

Normalized Cut with Adaptive Similarity and Spatial Regularization

Jun Liu

School of Mathematical Sciences, Beijing Normal University

Joint work with Faqiang Wang, Cuicui Zhao and Haiyang
Huang

Outline

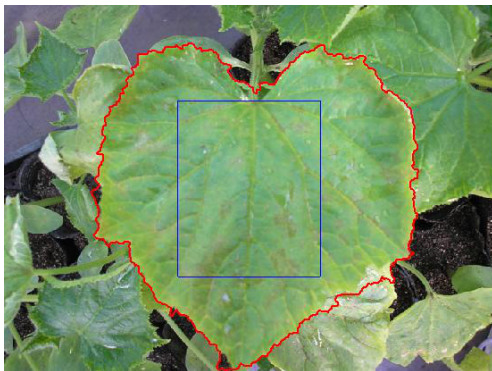
- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

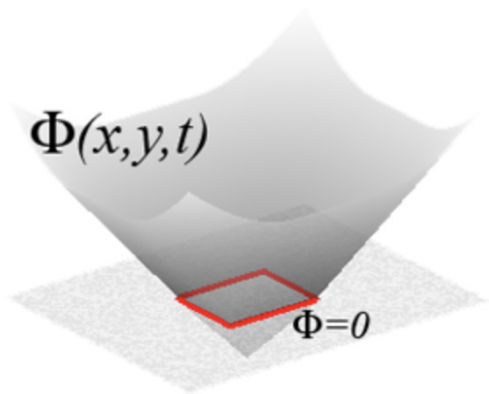
Background

Image segmentation: to extract the object regions to do further research, widely used in computer vision, medical image processing, etc.



Related Work

PDE (level set) method:



Related Work

■ PDE Methods

- 1 Mumford-Shah (1989)
- 2 Chan-Vese (2001)
- 3 Piecewise constant level set method (PCLSM) (Lie, Lysaker, Tai, 2006)
- 4 Two-phase global segmentation (Bresson *et al.*, 2007)
- 5 Convex relaxation method (Pock *et al.*, 2009)
- 6 Continuous max-flow (Yuan *et al.*, 2010)
- 7 ...

Advantages:

- 1 High segmentation accuracy.
- 2 Flexible energy construction.

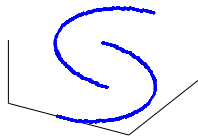
Flaws:

- 1 Centers based classification.
- 2 Data domain based algorithms.

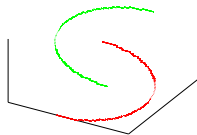
Flaws of Centers based Classification



(b) Synthetic image



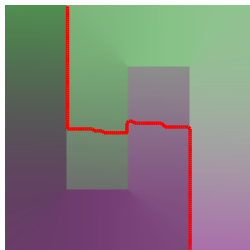
(c) Distribution
in RGB space



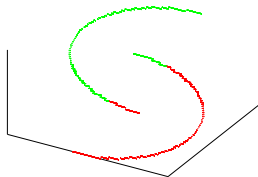
in (d) Latent segmen-
tation

Figure 1: A synthetic image and its distributions on RGB space.

Flaws of Centers based Classification



(a) Chan-Vese model

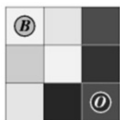


(b) Distributions for Chan-Vese.

Figure 2: Centers based methods are applied to noncentral distributed data.

Related Work

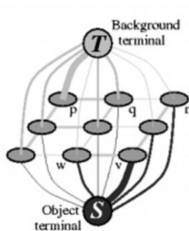
Min-cut: $Cut(B, O)$



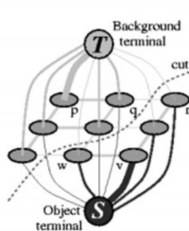
(a) Image with seeds.



(d) Segmentation results.



(b) Graph.



(c) Cut.

■ Graph cut Methods

- 1 α -expansion and $\alpha - \beta$ swap algorithms. (Boykov, *et al.*, 2001)
- 2 Ishikawa's graph cut method. (Ishikawa, 2003)
- 3 4-phase CV graph cut. (Bae, *et al.*, 2011)
- 4 PCLSM graph cut. (Liu, *et al.*, 2014)

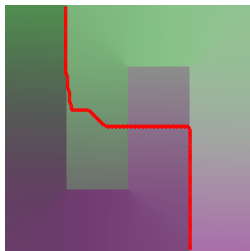
Advantages:

Global minimization and fast implementation.

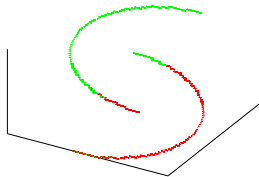
Flaws:

- 1 Suffering from metrication errors.
- 2 Only some particular energies can be minimized.
e.g. anisotropic TV can be minimized by graph cut, but the isotropic TV can not.

Flaws of Centers based Classification



(a) Min-cut method



(b) Distribution for Min-cut

Figure 3: Centers based methods are applied to noncentral distributed data.

Another Flaw of Min-cut

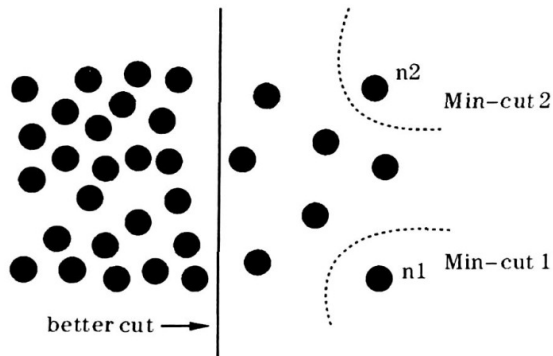


Figure 4: From reference [Shi and Malik, 2000]

Related Work

Normalized Cut (Ncut, [Shi and Malik, 2000])

Given a similarity graph $G = \langle V, E, W \rangle$, the Normalized cut defined on the graph $G = \langle V, E, W \rangle$:

$$Ncut(A, B) = cut(A, B) \left(\frac{1}{assoc(A, V)} + \frac{1}{assoc(B, V)} \right)$$

where

$$assoc(A, V) = \sum_{x \in A} d(x), d(x) = \sum_{y \in V} w(x, y),$$

where V is the set of image pixels, E is the set of edges connecting each data pair and W is the similarity matrix which measures the similarity between data pairs.

- Image segmentation \Rightarrow minimize Normalized cut.
Unfortunately, binary segmentation is NP-Hard!

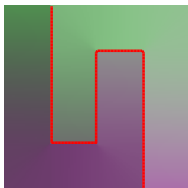
Variational Relaxation Formulation of Ncut

$$\min_{\substack{\mathbf{f}^T D \mathbf{f} = 1 \\ \mathbf{f}^T D \mathbf{1} = 0}} \mathbf{f}^T (D - W) \mathbf{f}. \quad (1)$$

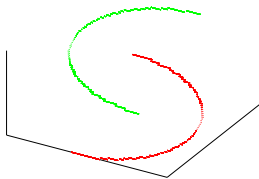
- A minimizer of (1) can be calculated by the “second smallest generalized eigenvector” [Shi and Malik, 2000] of

$$(D - W) \mathbf{f} = \lambda D \mathbf{f} \quad (\text{Spectral clustering}).$$

Noncentral Data Classification



(a) Ncut



(b) Distribution for Ncut

Figure 5: Ncut (spectral clustering) applied to noncentral distributed data.

Motivation

- Normalized cut has better clustering performance.
- Normalized cut lacks of prior information (regularization).
- Similarity W in Normalized cut is manually chosen.

Our Method

- Unify the Normalized Cut, expectation maximum (EM) method and PDE method into a variational framework.
- Spatial regularization for spectrum.
- Similarity W is determined by EM.

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

EM based Adaptive Similarity W

- Let Ω be a discrete set in \mathbb{R}^2 , $I : \Omega \rightarrow \{0, 1, 2, \dots, 255\}$ stands for the image, the normalized histogram of I can be expressed as

$$p(z) = \frac{1}{|\Omega|} \sum_{y \in \Omega} \delta(z - I(y)), \quad (2)$$

where

$$\delta(x) = \begin{cases} 1, & x = 0, \\ 0, & x \neq 0. \end{cases}$$

EM based Adaptive Similarity W

- $\delta(x)$ can be replaced by a gaussian function $\delta_h(x)$ for convenience of numerical computation

$$\delta_h(x) = \frac{1}{\sqrt{2\pi}h} e^{-\frac{x^2}{2h^2}}.$$

When h is small, $\delta(x)$ can be well approximated.

- Smooth approximation of frequency histogram

$$p(z) = \frac{1}{|\Omega|} \sum_{y \in \Omega} \frac{1}{\sqrt{2\pi}h} e^{-\frac{(z-I(y))^2}{2h^2}}. \quad (3)$$

EM based Adaptive Similarity W

- To estimate the parameter exists in (3), here assume that $\{I(x), x \in \Omega\}$ are samples of random variable \mathcal{I} with the density $p(z)$ given in (3),
- Maximizing the log-likelihood function

$$L(h) = \sum_{x \in \Omega} \ln \frac{1}{|\Omega|} \sum_{y \in \Omega} \frac{1}{\sqrt{2\pi}h} e^{-\frac{(I(x)-I(y))^2}{2h^2}}. \quad (4)$$

- Existence of *log - sum*, similar with Gaussian Mixture Model [Luc Gauvain and Hui Lee, 1994], Expectation Maximum Algorithm [Bilmes, 1997] is adopted.

EM based Adaptive Similarity W

- EM process: Assume a hidden random variable \mathcal{Y} , whose value indicates the sample I comes from which component of the gaussian mixtures, the complete data as $(\mathcal{I}, \mathcal{Y})$, then (4) can be simplified by minimizing

$$L(h) = Q(h; h^{k-1}) - E(h; h^{k-1}), \quad (5)$$

where

$$Q(h; h^{k-1}) = \sum_{x \in \Omega} \sum_{y \in \Omega} \ln \left(\frac{1}{|\Omega|} p_y(I(x); h) \right) p(y|I(x); h^{k-1}),$$

and

$$E(h; h^{k-1}) = \sum_{x \in \Omega} \sum_{y \in \Omega} \ln p(y|I(x); h) p(y|I(x); h^{k-1}).$$

Variational Method for EM

- $L(h)$ is not easy to be optimized efficiently

- Theorem (Commutativity of log & sum operations, Liu et al. 2013, IEEE TIP)

Given a functional $p(x, y) > 0$, we have

$$-\sum_{x \in \Omega} \ln \sum_{y \in \Omega} p(x, y) = \min_{w \in \mathbb{C}_1} \left\{ \underbrace{-\sum_{x \in \Omega} \sum_{y \in \Omega} [\ln p(x, y)] w(x, y)}_{-Q: \text{quadratic}} + \underbrace{\sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) \ln w(x, y)}_{E: \text{entropy}} \right\},$$

where

$$\mathbb{C}_1 = \left\{ w(x, y) : 0 \leq w(x, y) \leq 1, \sum_{y \in \Omega} w(x, y) = 1, \forall x \in \Omega \right\}.$$

EM based Adaptive Similarity W

- Denote $w : \Omega \times \Omega \rightarrow \mathbb{R}$, $w(x, y) = p(y|I(x); h)$, then the parameter estimation (5) can be converted to an alternating minimization process

$$\min_{h \in \mathbb{H}, w \in \mathbb{C}_1} \left\{ \underbrace{\sum_{x \in \Omega} \sum_{y \in \Omega} \left(\frac{(I(x) - I(y))^2}{2h^2} + \ln(\sqrt{2\pi}h|\Omega|) \right) w(x, y)}_{-Q} + \underbrace{\sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) \ln w(x, y)}_E \right\}$$

where $\mathbb{H} = \{h : 0 < h_{min} \leq h \leq h_{max} < +\infty\}$,

$\mathbb{C}_1 = \{w : \Omega \times \Omega \rightarrow \mathbb{R} | 0 \leq w(x, y) \leq 1, \sum_{y \in \Omega} w(x, y) = 1, \forall x \in$

$\Omega, \forall y \in \Omega\}$.

$$\begin{cases} w^k &= \arg \min_{w \in \mathbb{C}_1} -Q(h^{k-1}, w) + E(w), \\ h^k &= \arg \min_h -Q(h, w^k) + E(w^k). \end{cases} \quad (6)$$

■ Theorem (Energy Descent)

The sequence h^k produced by iteration scheme (6) satisfies

$$L(h^k) \geq L(h^{k-1}).$$

EM based Adaptive Similarity W

- Using Lagrange multiplier method,

$$w(x, y) = \frac{1}{S} e^{-\frac{(I(x) - I(y))^2}{2h^2}},$$

where S serves as a normalization factor.

- $w(x, y)$: measure the similarity between image points, It is model-based, updated adaptively.
- However, w is asymmetric.

Variational Normalized Cut

- Normalized cut

$$\min_{\substack{\mathbf{f}^T D \mathbf{f} = 1 \\ \mathbf{f}^T D \mathbf{1} = 0}} \mathbf{f}^T (D - W) \mathbf{f}, \quad (7)$$

- Equals to

$$\min_{f \in \mathbb{F}} \left\{ \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) (f(x) - f(y))^2 \right\},$$

where

$$\mathbb{F} = \{f : \Omega \rightarrow \mathbb{R} \mid \sum_{x \in \Omega} f(x) d(x) = 0, \sum_{x \in \Omega} d(x) f(x)^2 = 1\}.$$

Proposed Model

Normalized Cut with Adaptive Similarity and Spatial Regularization

$$\min_{\substack{h \in \mathbb{H}, f \in \mathbb{F} \\ w \in \mathbb{C}_1 \cap \mathbb{C}_2}} \left\{ E(f, w, h) = \sum_{x \in \Omega} \sum_{y \in \Omega} \left\{ \frac{(I(x) - I(y))^2}{2h^2} + \eta \sum_{x \in \Omega} \|\nabla f(x)\|_2^p \right. \right. \\ + \ln(\sqrt{2\pi}h|\Omega|)\} w(x, y) + \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) \ln w(x, y) \\ \left. \left. + \lambda \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) ((K_\epsilon * f)(x) - (K_\epsilon * f)(y))^2 \right\} \right\}.$$

- K_ϵ : used to prove the existence of minimizers theoretically in a proper functional space. ϵ small enough, K_ϵ becomes a delta function.

Notation

- $\mathbb{C}_1 = \{w : \Omega \times \Omega \rightarrow \mathbb{R} | 0 \leq w(x, y) \leq 1, \sum_{y \in \Omega} w(x, y) = 1, \forall x \in \Omega, \forall y \in \Omega\},$
- $\mathbb{C}_2 = \{w : \Omega \times \Omega \rightarrow \mathbb{R} | 0 \leq w(x, y) \leq 1, w(x, y) = w(y, x), \forall x \in \Omega, \forall y \in \Omega\},$
- $\mathbb{F} = \{f : \Omega \rightarrow \mathbb{R} | \sum_{x \in \Omega} f(x)d(x) = 0, \sum_{x \in \Omega} d(x)f(x)^2 = 1, \forall x \in \Omega\},$
- $\mathbb{H} = \{h : 0 < h_{min} \leq h \leq h_{max} < +\infty\},$
- λ, η control the balance of each term, $\|\cdot\|_2$ is the L^2 norm,
- $p = 1, 2$ corresponding to H^1 regularization (NCASH¹) and TV regularization (NCSTV), respectively.

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model**
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

Existence of Minimizer

Theorem

There exists a minimizer for NCASTV model

$$\min_{(f,w,h) \in X} E(f, w, h), \quad (8)$$

where $X := \{(f, w, h) : f \in BV(\Omega), w \in L^\infty(\Omega \times \Omega), 0 \leq w \leq 1, \int_\Omega f(x)dx = 0, \int_\Omega f^2(x)dx = 1, \int_\Omega w(x, y)dy = 1, |f(x)| < C, w(x, y) = w(y, x), a.e. x \in \Omega, y \in \Omega, 0 < h_{min} \leq h \leq h_{max} < +\infty\}$.

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

Algorithm for NCASH¹ Model

■ ADMM Scheme:

$$\begin{aligned} (i) \quad & \min_{w \in \mathbb{C}_2} \max_{\beta} \left\{ \sum_{x \in \Omega} \sum_{y \in \Omega} \left\{ \frac{(I(x) - I(y))^2}{2h^2} + \ln(\sqrt{2\pi}h|\Omega|) \right\} w(x, y) \right. \\ & + \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) \ln w(x, y) + \sum_{x \in \Omega} \beta(x) \left(\sum_{y \in \Omega} w(x, y) - 1 \right) \\ & \left. + \lambda \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) ((K_{\epsilon} * f)(x) - (K_{\epsilon} * f)(y))^2 \right\}, \\ (ii) \quad & \min_{h \in \mathbb{H}} \left\{ \sum_{x \in \Omega} \sum_{y \in \Omega} \left\{ \frac{(I(x) - I(y))^2}{2h^2} + \ln(\sqrt{2\pi}h|\Omega|) \right\} w(x, y) \right\}, \\ (iii) \quad & \min_{f \in \mathbb{F}} \left\{ \lambda \sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y) ((K_{\epsilon} * f)(x) - (K_{\epsilon} * f)(y))^2 \right. \\ & \left. + \eta \sum_{x \in \Omega} \|\nabla f(x)\|_2^2 \right\}. \end{aligned}$$

Algorithm for NCASH¹ Model

- Subproblems (i) and (ii) have closed-form solutions by Lagrangian multiplier method and the first order optimal condition

$$h^2 = Proj_{\mathbb{H}}\left(\frac{\sum_{x \in \Omega} \sum_{y \in \Omega} w(x, y)(I(x) - I(y))^2}{|\Omega|}\right).$$

$$w(x, y) = Proj_{\mathbb{C}_2}\left(\frac{e^{\frac{-(I(x)-I(y))^2}{h^2}} - \lambda((K_{\epsilon} * f)(x) - (K_{\epsilon} * f)(y))^2}{\sum_{y \in \Omega} e^{\frac{-(I(x)-I(y))^2}{h^2}} - \lambda((K_{\epsilon} * f)(x) - (K_{\epsilon} * f)(y))^2}\right).$$

Algorithm for NCASH¹ Model

- Equivalent form of f subproblem

$$\min_{\substack{\mathbf{f}^T D \mathbf{f} = 1 \\ \mathbf{f}^T D \mathbf{1} = 0}} \lambda \mathbf{f}^T K^T (D - W) K \mathbf{f} + \eta \|\nabla \mathbf{f}\|_2^2, \quad (9)$$

where W is the similarity matrix, and D is the degree matrix, \mathbf{f} is the discretion of f .

- Denote $\mathbf{z} = D^{\frac{1}{2}} \mathbf{f}$ and $\mathbb{S}_2 = \{\mathbf{z} : \mathbf{z}^T D^{\frac{1}{2}} \mathbf{1} = 0\}$, (9) can be converted to the problem concerning about \mathbf{z} :

$$\min_{\mathbf{z} \in \mathbb{S}_2} \min_{\mu} \left\{ \mathbf{z}^T D^{-\frac{1}{2}} (\lambda K^T (D - W) K - \eta \Delta) D^{-\frac{1}{2}} \mathbf{z} - \mu (\|\mathbf{z}\|^2 - 1) \right\}.$$

Algorithm for NCASH¹ Model

- Inspired by Dinkelbach Algorithm [Rodenas et al., 1999] [Ghanem and Ahuja, 2010], the numerical scheme is shown below

$$\left\{ \begin{array}{lcl} \mu^k & = & \frac{(\mathbf{z}^k)^T D^{-\frac{1}{2}} (\lambda K^T (D-W) K - \eta \Delta) D^{-\frac{1}{2}} \mathbf{z}^k}{(\mathbf{z}^k)^T \mathbf{z}^k}, \\ \hat{\mathbf{z}}^{k+1} & = & \mathbf{z}^k - \tau (D^{-\frac{1}{2}} (\lambda K^T (D-W) K - \eta \Delta) D^{-\frac{1}{2}} \mathbf{z}^k - \mu^k \mathbf{z}^k), \\ \mathbf{z}^{k+1} & = & Proj_{\mathbb{S}_2}(\hat{\mathbf{z}}^{k+1}). \end{array} \right. \quad (10)$$

In fact,

$$\mathbf{z}^{k+1} = Proj_{\mathbb{S}_2}(\hat{\mathbf{z}}^{k+1}) = \hat{\mathbf{z}}^{k+1} - \frac{(\hat{\mathbf{z}}^{k+1})^T D^{\frac{1}{2}} \mathbf{1}}{\mathbf{1}^T D \mathbf{1}} D^{\frac{1}{2}} \mathbf{1}.$$

Algorithm 1: NCASH¹

- Given $\mathbf{f}^0 = \mathbf{1}$, $\mathbf{z}^0 = Proj_{\mathbb{S}_2}(\mathbf{f}^0)$, tolerant error = ϵ ; Set $\tau=2$, $h^0=50$, let $k = 0$.
- Update similarity matrix

$$w^{k+1}(x, y) = \frac{e^{\frac{-(I(x)-I(y))^2}{2(h^k)^2} - \lambda(Kf^k(x) - Kf^k(y))^2}}{\sum_{y \in \Omega} e^{\frac{-(I(x)-I(y))^2}{2(h^k)^2} - \lambda(Kf^k(x) - Kf^k(y))^2}}.$$

- Calculate the projection of W in \mathbb{C}_2

$$W^{k+1} = \frac{W^{k+1} + (W^{k+1})^T}{2}.$$

- Calculate h

$$(h^{k+1})^2 = Proj_{\mathbb{H}}\left(\frac{\sum_{x \in \Omega} \sum_{y \in \Omega} w^{k+1}(x, y)(I(x) - I(y))^2}{|\Omega|}\right).$$

Algorithm 1: NCASH¹

- Calculate \mathbf{z}

$$\begin{cases} \mu^k &= \frac{(\mathbf{z}^k)^T D^{-\frac{1}{2}} (\lambda K^T (D - W^{k+1}) K - \eta \Delta) D^{-\frac{1}{2}} \mathbf{z}^k}{(\mathbf{z}^k)^T \mathbf{z}^k}, \\ \hat{\mathbf{z}}^{k+1} &= \mathbf{z}^k - \tau (D^{-\frac{1}{2}} (\lambda K^T (D - W^{k+1}) K - \eta \Delta) D^{-\frac{1}{2}} \mathbf{z}^k - \mu^k \mathbf{z}^k), \\ \mathbf{z}^{k+1} &= \hat{\mathbf{z}}^{k+1} - \frac{(\hat{\mathbf{z}}^{k+1})^T D^{\frac{1}{2}} \mathbf{1}}{\mathbf{1}^T D \mathbf{1}} D^{\frac{1}{2}} \mathbf{1}. \end{cases}$$

- Reconstruct \mathbf{f}

$$\mathbf{f}^{k+1} = D^{-\frac{1}{2}} \mathbf{z}^{k+1}.$$

- If $\frac{\|\mathbf{f}^{k+1} - \mathbf{f}^k\|^2}{\|\mathbf{f}^k\|^2} < \epsilon$, stop; Or, set $k = k + 1$, return to step 3.

Algorithm 2: NCASTV

- Given $\mathbf{f}^0 = \mathbf{z}^0 = \mathbf{g}^0 = \mathbf{1}$, tolerant error = ζ ; Set $\tau=2$, $h^0 = 50$. Let $k = 0$.
- Update similarity matrix

$$w^{k+1}(x, y) = \frac{e^{\frac{-(I(x)-I(y))^2}{2h^k{}^2} - \lambda(Kf^k(x) - Kf^k(y))^2}}{\sum_{y \in \Omega} e^{\frac{-(I(x)-I(y))^2}{2(h^k)^2} - \lambda(Kf^k(x) - Kf^k(y))^2}}.$$

- Calculate the projection of W in \mathbb{C}_2

$$W^{k+1} = \frac{W^{k+1} + (W^{k+1})^T}{2}.$$

- Calculate h

$$(h^{k+1})^2 = Proj_{\mathbb{H}}\left(\frac{\sum_{x \in \Omega} \sum_{y \in \Omega} w^{k+1}(x, y)(I(x) - I(y))^2}{|\Omega|}\right).$$

Algorithm 2: NCASTV

- Calculate \mathbf{z}

$$\left\{ \begin{array}{l} \mu^k = \frac{(\mathbf{z}^k)^T D^{-\frac{1}{2}} [\lambda K^T (D - W^{k+1}) K + \epsilon I] D^{-\frac{1}{2}} \mathbf{z}^k - \epsilon (\mathbf{z}^k)^T D^{-\frac{1}{2}} \mathbf{g}^k}{(\mathbf{z}^k)^T \mathbf{z}^k}, \\ \hat{\mathbf{z}}^{k+1} = \mathbf{z}^k - \tau (D^{-\frac{1}{2}} [\lambda K^T (D - W^{k+1}) K + \epsilon I] D^{-\frac{1}{2}} \mathbf{z}^k \\ \quad - \mu^k \mathbf{z}^k - \epsilon D^{-\frac{1}{2}} \mathbf{g}^k), \\ \mathbf{z}^{k+1} = \hat{\mathbf{z}}^{k+1} - \frac{(\hat{\mathbf{z}}^{k+1})^T D^{\frac{1}{2}} \mathbf{1}}{\mathbf{1}^T D \mathbf{1}} D^{\frac{1}{2}} \mathbf{1}. \end{array} \right.$$

- Reconstruct \mathbf{f}

$$\mathbf{f}^{k+1} = D^{-\frac{1}{2}} \mathbf{z}^{k+1}.$$

- Calculate the auxiliary variable

$$\mathbf{g}^{k+1} = ROF(\mathbf{f}^{k+1}, \frac{\eta}{2\epsilon}).$$

- If $\frac{\|\mathbf{f}^{k+1} - \mathbf{f}^k\|^2}{\|\mathbf{f}^k\|^2} < \zeta$, stop; Or, set $k = k + 1$, return to step 3.

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results**
- 6 Conclusion and Discussion

Numerical Results 1

- Double-Moon Data-sets consists of 150 points with two labels.

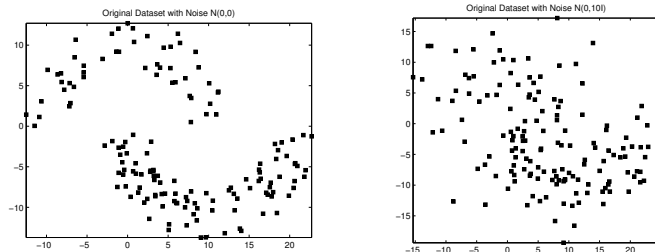


Figure 6: Data: Double-moon data-set and the data-set corrupted by $N(0,10)$ shown in the first and second figure, respectively.

Ncut vs NCASH¹

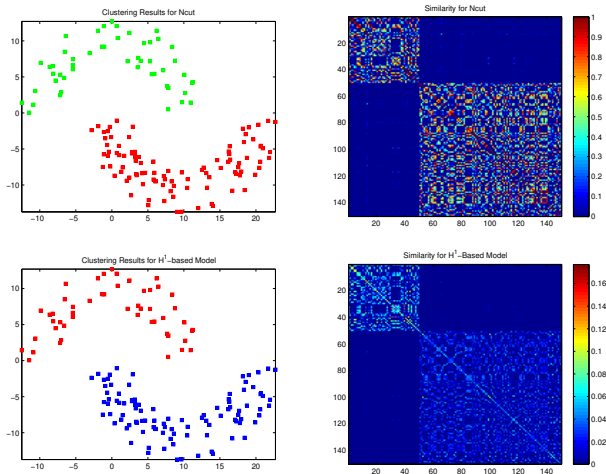


Figure 7: Results: Double-moon data-set by Ncut and the proposed NCASH¹ model and the corresponding similarity matrices.

Ncut vs NCASH¹

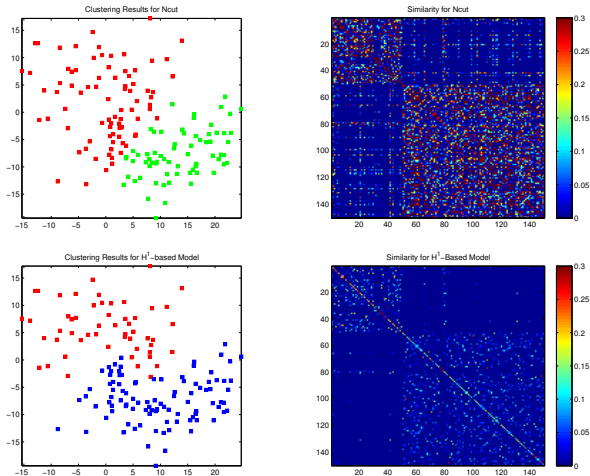


Figure 8: Results: Double-moon data-set corrupted by $N(0,10)$ by Ncut and NCASH¹ model and the corresponding similarity matrices.

Iteration of μ^k

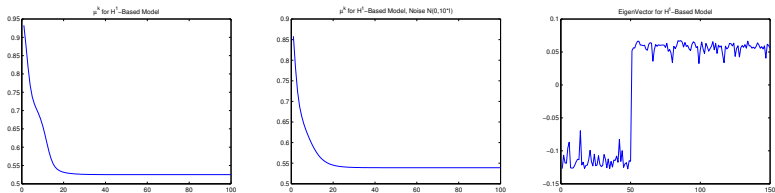


Figure 9: The first two graph shows μ^k by NCASH¹ model, and the third graph shows eigenvector by NCASH¹ model.

Numerical Results 2

- Images source BSDS500 [Arbelaez et al., 2011].
- Iteration of W .



(a) 1_{st} iter



(b) 2_{nd} iter



(c) 3_{rd} iter



(d) 4_{th} iter



(e) 5_{th} iter



(f) 6_{th} iter



(g) 7_{th} iter



(h) 8_{th} iter



(i) 9_{th} iter



(j) 10_{th} iter

Figure 10: (a)-(j) show the first 10 iteration segmentation results of sample image resized to 100×100 by NCASTV model, with parameters $\lambda = 1$, $\epsilon = 0.001 * \lambda$, $\eta = 0.001 * \epsilon$.

Regularization Term

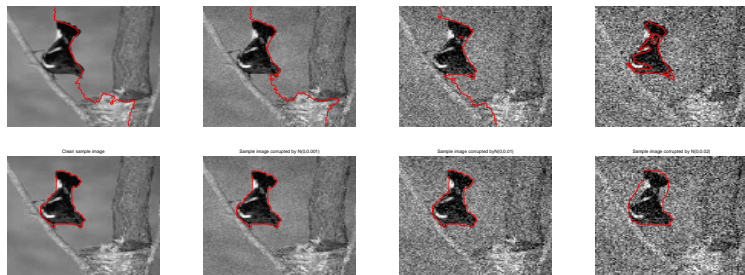
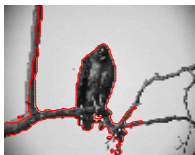


Figure 11: The segmentation results of sample image corrupted by $N(0,0)$, $N(0,0.001)$, $N(0,0.01)$, $N(0,0.02)$ by Ncut model in the first row and by NCASTV model in the second row, with parameters in NCASTV model $\lambda = 1$, $\epsilon = 0.001 * \lambda$, and $\eta = 0.005 * \epsilon$, $\eta = 0.005 * \epsilon$, $\eta = 0.005 * \epsilon$, $\eta = 0.009 * \epsilon$, respectively.

Regularization Parameter η



(a) $\eta = 0.001 * \epsilon$



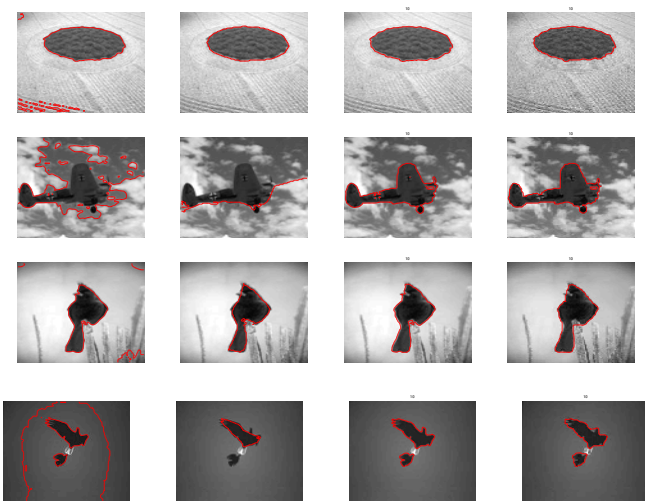
(b) $\eta = 0.005 * \epsilon$



(c) $\eta = 0.01 * \epsilon$

Figure 12: The segmentation results of sample image by NCASTV model with different regularization parameters: $\eta = 0.001 * \epsilon$, $0.005 * \epsilon$, $0.01 * \epsilon$ respectively. The other parameters: $\lambda = 1$, $\epsilon = 0.001 * \lambda$.

Numerical Results 3



(a) CV model (b) Pre-Ncut (c) NCASH¹ (d) NCASTV

Algorithms evaluation

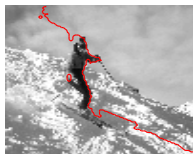
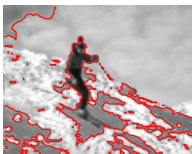
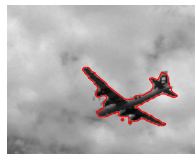
Table 1: Algorithms evaluation.

	Image 1		Image 2		Image 3		Image 4	
	VI	RI	VI	RI	VI	RI	VI	RI
Pre-Ncut	0.1268	0.9843	1.4567	0.5026	0.1760	0.9736	0.2010	0.9624
Chan-Vese	0.2710	0.9551	1.2914	0.5591	0.3811	0.9318	1.2053	0.5224
NCASH ¹	0.1219	0.9830	0.1986	0.9694	0.1311	0.9827	0.1018	0.9862
NCASTV	0.0793	0.9909	0.1930	0.9696	0.1853	0.9736	0.1378	0.9800

VI: Variation of Information.

RI: Rand Index.

CV vs Pre-Ncut vs NCASTV



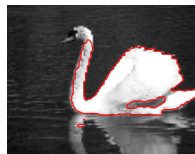
(a) CV model

(b) Pre-Ncut

(c) NCASTV

Figure 14:

CV vs Pre-Ncut vs NCASTV



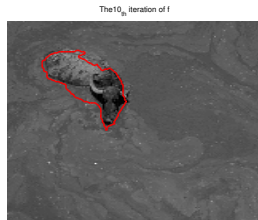
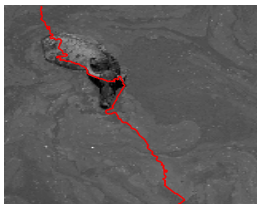
(a) CV model

(b) Pre-Ncut

(c) NCASTV

Figure 15:

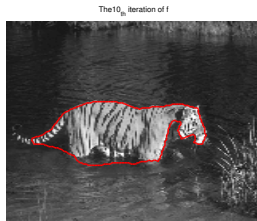
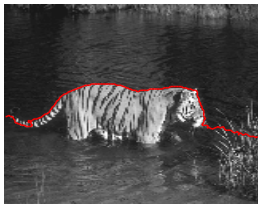
Pre-Ncut vs Pre-NCASTV



(a) Pre-Ncut

(b) Pre-NCASTV

Pre-Ncut vs Pre-NCASTV



(a) Pre-Ncut

(b) Pre-NCASTV

Outline

- 1 Introduction
- 2 Normalized Cut with Adaptive Similarity and Spatial Regularization
- 3 Existence of Minimizer for the Proposed Model
- 4 Algorithms for Proposed Models
- 5 Numerical Results
- 6 Conclusion and Discussion

Conclusion

- Main contributions:
 - 1 Unify the Ncut (Spectral clustering), TV (PDE) and EM (statistics) into a variational framework.
 - 2 EM process gives Ncut good similarity, regularization makes proposed model robust for noise, spectrum clustering can well partition noncentral distributed data.
- Some possible extensions:
 - 1 Multiphase regularized Ncut with EM.
 - 2 Priori (e.g. convex)
 - 3 Convergence.

Thank you!

References

- Pablo Arbelaez, Michael Maire, Charless C Fowlkes, and Jitendra Malik. Contour detection and hierarchical image segmentation. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 33(5):898–916, 2011.
- J. Bilmes. A gentle tutorial on the em algorithm and its application to parameter estimation for gaussian mixture and hidden markov models. 1997. URL <http://citeseerx.ist.psu.edu/viewdoc/summary?doi=10.1.1.28.613>.
- Bernard Ghanem and Narendra Ahuja. Dinkelbach ncut: An efficient framework for solving normalized cuts problems with priors and convex constraints. *International Journal of Computer Vision*, 89(1):40–55, 2010.
- Jean luc Gauvain and Chin hui Lee. Maximum a posteriori estimation for multivariate gaussian mixture observations of markov chains. *IEEE Transactions on Speech and Audio Processing*, 2:291–298, 1994.
- Ricardo Garcia Rodenas, Maria Rodriguez, and Doroteo Verastegui Rayo. Extensions of dinkelbach’s algorithm for solving non-linear fractional programming problems. *Top*, 7(1):33–70, 1999.
- Jianbo Shi and J. Malik. Normalized cuts and image segmentation. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 22(8):888–905, 2000.