



Week	Content	CO	Activity and Assessments	Percentage
19/08	<ul style="list-style-type: none">• Introduction to Genetic Algorithms• GA Implementation	CO-1	Refreshing and Discussion	-
26/08	<ul style="list-style-type: none">• Cycle of Basic GA• Holland's schema theorem.	CO-1	Quiz-1	2
02/09	Encoding in Genetic Algorithm	CO-3	Quiz-2	2
09/09	Selection	CO-2	Quiz-3	2
16/09	Crossover Part 1	CO-2	Quiz-4	2
23/09	Crossover Part 2	CO-2	Quiz-5	2
30/09	Proposal Presentation (Problem Formulation)	CO-3	Presentation and Discussion	10+2,5
../10	UTS		Holland's schema and What is GA GA Encoding GA Operators	5 5 5



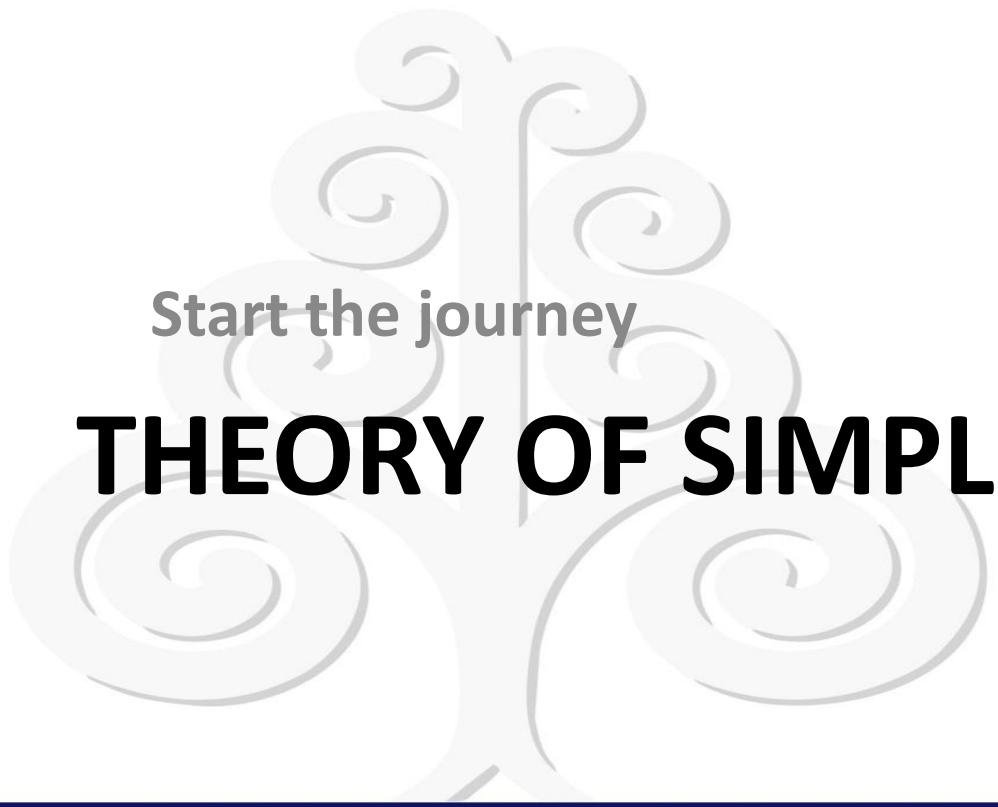
Week	Content	CO	Activity and Assessments	Percentage
21/10	<ul style="list-style-type: none">• Mutation• Genetic Algorithm Parameters	CO-2	HW-1	2.5
28/10	Hybrid Genetic Algorithm	CO-5	HW-2	2.5
04/11	Progress Report	CO-4	Presentation and Discussion	10 + 2.5
11/11	Applications of Genetic Algorithms	CO-5	HW	5
18/11	Final Project Report	CO-4	Presentation	10
25/11	Trend research on Genetic Algorithm	CO-6	Presentation of Lit Review	5
02/12	Paper Report	CO-5	Draft paper	10
.../12	UAS	CO-2 CO-4 CO-6	GA Operator and Parameters GA Design Trend GA	5 5 5



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GADJAH MADA

Cycle of Genetic Algorithm and Holland Schema

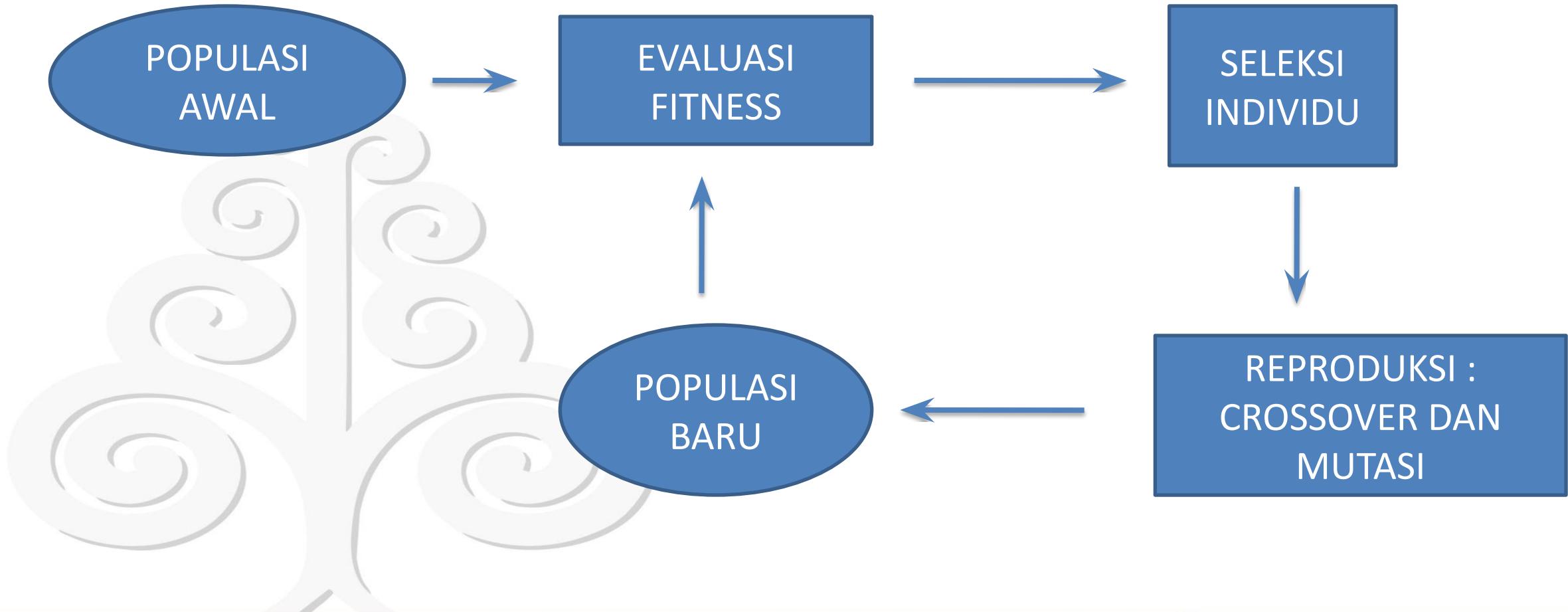
by Aina Musdholifah, S.Kom., M.Kom, Ph.D



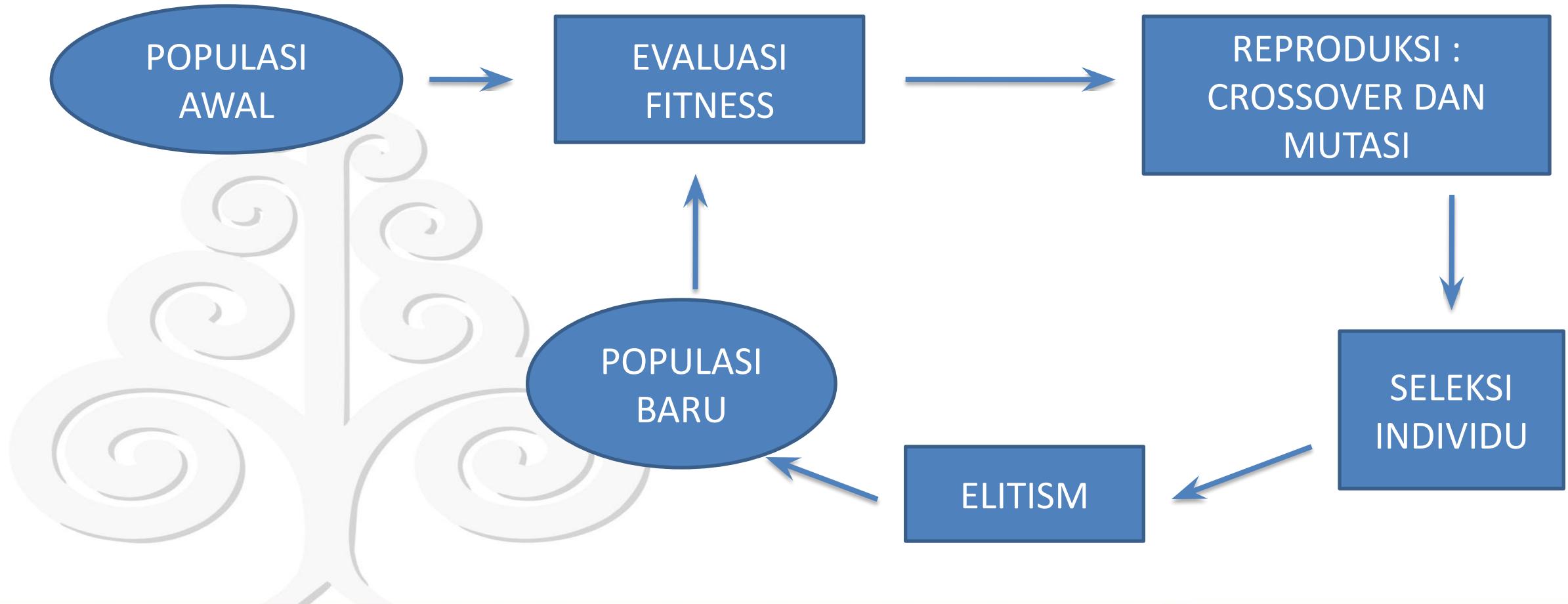
Start the journey

THEORY OF SIMPLE GENETIC ALGORITHMS

Cycle of Genetic algorithm (David Goldberg)



Cycle of Genetic algorithm (Michalewicz)





Basic Foundation GA (Michalewicz) - Gen and Cheng (2000)

- A genetic representation of solution to the problem
- A way to create an initial population of solution
- An evaluation function rating solutions in term their fitness
- Genetic operators that alter the genetic compositions of children during reproduction
- Values for the parameters of GA

Penjelasan -Gen dan Cheng (2000)



- Algoritma genetik memelihara populasi dari individu (P_i) pada setiap generasi i
- Setiap individu merepresentasikan sebuah solusi potensial. Setiap individu dievaluasi untuk dinilai fitness-nya
- Setiap individu menjalani *stochastic transformations* dengan menggunakan operasi genetik untuk membentuk individu baru
- Ada dua jenis transformasi yang digunakan yaitu **mutasi** dan **persilangan (crossover)**

Penjelasan (2) -Gen dan Cheng (2000)



- Individu baru yang disebut offspring C_i , dievaluasi kembali
- Setelah beberapa generasi diharapkan setiap individu menjadi konvergen menjadi individu terbaik
- Individu terbaik inilah yang diharapkan menjadi solusi optimal atau suboptimal dari masalah.
- Struktur umum dari algoritma genetik dijelaskan dalam **Teorema Skema Holland**

Teorema Skema Holland



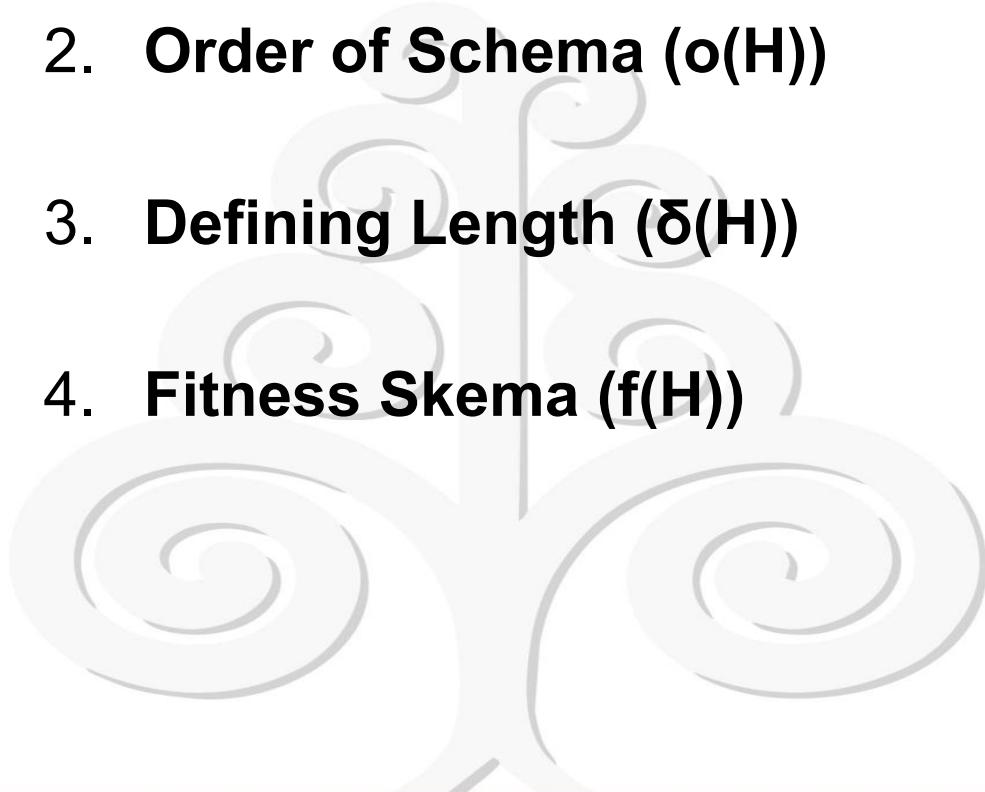
- Algoritma genetika sederhana
- Menggunakan suatu populasi tunggal yang dihuni oleh beberapa individu (genome)
- Genome-genome direpresentasikan dalam barisan bit 1 atau 0 yang menyatakan alela yang ada pada gen
- Teorema skema merupakan dasar teori yang menjelaskan bagaimana Algoritma Genetik bekerja

Teorema Skema Holland



Konsep Dasar Skema Holland:

1. **Skema (Schema)**
2. **Order of Schema ($o(H)$)**
3. **Defining Length ($\delta(H)$)**
4. **Fitness Skema ($f(H)$)**



Theorem of Holland Schema



- Schema is the similarity of patterns in describing a set of parts of several strings that have similarities in a certain position.
- A scheme is formed by adding a special symbol, which is a symbol * (don't care) in the binary representation (0 or 1)
- Example: * 01 is 101 or 001 which is 5 or 1

Theorem of Holland Schema



- The level of an S scheme (denoted by $o(S)$) shows the number of fixed 0 or 1 position numbers (not a don't care position) in the scheme.
- This level shows the specialization of a scheme.
- Example:

✓ $S1 = (* * * 0 0 1 * 1 1 0)$

$o(S1)=6$

✓ $S2 = (* * * * 0 0 * * 0 *)$

✓ $S3 = (1 1 1 0 1 * * 0 0 1)$

Theorem of Holland Schema



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- Example:

✓ $S1 = (* * * 0 0 1 * 1 1 0)$

$o(S1)=6$

✓ $S2 = (* * * * 0 0 * * 0 *)$

$o(S2)=3$

✓ $S3 = (1 1 1 0 1 * * 0 0 1)$

Theorem of Holland Schema



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- Example:

✓ $S_1 = (* * * 0 0 1 * 1 1 0)$

$$o(S_1)=6$$

✓ $S_2 = (* * * * 0 0 * * 0 *)$

$$o(S_2)=3$$

✓ $S_3 = (1 1 1 0 1 * * 0 0 1)$

$$o(S_3)=8$$

Theorem of Holland Schema



- The length limit of the S scheme (denoted by $\delta(S)$) is the distance between the first to the last 0 or 1 digit position.
- This figure shows the density of information in a scheme.
- Example:
 1. $S1 = (* * * 001 * 110)$
 2. $S2 = (* * * * 00 * * 0 *)$
 3. $S3 = (11101 * * 001)$

Theorem of Holland Schema



- The length limit of the S scheme (denoted by $\delta(S)$) is the distance between the first to the last 0 or 1 digit position.
- This figure shows the density of information in a scheme.
- Example:
 1. $S_1 = (* * * 0 0 1 * 1 1 0)$ $\delta(S_1) = 10 - 4 = 6$
 2. $S_2 = (* * * * 0 0 * * 0 *)$ $\delta(S_2) = 9 - 5 = 4$
 3. $S_3 = (1 1 1 0 1 * * 0 0 1)$ $\delta(S_3) = 10 - 1 = 9$



Theorem of Holland Schema

- Another example:

1. $S_4 = 110*10$
2. $S_5 = *110*00$
3. $S_6 = 10*100*1*$



Theorem of Holland Schema

Another example:

$$1. S4 = 110^*10$$

$$o(S4)=5$$

$$\delta(S4)= 6-1=5$$

$$2. S5 = *110^*00$$

$$o(S5)=5$$

$$\delta(S5)= 7-2=5$$

$$3. S6 = 10^*100^*1*$$

$$o(S6)=6$$

$$\delta(S5)= 8-1=7$$



Theorem of Holland Schema

- Relationship between Holland Scheme and Genome
 - S4 → 1 don't care → $2^1 = 2$ possible genome sequences: 110010; 110110
 - S5 →
 - S6 → 3 don't care



Theorem of Holland Schema

- Relationship between Holland Scheme and Genome
 - S4 → 1 don't care → $2^1 = 2$ possible genome sequences: 110010; 110110
 - S5 → 2 don't care → $2^2 = 4$ possible genome sequences: 1110000; 1110100; 0110000; 0110100
 - S6 → 3 don't care → $2^3 = 8$ possible genome sequences: 111100000; 111101000; 011100000; 011101000; 101100000; 101101000; 001100000; 001101000



Theorem of Holland Schema

- Relationship between Holland Scheme and Genome
 - S4 → 1 don't care → $2^1 = 2$ possible genome sequences:
110010; 110110
 - S5 → 2 don't care → $2^2 = 4$ possible genome sequences:
1110000; 1110100; 0110000; 0110100
 - S6 → 3 don't care → $2^3 = 8$ possible genome sequences:
101100111; 101100110; 101100010; 101100011; 100100111;
100100110; 100100011; 100100111

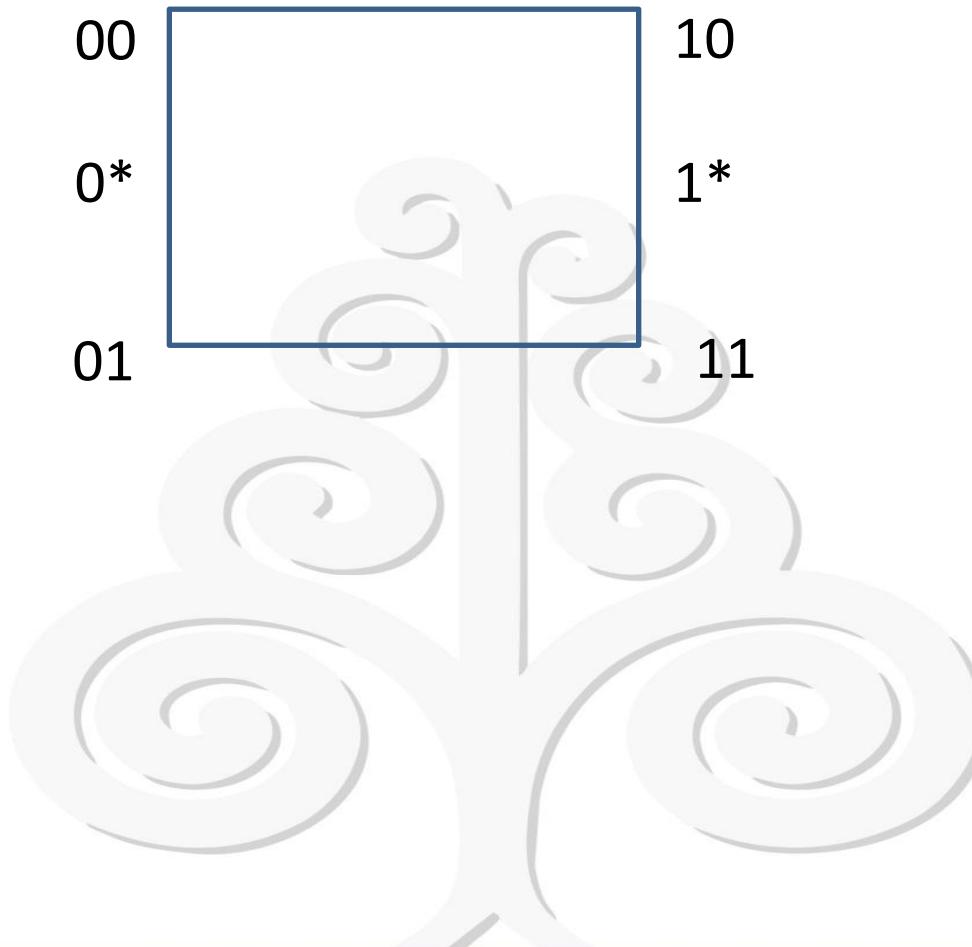


Theorem of Holland Schema

- Relationship between Holland Scheme and Genome
 - Formally, a scheme with n symbols from the o-order expresses an n dimension hyperplane with potential solution space.

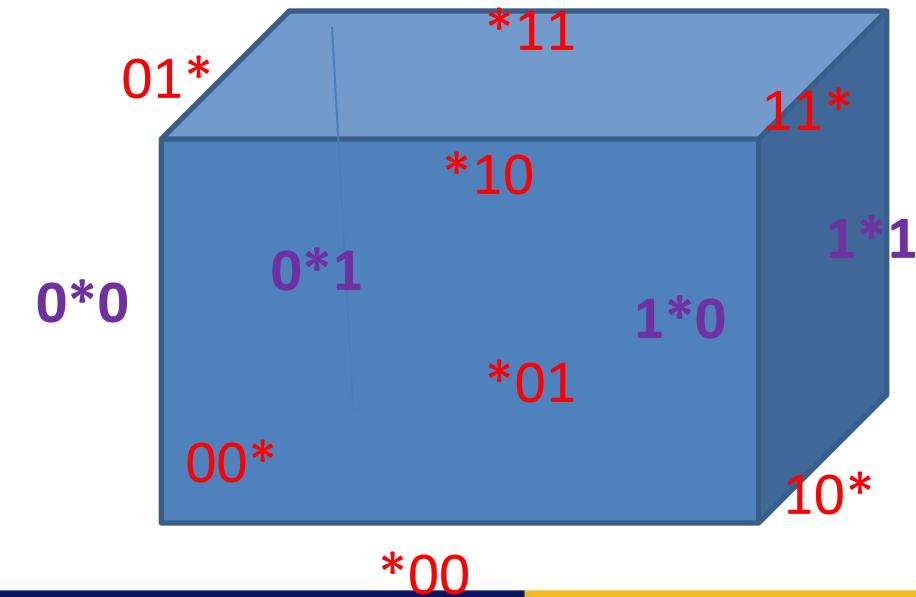


example



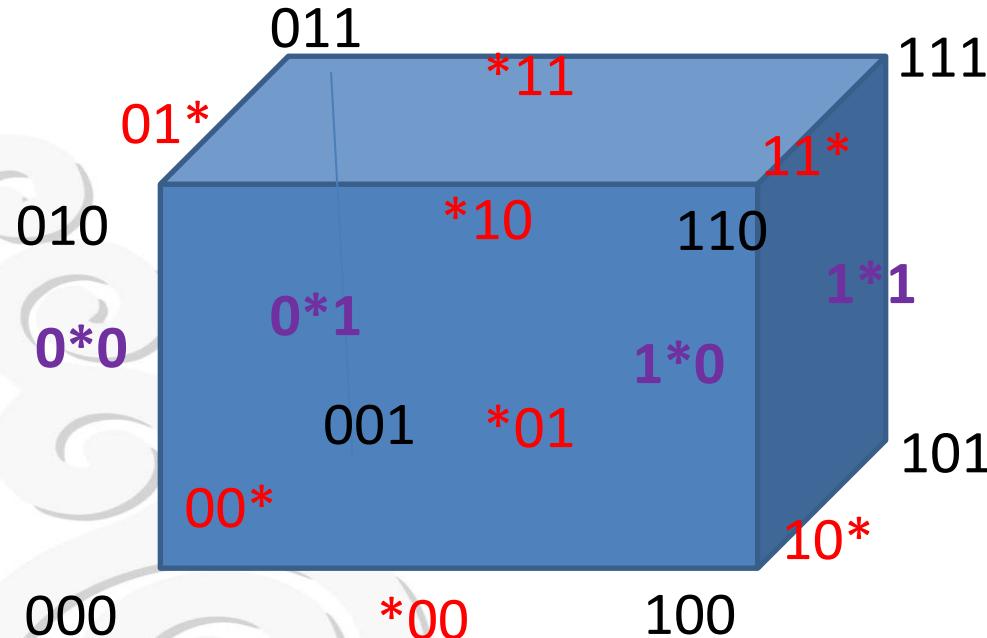
Genome ?

00 ; 01 ; 10 ; 11





Hyperplane schema



Theorem of Holland Schema



Holland membuktikan bahwa:

Skema dengan **(1) fitness rata-rata di atas rata-rata populasi, (2) order rendah, dan (3) defining length pendek** → memiliki peluang lebih besar untuk berkembang (propagasi) di generasi berikutnya.

Formula dasar jumlah rata-rata kromosom dalam skema H pada generasi t+1:

$$E[m(H, t + 1)] \geq m(H, t) \cdot \frac{f(H)}{\bar{f}} \cdot \left[1 - p_c \frac{\delta(H)}{(l - 1)} - o(H)p_m \right]$$

Keterangan:

- $m(H, t)$ = jumlah kromosom dalam populasi pada generasi t yang sesuai dengan skema H.
- $f(H)$ = fitness rata-rata skema H.
- \bar{f} = fitness rata-rata populasi.
- p_c = probabilitas crossover.
- p_m = probabilitas mutasi per bit.
- l = panjang kromosom.
- $\delta(H)$ = defining length.
- $o(H)$ = order schema.



Next...

- How to Generate Population
- Encoding

