

# ALGEBRAIC SUBGROUPS OF THE PLANE CREMONA GROUP OVER A PERFECT FIELD

JULIA SCHNEIDER AND SUSANNA ZIMMERMANN

## CONTENTS

1.	Correction of main theorems	1
2.	Corrections in remaining article	4
	References	8

We'd like to thank Aurore Boitrel for pointing out the missing cases in the classification.

## 1. CORRECTION OF MAIN THEOREMS

Let  $\mathbf{k}$  be a perfect field. For a conic fibration  $\pi: X \rightarrow \mathbb{P}^1$  we denote by  $\text{Aut}(X, \pi) \subset \text{Aut}(X)$  the subgroup preserving the conic fibration, by  $\text{Aut}(X/\pi) \subset \text{Aut}(X, \pi)$  its subgroup inducing the identity on  $\mathbb{P}^1$ , and by  $\text{Aut}_{\mathbf{k}}(X, \pi)$  and  $\text{Aut}_{\mathbf{k}}(X/\pi)$  their  $\mathbf{k}$ -points. For a  $\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -invariant collection  $p_1, \dots, p_r \in X(\bar{\mathbf{k}})$  of points, we denote by  $\text{Aut}_{\mathbf{k}}(X, p_1, \dots, p_r)$ , resp.  $\text{Aut}_{\mathbf{k}}(X, \{p_1, \dots, p_r\})$ , the subgroup of  $\text{Aut}_{\mathbf{k}}(X)$  fixing each  $p_i$ , resp. preserving the set  $\{p_1, \dots, p_r\}$ . A *splitting field* of  $\{p_1, \dots, p_r\}$  is a finite normal extension  $L/\mathbf{k}$  of smallest degree such that  $p_1, \dots, p_r \in X(L)$  and such that  $\{p_1, \dots, p_r\}$  is a union of  $\text{Gal}(L/\mathbf{k})$ -orbits.

Suppose that  $\mathbf{k}$  has a quadratic extension  $L/\mathbf{k}$  and let  $g$  be the generator of  $\text{Gal}(L/\mathbf{k}) \simeq \mathbb{Z}/2$ . By  $\mathcal{Q}^L$  we denote the  $\mathbf{k}$ -structure on  $\mathbb{P}_L^1 \times \mathbb{P}_L^1$  given by  $(x, y)^g = (y^g, x^g)$ . By  $\mathcal{S}^{L, L'}$  we denote a surface obtained by blowing up  $\mathcal{Q}^L$  in a point  $p$  of degree 2, where  $L'/\mathbf{k}$  is the splitting field of  $p$ , whose geometric components are not on the same ruling of  $\mathbb{P}_L^1 \times \mathbb{P}_L^1$ . We will show in Lemma ?? that its isomorphism class depends only on the isomorphism classes of  $L, L'$ . In Theorem 1.1(6b), we denote by  $E \subset \mathcal{S}^{L, L'}$  its exceptional divisor.

Below in Theorem 1.1 and Theorem 1.2 we correct case (5a) of Theorem 1.1 and Theorem 1.4 in [2].

**Theorem 1.1** ([2, Theorem 1.1]). *Let  $\mathbf{k}$  be a perfect field and  $G$  an infinite algebraic subgroup of  $\text{Bir}_{\mathbf{k}}(\mathbb{P}^2)$ . Then there is a  $\mathbf{k}$ -birational map  $\mathbb{P}^2 \dashrightarrow X$  that conjugates  $G$  to a subgroup of  $\text{Aut}(X)$ , with  $X$  one of the following surfaces, where no indication of the  $\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -action means the canonical action.*

- (1)  $X = \mathbb{P}^2$  and  $\text{Aut}(\mathbb{P}^2) \simeq \text{PGL}_3$
- (2)  $X = \mathbb{F}_0$  and  $\text{Aut}(\mathbb{F}_0) \simeq \text{Aut}(\mathbb{P}^1)^2 \rtimes \mathbb{Z}/2 \simeq \text{PGL}_2^2 \rtimes \mathbb{Z}/2$
- (3)  $X = \mathcal{Q}^L$  and  $\text{Aut}(\mathcal{Q}^L)$  is the  $\mathbf{k}$ -structure on  $\text{Aut}(\mathbb{P}_L^1)^2 \rtimes \mathbb{Z}/2$  given by the  $\text{Gal}(L/\mathbf{k})$ -action  $(A, B, \tau)^g = (B^g, A^g, \tau)$ , where  $L/\mathbf{k}$  is a quadratic extension.

---

1991 *Mathematics Subject Classification.* 14E07; 14J50; 14L99; 20G15.

J.S. is supported by the Swiss National Science Foundation project P2BSP2\_200209 and hosted by the Institut de Mathématiques de Toulouse. S.Z. is supported by the ANR Project FIBALGA ANR-18-CE40-0003-01, the Projet PEPS 2019 “JC/JC” and the Project Étoiles montantes of the Région Pays de la Loire.

(4)  $X = \mathbb{F}_n$ ,  $n \geq 2$ , and the action of  $\text{Aut}(\mathbb{F}_n)$  on  $\mathbb{P}^1$  induces a split exact sequence

$$1 \longrightarrow V_{n+1} \longrightarrow \text{Aut}(\mathbb{F}_n) \longrightarrow \text{GL}_2/\mu_n \longrightarrow 1$$

where  $\mu_n = \{a \text{ id} \mid a^n = 1\}$  and  $V_{n+1}$  is a vector space of dimension  $n+1$ .

(5)  $X$  is a del Pezzo surface of degree 6 with  $\text{NS}(X_{\bar{\mathbf{k}}})^{\text{Aut}_{\bar{\mathbf{k}}}(X)} = 1$ . The action of  $\text{Aut}_{\bar{\mathbf{k}}}(X)$  on  $\text{NS}(X_{\bar{\mathbf{k}}})$  induces the split exact sequence

$$1 \rightarrow (\bar{\mathbf{k}}^*)^2 \longrightarrow \text{Aut}_{\bar{\mathbf{k}}}(X) \longrightarrow \text{Sym}_3 \times \mathbb{Z}/2 \rightarrow 1.$$

Moreover, we are in one of the following cases.

(a)  $\text{rk NS}(X) = 1$  and there is a quadratic extension  $L/\mathbf{k}$  and a birational morphism  $\pi: X_L \rightarrow \mathbb{P}_L^2$  blowing up a point  $p = \{p_1, p_2, p_3\}$  of degree 3 with splitting field  $F$  over  $\mathbf{k}$  containing  $L$ , and one of the following cases holds:

(i)  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \mathbb{Z}/6$  and the action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow \text{Aut}_L(\mathbb{P}^2, p_1, p_2, p_3)^{\pi \text{Gal}(L/\mathbf{k})\pi^{-1}} \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/6 \rightarrow 1$$

(ii)  $\text{Gal}(F/L) \simeq \text{Sym}_3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3 \times \mathbb{Z}/2$  and the action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow \text{Aut}_L(\mathbb{P}^2, p_1, p_2, p_3)^{\pi \text{Gal}(L/\mathbf{k})\pi^{-1}} \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/2 \rightarrow 1,$$

(iii)  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$ , and  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$  acts on the hexagon of  $X$  by a rotation of order 3 and a reflection at an axis through two vertices. The action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow \text{Aut}_L(\mathbb{P}^2, p_1, p_2, p_3)^{\pi \text{Gal}(L/\mathbf{k})\pi^{-1}} \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/2 \rightarrow 1$$

(b)  $\text{rk NS}(X) \geq 2$ ,  $\text{rk NS}(X)^{\text{Aut}_{\mathbf{k}}(X)} = 1$  and  $X$  is one of the following:

(i)  $X$  is the blow-up of  $\mathbb{P}^2$  in the coordinate points, and the action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow (\mathbf{k}^*)^2 \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \text{Sym}_3 \times \mathbb{Z}/2 \rightarrow 1.$$

(ii)  $X$  is the blow-up of  $\mathbb{F}_0$  in a point  $p = \{(p_1, p_1), (p_2, p_2)\}$  of degree 2. The action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the exact sequence,

$$1 \rightarrow \text{Aut}_{\mathbf{k}}(\mathbb{P}^1, p_1, p_2)^2 \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \text{Sym}_3 \times \mathbb{Z}/2 \rightarrow 1$$

which is split if  $\text{char}(\mathbf{k}) \neq 2$ .

(iii)  $X$  is the blow-up of  $\mathbb{P}^2$  in a point  $p = \{p_1, p_2, p_3\}$  of degree 3 with splitting field  $L$  such that  $\text{Gal}(L/\mathbf{k}) \simeq \mathbb{Z}/3$ . The action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow \text{Aut}_{\mathbf{k}}(\mathbb{P}^2, p_1, p_2, p_3) \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/6 \rightarrow 1$$

(iv)  $X$  is the blow-up of  $\mathbb{P}^2$  in a point  $p = \{p_1, p_2, p_3\}$  of degree 3 with splitting field  $L$  such that  $\text{Gal}(L/\mathbf{k}) \simeq \text{Sym}_3$ . The action of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow \text{Aut}_{\mathbf{k}}(\mathbb{P}^2, p_1, p_2, p_3) \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/2 \rightarrow 1$$

where  $\mathbb{Z}/2$  is generated by a rotation.

(c)  $\text{rk NS}(X)^{\text{Aut}_{\mathbf{k}}(X)} = 2$  and there is a quadratic extension  $L/\mathbf{k}$  and a birational morphism  $\nu: X \rightarrow \mathcal{Q}^L$  contracting two curves onto rational points  $p_1, p_2$  or one curve onto a point  $\{p_1, p_2\}$  of degree 2 with splitting field  $L'/\mathbf{k}$ . The action

of  $\text{Aut}_{\mathbf{k}}(X)$  on  $\text{NS}(X)$  induces the split exact sequence

$$1 \rightarrow T^{L,L'}(\mathbf{k}) \longrightarrow \text{Aut}_{\mathbf{k}}(X) \longrightarrow \mathbb{Z}/2 \times \mathbb{Z}/2 \rightarrow 1$$

where  $\nu \text{Aut}_{\mathbf{k}}(X) \nu^{-1} = \text{Aut}_{\mathbf{k}}(\mathcal{Q}^L, \{p_1, p_2\})$  and  $T^{L,L'}$  is the subgroup of  $\text{Aut}_{\mathbf{k}}(\mathcal{Q}^L, p_1, p_2)$  preserving the rulings of  $\mathcal{Q}_L^L$ .

(6)  $\pi: X \longrightarrow \mathbb{P}^1$  is one of the following conic fibrations with

$$\text{rk NS}(X_{\bar{\mathbf{k}}}/\mathbb{P}^1)^{\text{Aut}_{\bar{\mathbf{k}}}(X,\pi)} = \text{rk NS}(X/\mathbb{P}^1)^{\text{Aut}_{\mathbf{k}}(X,\pi)} = 1 :$$

(a)  $X/\mathbb{P}^1$  is the blow-up of points  $p_1, \dots, p_r \in \mathbb{F}_n$ ,  $n \geq 2$ , contained in a section  $S_n \subset \mathbb{F}_n$  with  $S_n^2 = n$ . The geometric components of the  $p_i$  are on pairwise distinct geometric fibres and  $\sum_{i=1}^r \deg(p_i) = 2n$ . There are split exact sequences

$$\begin{array}{ccc} (T_1/\mu_n) \rtimes \mathbb{Z}/2 & & \text{Aut}(X) \\ \wr & & \parallel \\ 1 \longrightarrow \text{Aut}(X/\pi_X) \longrightarrow \text{Aut}(X, \pi_X) \longrightarrow \text{Aut}(\mathbb{P}^1, \Delta) \longrightarrow 1 & & \\ 1 \longrightarrow \text{Aut}_{\mathbf{k}}(X/\pi_X) \longrightarrow \text{Aut}_{\mathbf{k}}(X, \pi_X) \longrightarrow \text{Aut}_{\mathbf{k}}(\mathbb{P}^1, \Delta) \longrightarrow 1 & & \\ \wr & & \parallel \\ (\mathbf{k}^*/\mu_n(\mathbf{k})) \rtimes \mathbb{Z}/2 & & \text{Aut}_{\mathbf{k}}(X) \end{array}$$

where  $\Delta = \pi(\{p_1, \dots, p_r\}) \subset \mathbb{P}^1$ ,  $T_1$  is the split one-dimensional torus and  $\mu_n$  its subgroup of  $n^{\text{th}}$  roots of unity.

(b) There exist quadratic extensions  $L$  and  $L'$  of  $\mathbf{k}$  such that  $X/\mathbb{P}^1$  is the blow-up of  $\mathcal{S}^{L,L'}$  in points  $p_1, \dots, p_r \in E$ ,  $r \geq 1$ . The  $p_i$  are all of even degree, their geometric components are on pairwise distinct geometric components of smooth fibres and each geometric component of  $E$  contains half of the geometric components of each  $p_i$ . There are exact sequences

$$\begin{array}{ccccccc} \text{SO}^{L,L'} \rtimes \mathbb{Z}/2 & & & & \text{Aut}(X) & & \\ \wr & & & & \parallel & & \\ 1 \longrightarrow \text{Aut}(X/\pi_X) \longrightarrow \text{Aut}(X, \pi_X) \longrightarrow \text{Aut}(\mathbb{P}^1, \Delta) \longrightarrow 1 & & & & & & \\ 1 \longrightarrow \text{Aut}_{\mathbf{k}}(X/\pi_X) \longrightarrow \text{Aut}_{\mathbf{k}}(X, \pi_X) \longrightarrow (D_{\mathbf{k}}^{L,L'} \rtimes \mathbb{Z}/2) \cap \text{Aut}_{\mathbf{k}}(\mathbb{P}^1, \Delta) \longrightarrow 1 & & & & & & \\ \wr & & & & \parallel & & \\ \text{SO}^{L,L'}(\mathbf{k}) \rtimes \mathbb{Z}/2 & & & & \text{Aut}_{\mathbf{k}}(X) & & \end{array}$$

with  $\Delta = \pi(\{p_1, \dots, p_r\}) \subset \mathbb{P}^1$  and  $\text{SO}^{L,L'} = \{(a, b) \in T^L \mid ab = 1\}$ , and

- if  $L, L'$  are  $\mathbf{k}$ -isomorphic, then  $\text{SO}^{L,L'}(\mathbf{k}) \simeq \{a \in L^* \mid aa^g = 1\}$  and  $D_{\mathbf{k}}^{L,L'} \simeq \{\alpha \in k^* \mid \alpha = \lambda \lambda^g, \lambda \in L\}$ , where  $g$  is the generator of  $\text{Gal}(L/\mathbf{k})$ ,
- if  $L, L'$  are not  $\mathbf{k}$ -isomorphic, then  $\text{SO}^{L,L'}(\mathbf{k}) \simeq \mathbf{k}^*$  and  $D_{\mathbf{k}}^{L,L'} \simeq \{\lambda \lambda^{gg'} \in F \mid \lambda \in K, \lambda \lambda^{g'} = 1\}$ , where  $\mathbf{k} \subset F \subset LL'$  is the intermediate extension such that  $\text{Gal}(F/\mathbf{k}) \simeq \langle gg' \rangle \subset \text{Gal}(L/\mathbf{k}) \times \text{Gal}(L'/\mathbf{k})$ , where  $g, g'$  are the generators of  $\text{Gal}(L/\mathbf{k}), \text{Gal}(L'/\mathbf{k})$ , respectively.

The statement of [2, Theorem 1.2 and Corollary 1.3] remain correct, and we complete their proofs further below.

**Theorem 1.2** ([2, Theorem 1.4]). *Let  $\mathbf{k}$  be a perfect field. The conjugacy classes of the maximal subgroups  $\text{Aut}_{\mathbf{k}}(X)$  of  $\text{Bir}_{\mathbf{k}}(\mathbb{P}^2)$  from Theorem 1.1 are parametrised by*

- (1), (2): one point
- (3): one point for each  $\mathbf{k}$ -isomorphism class of quadratic extensions of  $\mathbf{k}$
- (4): one point for each  $n \geq 2$
- (5(a)i) if  $|\mathbf{k}| \geq 3$ , one point for any pair of extensions  $F \supset L \supset \mathbf{k}$ , where  $L/\mathbf{k}$  is quadratic and  $F/L$  such that  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \mathbb{Z}/6$ , up to the following equivalence class:  $\mathbf{k}$ -isomorphisms  $F \simeq F'$  that induces an isomorphism  $L \simeq L'$ .
- (5(a)ii): one point for any pair  $F \supset L \supset \mathbf{k}$ , where  $L/\mathbf{k}$  is quadratic and  $F/L$  such that  $\text{Gal}(F/L) \simeq \text{Sym}_3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3 \times \mathbb{Z}/2$ , up to the following equivalence class:  $\mathbf{k}$ -isomorphisms  $F \simeq F'$  that induces an isomorphism  $L \simeq L'$ .
- (5(a)iii): one point for any pair  $F \supset L \supset \mathbf{k}$ , where  $L/\mathbf{k}$  is quadratic and  $F/L$  such that  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$ , up to the following equivalence class:  $\mathbf{k}$ -isomorphisms  $F \simeq F'$  that induces an isomorphism  $L \simeq L'$ .
- (5(b)i): one point if  $|\mathbf{k}| \geq 3$
- (5(b)ii): one point for each  $\mathbf{k}$ -isomorphism class of quadratic extensions of  $\mathbf{k}$
- (5(b)iii): one point for each  $\mathbf{k}$ -isomorphism class of Galois extensions  $F/\mathbf{k}$  with  $\text{Gal}(F/\mathbf{k}) \simeq \mathbb{Z}/3$ .
- (5(b)iv): one point for any  $\mathbf{k}$ -isomorphism class of Galois extensions  $F/\mathbf{k}$  with  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$ .
- (6a): for each  $n \geq 2$  the set of points  $\{p_1, \dots, p_r\} \subset \mathbb{P}^1$  with  $\sum_{i=1}^r \deg(p_i) = 2n$  up to the action of  $\text{Aut}_{\mathbf{k}}(\mathbb{P}^1)$
- (6b): for each  $n \geq 1$  and for each pair of  $\mathbf{k}$ -isomorphism classes of quadratic extensions  $(L, L')$ , the set of points  $\{p_1, \dots, p_r\} \subset \mathbb{P}^1$  of even degree with  $\sum_{i=1}^r \deg(p_i) = 2n$  up to the action of  $D_{\mathbf{k}}^{L, L'}(\mathbf{k}) \rtimes \mathbb{Z}/2$

## 2. CORRECTIONS IN REMAINING ARTICLE

Throughout the article,  $\mathbf{k}$  denotes a perfect field and  $\bar{\mathbf{k}}$  an algebraic closure. If not mentioned otherwise, any surface, curve, point and rational map will be defined over the perfect field  $\mathbf{k}$ . By a geometric component of a curve  $C$  (resp. a point  $p = \{p_1, \dots, p_d\}$ ), we mean an irreducible component of  $C_{\bar{\mathbf{k}}}$  (resp. one of  $p_1, \dots, p_d$ ).

By the following lemma, whenever we contract a curve onto a point of degree 2 in  $\mathcal{Q}^L$  with splitting field  $L$ , we can choose the point conveniently.

The proof of the following lemma contained a gap that we now close.

**Lemma 2.1.** [2, Lemma 3.7] *Let  $p = \{p_1, p_2, p_3\}$  and  $q = \{q_1, q_2, q_3\}$  be points in  $\mathcal{Q}^L$  of degree 3 such that for any  $h \in \text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$  there exists  $\sigma \in \text{Sym}_3$  such that  $p_i^h = p_{\sigma(i)}$  and  $q_i^h = q_{\sigma(i)}$ . Suppose that the geometric components of  $p$  (resp. of  $q$ ) are in general position on  $\mathcal{Q}^L$ . Then there exists  $\alpha \in \text{Aut}_{\mathbf{k}}(\mathcal{Q}^L)$  such that  $\alpha(p_i) = q_i$  for  $i = 1, 2, 3$ .*

*Proof.* The assumption on  $p$  and  $q$  implies that the residue fields of  $p$  and  $q$  are  $\mathbf{k}$ -isomorphic [1, Lemma 3.3]. Since they are points of degree 3,  $p$  and  $q$  have therefore the same splitting field  $F/\mathbf{k}$ . Let  $g$  be the generator of the Galois group  $\text{Gal}(L/\mathbf{k})$  of order 2. We consider the composite field  $FL$ . For  $i = 1, \dots, 4$ , we can write  $p_i = (a_i, a'_i)$ ,  $q_i = (b_i, b'_i)$  with  $a_i, a'_i, b_i, b'_i \in \mathbb{P}_{FL}^1$ . By hypothesis, for any  $h \in \text{Gal}(\bar{\mathbf{k}}/L)$  there exists  $\sigma \in \text{Sym}_3$  such that one has  $a_i^h = a_{\sigma(i)}$ ; similarly for  $a'_i$  and  $b_i, b'_i$ . We apply [2, Remark 2.7] to the  $\text{Gal}(\bar{FL}/FL)$ -invariant sets  $\{a_i\}_i$  and  $\{a'_i\}$  in  $\mathbb{P}^1 \times \mathbb{P}^1$ , respectively  $\{b_i\}$  and

$\{b'_i\}$ , and find a unique  $(A, B) \in \mathrm{PGL}_2(FL) \times \mathrm{PGL}_2(FL)$  such that  $(A, B)p_i = q_i$  for  $i = 1, 2, 3$ . It remains to see that  $(A, B)$  gives an automorphism of  $\mathcal{Q}^L$ , that is,  $(A, B)$  commutes with the action of  $\mathrm{Gal}(LF/\mathbf{k})$  induced on  $\mathbb{P}^1 \times \mathbb{P}^1$ . Let  $h \in \mathrm{Gal}(LF/\mathbf{k})$  and let  $\sigma \in \mathrm{Sym}_3$  be the permutation induced by  $h$ . We compute  $((A, B)p_i)^h = q_i^h = q_{\sigma(i)}$  and  $(A, B)p_i^h = (A, B)p_{\sigma(i)} = q_{\sigma(i)}$  for  $i = 1, 2, 3$ . This concludes the proof since matrices in  $\mathrm{PGL}_2(\mathbf{k})$  are uniquely determined by their action on three points.  $\square$

The hexagon of  $X_{\bar{\mathbf{k}}}$  is  $\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -invariant. The Galois group  $\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k})$  acts on the hexagon by symmetries, so we have homomorphism of groups

$$\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k}) \xrightarrow{\rho} \mathrm{Sym}_3 \times \mathbb{Z}/2 \subseteq \mathrm{Aut}(\mathrm{NS}(X_{\bar{\mathbf{k}}})).$$

By *hexagon of  $X$*  we mean the hexagon of  $X_{\bar{\mathbf{k}}}$  endowed with its canonical  $\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -action. Since the group  $\mathrm{Aut}_{\mathbf{k}}(X)$  acts by symmetries on the hexagon of  $X$ , it induces a homomorphism

$$\hat{\rho}: \mathrm{Aut}_{\mathbf{k}}(X) \longrightarrow \mathrm{Sym}_3 \times \mathbb{Z}/2.$$

In our classification of subgroups of  $\mathrm{Sym}_3 \times \mathbb{Z}/2$  there is one missing case, namely  $\mathrm{Sym}_3$  acting transitively on the edges of a hexagon as (10) in Figure 1, that is generated by a rotation of degree 3 and a reflection at an axis through two vertices; this is because the dihedral group  $\mathrm{Sym}_3 \times \mathbb{Z}/2$  contains two non-conjugate embeddings of  $\mathrm{Sym}_3$ . (The other one is Figure 1(8).)

In Figure 1 below, we redraw the hexagons of [2, Figure 1] in a slightly different manner, but the numbering remains the same. Here, we choose generators of the respective subgroup of  $\mathrm{Sym}_3 \times \mathbb{Z}/2$  as in the proof of Lemma 2.2 and draw the image of each edge under every generator.

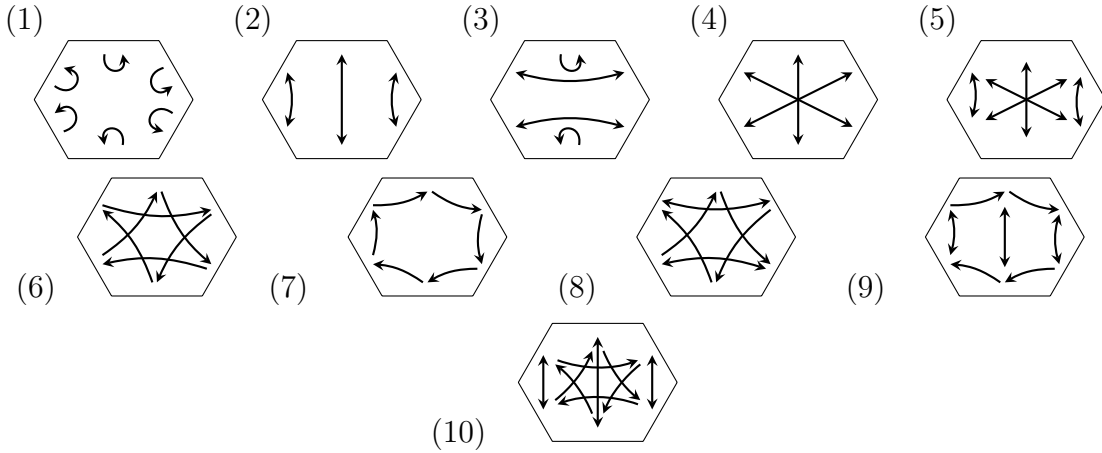


FIGURE 1. The  $\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -actions on the hexagon of a del Pezzo surface of degree 6.

**Lemma 2.2.** *The action of  $\rho(\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k}))$  on the hexagon of a del Pezzo surface of degree 6 is as in Figure 1.*

*Proof.* The dihedral group  $D_6 = \langle r, s \mid r^6 = s^2 = \mathrm{id}, srs = r^{-1} \rangle$  equals  $\mathrm{Sym}_3 \times \mathbb{Z}/2 = \langle r^2, s \rangle \times \langle r^3 \rangle$ , where  $r$  is a rotation of order 6, as in Figure 1(7), and  $s$  is a reflection as in Figure 1(2). Writing  $t = r^3s$  for a reflection as in Figure 1(3), the action of  $\rho(\mathrm{Gal}(\bar{\mathbf{k}}/\mathbf{k}))$  is one of the following, up to conjugation. (For convenience, we give references to the lemmas that deal with the respective cases.)

- (1) The trivial subgroup as in Figure 1(1), (see [2, Lemma 4.1])
- (2)  $\langle s \rangle = \mathbb{Z}/2$  as in Figure 1(2), (see [2, Lemma 4.11])
- (3)  $\langle t \rangle = \mathbb{Z}/2$  as in Figure 1(3), (see [2, Lemma 4.9])
- (4)  $\langle r^3 \rangle = \mathbb{Z}/2$  as in Figure 1(4), (see [2, Lemma 4.10])
- (5)  $\langle r^3, s \rangle = \mathbb{Z}/2 \times \mathbb{Z}/2$  as in Figure 1(5), (see [2, Lemma 4.12])
- (6)  $\langle r^2 \rangle = \mathbb{Z}/3$  as in Figure 1(6), (see [2, Lemma 4.2])
- (7)  $\langle r \rangle = \mathbb{Z}/6$  as in Figure 1(7), (see [2, Lemma 4.6] and Remark 2.3)
- (8)  $\langle r^2, t \rangle = \text{Sym}_3$  as in Figure 1(8), (see [2, Lemma 4.3])
- (9)  $\langle r, s \rangle = \text{Sym}_3 \times \mathbb{Z}/2$  as in Figure 1(9), (see [2, Lemma 4.7] and Remark 2.3),
- (10)  $\langle r^2, s \rangle = \text{Sym}_3$  as in Figure 1(10), (see Lemma 2.4 below).

□

We now discuss the cases where  $\rho(\text{Gal}(\bar{\mathbf{k}}/\mathbf{k}))$  acts transitively on the six edges of the hexagon, that is, (7), (9) and the missing case (10) in Figure 1.

**Remark 2.3.** In [2, Lemma 4.6 and Lemma 4.7], which describe the situation of (7) and (9) in Figure 1, the correct statement is that there is a quadratic extension  $L/\mathbf{k}$  and a Galois extension  $F/L$  with respectively

- (1)  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \mathbb{Z}/6$  for [2, Lemma 4.6], and
- (2)  $\text{Gal}(F/L) \simeq \text{Sym}_3$  and  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3 \times \mathbb{Z}/2$  for [2, Lemma 4.7].

This comes out of the analysis of the del Pezzo surfaces in Figure 1(7) and (9) in [2, Lemma 4.6 and Lemma 4.7] and is stated incorrectly in the statements of the two lemmas.

Similarly, the correct assumptions in [2, Example 4.8] should be  $F/L$  as above. In this case it holds that  $\text{Gal}(FL/L) \simeq \text{Gal}(F/\mathbf{k})$ , as claimed in said example. In fact, the missing case is  $\text{Gal}(F/L) \simeq \mathbb{Z}/3$  and  $\text{Gal}(F/\mathbf{k}) = \text{Sym}_3$ .

**Lemma 2.4.** *Let  $X$  be a del Pezzo surface of degree 6 such that  $\rho(\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})) \simeq \text{Sym}_3$  as indicated in Figure 1(10). Then  $X \rightarrow *$  is a Mori fibre space and the following hold:*

- (1) *There exists a quadratic field extension  $L/\mathbf{k}$  and a point  $p = \{p_1, p_2, p_3\}$  in  $\mathbb{P}_L^2$  of degree 3 such that  $X_L$  is isomorphic to the blow-up of  $\mathbb{P}_L^2$  in  $p$ . Moreover there is a cyclic extension  $F/L$  of degree 3 such that each  $(-1)$ -curve in the hexagon of  $X$  is defined over  $F$ .*
- (2) *Two such surfaces  $X$  and  $X'$  are isomorphic if and only if there exists an isomorphism  $F \rightarrow F'$  over  $\mathbf{k}$  that sends  $L$  onto  $L'$ .*
- (3) *If  $X_L$  is  $L$ -rational, then the action of  $\text{Aut}_{\mathbf{k}}(X)$  on the hexagon of  $X$  induces a split exact sequence*

$$1 \rightarrow (\pi^{-1} \text{Aut}_L(\mathbb{P}_L^2, p_1, p_2, p_3) \pi)^g \rightarrow \text{Aut}_{\mathbf{k}}(X) \xrightarrow{\hat{\rho}} \mathbb{Z}/2 \rightarrow 1$$

*where  $\mathbb{Z}/2$  is generated by a rotation and  $g$  is the generator of  $\text{Gal}(L/\mathbf{k})$ .*

*Proof.* Every  $(-1)$ -curve in the hexagon of  $X$  is contained in the same  $\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -orbit, hence  $X$  is a Mori fibre space.

(1)&(3) The group  $\text{Aut}_{\mathbf{k}}(X)$  acts by symmetries on the hexagon of  $X$ . The only element of  $\text{Sym}_3 \times \mathbb{Z}/2$  that commutes with action of  $\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$  is the rotation of order 2, so  $\hat{\rho}(\text{Aut}_{\mathbf{k}}(X)) \subset \mathbb{Z}/2$ . Let us show that  $\hat{\rho}(\text{Aut}_{\mathbf{k}}(X)) = \mathbb{Z}/2$  and that  $\hat{\rho}$  has a section. Let  $F/\mathbf{k}$  be the splitting field of a  $(-1)$ -curve in the hexagon of  $X$ , i.e. the smallest normal field extension of  $\mathbf{k}$  over which the curve is defined. Then  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$  with action on the hexagon as in Figure 1(10). Let  $r \in \text{Gal}(F/\mathbf{k})$  be the rotation of order 3 indicated in Figure 1(10) and set  $L := F^r \subset F$  the subfield of  $F$  fixed by  $r$ . Then



$\text{Gal}(F/L) = \langle r \rangle \simeq \mathbb{Z}/3$  and  $\text{Gal}(L/\mathbf{k}) \simeq \text{Gal}(F/\mathbf{k})/\text{Gal}(F/L) \simeq \mathbb{Z}/2$  is generated by the reflection in Figure 1(10). The action of  $\text{Gal}(\bar{\mathbf{k}}/L)$  on the hexagon of  $X_L$  factors through  $\text{Gal}(F/L)$ , forming two  $\text{Gal}(\bar{\mathbf{k}}/L)$ -orbits of pairwise disjoint  $(-1)$ -curves of size 3. This gives (1).

If  $X_L$  is  $L$ -rational, the hexagon of  $X_L$  is as in [2, Lemma 4.2] and the contraction of one of the  $\text{Gal}(\bar{\mathbf{k}}/L)$ -orbits in the hexagon of  $X_L$  onto a point  $p$  of degree 3 is a birational morphism  $\pi: X_L \rightarrow \mathbb{P}_L^2$ . The kernel of  $\hat{\rho}$  is isomorphic to  $(\pi^{-1} \text{Aut}_L(\mathbb{P}_L^2, p_1, p_2, p_3) \pi)^g$ , where  $p_1, p_2, p_3 \in \mathbb{P}^2(L)$  are the geometric components of  $p$  and  $g$  is the generator of  $\text{Gal}(L/\mathbf{k}) \simeq \mathbb{Z}/2$ . The only non-trivial element of  $\text{Sym}_3 \times \mathbb{Z}/2$  commuting with  $\rho(\text{Gal}(\bar{\mathbf{k}}/\mathbf{k}))$  is the rotation of order 2, hence  $\hat{\rho}(\text{Aut}_{\mathbf{k}}(X)) \subset \mathbb{Z}/2$ . There exists a quadratic involution  $\varphi_p \in \text{Bir}_L(\mathbb{P}^2)$  with base-point  $p$  that induces a rotation of order 2 on the hexagon of  $X_L$ . Since  $X_L$  is  $L$ -rational, it has an  $L$ -rational point  $q$ . It is not contained in the hexagon of  $X_L$  and we assume moreover that  $\varphi_p$  fixes  $\pi(q)$ . It remains to check that  $\psi := \pi^{-1} \varphi_p \pi \in \text{Aut}(X_L)$  is defined over  $\mathbf{k}$ . The automorphism  $\psi^{-1} g \psi g$  of  $X_L$  is conjugate by  $\pi$  to an automorphism of  $\mathbb{P}_L^2$  fixing  $\pi(q)$  and each geometric component of  $p$ , and is hence the identity map. Thus the involution  $\varphi_p$  lifts to a  $\mathbf{k}$ -automorphism of  $X$ . It acts like a rotation of order 2 on the hexagon of  $X$  and thus  $\hat{\rho}(\text{Aut}_{\mathbf{k}}(X)) = \mathbb{Z}/2$  and the sequence splits.

(2) If there is an automorphism  $\tau: F \rightarrow F'$  that sends  $L$  onto  $L'$  and fixes  $\mathbf{k}$ , we can identify  $L$  and  $L'$ . Then the surfaces  $X_L$  and  $X_{L'}$  are  $L$ -isomorphic [2, Lemma 4.2]. Since  $\tau$  fixes  $\mathbf{k}$ , it induces a  $\mathbf{k}$ -isomorphism of  $X$  and  $X'$  by the above construction. On the other hand, suppose that  $X$  and  $X'$  are  $\mathbf{k}$ -isomorphic. Then the smallest normal field extensions  $F$  and  $F'$  over which all  $(-1)$ -curves of  $X_{\bar{\mathbf{k}}}$  and  $X'_{\bar{\mathbf{k}}}$  are defined are  $\mathbf{k}$ -isomorphic. This isomorphism sends the fixed field  $L = F^r$  onto the fixed field  $L' = F'^r$ .  $\square$

**Example 2.5** (Construction of rational del Pezzo surfaces as in Figure 1(7),(9),(10)). (See also [2, Example 4.8].) Let  $q = \{q_1, q_2\}$  in  $\mathbb{P}^2$  be a point of degree 2, with splitting field  $L/\mathbf{k}$  being a quadratic extension, and let  $p = \{p_1, p_2, p_3\}$  in  $\mathbb{P}^2$  be a point of degree 3, with splitting field  $F/\mathbf{k}$  (which is an extension of degree 3 or 6). Assume that the components of  $p$  and  $q$  are in general position, that is, no three of the five geometric components are collinear. Denote by  $D \subset \mathbb{P}^2$  the conic passing through the five geometric components.

Blowing up  $q$  and contracting the line passing through  $q$  gives a  $k$ -birational map  $\mathbb{P}^2 \dashrightarrow \mathcal{Q}^L$ , where  $\mathcal{Q}^L$  is a del Pezzo surface of degree 8 as in [2, Definition 3.1]. The image of  $p$  in  $\mathcal{Q}^L$ , again denoted by  $p$ , is in general position, and the strict transform of  $D$  is the diagonal passing through  $p$ . Blowing up  $p$  and then contracting the strict transform of  $D$  gives a  $k$ -birational map  $\mathcal{Q}^L \dashrightarrow X$ , where  $X$  is a del Pezzo surface of degree 6, and the action on the hexagon of  $X$  is one of the following:

- (1) If  $\text{Gal}(F/\mathbf{k}) \simeq \mathbb{Z}/3\mathbb{Z}$  and so  $\text{Gal}(LF/\mathbf{k}) \simeq \mathbb{Z}/6\mathbb{Z}$ , then the hexagon of  $X$  is as in Figure 1(7). (For example, take  $\mathbf{k} = \mathbb{F}_q$ ,  $L = \mathbb{F}_{q^2}$ ,  $F = \mathbb{F}_{q^3}$ , or  $\mathbf{k} = \mathbb{Q}(\zeta)$  where  $\zeta$  is a primitive third root of 1, and  $L = \mathbf{k}[\sqrt[2]{3}]$  and  $F = \mathbf{k}[\sqrt[3]{2}]$ .)
- (2) If  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$  and  $L \subset F$ , hence  $\text{Gal}(LF/\mathbf{k}) \simeq \text{Sym}_3$ , then the hexagon of  $X$  is as in Figure 1(10). (For example, take  $\mathbf{k} = \mathbb{Q}$ ,  $L = \mathbb{Q}(\zeta)$ , and  $F = \mathbb{Q}[\sqrt[3]{2}, \zeta]$ .)
- (3) If  $\text{Gal}(F/\mathbf{k}) \simeq \text{Sym}_3$  and  $\text{Gal}(LF/\mathbf{k}) \simeq \text{Sym}_3 \times \mathbb{Z}/2\mathbb{Z}$ , then the hexagon of  $X$  is as in Figure 1(9). (For example, take  $\mathbf{k} = \mathbb{Q}$ ,  $L = \mathbb{Q}[i]$ ,  $F = \mathbb{Q}[\sqrt[3]{2}, \zeta]$ .)

*Proof of Theorem 1.1.* The proof remains the same as in [2, Theorem 1.1], but we have to add the missing case (5(a)iii) from the previous section: if the  $\text{Gal}(\bar{\mathbf{k}}/\mathbf{k})$ -action is as in Figure 1(10), Lemma 2.4 implies that  $(X, \text{Aut}(X))$  is as in Theorem 1.1(5(a)iii).  $\square$

We now check whether the missing del Pezzo surface case is  $\text{Aut}_{\mathbf{k}}(X)$ -birationally rigid or superrigid or neither of them. Let  $X$  be as in Figure 1(10). Then the field  $\mathbf{k}$  is infinite because any finite field extension of a finite field is cyclic. Suppose that  $X$  is  $\mathbf{k}$ -rational and pick  $q \in X(\mathbf{k})$ . Let  $\pi: X_L \rightarrow \mathbb{P}_L^2$  be the contraction from Lemma 2.4. As in [2, Lemma 7.6], one shows that the map

$$H := (\pi^{-1} \text{Aut}_L(\mathbb{P}_L^2, p_1, p_2, p_3) \pi)^g \rightarrow X(\mathbf{k}), \quad \alpha \mapsto \pi^{-1} \alpha \pi(q)$$

is a bijection. Since  $\mathbf{k}$  is infinite, the group  $H$  is infinite, and it acts faithfully on the  $\overline{\mathbf{k}}$ -points of  $X$  outside the hexagon.

**Lemma 2.6.** *Let  $X$  be a del Pezzo surface of degree 6 as in Figure 1(10). Then there are no  $\text{Aut}_{\mathbf{k}}(X)$ -orbits with  $\leq 5$  geometric components. In particular, there are no  $\text{Aut}_{\mathbf{k}}(X)$ -equivariant Sarkisov links starting from  $X$ .*

*Proof.* The group  $\text{Aut}_{\mathbf{k}}(X)$  acts transitively on the  $(-1)$ -curves of the hexagon of  $X$ . By the above remark,  $H$  has no orbits with  $\leq 5$  geometric components outside the hexagon of  $X$ . Therefore, there are no  $\text{Aut}_{\mathbf{k}}(X)$ -equivariant Sarkisov links starting from  $X$ .  $\square$

While the statement of [2, Theorem 1.2] remains correct, we need to complete its proof with the additional case in the classification of del Pezzo surfaces of degree 6.

*Completion of proof of [2, Theorem 1.2].* The proof remains the same, however we have to add the case of the del Pezzo surface  $X$  of degree 6 in Figure 1. By the above Lemma 2.6,  $X$  is  $\text{Aut}_{\mathbf{k}}(X)$ -birationally superrigid.  $\square$

The proof of [2, Corollary 1.3] remains correct, because the statement of [2, Theorem 1.2] is correct.

*Completion of proof of [2, Corollary 1.4].* The correction for the parameter in class (5(a)i) and (5(a)ii) follows from Remark 2.3. The parameter for the additional class (5(a)iii) follows from Lemma 2.4.  $\square$

Finally, the new class (5(a)iii) of del Pezzo surfaces  $X$  of degree 6 are Mori fibre spaces by Lemma 2.4. As [2, Remark 8.3] explains, this means that the homomorphism

$$\Psi: \text{BirMori}(\mathbb{P}^2) \rightarrow \left( \bigoplus_{\chi \in M(\mathbb{F}_1)} \mathbb{Z}/2 \right) *_{C \in J_5} \left( \bigoplus_{\chi \in M(C)} \mathbb{Z}/2 \right) *_{C \in J_6} \left( \bigoplus_{\chi \in M(C)} \mathbb{Z}/2 \right)$$

sends the conjugacy class of  $\text{Aut}_{\mathbf{k}}(X)$  onto zero. Therefore, the statements and proofs of [2, Theorem 1.6 and Proposition 1.7] remain correct.

## REFERENCES

- [1] S. Lamy and J. Schneider. Generating the plane Cremona groups by involutions. *arXiv:2110.02851*. 2
- [2] J. Schneider and S. Zimmermann. Algebraic subgroups of the plane Cremona group over a perfect field. *EpiGA*, 5(14), 2022. 1, 1.1, 1, 1.2, 2.1, 2, 1, 2, 3, 4, 5, 6, 7, 8, 9, 2.3, 1, 2, 2.3, 2, 2.5, 2, 2

INSTITUT DE MATHÉMATIQUES D'ORSAY, UNIVERSITÉ PARIS-SACLAY, ORSAY VILLE, FRANCE  
*Email address:* `susanna.zimmermann@universite-paris-saclay.fr`

ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE, CHAIR OF ALGEBRAIC GEOMETRY (BÂTIMENT MA), STATION 8, CH-1015 LAUSANNE, SWITZERLAND  
*Email address:* `julia.schneider@epfl.ch`