving key also contains for random $\alpha, \beta, \gamma, \delta$

$$g^\alpha, g^\beta, g^\gamma, g^\delta, g^{\frac{\beta u_i(s) + \alpha v_i(s) + w_i(s)}{\delta}}, z' = g^{\frac{h(s)t(s)}{\delta}}$$

Prover computes

$$A = g^{\alpha + (\sum_i a_i u_i(s))}, B = g^{\beta + (\sum_i a_i v_i(s))}, C = g^{\sum_i a_i \frac{\beta u_i(s) + \alpha v_i(s) + w_i(s)}{\delta}}$$

Verifier checks if

$$e(A, B) = e(g^\alpha, g^\beta) \cdot e(Cz', g^\delta)$$

Only 2 uncacheable pairing computations! Any incorrect a_i will make C inconsistent with A, B , and the inconsistency is impossible to correct if you do not know α, β, δ, s

More Missing Details

Some more complexity:

- Prover randomizes his outputs so extra variables r, x are introduced and another pairing operation is performed by Verifier.
- Pairing is of type-III, so three different G groups and three generators.
- Input variables are treated differently, and another pairing is needed.
- g^{s^j} for all j are published instead of $g^{u_i(s)}, g^{v_i(s)}$ in order to make proving key smaller. This makes Prover to do extra work to recompute the polynomial values using FFT.