# California Water Systems\*

Safe Drinking Water in Smaller Communities

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# **Abstract**

This will be a great abstract summarizing everything succinctly and also making sure that people want to read the rest of my paper. It will be intriguing and informative.

# Introduction

California drinking water is safe for 98% (Board 2024) of the population and meets state drinking water standards that are more strict than federal regulations. Smaller communities and populations that are economically disadvantaged may be more at risk of having water with higher levels of contaminants, or they may not have the resources to address and fix a problem with the water system.

In 2016 the California State Water Resource Board adopted a Human Right to Water Resolution that includes a statement that "every human being has the right to safe, clean, affordable, and accessible water adequate for human consumption, cooking and sanitary purposes." The goals of Human Right to Water are to provide safe drinking water, accessible drinking water, affordable drinking water, and/or maintaining a sustainable and resilient water system. In 2019 California established the Safe and Affordable Funding for Equity and Resilience (SAFER) Program with the goal of helping struggling water systems and to help provide affordable safe drinking water. In 2021 SAFER performed a Needs Assessment to try to determine where and how funds should be used to have the most impact in improving failing or at risk water systems and provided recommendations.

The California State Water Board conducted the risk assessment with 19 indicators across four categories. The categories were Water Quality, Accessibility, Affordability, and Technical,

<sup>\*</sup>Project repository available at: https://github.com/susanpeck/MATH261A-project-1-Linear-Regression

Managerial, and Financial (TMF) Capacity. Each year the Needs Assessment was updated and the data published with risk assessment scores for each category. This paper will focus on 2024 data and the scores from each of the categories from communities with fewer than 3300 service connections.

WHAT IS MY MAIN QUESTION?
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## Data

This paper uses data from the 2024 Drinking Water Needs Assessment report (Board 2024) through the SAFER program and definitions from the original 2021 Drinking Water Needs Assessment report (Board 2021). The reports were prepared by the California State Water Resources Control Board within the California Environmental Protection Agency (CalEPA), in partnership with the UCLA Luskin Center for Innovation (UCLA).

The report calculated a risk assessment value for each water system. The Risk Assessment Result is based off of a score for each of 19 risk indicators (see figure below). A standardized score is a value between 0 and 1. Weight values between 1 and 3 were applied to the individual risk indicators. This resulted in a score value for each subcategory. A total weighted risk value was calculated using the four subcategories scores. The result was used to indicate if the water system was At-Risk, Not-At-Risk, or Potentially-At-Risk. Some systems were not evaluated and have a Not Assessed value.

Risk Indicator Category	Risk Indicators
Water Quality	History of E. coli Presence
	Increasing Presence of Water Quality Trends Toward MCL
	Treatment Technique Violations
	Past Presence on the HR2W List
	Maximum Duration of High Potential Exposure (HPE)
	Percentage of Sources Exceeding an MCL
Accessibility	Number of Sources
	Absence of Interties
	Water Source Types
	DWR – Drought & Water Shortage Risk Assessment Results
	Critically Overdrafted Groundwater Basin
Affordability	Percent of Median Household Income (%MHI)
	Extreme Water Bill
	% Shut-Offs
TMF Capacity	Number of Service Connections
	Operator Certification Violations
	Monitoring and Reporting Violations
	Significant Deficiencies
	Extensive Treatment Installed

All "At Risk" systems exceed a 'threshold of concern' (see Section for specific values) for at least four risk indicators.

This paper looks at the individual subcategory scores, a California Environmental Screening Score, population, and Mean Household Income (MHI), and investigates the possible relationships between those variables. The subcategories are Water Quality, Affordability, Accessibility, and TMF Capacity. (See longer definitions from the Needs Assessment report Section )

The CalEnviroScreen (CalEnviroScreen 2025) is a score created by the State of California Office of Environmental Health Hazard Assessment (OEHHA) that uses environmental, health, and socioeconomic information to produce scores for every census tract in the state. A higher score is an area that has a higher pollution burden than a lower score. Below is a scatterplot of the CalEnvironScreen score compared to MHI for that water system. Only smaller water systems with fewer than 3300 service connections are included. Each data point is colored to show if the water system is at risk of failing to provide clean drinking water.

### AFFORDABILITY\_SCORE

# California Environmental Screening Score vs Median Househol Grouped by Small Water Systems' Risk Assessment Value

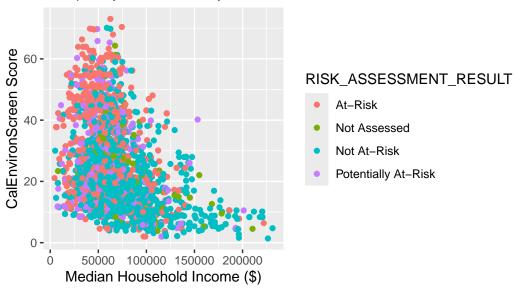


Figure 1: Scatter plot of calenvironscreen versus MHI grouped by risk assessment values

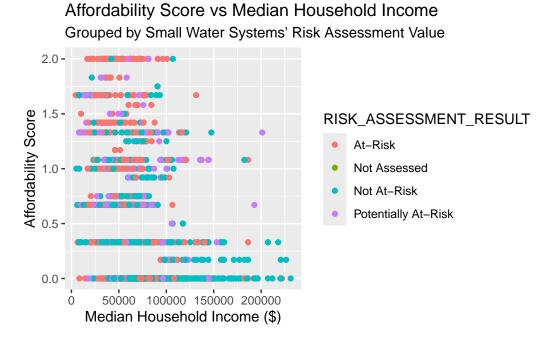


Figure 2: Scatter plot of affordability score versus MHI grouped by risk assessment values

#### ACCESSIBILITY SCORE

TMF CAPACITY SCORE

TMF Capacity risk indicators measure a system's technical, managerial and financial (TMF) capacity to plan for, achieve, and maintain long term compliance with drinking water standards, thereby ensuring the quality and adequacy of the water supply.

### Methods

This paper looks at the results of a simple linear regression model shown below.

$$Y_i = \beta_0 + \beta_1 X_i + \varepsilon_i$$

 $Y_i$  represents the response variable. In this analysis the response variable is

 $X_i$  represents the predictor variable. In this analysis the predictor variable is

 $\beta_0$  is the y-intercept of the model.

 $\beta_1$  is the slope of the model. The slope represents how much the response variable changes for a unit change in the predictor variable. In this model

The analysis was done using R programming {R Core Team (2025)} and built in function, lm, to fit the linear model.

### Results

# Appendix A DEFINITIONS

"Affordability Threshold" means the level, point, or value that delineates if a water system's residential customer charges, designed to ensure the water systems can provide drinking water that meets State and Federal standards, are unaffordable. For the purposes of the 2021 Affordability Assessment, the State Water Board employed affordability thresholds for the following indicators: Percent Median Household Income; Extreme Water Bill; and Percent Shut-Offs. Learn more about current and future indicators and affordability thresholds in Appendix E.

"Affordability Assessment" means the identification of any community water system that serves a disadvantaged community that must charge fees that exceed the affordability threshold established by the State Water Board in order to supply, treat, and distribute potable water that

complies with Federal and state drinking water standards. The Affordability Assessment evaluates several different affordability indicators to identify communities that may be experiencing affordability challenges. (Health & Saf. Code, § 116769, subd. (2)(B).

"At-Risk public water systems" or "At-Risk PWS" means community water systems with 3,300 service connections or less and K-12 schools that are at risk of failing to meet one or more key Human Right to Water goals: (1) providing safe drinking water; (2) accessible drinking water; (3) affordable drinking water; and/or (4) maintaining a sustainable water system.

"Median household income" or "MHI" means the household income that represents the median or middle value for the community. The methods utilized for calculating median household income are included in Appendix A and Appendix E. Median household incomes in this document are estimated values for the purposes of this statewide assessment. Median household income for determination of funding eligibility is completed on a system by system basis by the State Water Board's Division of Financial Assistance.

"Risk indicator" means the quantifiable measurements of key data points that allow the State Water Board to assess the potential for a community water system or a transient noncommunity water system that serves a K-12 school to fail to sustainably provide an adequate supply of safe drinking water due to water quality, water accessibility, affordability, institutional, and/or TMF capacity issues.

"Risk threshold" means the levels, points, or values associated with an individual risk indicator that delineates when a water system is more at-risk of failing, typically based on regulatory requirements or industry standards.

"Score" means a standardized numerical value that is scaled between 0 and 1 for risk points across risk indicators. Standardized scores enable the evaluation and comparison of risk indicators.

"Service connection" means the point of connection between the customer's piping or constructed conveyance, and the water system's meter, service pipe, or constructed conveyance, with certain exceptions set out in the definition in the Health and Safety Code. (See Health & Saf. Code, § 116275, subd. (s).)

"Small community water system" means a CWS that serves no more than 3,300 service connections or a yearlong population of no more than 10,000 persons. (Health & Saf. Code, § 116275, subd. (z).)

"Risk Indicators" means quantifiable measurements of key data points that allow the State Water Board to assess the probability of a water system's failure to deliver safe drinking water or other infrastructure and institutional failures. Risk indicators that measure water quality, accessibility, affordability, and TMF capacity are incorporated based on their criticality as it relates to a system's ability to remain in compliance with safe drinking water standards and their data availability and quality across the State.

"Risk Indicator Thresholds" are the levels, points, or values associated with an individual risk indicator that delineates when a water system is more at-risk of failing.

"Scores & Weights" are the application of a multiplying value or weight to each risk indicator and risk category, as certain risk indicators and categories may be deemed more critical than others and/or some may be out of the control of the water system. The application of weights to risk indicators and risk categories allows the State Water Board multiple ways to assess all risk indicators within each category together in a combined Risk Assessment score.

"TMF Capacity" risk indicators measure a system's technical, managerial and financial (TMF) capacity to plan for, achieve, and maintain long term compliance with drinking water standards, thereby ensuring the quality and adequacy of the water supply.

# Apendix B THRESHOLD VALUES

Risk Indicator	Thresholds	Score	Weight
History of E. coli Presence	Threshold 0 = No history of E. coli presence within the last three years.	0	N/A
	<b>Threshold 1 = Yes</b> , history of E. coli presence (E. coli violation and/or Level 2 Assessment) within the last three years.	1	3
Increasing Presence of	Threshold 0 = No Increasing Presence of Water Quality Trends Toward MCL.	0	N/A
Water Quality Trends Toward MCL	Threshold 1 = Secondary Contaminants: 9-year average of running annual average is at or greater than 80% of MCL <u>and</u> running annual average has increased by 20% or more.	0.25	2
	Threshold 2 = Primary Non-Acute Contaminants: 9-year average of running annual average is at or greater than 80% of MCL <u>and</u> running annual average has increased by 5% or more.	0.5	2
	<ul> <li>Threshold 3 = Acute Contaminants:</li> <li>9-year average (no running annual average) is at or greater than 80% of MCL; or</li> <li>24-month average is at or greater than 80% of MCL; or</li> <li>Any one sample over the MCL.</li> </ul>	1	2
Treatment Technique Violations	Threshold 0 = 0 Treatment Technique violations over the last three years.	0	N/A
	Threshold 1 = 1 or more Treatment Technique violations over the last three years.	1	1

Risk Indicator	Thresholds	Score	Weight
Past Presence on the HR2W	Threshold 0 = 0 HR2W list occurrence over the last three years.	0	N/A
	Threshold 1 = 1 HR2W list occurrence over the last three years.	0.5	2
List	Threshold 2 = 2 or more HR2W list occurrences over the last three years.	1	2
Maximum	<b>Threshold 0 = 0</b> years of HPE over the last nine years. $\$	0	N/A
Duration of High	Threshold 1 = 1 year of HPE over the last nine years.	0.25	3
Potential	Threshold 2 = 2 years of HPE over the last nine years.	0.5	3
Exposure (HPE)	Threshold 3 = 3 or more years of HPE over the last nine years.	1	3
Percentage of Sources	Threshold 0 = less than 49.9% of sources exceed an MCL.	0	N/A
Exceeding an MCL	Threshold 1 = 50% or greater of sources exceed an MCL.	1	3
Number of Sources	Threshold X = 0 sources.	Automatically At-Risk	N/A
	Threshold 0 = multiple sources.	0	N/A
	Threshold 1 = 1 source only.	1	3
Absence of	Threshold 0 = 1 or more interties.	0	N/A
Interties	Threshold 1 = 0 interties.	1	1
Water Source	Threshold 0 = 2 or more water source types.	0	N/A
Types	Threshold 1 = 1 water source type and that source is purchased water.	0.5	1
	Threshold 2 = 1 water source type and that source is either groundwater or surface water.	1	1

Risk Indicator	Thresholds	Score	Weight
DWR – Drought & Water	Threshold 0 = Below top 25% of systems most at risk of drought and water shortage.	0	N/A
Shortage Risk Assessment Results	Threshold 1 = Between top 25% - 10.01% of systems most at risk of drought and water shortage.	0.25	2
Results	Threshold 2 = Top 10% of systems most at risk of drought and water shortage.	1	2
Critically Overdrafted	Threshold 0 = Less than 74.99% of system's service area boundary is within a critically overdrafted basin.	0	N/A
Groundwater Basin	Threshold 1 = 75% or greater of systems service area boundary is within a critically overdrafted basin.	1	2
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Percent of	Threshold 0 = Less than 1.49%	0	N/A
Median Household	Threshold 1 = 1.5% - 2.49%	0.75	3
Income (%MHI)	Threshold 2 = 2.5% or greater	1	3
Extreme Water	Threshold 0 = Below 149.99% of the statewide average.	0	N/A
Bill	Threshold 1 = 150% - 199.99% of the statewide average.	0.5	1
	Threshold 2 = Greater than 200% of the statewide average.	1	1
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% Shut-Offs	Threshold 0 = less than 9.99% customer shut-offs over the last calendar year.	0	N/A
	Threshold 1 = 10% or greater customer shut-offs over the last calendar year.	1	2
Number of	Threshold 0 = greater than 501 service connections.	0	N/A
Service			
Connections	Threshold 1 = 500 or less service connections.	1	1

Risk Indicator	Thresholds	Score	Weight
Operator Certification	Threshold 0 = 0 Operator Certification violations over the last three years.	0	N/A
Violations	<b>Threshold 1 = 1 or more</b> Operator Certification violations over the last three years.		3
Monitoring & Reporting	<b>Threshold 0 = 1 or less</b> Monitoring & Reporting violations over the last three years.	0	2
Violations	<b>Threshold 1 = 2 or more</b> Monitoring & Reporting violations over the last three years.	1	2
Significant	Threshold 0 = 0 Significant Deficiencies over the last three years.	0	N/A
	Threshold 1 = 1 or more Significant Deficiencies over the last three years.	1	3
Extensive Treatment Installed	Threshold 0 = No extensive treatment installed.	0	N/A
	Threshold 1 = Yes, extensive treatment is installed.	1	2

# References

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