

# CS 5594: BLOCKCHAIN TECHNOLOGIES

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## (ZERO-KNOWLEDGE) VERIFIABLE COMPUTATION

Most slides derived from the one by Dmitry Khovratovich

# Overview

Motivation

zk-STARK

Zk-SNARK

Bulletproofs



MOTIVATION

# Verifiable Computation

Sometimes we need to delegate computation to remote agents whom we do not fully trust:

- Database is searched or updated on a remote server;

- Secure hardware signs the input.

- Privacy-preserving AI training;

- Blind auctions, **blockchain**, etc..

We might need to pay the agents for the work if it is done correctly.

# Summary

Alice needs program  $C$  to be computed on input  $X$ ;

Bob takes the task  $(C, X)$ ;

Bob returns answer  $A$  and proof of correctness  $P$ ;

Alice verifies  $P$  spending much less time than Bob.

Alice rewards Bob.

How to do that so that Bob can not cheat?

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How to do that so that Bob can not cheat?

A mistake in just one step can ruin the entire computation.



zk-STARK

# Simple Example

## Program:

Take input  $X_0 = X$ ;

Compute  $X_i \leftarrow (X_{i-1}^2 + 3)$  up to  $i = 100$ .

Return  $A = X_{100}$ .

No big number arithmetic, only lowest 10 digits (modulo  $10^{10}$ ).



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No big number arithmetic, only lowest 10 digits (modulo  $10^{10}$ ).

Alice says  $X = 1$ .

Bob returns  $A = 5251434499$  and some proof  $P$  (just a few bytes).

How can that be?

# Protocol

## Program:

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No big number arithmetic, only lowest 10 digits (modulo  $10^{10}$ ).

## Very simple protocol:

Bob computes some function  $f$  on 10000 inputs, from 1 to 10000.

Bob computes another function  $g$  on the same 10000 inputs.

Alice selects random  $0 < s < 10000$ .

Bob returns  $f(s), f(s+1), g(s)$ .

Alice verifies just one equation and any cheat is detected with probability 99%.

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**How exactly?**

# Details

Let Bob's program be a table of 101 entries

- Compute polynomial  $f$  of degree 100 that interpolates on the memory

Code	Value	$f$
$X_0$	1	$f(0)$
$X_1$	4	$f(1)$
$X_2$	19	$f(2)$
$X_3$	364	$f(3)$
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$X_{100}$	5251434499	$f(100)$

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Let Bob's program be a table of 101 entries

- Compute polynomial  $f$  of degree 100 that interpolates on the memory

- Define **constraint**

$$C(x, y) = y - x^2 - 3.$$

- Bob executed the program if

$$C(f(x), f(x + 1)) = 0 \text{ for all } x$$

- Note that  $C(f(x), f(x + 1))$  has degree 200, and  $D(x) = x(x - 1)(x - 2) \cdot (x - 99)$  divides it.

- Define

$$g(x) = C(f(x), f(x + 1)) / D(x)$$

# Details

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Bob goes on

- Compute  $f$  and  $g$  up to 10000
- Commit to the evaluations:
 
$$H_1 = H(f(0), f(1), \dots, f(10000));$$

$$H_2 = H(g(0), g(1), \dots, g(10000));$$
- Send  $H_1, H_2$  to Alice with proofs that  $f, g$  of degree 100.
- Alice sends random  $s$  between 0 and 10000 to Bob.
- Bob sends back  $f(s), f(s + 1), g(s)$ .



# Details

Recall

$$C(x, y) = y - x^2 - 3.$$

$$D(x) = x(x - 1)(x - 2) \cdot (x - 99)$$

$$g(x) = C(f(x), f(x + 1))/D(x)$$

Alice verifies

$$C(f(s), f(s + 1))/D(s) = g(s).$$

It works if Bob is honest by definition.

# Cheat

What if Bob cheats and does not know the true  $f$ ?

Code	Value	$f$
$X_0$	1	$f(0)$
$X_1$	4	$f(1)$
$X_2$	20	$f'(2) \neq f(2)$
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- He cannot compute proper  $g = C(f, f)/D$  of degree 100
- $C(f', f')/D$  will differ from  $g$  on at least 1 point
- As polynomials they can agree on at most 100 points (they have degree 100) out of 10000.
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# Extensions

Zero knowledge: Bob can convince Alice revealing only  $X_i, i > 100$ .

Complex programs

# Arbitrary Programs

Let  $C$  be a code of  $T$  steps. I can prove that

I executed the code on (secret) input  $K$  and got result  $X$ .

Let  $C_P$  be the code of my CPU (handling registers, function calls, memory, etc.).

Prepare  $T$  CPU-state variables,  $\mathbf{S} = (S_1, S_2, \dots, S_T)$ .

Using  $T$  copies of  $C_P$ , prove correct transitions.

Let  $\mathbf{W} = (W_1, W_2, \dots, W_T)$  be the list of states  $S$  sorted by the memory address they access.

- Prove that successive memory accesses yield the same data.
- Prove that  $\mathbf{W}$  is a sort of  $\mathbf{S}$  using permutation networks/proof of shuffle, etc.



zk-SNARK

# Pairings

Group  $G$  with generator  $g$ , for example a set of integers modulo a prime  $p$

Pairing  $e$  is a function of two arguments such that

$$e(g^a, g^b) = e(g, g)^{ab}$$

and  $e(g, g)$  is also a generator

# Factorization Proof

Suppose you want to prove you know  $p$  and  $q$

$$N = p \cdot q.$$

Then you provide  $p' = g^p, q' = g^q$  and everyone can verify that

$$e(p', q') = e(g, g)^N$$

since

$$e(p', q') = e(g^p, g^q)$$



# Sophisticated Programs

$a_1, a_2$  – inputs,  $a_n$  – output.

$$\begin{aligned} a_3 &\leftarrow a_1 \cdot a_2; \\ a_4 &\leftarrow a_2 \cdot a_3; \\ a_5 &\leftarrow a_1 \cdot (a_4 + a_2); \\ &\dots \end{aligned}$$

Quite many real programs can be represented this way.

Suppose I have a correct program execution:  $(a_1, a_2, a_3, \dots)$ . How to prove it is correct?

- Selecting a random equation? **Then it will be easy to cheat in the others**
- Supply all  $a^i$  as  $g^{a_i}$ ? **Too expensive.**

# Sophisticated Programs

Program with  $n$  lines

$$\begin{aligned}a_3 &\leftarrow a_1 \cdot a_2; \\a_4 &\leftarrow a_2 \cdot a_3; \\a_5 &\leftarrow a_1 \cdot (a_4 + a_2); \\&\dots\end{aligned}$$

Instead, try the following concept:

Trusted party squeezes the entire program into  $n$  polynomials  $\{u_i, v_i, w_i\}$  of degree  $n$  which encodes which  $a_i$  gets into which equation with which coefficient so that  $\{a_i\}$  is the program execution only if

$$\underbrace{\left(\sum_i a_i u_i(X)\right)}_A \cdot \underbrace{\left(\sum_i a_i v_i(X)\right)}_B = \underbrace{\left(\sum_i a_i w_i(X)\right)}_C + d(X)$$

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Then compute the polynomial on a secret input  $s$  and stores (exponentiated) all  $g^{u_i(s)}$  and  $g^{d(s)}$ . This is called a proving key  $P$ .

Prover runs the program on his own input and computes the internal variables  $a_i$ . They should satisfy program equations. Then Prover computes  $g^A, g^B, g^C$  as a short proof  $\pi$ .

Verifier checks the proof in constant time by computing a few pairings to verify the equation above.

## Form Single Equation From Many

$$\text{For } x = 0, x \neq 1, 2 \quad a_3 = a_1 \cdot a_2$$

$$\text{For } x = 1, x \neq 0, 2 \quad a_4 = a_2 \cdot a_3$$

$$\text{For } x = 2, x \neq 0, 1 \quad a_5 = a_1 \cdot (a_4 + a_2)$$

Proper multiplication:

$$a_3(x-1)(x-2)/2 = ((x-1)(x-2)/2)a_1 \cdot ((x-1)(x-2)/2)a_2$$

$$-a_4x(x-2)/2 = (x(x-2)/2)a_2 \cdot (x(x-2)/2)a_3$$

$$x(x-1)a_5 = x(x-1)a_1 \cdot (x(x-1)a_4 + x(x-1)a_2)$$

Altogether

$$a_1a_2(x^2 - 3x + 2) + a_2a_3(x^2 - 2x) + \dots = 0$$

# Polynomial Relation for the Entire Scheme

$(a_1, a_2, \dots, a_n)$  are scheme execution if and only if the following polynomials are equal

$$\left( \sum_i a_i u_i(X) \right) \cdot \left( \sum_i a_i v_i(X) \right) = \left( \sum_i a_i w_i(X) \right) + h(X)t(X)$$

Testing for correctness reduces to testing of polynomial equivalence

How to test the latter?

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The prover can then compute  $g^{a_i u_i(s)}$  by taking  $g^{u_i(s)}$  to the power of  $a_i$ . He can compute  $x = g^{\sum_i a_i u_i(s)}$ , also  $y = g^{\sum_i a_i v_i(s)}$  and  $z = g^{\sum_i a_i w_i(s)}$ .

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Wait, what if he cheats and just computes  $z$  to be as needed?

# Missing Details

To prove that 
$$\left(\sum_i a_i u_i(X)\right) \cdot \left(\sum_i a_i v_i(X)\right) = \left(\sum_i a_i w_i(X)\right) + h(X)t(X)$$

Proving key also contains for random  $\alpha, \beta, \gamma, \delta$

$$g^\alpha, g^\beta, g^\gamma, g^\delta, g^{\frac{\beta u_i(s) + \alpha v_i(s) + w_i(s)}{\delta}}, z' = g^{\frac{h(s)t(s)}{\delta}}$$

Prover computes

$$A = g^{\alpha + (\sum_i a_i u_i(s))}, B = g^{\beta + (\sum_i a_i v_i(s))}, C = g^{\sum_i a_i \frac{\beta u_i(s) + \alpha v_i(s) + w_i(s)}{\delta}}$$

Verifier checks if

$$e(A, B) = e(g^\alpha, g^\beta) \cdot e(Cz', g^\delta)$$

Only 2 uncacheable pairing computations! Any incorrect  $a_i$  will make  $C$  inconsistent with  $A, B$ , and the inconsistency is impossible to correct if you do not know  $\alpha, \beta, \delta, s$

# More Missing Details

Some more complexity:

- Prover randomizes his outputs so extra variables  $r, x$  are introduced and another pairing operation is performed by Verifier.
- Pairing is of type-III, so three different  $G$  groups and three generators.
- Input variables are treated differently, and another pairing is needed.
- $g^{s^j}$  for all  $j$  are published instead of  $g^{u_i(s)}, g^{v_i(s)}$  in order to make proving key smaller. This makes Prover to do extra work to recompute the polynomial values using FFT.