

Performance Improvement of the Driver Domain.

Sushrut Shirole

Thesis submitted to the Faculty of the
Virginia Polytechnic Institute and State University
in partial fulfillment of the requirements for the degree of

Master of Science

in

Computer Science & Applications

Dr. Godmar Back, Chair

Dr. Keith Bisset

Dr. Kirk Cameron

Dec 12, 2013

Blacksburg, Virginia

Keywords: Device driver, Virtual machines, domain,

Copyright 2013, Sushrut Shirole

Device driver isolation using virtual machines.

Sushrut Shirole

(ABSTRACT)

In majority of today's operating system architectures, kernel is tightly coupled with the device drivers. In such cases, failure in critical components can lead to system failure. A malicious or faulty device driver can make the system unstable, thereby reducing the robustness. Unlike user processes, a simple restart of the device driver is not possible. In such circumstances a complete system reboot is necessary for complete recovery. In a virtualized environment or infrastructure where multiple operating systems execute over a common hardware platform, cannot afford to reboot the entire hardware due to a malfunctioning of a third party device driver.

The solution we implement exploits the virtualization to isolate the device drivers from the kernel. In this implementation, a device driver serves the user process by running in a separate virtual machine and hence is isolated from kernel. This proposed solution increases the robustness of the system, benefiting all critical systems.

To support the proposed solution, we implemented a prototype based on linux kernel and Xen hypervisor. In this prototype we create an independent device driver domain for Block device driver. Our prototype demonstrate that a block device driver can be run in a separate

domain.

We isolate device drivers from the kernel with two different approaches and compare both the results. In first approach, we implement the device driver isolation using an interrupt-based inter-domain signaling facility provided by xen hypervisor called event channels. In second approach, we implement the solution, using spinning threads. In second approach user application puts the request in request queue asynchronously and independent driver domain spins over the request queue to check if a new request is available. Event channel is an interrupt-based inter-domain mechanism and it involves immediate context switch, however, spinning doesn't involve immediate context switch and hence can give different results than event channel mechanism.

Acknowledgments

Acknowledgments goes here

Contents

1	Introduction	1
1.1	Problem Statement	2
1.2	Proposed Solution	5
1.3	Core Contributions	7
1.4	Organization	7
2	Background	9
2.1	Processes and Threads	9
2.2	Context Switch	10
2.3	Spinlocks	11
2.4	Device Driver	12
2.5	Memory Protection	15

2.5.1	User Level	16
2.5.2	Kernel Level	17
2.6	Virtualization	18
2.6.1	Hypervisor	19
2.6.2	Xen Hypervisor	22
3	System Introduction	30
3.1	Design Goal	30
3.2	Isolated Device Driver Properties	32
3.3	System Overview	33
3.4	System Components	35
3.4.1	Frontend Driver	36
3.4.2	Backend Driver	36
3.4.3	Communication Module	37
3.5	System Design	38
3.5.1	Communication Module	39
3.5.2	Frontend Driver	40
3.5.3	Backend Driver	41

4	Implementation	43
4.1	Implementation Overview	43
4.2	Implementation	45
4.2.1	Communication Module	45
4.2.2	Application Domain	51
4.2.3	Driver Domain	55
5	Evaluation	58
5.1	Goals	58
5.2	Methodology	60
5.3	The Xen Split Device Driver vs The Base IDDR System	61
5.4	Base IDDR System vs New IDDR System	63
6	Related Work	71
6.1	Reliability of the System	72
6.1.1	Driver Protection Approches	72
6.2	Inter-domain Communication	75
7	Conclusion	76

List of Figures

1.1	Split device driver model	3
2.1	Thread	10
2.2	Split view of a kernel	13
2.3	Physical memory	16
2.4	User level memory protection	17
2.5	Kernel level memory protection	19
2.6	Comparision of a non-virtualized system and a virtualized system	20
2.7	Type 1 hypervisor	21
2.8	Type 2 hypervisor	22
2.9	Xen split device driver	24
2.10	Xen	25
2.11	Ring I/O buffer	26

2.12	Ring I/O buffer	28
3.1	Architectural overview of a modern OS	33
3.2	Architectural overview of the base IDDR system	34
3.3	System Components	35
3.4	Role of the frontend and the backend driver	37
3.5	Communication Module	38
3.6	Spinlock based new IDDR system	42
4.1	Implementation overview of the new IDDR system	45
5.1	Base IDDR system vs Xen split driver	64
5.2	Random reads and writes on a Ramdisk	66
5.3	Random reads and writes on a Loop device	67
5.4	Sequential reads and writes on a Ramdisk	69
5.5	Sequential reads and writes on a Loop device	70

List of Tables

4.1	The base IDDR system implementation efforts.	44
4.2	The new IDDR system implementation efforts.	44
5.1	Hardware specifications of the system	59

Chapter 1

Introduction

A system is judged by the quality of the services it offers and its ability to function reliably. Even though the reliability of operating systems has been studied for several decades, it remains a major concern today. The characteristics of the operating systems which make them unstable are size and complexity.

Coverity's analysis of the Linux kernel code found 1000 bugs in the source code of version 2.4.1 of the Linux kernel, and 950 bugs still in 2.6.9. This study also shows that 53% of the bugs are present in the device driver portion of the kernel [1].

In order to protect against bugs, operating systems provide protection mechanisms. These protection mechanisms protect resources such as memory and CPU. Memory protection is the protection mechanism provided in a Linux kernel. Memory protection is a way to control memory access rights. It prevents a user process from accessing memory that has not been

allocated to it. It prevents a bug within a user process from affecting other processes, or the operating system [16, 36]. However, kernel modules do not have the same level of protection the user level applications have. In the Linux kernel, any portion of the kernel can access, and potentially, overwrite kernel data structures used by unrelated components. Such non-existent isolation between kernel components can cause a bug in a device driver to corrupt the memory of other kernel components, which in turn may lead to a system crash. Thus, an underlying cause of unreliability in operating systems is the lack of isolation between device drivers and a Linux kernel.

1.1 Problem Statement

In the past, virtualization based solutions, which were intended to increase the reliability of a system, were proposed by LeVasseur et. al. [28] and Fraser et. al. [18]. Frazer et. al. proposed the Xen isolated driver domain. In a virtualized environment, virtual machines are unaware of and isolated from the other virtual machines. Malfunctioning of one virtual machine cannot spread to the others. Hence, use of virtual machines provide extremely good fault isolation.

In a virtualized environment, all virtual machines run as separate guest domains in different address spaces. The virtualization based solutions mentioned above exploit the memory protection between these guest domains. They improve the reliability of the system by executing device drivers and the kernel in separate virtual machines. Xen hypervisor provides

a platform to isolate device driver from the monolithic kernel called the isolated driver domain based on a split device driver model [5].

The Xen virtual machine monitor (VMM) does not include device drivers for all the devices, adding support for all the devices would be a duplication of effort. Instead, Xen delegates hardware support to guests. The guest typically runs in a privileged domain, although it is possible to delegate hardware to guests in other domains. The model in which hardware support is delegated to a guest is called a split device driver model [14]. Xen uses the same split device driver model in the isolated driver domain as shown in the figure 1.1. Xen has a

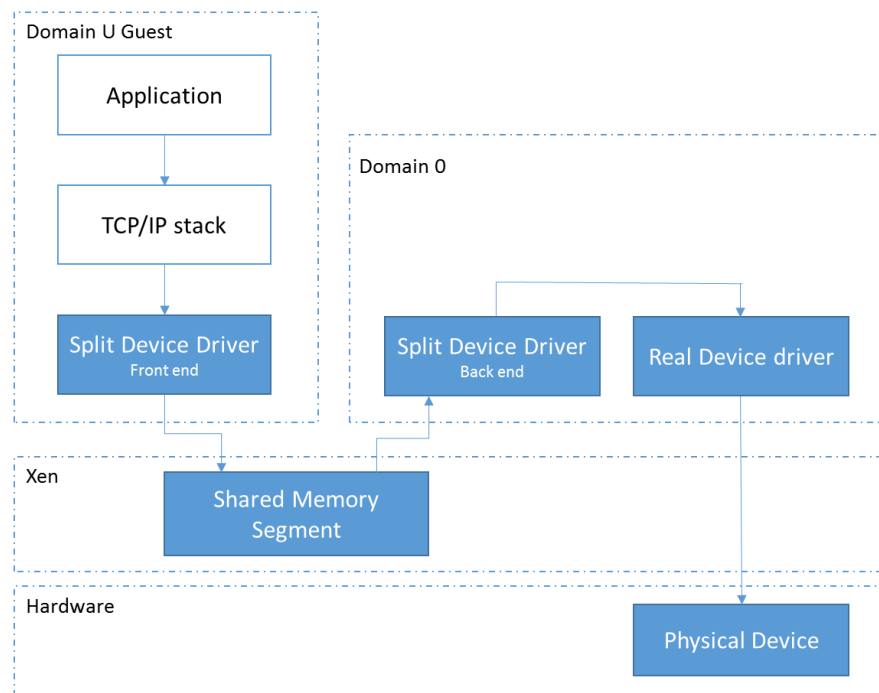


Figure 1.1: Split device driver model

frontend driver in the guest domain and a backend driver in the driver domain. The frontend and the backend driver transfer data between domains over a channel that is provided by

the Xen VMM. Within the driver domain, the backend driver is used to de-multiplex incoming data to the device and to multiplex outgoing data between the device and the guest domain [5].

The Xen's isolated driver domain follows the split device driver architecture of Xen VMM. In the isolated driver domain, user applications and a kernel are executed in a guest domain, and a device driver is executed in a driver domain. As a result, a device driver is isolated from the Linux kernel, making it impossible for the device driver to corrupt kernel data structures in the virtual machine running user applications.

Despite the advances in virtualization technology, the overhead of communication between guest domain and driver domain significantly affects the performance of applications [9, 37, 32]. The Xen's isolated driver domain follows an interrupt based approach in the communication channel [9]. In this communication model, frontend and backend notify each other of the receipt of a service request and corresponding responses by sending an interrupt. The Xen hypervisor needs to schedule the other domain to deliver the interrupt, which might need a context switch [9]. The context switch can cause an unavoidable system overhead [29, 33]. The interrupt based notification system might cause an overhead in the communication channel of the isolated driver domain.

1.2 Proposed Solution

In this thesis, we propose and evaluate an optimization for improving the performance of the communication between guest domain and driver domain. We propose a solution in which a thread in the backend driver spins for the service requests, and the frontend driver spins for the availability of the corresponding responses. Multiprocessors have better concurrency than single core processors. On multi core processors, spinlocks have better performance than uniprocessor system. On the other hand, a context switch takes a significant amount of time, so it is more efficient for each process to simply spin while waiting for a resource in a multiprocessor environment. Since our solution follows a spinlock based approach, it performs better than the approach used in the original implementation.

The source code for isolated driver domain is not available in the open source Xen hypervisor code. As explained in the Section 1.1, isolated driver domain uses Xen's split device driver approach [18]. In this thesis, we re-implement the Xen's isolated driver domain, we refer to our implementation as Isolated Device Driver (IDDR). Our solution to improve the performance of the Xen isolated driver domain is implemented over the IDDR base code.

The performance of the system is evaluated for block devices such as ramdisk device, loop device, and SATA disk. The block device is formatted with a file system and the IDDR system is evaluated by measuring the performance of the system with fileIO/SysBench benchmark. The integrity of the system is checked by executing dd read/write, with and without read

ahead, file system tests on the variety of block devices. The evaluation of our solution shows that the performance of the system can be improved by avoiding the context switches in the communication channel.

1.3 Core Contributions

The core contributions of this project are listed below:

1. Re-implementation of the Xen's isolated driver domain - Isolated Device Driver (IDDR).
2. Improvement in the performance of the IDDR by implementing the thread based communication channel instead of the interrupt based communication channel.
3. Our performance comparison of the thread based IDDR and interrupt based IDDR.

1.4 Organization

This section gives the organization and roadmap of the thesis.

1. Chapter 2 gives the background on Processes, Threads, Memory Protection, Virtualization, Hypervisor and Inter-domain Communication.
2. Chapter 3 gives the introduction to design of the system to isolate device driver.
3. Chapter 4 discusses the detailed design and implementation to isolate the device driver.
4. Chapter 5 evaluates the performance of the Independent device driver with different designs.
5. Chapter 6 reviews the related work in the area of kernel fault tolerance.

6. Chapter 7 concludes the report and lists the topics where this work can be extended.

Chapter 2

Background

This section gives a background on operating system concepts such as Processes, Threads, Memory Protection, Virtualization and Hypervisor.

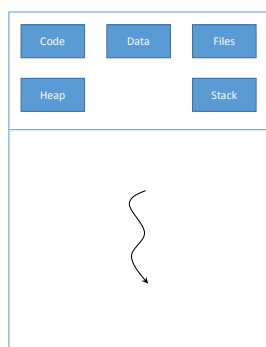
2.1 Processes and Threads

Process: Process is a program in execution or an abstraction of a running program. Process can be considered as the most central concept in an operating system [36].

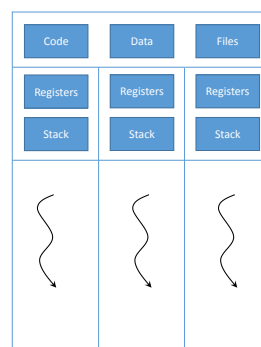
Threads: Each process has an address space. A process has either single or multiple threads of control in that same address space. Threads run as if they were separate processes, although they share the address space [36].

A thread is also called a light-weight process. The implementation of threads and processes

differ in each operating system, but in most cases, a thread is contained inside a process. Multiple threads can exist within the same process and share resources such as code and data segment, while different processes do not share these resources. If a process has multiple threads then it can perform more than one task at a time.



(a) Single threaded process



(b) Multithreaded process

Figure 2.1: Thread

2.2 Context Switch

Multithreading is implemented by time division multiplexing on a single processor. In time division multiplexing, the processor switches between different threads. The switch between threads is called the context switch. The context switch makes the user feel that the threads or tasks are running concurrently. However, on a multi-processor system, threads can run truly concurrently, with every processor executing a separate thread simultaneously.

In a context switch the state of a process is stored and restored, so that the execution can

be resumed from the same point at a later time. The state of the process is also called a context. The context is determined by the processor and the operating system. Context switching makes it possible for multiple processes or threads to share a single processor. Usually context switches are computationally intensive. Switching between two process requires good amount of computation and time, by saving and loading registers, mapping the memory, and updating various tables [36] .

2.3 Spinlocks

Spinlock is one of the locking mechanisms designed to work in a multi-processing environment. Spinlock causes a thread that is trying to acquire the lock to spin in case of unavailability of it. Spinlocks are similar to the semaphores, except that when a process finds the lock closed by another process, it spins around continuously until it obtains the lock. Spinning is implemented by executing an instruction in a loop [10].

In a uniprocessor environment, the waiting process keeps spinning for the lock. As the other process holding the lock might not have a chance to release it, the spinlock could deteriorate the performance in a uniprocessor environment. However, in a multi-processor environment spinlocks can be more efficient.

In uniprocessor and multiprocessor environment, a context switch takes a significant amount of time. In a multi-processor environment, it is more efficient for each process to keep its own CPU and spin while waiting for the resource [10]. As a spinlock avoids the overhead

of re-scheduling and context switching, spinning for a short time can be more efficient than a context switch. For the same reason, spinlocks are often used inside operating system kernels.

Adaptive Spinning is a spinlock optimization technique. In the adaptive spinning technique, the duration of the spin is determined by policy decisions based on the rate of success and failure of recent spin attempts on the same lock. Adaptive spinning helps threads to avoid spinning in futile conditions.

2.4 Device Driver

A device driver is a program that provides a software interface to a particular hardware device. It enables the operating system and other programs to access the hardware functions. Device drivers are hardware dependent and operating system specific. When a program invokes a routine in the driver, the driver issues commands to the device. After execution, the device sends data back to the driver. The driver may invoke routines in the original calling program after receiving the data. The Linux distinguishes between three fundamental device types: character device, block device and network interface. Each kernel module usually implements one of these types.

Character devices: A character device can be accessed as a stream of bytes. A character driver implements this behavior of the character device. A character driver usually imple-

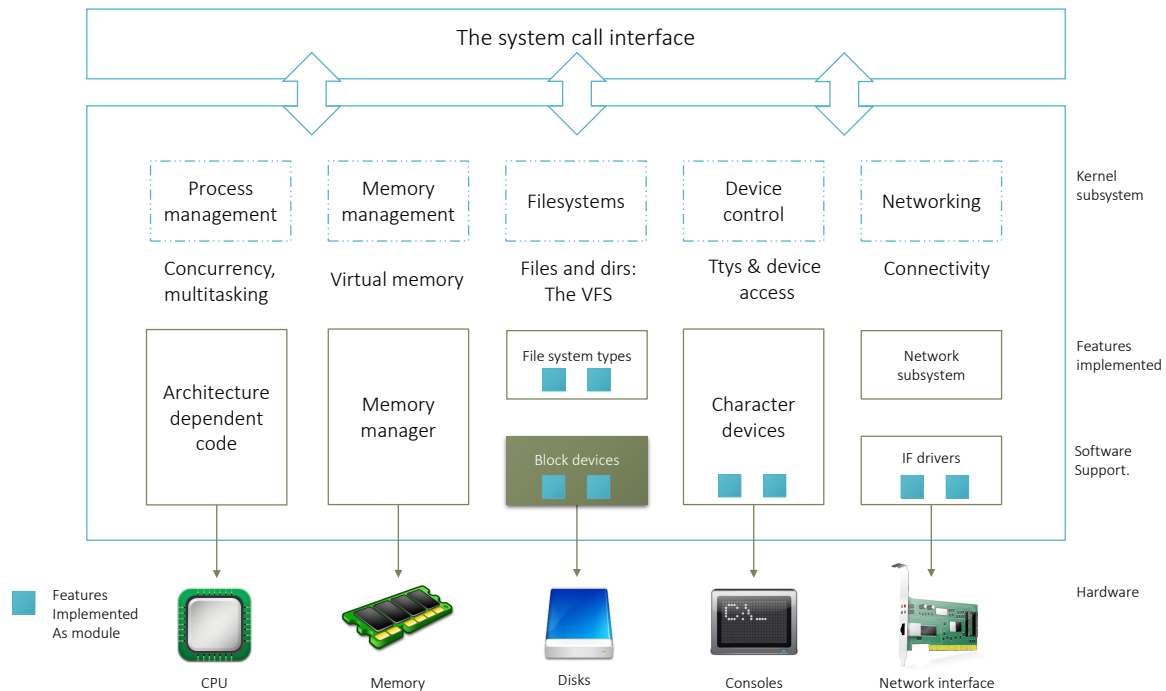


Figure 2.2: Split view of a kernel

ments at least the open, close, read, and write system calls. The text console (`/dev/console`) and the serial ports (`/dev/ttyS0`) are examples of character devices.

Network Interfaces: A network interface is a device that is able to exchange data with other hosts. Usually, a network interface is a hardware device, but it can be a software device like the loopback interface.

Block Devices: A block device is a device that can host a filesystem. Block devices are accessed by filesystem nodes in the `/dev` directory. In most unix implementations, a block device can only handle I/O operations that transfer one or more whole blocks. Linux,

instead, allows the application to read and write any number of bytes on a block device. As a result, block and char devices differ only in the way data is managed internally by the kernel, and thus block drivers have a completely different interface than char drivers. Examples of block devices are disks and CDs [15].

Block Device Driver

Request processing in a block device driver

The core of every block driver is its request function. The request function is called whenever the driver needs to process reads, writes, or other operations on the device. The request function does not need to actually complete all of the requests on the queue before it returns. However, it ensures that all the requests are eventually processed by the driver. The block device driver request method accepts a parameter which acts as a pointer to the request queue. Every device has a separate request queue. A spinlock is needed as part of the request queue creation process. A request function of the device is associated with the request queue in its creation process. The kernel holds the spinlock whenever the request function is called. As a result, the request function runs in an atomic context.

Each request structure represents one block I/O request, however, it might be formed through a merger of several independent requests at a higher level. The kernel may join multiple requests that involve adjacent sectors on the disk, but it never combines read and write operations within a single request structure. A request structure is implemented as a linked

list of bio structures. The bio structure is a low-level description of a portion of a block I/O request [15].

The bio structure

The kernel describes a read or write operation of a block device in the form of a bio structure. The bio structure has a everything that a block device driver needs to execute the request, without reffering to the user space process that caused the request to be initiated. After creation, the bio structure is handed to the block I/O code. The block I/O code merges the bio structure into an existing request structure, otherwise it creates a new request [15].

2.5 Memory Protection

The memory protection mechanism of a computer system controls the access to objects. The main goal of memory protection is to prevent malicious misuse of the system by users or programs. Memory protection also ensures that each shared resource is used only in accordance with the system policies. In addition, it also helps to ensure that errant programs cause minimal damage. However, memory protection systems only provide the mechanisms for enforcing policies and ensuring reliable systems. It is up to the administrators, programmers and users to implement those mechanisms [36, 21]. Subsection 2.5.1 and subsection 2.5.2 explain the policies implemented at kernel level and user level.

2.5.1 User Level

Typically in a monolithic kernel, the lowest **X Gb** of memory is reserved for user processes. The upper **Virtual Memory size - X Gb** is reserved for the kernel. This upper **VM-X Gb** is restricted to **CPL 0** only. The kernel puts its private data structures in the upper memory and always accesses them at the same virtual address.

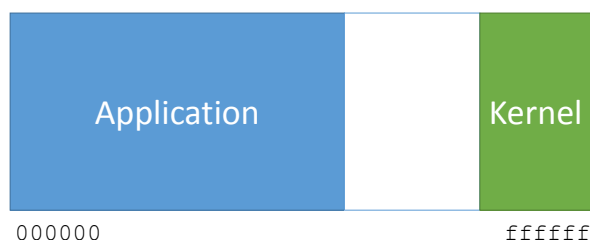


Figure 2.3: Physical memory

At the user space, each application runs as a separate process. Each process is associated with an address space and believes that it owns the entire memory, starting with the virtual address 0. However, a translation table translates every memory reference by these processes from virtual to physical addresses. The translation table maintains **<base, bound>** entry. If a process tries to access virtual address that is outside the **base + bound** address, then an error is reported by the operating system, otherwise the physical address **base + virtual address** is returned. This allows multiple processes to be run in the memory with protection. Since address translation provides protection, a process cannot access the address outside its

address space.

Consider an example in Figure 2.4.

1. The system is running 3 different processes in a user space.
2. One of the processes hits a bug and tries to corrupt the memory outside the address space.
3. Access to the address is restricted by the memory protection mechanism.

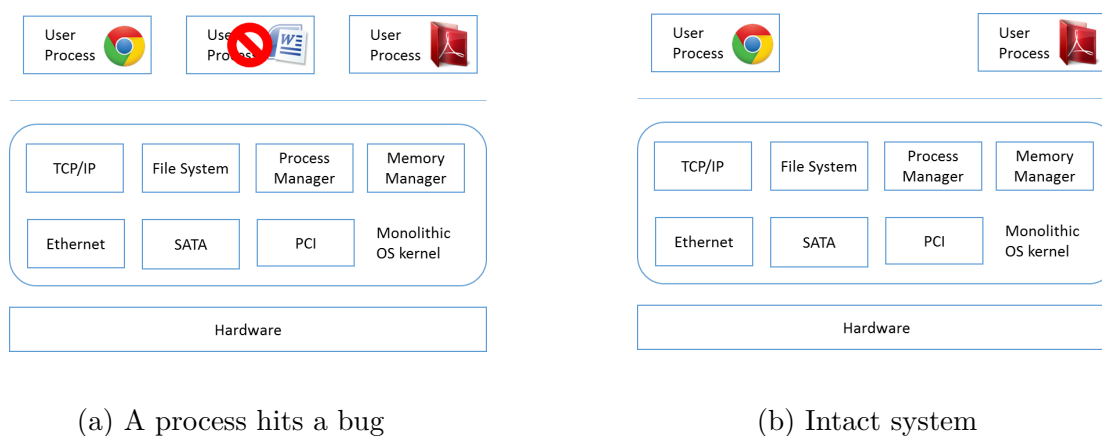


Figure 2.4: User level memory protection

2.5.2 Kernel Level

Kernel reserves upper `Virtual memory size - X Gb` of virtual memory for its internal use.

The page table entries of this region are marked as protected so that pages are not visible or modifiable in the user mode. This reserved region is divided into two regions. First region

contains page table references to every page in the system. It is used to do translations of addresses from physical to virtual when the kernel code is executed. The core of the kernel and all the pages allocated by page allocator lies in this region. The other region of the kernel memory is used by the memory allocator, the allocated memory is mapped by kernel modules. Since an operating system maps physical addresses directly, kernel components do not have memory protection similar to that of the user space. At kernel level any code running at CPL 0 can access the kernel memory, hence a kernel component can access, and potentially, corrupt the kernel data structures.

Consider an example shown in the Figure 2.6.

1. The system runs 3 different processes in the user space and has different kernel components running in the kernel space.
2. The network driver hits a bug, and corrupts the kernel data structure. The corruption might lead to a system crash.

2.6 Virtualization

Virtualization is the act of creating a virtual version of a hardware platform, storage device, or computer network resource etc. In an operating system virtualization, the software allows a hardware to run multiple operating system images at the same time.

Virtualization has the capability to share the underlying hardware resources and still provide

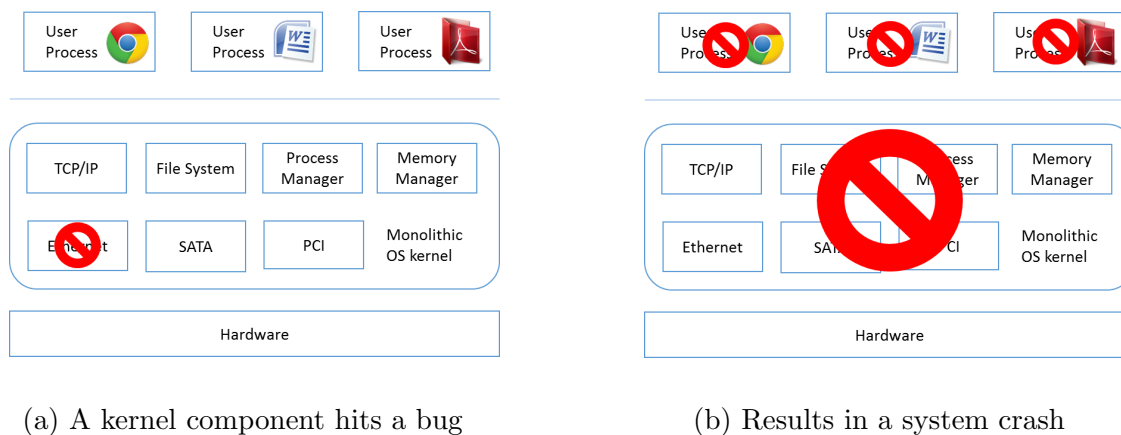
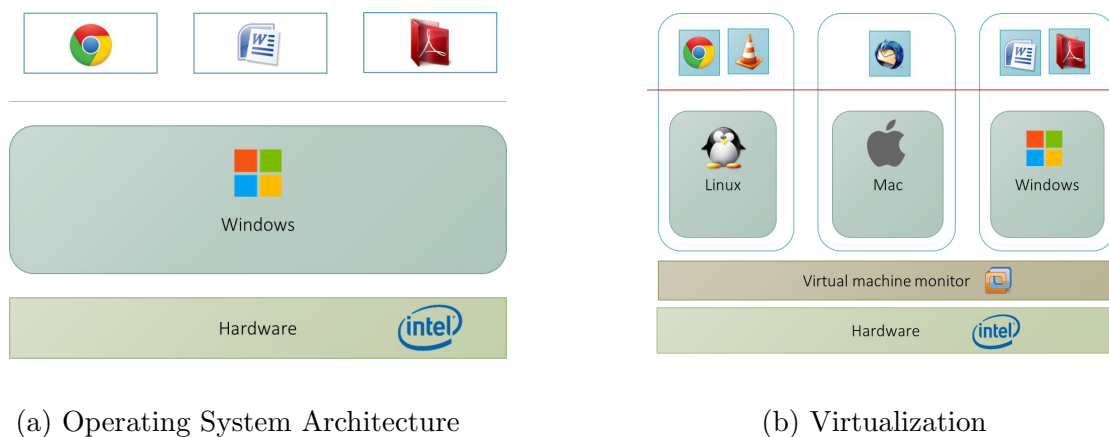


Figure 2.5: Kernel level memory protection

an isolated environment to each operating system. In virtualization, each operating system runs independently from the other on its own virtual processors. Because of this isolation the failures in an operating system are contained. Virtualization is implemented in many different ways. It can be implemented either with or without hardware support. Also operating systems might require some changes in order to run in a virtualized environment [17]. It has been shown that virtualization can be utilized to provide better security and robustness for operating systems [18, 28, 35].

2.6.1 Hypervisor

Hypervisor is a piece of computer software, firmware or hardware that creates and runs virtual machines. Operating system virtualization is achieved by inserting a hypervisor between the guest operating system and the underlying hardware. Most of the literature presents hypervisor synonymous to a virtual machine monitor (VMM). While, VMM is a



(a) Operating System Architecture

(b) Virtualization

Figure 2.6: Comparison of a non-virtualized system and a virtualized system

software layer specifically responsible for virtualizing a given architecture, a hypervisor is an operating system with a VMM. The operating system may be a general purpose one, such as Linux, or it may be developed specifically for the purpose of running virtual machines [7].

A computer on which a hypervisor is running one or more virtual machines is defined as a host machine. Each virtual machine is called a guest machine. The hypervisor presents the guest operating systems with a virtual operating platform and manages the execution of the guest operating systems. Multiple instances of a variety of operating systems share the virtualized hardware resources. Among widely known hypervisors are Xen [9, 14], KVM [22, 26], VMware ESX [7] and VirtualBox [13].

There are two types of hypervisors [20]

- Type 1 hypervisors are also called native hypervisors or bare metal hypervisors. Type 1 hypervisors run directly on the host's hardware to control the hardware and to manage

guest operating systems. A guest operating-system, thus, runs on another level above the hypervisor. Type 1 hypervisors represent the classic implementation of virtual-machine architectures such as SIMMON, and CP/CMS. Modern equivalents include Oracle VM Server for SPARC, Oracle VM Server, the Xen hypervisor [9], VMware ESX/ESXi [7] and Microsoft Hyper-V.

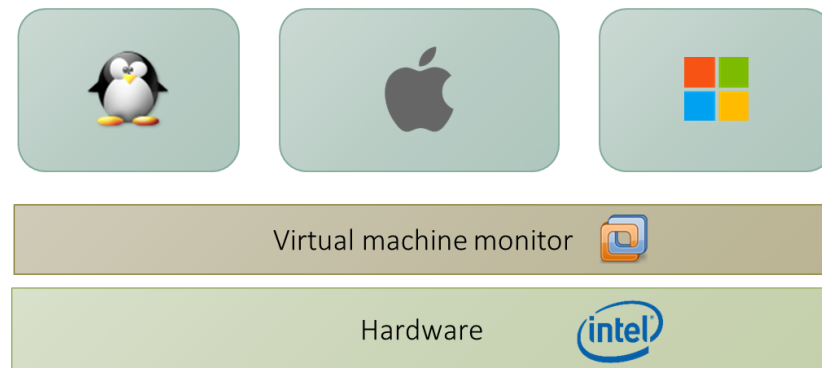


Figure 2.7: Type 1 hypervisor

- Type 2 hypervisors are also called hosted hypervisors. Type 2 hypervisors run within a conventional operating-system environment. Type 2 hypervisors run at a distinct second software level, whereas guest operating systems run at the third level above hardware. VMware Workstation and VirtualBox are some of the examples of Type 2 hypervisors [37, 13].

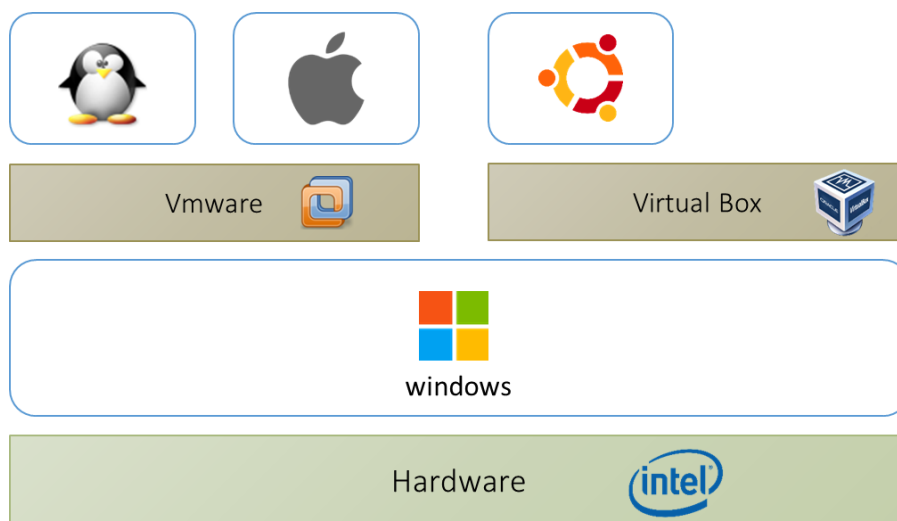


Figure 2.8: Type 2 hypervisor

2.6.2 Xen Hypervisor

Xen [9] is a widely known Type 1 hypervisor that allows the execution of virtual machines in guest domains [25]. Figure 2.8 represents a diagram showing the different layers of a Type 1 hypervisor system. The hypervisor itself forms the lowest layer, which consists of the hypervisor kernel and the virtual machine monitors. The kernel has direct access to the hardware and is responsible for resource allocation, resource scheduling and resource sharing. A hypervisor is a layer responsible for virtualizing and providing resources to a given operating system.

The purpose of a hypervisor is to allow guest operating systems to be run. Xen runs guest operating systems in environments known as domains. Domain 0 is the first guest to run,

and has elevated privileges. Xen loads a **domain 0** guest kernel during boot. Other unprivileged domains are called **domain U**. The Xen hypervisor does not include device drivers. Device management is included in privileged **domain 0**. **Domain 0** uses the device drivers present in the guest operating system. However, **domain U** accesses devices using a split device driver architecture. In the split device driver architecture a frontend driver in a guest domain communicates with a backend driver in **domain 0**.

Figure 2.9 shows how an application running in a **domain U** guest writes data on the physical device. First, it travels through the file system as it would normally. However, at the end of the stack the normal block device driver does not exist. Instead, a simple piece of code called the frontend puts the data into the shared memory. The other half of the split device driver called the backend, running in the **domain 0** guest, reads the data from the buffer and sends it way down to the real device driver. The data is written on the actual physical device. In conclusion, split device driver can be explained as a way to move data from the **domain U** guests to the **domain 0** guest, usually using ring buffers in shared memory [14].

Xen provides an inter-domain memory sharing API accessed through the guest kernel extensions and an interrupt-based inter-domain signaling facility called event channels to implement the efficient inter-domain communication. Split drivers use memory sharing APIs to implement I/O device ring buffers to exchange data across domains.

In Xen's isolated driver domain implementation, Xen uses shared I/O ring buffers and event channel [9, 34].

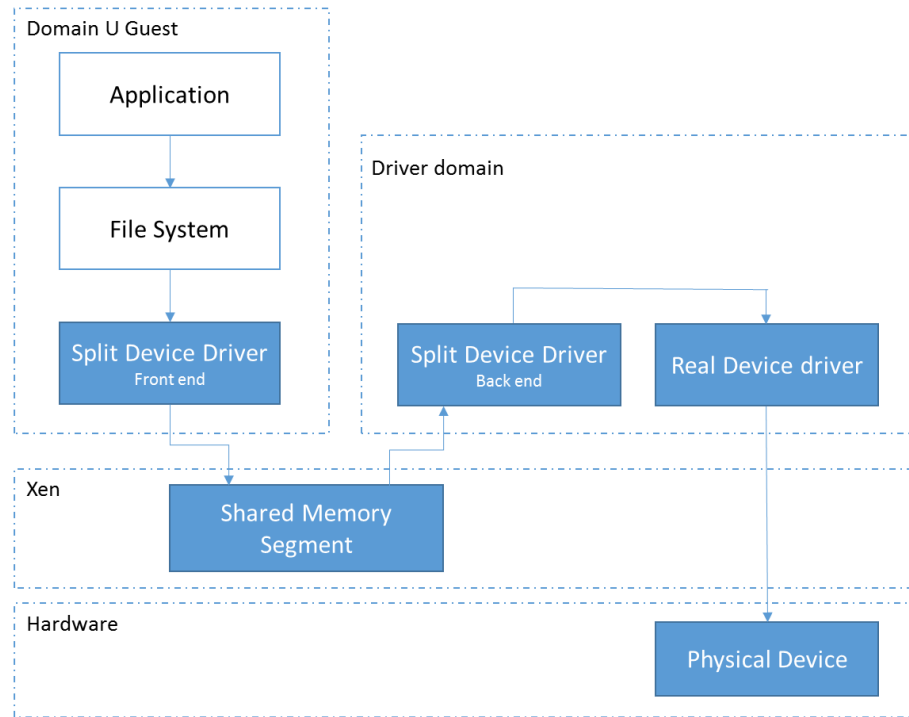


Figure 2.9: Xen split device driver

Hypercalls and Events

Hypercalls and event channels are the two mechanisms that exist for interactions between the Xen hypervisor and domains. A hypercall is a software trap from a domain to the Xen hypervisor, just as a syscall is a software trap from an application to the kernel [4]. Domains use the hypercalls to request privileged operations like updating pagetables.

An event channel is to the Xen hypervisor as a hardware interrupt is to the operating system. An event channel is used for sending asynchronous notifications between domains. Event notifications are implemented by updating a bitmap. After scheduling pending events from an event queue, the event callback handler is called to take appropriate action. The callback

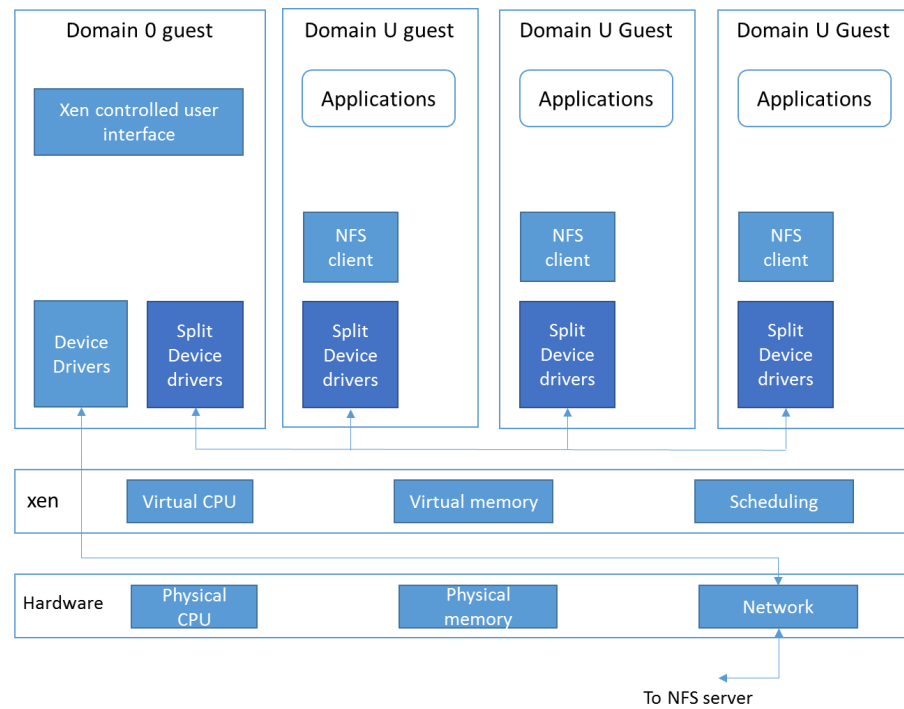


Figure 2.10: Xen

handler is responsible for resetting the bitmap of pending events, and responding to the notifications in an appropriate manner. A domain may explicitly defer event handling by setting a Xen readable software flag: this is analogous to disabling interrupts on a real processor. Event notifications can be compared to traditional UNIX signals acting to flag a particular type of occurrence. For example, events are used to indicate that new data has been received over the network, or used to notify that the a virtual disk request has completed.

Data Transfer: I/O Rings

Hypervisor introduces an additional layer between guest OS and I/O devices. Xen provides a data transfer mechanism that allows data to move vertically through the system with minimum overhead.

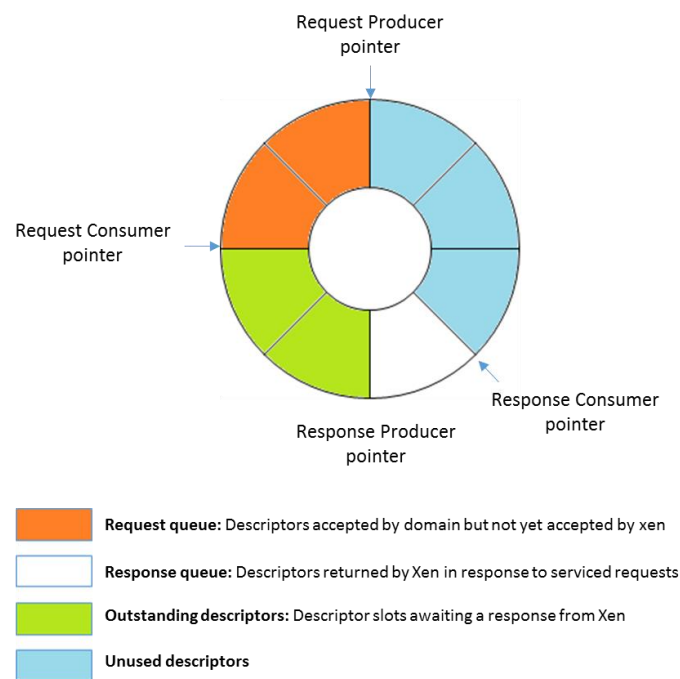


Figure 2.11: Ring I/O buffer

Figure 2.12 shows the structure of an I/O descriptor ring. An I/O descriptor ring is a circular queue of descriptors allocated by a domain. These descriptors do not contain I/O data. However, I/O data buffers are allocated separately by the guest OS and is indirectly referenced by these I/O descriptors. Access to an I/O ring is based around two pairs of producer-consumer pointers.

1. Request producer pointer: A domain places requests on a ring by advancing a request producer pointer.
2. Request consumer pointer: The Xen hypervisor removes requests pointed by a request producer pointer. These requests are removed by advancing a request consumer pointer.
3. Response producer pointer: The Xen hypervisor places responses on a ring by advancing a response producer pointer.
4. Response consumer pointer: A domain removes responses pointed by a request producer pointer. These responses are removed by advancing a response consumer pointer.

The requests are not required to be processed in a specific order. I/O rings are generic to support different device paradigms. For example, a set of `requests` can provide buffers for read data of virtual disks; subsequent `responses` then signal the arrival of data into these buffers.

The notification is not sent for production of each request and response. A domain can en-queue multiple requests and responses before notifying the other domain. This allows each domain to trade-off between latency and throughput.

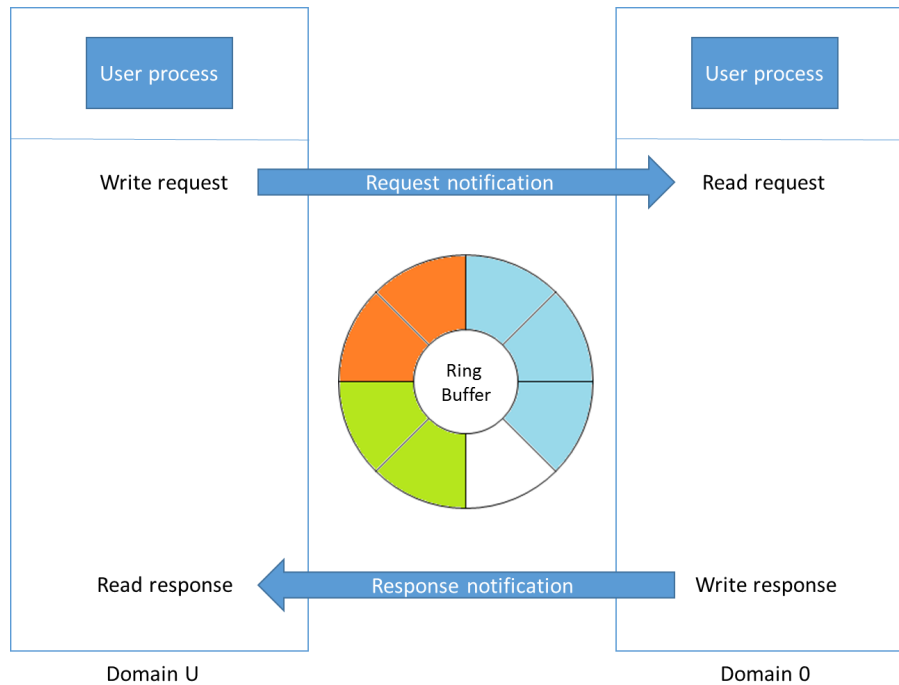


Figure 2.12: Ring I/O buffer

Shared Pages

Grant Table

Grant tables are a mechanism provided by the Xen hypervisor for sharing and transferring frames between the domains. It is an interface for granting foreign access to machine frames and sharing memory between underprivileged domains provided by the Xen hypervisor. In Xen, each domain has a respective grant table data structure, which is shared with the Xen hypervisor. The grant table data structure is used by Xen to verify the access permission other domains have on the page allocated by a domain [3].

Grant References

Grant references are the entries in a grant table. A grant reference entry has every detail about the shared page. The Xen hypervisor virtualizes the physical memory, it is difficult to know the correct machine address of a frame for a domain. The biggest difficulty in sharing the memory between domains is knowing its correct machine address. A grant reference removes the dependency on the real machine address of the shared page. Hence, a grant entry makes it possible to share the memory between domains.[14, 9, 3]

Chapter 3

System Introduction

3.1 Design Goal

The goal of the IDDR system is to provide full isolation between a device driver and the monolithic kernel and at the same time avoid modifications to the device driver code. The goal of the thesis is to minimize the performance penalty because of the communication between the domains. In the thesis, we explore opportunities to minimize the overhead of the communication module in the IDDR system.

Performance Improvement

The IDDR system is a re-implementation of the Xen's isolated driver domain. Even though the IDDR system provides better robustness for the operating system, it deteriorates the

performance. The reasons for the performance deterioration is due to the introduction of hypervisor, data copy overhead or overhead of communication between the domains.

Hypervisor Layer: The IDDR system uses Xen hypervisor as a virtualization platform. Xen hypervisor is a Type 1 hypervisor. A type 1 hypervisor runs directly on the host's hardware. It runs between the operating system and the hardware. In Xen, the hypervisor runs in ring 0, while guest OS runs in ring 1 and applications run in ring 3. For hardware access, the guest OS has to go through the hypervisor, which degrades the performance of the system

Copy Overhead: For data intensive operations such as read and write, the IDDR system transfers data between the hardware and the driver domain. It also transfers the same data between the driver domain and the application domain. The extra copy from the driver domain to the application domain is also one of the reasons for the performance degradation of the system.

Communication Channel Overhead: The IDDR system runs a device driver in a separate domain called the driver domain. The application domain and the driver domain communicate with each other in order to send requests and get responses from the device driver. The communication between domains add an overhead to the system performance. Our goal is to minimize the overhead during communication between the driver domain and the application domain.

3.2 Isolated Device Driver Properties

This section covers the properties of the base IDDR system. As we are explore the opportunities to improve the performance of the base IDDR system, it is necessary that these properties are not compromised.

Strong Isolation

One of the main properties of the IDDR system is strong isolation. The IDDR system adds an extra layer of isolation in the design which provides fault isolation between the kernel and the device driver. The strong isolation also adds the ability to manage device drivers independently, thus, increasing the availability of the system during maintenance of a device driver.

Compatibility and Transparency

The extension of existing OS structures usually results in a large number of broken applications. As a specific example, in the microkernel architecture the functional units of an OS were divided into discrete parts in order to achieve the isolation between the kernel components. As a result, the APIs visible to applications were changed [23], which broke the large number of applications. In order to provide compatibility with applications, many microkernel architectures provided an emulation layer [23] for the OSes. The IDDR system

maintains compatibility between existing device drivers and applications.

3.3 System Overview

Figure 3.1 presents the architectural overview of the modern operating system with a monolithic kernel and Figure 3.2 presents the architectural overview of the IDDR system.

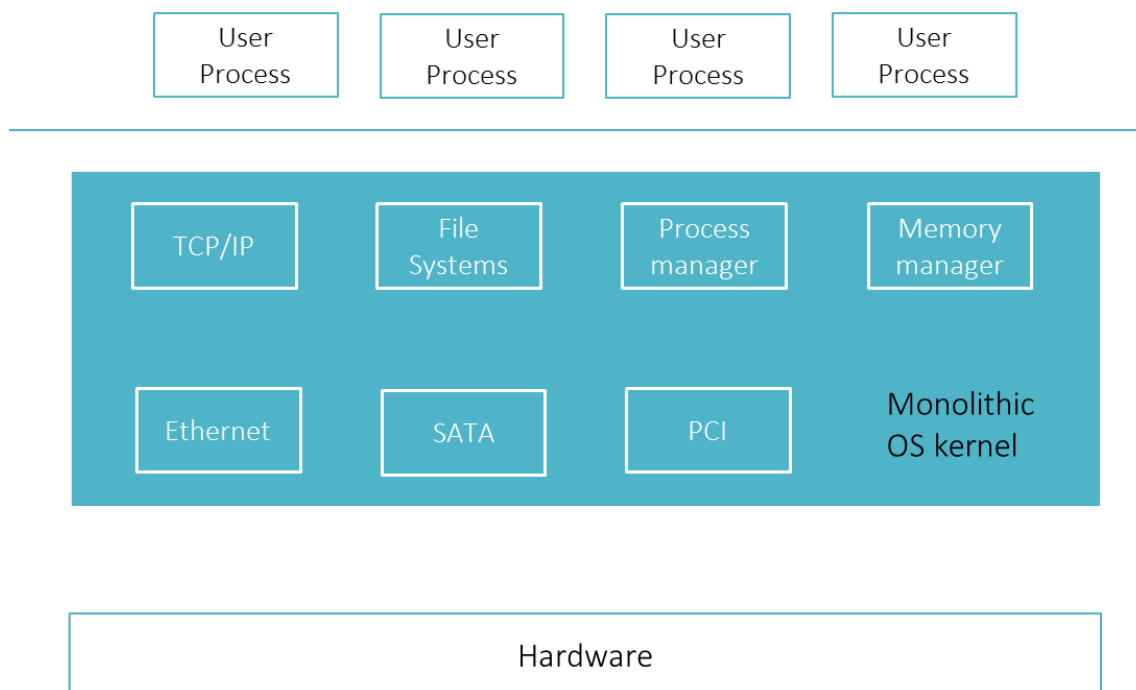


Figure 3.1: Architectural overview of a modern OS

The Figure 3.2 shows that the IDDR system partitions an existing kernel into multiple independent components. The user applications and Linux kernel run in a domain called

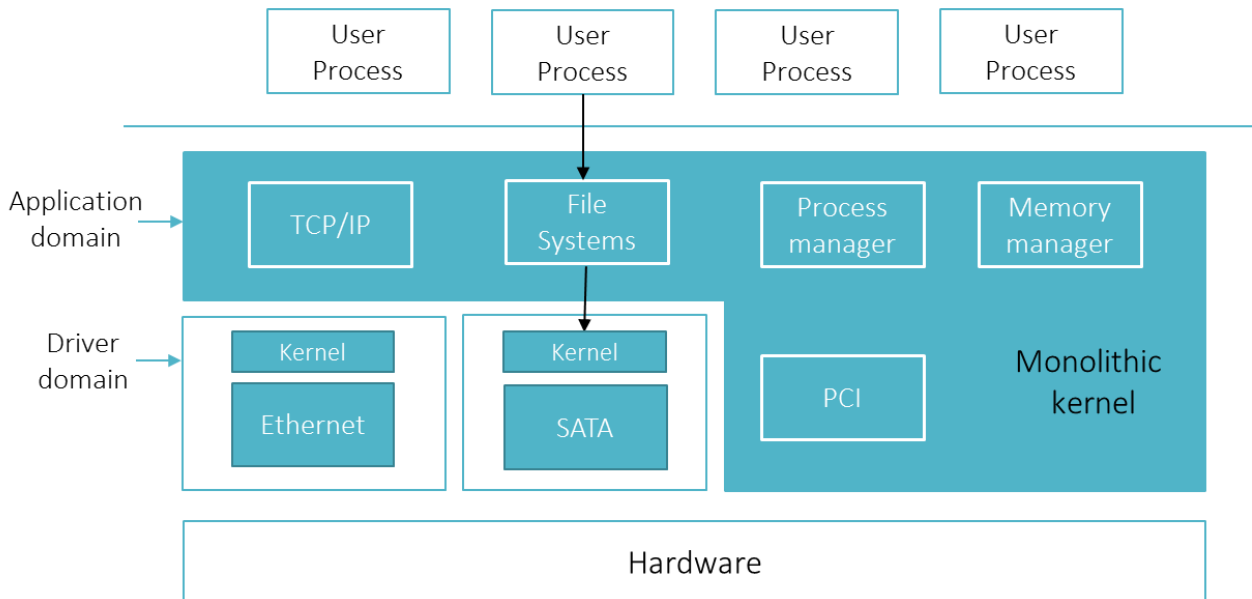


Figure 3.2: Architectural overview of the base IDDR system

the *application domain*. The device driver, which needs to be isolated from the kernel, executes in the separate domain called the *driver domain*. Multiple domains run on the same hardware with the help of a VMM. User applications or kernel components access the hardware through the driver domain.

As Section 5.1 describes, the goal of the IDDR system is to provide the isolation between the device driver and the kernel. However, a device driver is dependent on the kernel components such as a scheduler and memory management unit. In order to remove the dependency, the device driver runs closely with another instance of a kernel. Even though the dependency is removed, it is not possible to run multiple kernels over the common hardware without a virtual machine monitor. Thus, a VMM is introduced into the design to run multiple kernels

on a common hardware.

3.4 System Components

The section describes the 3 main components of the design - frontend driver, backend driver and communication module.

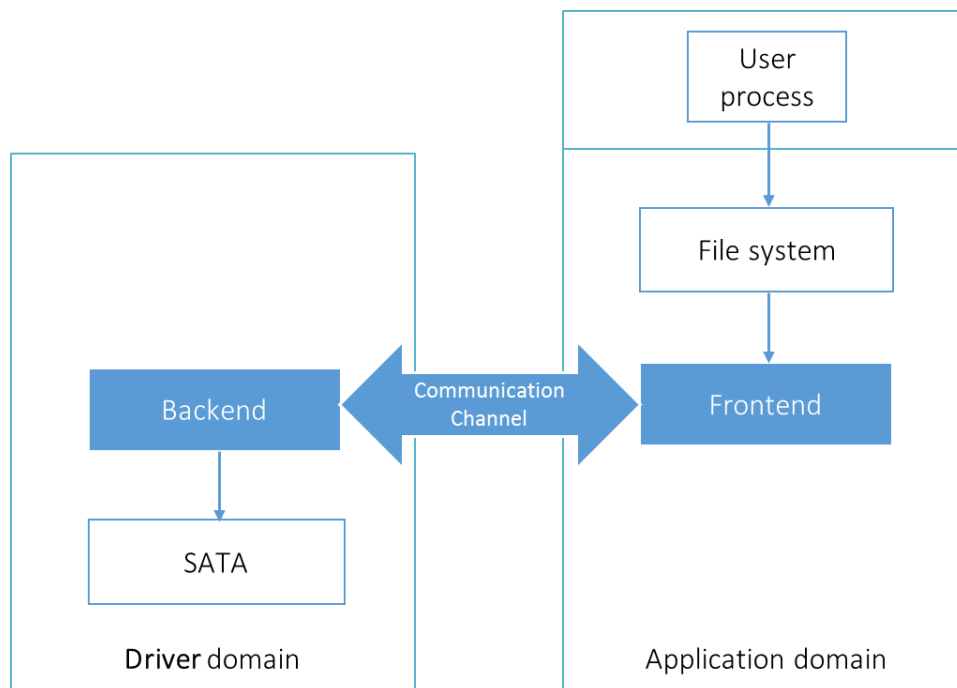


Figure 3.3: System Components

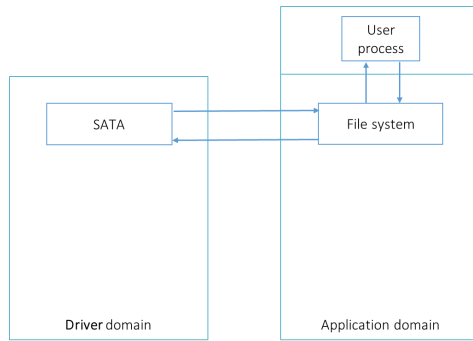
3.4.1 Frontend Driver

As mentioned earlier in Section 3.2, transparency and compatibility are the properties of the IDDR system, which requires us to avoid any changes to the kernel, as well as the device driver. In the IDDR system, the device driver runs in the driver domain and user applications run in the application domain. Without making any changes to the kernel or applications, it is not possible for applications to send requests to the driver in the driver domain, as user applications do not know about the isolated device driver. The IDDR system runs a piece of a code called the *frontend driver* in an application domain. The *frontend driver* acts as a substitute for the device driver. The main functionality of the *frontend driver* is to accept requests from user applications, process the requests, enqueue the requests for the driver domain and notify the driver domain. The *frontend driver* reads and processes the responses received from the driver domain and ends corresponding requests.

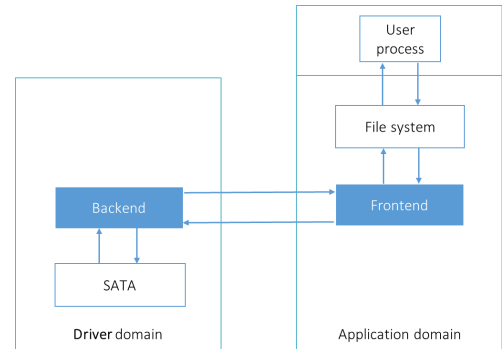
3.4.2 Backend Driver

In a Linux system, the device driver provides an interface to accept requests from user applications. However, the device driver is not capable of accepting the requests from applications running in a different domain. It cannot send responses back to the application domain without making any changes to the device driver code. In order to avoid making any changes to the device driver or the kernel, a piece of code called the *backend driver* runs in the driver domain. The responsibility of the *backend driver* is to accept requests from the application

domain and forward them to the device driver. The *backend driver* sends the responses and notifies the application domain after receiving the responses from the device driver.



(a) Conceptual design of the driver domain



(b) Backend and frontend driver

Figure 3.4: Role of the frontend and the backend driver

3.4.3 Communication Module

The communication module is the communication channel between the *frontend driver* and the *backend driver*. Unlike the *backend driver* and the *frontend driver*, the communication module is not a separate physical entity or a kernel module. It exists in the *frontend driver* and the *backend driver*. The communication channel is logically divided into three parts.

1. The responsibility of the first part is to share the requests and responses between the driver domain and the application domain.
2. The responsibility of the second part is to share the data of read/write requests/responses.

3. The responsibility of the third part is to notify the domain upon the occurrence of a particular event.

Figure 3.5 illustrates the role of the communication model.

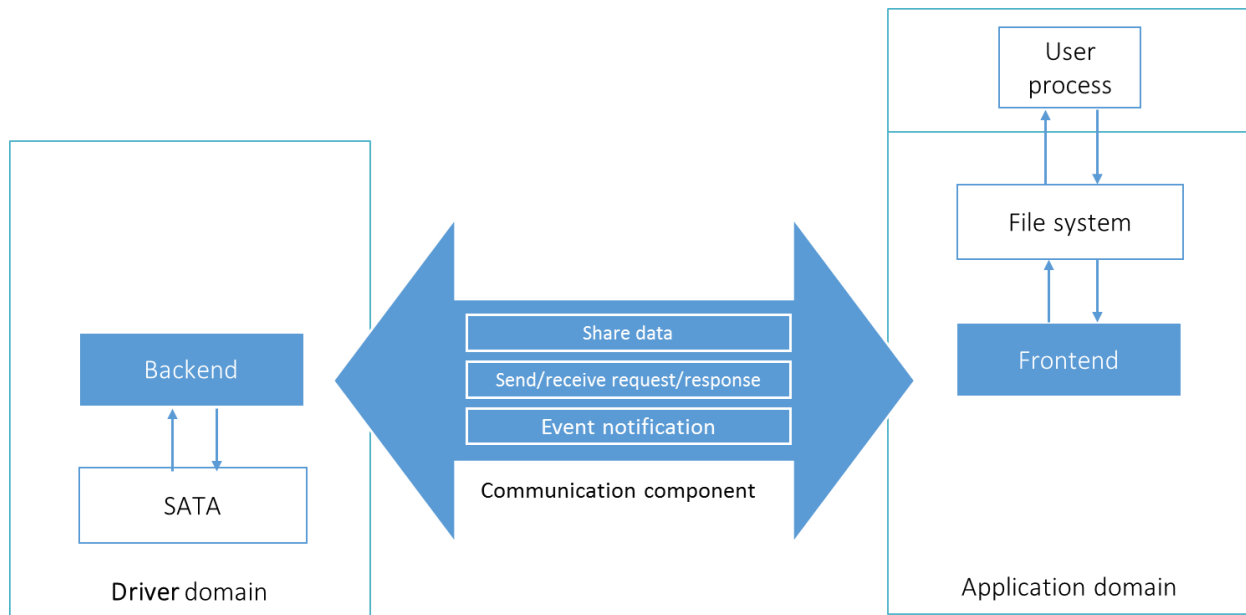


Figure 3.5: Communication Module

3.5 System Design

The following section describes the design of the base IDDR system and the new IDDR system.

3.5.1 Communication Module

Base IDDR System Design: In the base IDDR system, the frontend driver submits requests to the communication module. The communication module copies data of the write requests in a shared memory. The communication module is responsible for the allocation and de-allocation of the shared memory. Once the sufficient number of requests are submitted by the frontend driver, the communication channel shares the requests with the backend driver. It notifies the backend driver that requests are available in a shared request queue.

Spinlock Based IDDR System: As Section 3.5.1 describes, in the base IDDR system, the communication module notifies the backend driver about the availability of requests in the shared queue. A software interrupt is sent to the domain as a notification. Each software interrupt which is sent for the availability of requests, causes the hypervisor to schedule the driver domain. Similarly, a software interrupt, which notifies the availability of responses, causes the hypervisor to schedule the application domain. The scheduling of the driver domain and the application domain might result in a context switch.

In order to avoid the context switch, we run an intermediate thread in the frontend driver and an intermediate thread in the backend driver. Both these threads spin for the availability of requests and responses in the shared queue. The intermediate threads delegate the responsibility of the notifications from the communication module to the frontend driver and backend driver.

3.5.2 Frontend Driver

Base IDDR System Design: In the IDDR system, the frontend driver provides an interface to accept requests from a user application on behalf of the device driver. As explained in Section 2.4, each block device driver has a separate request queue to accept requests from a user application. Similar to the block device drivers, the frontend driver also creates an individualized request queue for each device to accept requests from a user application. If sufficient requests are received, the frontend driver flushes the requests to the communication channel. The frontend driver receives a software interrupt upon availability of responses in the shared queue. The frontend driver handles the software interrupt by reading data from the shared memory and ending the request in case of a read operation. Otherwise it ends the request without accessing the shared memory.

New IDDR System Design: As explained in Section 3.5.1, we introduce an intermediate thread to read responses from the shared queue. The intermediate thread spins for responses. Upon availability of a response, the thread reads the response and ends the corresponding request. If the corresponding request is a read request, then the thread reads the shared data too. However, there still exists an intra-domain context switch between the frontend driver's main thread and the intermediate thread. The main thread is responsible for reading the requests from the frontend driver request queue, and flushing them to the communication channel. The main thread context switches to the intermediate thread, which spins for the responses.

To avoid the intra-domain context switch, we introduce a spinlock in the frontend driver. In the frontend driver, the main thread spins for responses for a short time. If a response is available, then similar to intermediate thread, the main thread reads shared data and the response and ends the corresponding request. However, in case of unavailability of a response, the main thread proceeds to read the new requests from the frontend driver request queue and the intermediate thread checks for the responses.

3.5.3 Backend Driver

Base IDDR System Design: In the base IDDR system, the backend driver receives a software interrupt from the frontend driver. In the software interrupt handler, the backend driver forwards requests to the device driver. Upon completion of requests, the backend driver reads responses and puts them in the shared queue. It also copies read operation data to the shared memory.

New IDDR system Design: As explained in Section 3.5.1, we introduce an intermediate thread to read requests from the shared memory. The intermediate thread spins for requests and upon availability of a request, it forwards the request to the device driver for execution. The backend driver reads the response from the device driver and shares it in the shared queue.

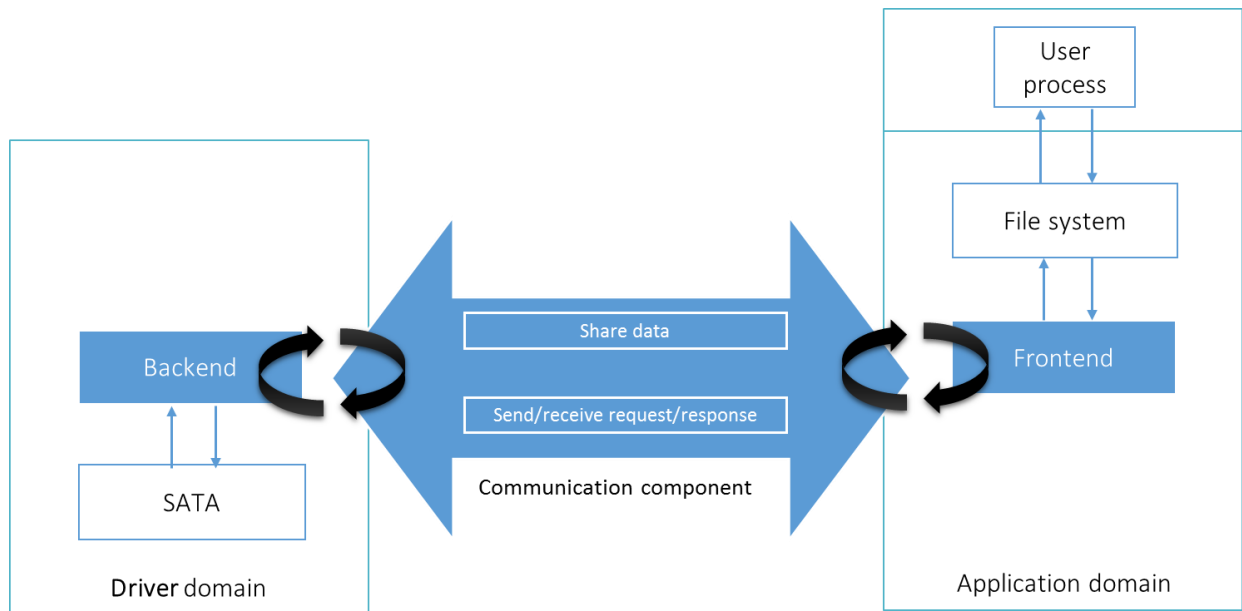


Figure 3.6: Spinlock based new IDDR system

Chapter 4

Implementation

This chapter describes the specific implementation details of the base IDDR system and the new IDDR system.

4.1 Implementation Overview

We implemented the IDDR system with Linux kernel 3.5.0 and Xen hypervisor 4.2.1. In this implementation, we isolate the block device driver from the Linux kernel. The application domain and the driver domain run the same Linux kernel in the IDDR system. The Table 4.1 and Table 4.2 summarize our implementation efforts of the base IDDR system and the new IDDR system respectively.

The IDDR system implementation did not require any changes to the device driver code.

Table 4.1: The base IDDR system implementation efforts.

Component	Number of Lines
Linux Kernel	6
Xen	252
Front-end Driver	611
Back-end Driver	692
Total	1561

Table 4.2: The new IDDR system implementation efforts.

Component	Number of Lines
Linux Kernel	6
Xen	252
Front-end Driver	712
Back-end Driver	752
Total	1722

However, we did make a small number of changes to the Xen and Linux kernel to implement a hypercall.

4.2 Implementation

Figure 4.1 shows the implementation overview of the new IDDR system.

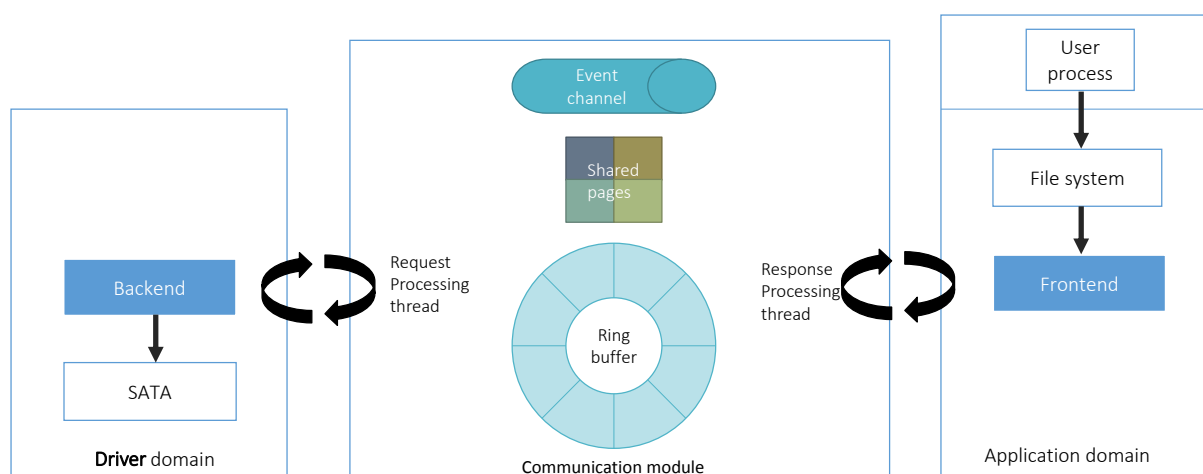


Figure 4.1: Implementation overview of the new IDDR system

4.2.1 Communication Module

The most important component in the IDDR system implementation is the communication module. This section describes the implementation details of the communication module of

the base IDDR system and the new IDDR system.

The base IDDR system

As Section 3.4.3 describes, the role of the communication module in the base IDDR system is to:

1. Share requests and responses between the driver domain and the application domain
2. Share data of read/write requests/responses
3. Notify the domain upon the availability of requests and responses

Shared Request and Response Queue: In order to implement the first role of the communication module, we use the ring buffer mechanism provided by Xen. The ring buffer is a shared I/O ring explained in section 2.6.2. The part of the ring buffer which shares requests is called the *shared request queue*, and the part of the ring buffer which shares responses is called the *shared response queue*. We divide the ring buffer into the front ring and the back ring. The IDDR system uses the front ring as the shared request queue and the back ring as the shared response queue.

The IDDR system allocates the ring buffer in the initialization stage of the communication module and initializes the ring buffer as the front ring in the application domain. Whenever the frontend driver receives a request from an application in the application domain, the frontend driver removes the request from the device driver queue and submits it to the

communication module. The communication module checks for a free space in the shared request queue, and if available, it allocates the space for the new request. After batching sufficient requests together, the communication module pushes all requests to the shared request queue.

Shared Memory for Read/Write Data: The ring buffer cannot hold the data of read responses and write requests. We use the ring buffer only to share requests and responses. In order to share actual data we use shared pages.

As explained in Section 2.6.2, a grant table is used for sharing memory between domains. We use a grant table to share memory between the application domain and the driver domain.

The frontend driver removes the request from the device driver queue in the application domain, and forwards it to the communication module. The communication module allocates shared memory required to read the data from the backend driver, or to write the data to backend. The communication module then grants access to the driver domain. As the driver domain now has access to the shared memory, the backend driver can access the data from the shared memory.

Event Notification: An event channel is a mechanism provided by Xen hypervisor for event notification. The communication module in the base IDDR system uses event channel to send notifications between the domains to notify the availability of requests and responses in the shared request queue and the shared response queue.

We create a new event channel in the initialization stage of the communication module in the application domain and connect to the same event channel in the initialization stage of the communication module in the driver domain. We attach an interrupt handle routine for the event channel in both the application and driver domain. The interrupt handler routine in the application domain reads responses from the shared response queue and forwards them to the frontend driver. The interrupt handler routine in the driver domain reads requests from the shared request queue and forwards them to the backend driver.

New IDDR System

As Section 3.4.3 describes, the role of the communication module in the new IDDR system is to:

1. Share requests and responses between the driver domain and the application domain
2. Share the data of read/write requests/responses
3. Wake up the read request thread and the read response thread

Shared Request and Response Queue: Similar to the base IDDR system, the communication module uses a ring buffer as the shared request and response queue.

Shared Memory for Read/Write Data: Similar to the base IDDR system, the communication module uses a grant table to share an allocated memory between the application

domain and the driver domain.

Threads and Event Notification: In order to improve the performance of the IDDR system, we implement the communication module where a thread in the frontend driver spins for the availability of responses, and a thread in the backend driver spins for the availability of requests. In case of unavailability of requests and responses, both threads go to sleep.

The new IDDR system uses an event channel to wake the read request thread sleeping in the application domain. The wake up signal is sent in the form of an event channel notification from the driver domain to the application domain. Similarly, to wake the read response thread sleeping in the driver domain, an event channel notification is sent from the application domain to the driver domain.

- **Read response thread in the application domain:** In the new IDDR system we create a kernel thread during an initialization stage of the communication module in the application domain. This new kernel thread is called the *read response thread*. The *read response thread* spins to check if responses are available in the shared response queue. If a response is available, it reads the response from the shared response queue. However, if a response is not available in the shared response queue, after spinning for some time the thread goes into a sleep state. We maintain the status of the thread as **SLEEPING** or **RUNNING** in the shared data structure. We use an atomic variable to save the state of the thread, avoiding race conditions.

Obviously, a thread shouldn't sleep unless it is assured that somebody else, somewhere, will wake it up. The code doing the waking up must also be able to identify the thread to be able to do its job. We use a Linux data structure called `wait queue` to find the sleeping thread. Wait queue is a list of threads, all waiting for a specific event[36, 10]. We initialize the wait queue for the read response thread during an initialization stage of the communication module in the application domain. The read response thread sleeps in the wait queue, waiting for a flag denoting the availability of the response to be set. The communication module in the driver domain checks the status of the read response thread after pushing responses on the shared response queue. If the status is `SLEEPING` then it sends a software interrupt through the event channel.

Similar to the base IDDR system, we create a new event channel in the initialization stage of the communication module in the application domain. We attach an interrupt handler routine for the event channel in the application domain. In the interrupt handler, the communication module wakes up the read response thread if it is sleeping.

- **Read request thread in the driver domain:** In the new IDDR system, we create a kernel thread during an initialization stage of the communication module in the driver domain. This new kernel thread is called the *read request thread*. The *read request thread* spins to check if requests are available in the shared request queue. If a request is available, it reads the request from the shared request queue. However, if a request is not available in the shared request queue, the thread goes into a sleep state after spinning for some time (adaptive spinning). Similar to the read response thread, we

maintain the status of the thread as **SLEEPING** or **RUNNING** as a atomic variable in the shared data structure.

We initialize a wait queue for the read request thread during an initialization stage of the communication module in the driver domain. The read request thread sleeps in the wait queue, waiting for a flag denoting availability of the request to be set. The communication module in the application domain checks the status of the read request thread after pushing requests on the shared request queue. If the status is **SLEEPING** then it sends a software interrupt through the event channel.

Similar to the base IDDR system, the driver domain connects to the event channel created by the application domain in the initialization stage of the communication module. We attach an interrupt handler routine for the event channel in the application domain. In the interrupt handler, the communication module wakes up the read request thread if it is sleeping.

4.2.2 Application Domain

Application domain is the domain running user applications and the Linux kernel. In a Linux system, usually a user process sends the read write request to a file system, which sends the read and write request to the block device driver. The block device driver serves the request and sends back a response to the file system, which then sends the response to the user process.

In the IDDR system implementation, a block device runs separately in the driver domain. When a user process sends a request to a file system, the file system needs to forward the request to the driver domain. Like explained in Section 3.4.1, in the IDDR system, a piece of code called the frontend driver forwards the request to the driver domain running the block device driver.

Base IDDR System

The core responsibility of the frontend driver in the base IDDR system is to:

1. Provide an interface which appears as a block device to the upper layer in the stack
2. Accept a request from the upper layer
3. Create a corresponding new request which can be understood by the driver domain
4. End the request after reading the response

Implementation details of the frontend driver can be split into 3 stages:

1. Initialization
2. Submit request to the communication module
3. End request

Initialization During the initialization, the frontend driver creates a separate interface for each block device. The interface for each block device is associated with a device driver queue. Read and write requests issued on the interface get queued in this device driver queue.

Dequeue and Submit Request: The frontend driver removes the request submitted to the driver interface and converts the request into a request structure, which is understood by the backend driver. The new request structure points to the shared memory allocated for the read/write data by the communication module. The frontend driver then forwards the newly created request to the communication module, which also shares the request with the backend driver.

End Request: We maintain a shadow table of all requests which were recieved in the device driver queue. The shadow table is a table which contains an entry of all the requests received. We implement the shadow table as a circular array of the requests. We maintain an ID for each request. The backend driver copies this ID into the corresponding response. The ID is used for mapping the response to the request in the shadow table. When a response is read by the communication module, it forwards the response to the frontend driver. The frontend driver searches the corresponding request in the shadow table, and ends it.

New IDDR System

The core responsibility of the frontend driver in the new IDDR system is to:

1. Provide an interface for each block device
2. Accept a request from the upper layer
3. Create a corresponding new request which can be understood by the driver domain
4. Spin for a short time while waiting for the response
5. End the request

Implementation details of the frontend driver is split into 4 stages:

1. Initialization
2. Submit request to the communication module
3. Spinning the main thread
4. End request

Initialization: Similar to the base IDDR system, during the initialization process the frontend driver creates an interface for each block device.

Dequeue and Submit Request: Similar to the base IDDR system, the frontend driver removes the request submitted to the driver interface and converts it into the request structure with a data pointer pointing to the shared memory. The frontend driver then forwards the newly created request to the communication module, which also shares the request with the backend driver.

Spinning The Main Thread: In the new IDDR system, to avoid the intra-domain context switch between the read response thread and the main frontend driver thread, the main frontend driver thread spins for a short time and checks the availability of a response. If the response is available then the frontend driver main thread reads the response and proceeds to end the request. Otherwise it continues to remove the request from the device driver queue.

End Request: Similar to the base IDDR system, we maintain a shadow table of all requests received in the frontend driver request queue. The shadow table is used for ending the corresponding request of the read response.

4.2.3 Driver Domain

The IDDR system runs a block device driver in the driver domain. Like explained in Section 3.4.2, a piece of code called the backend driver runs in the driver domain, which accepts requests from the application domain and forwards requests to the device driver. Upon re-

ceiving a response from the device driver, the backend driver sends back the response to the communication module.

Backend Driver

The role of the backend driver in the IDDR system is to:

1. Read a request through the communication module and convert it to a bio request
2. Accept a response from the block device driver
3. Forward the response to the communication module

Implementation details of the backend driver can be split into 2 stages.

1. Convert a request to the bio request.
2. Make a response.

Convert a Request to Bio

The backend driver converts a request that is shared through the communication module into a bio request, so that the block device understands the request. In order to make the bio request, pages from the shared memory are mapped and inserted into the bio structure and required information is copied from the shared request into the bio structure. At the end, the newly created bio request is sent to the lower layer for execution. Once the bio request execution is completed, the system calls a callback function.

Make a Response and Enqueue

Irrespective of the success or failure of execution of a bio request, the backend driver makes a response in the callback function. In this callback function, the result of the bio execution and a request ID is copied into a newly allocated response structure. The request ID is used as an index in the shadow table to map a response and a request. The communication module pushes the response into the shared response queue.

Chapter 5

Evaluation

The IDDR implementation uses the `Linux kernel 3.5.0` for both application domain and driver domain. We test the IDDR system it with Arch Linux on `x86_64` platform. The specification of the system used for evaluation is presented in the table 5.1.

5.1 Goals

The goals of the IDDR system evaluation are:

1. Comparison of the Xen's isolated driver domain with the base IDDR system.

The goal of this thesis is to explore the performance improvement opportunity in the Xen's isolated driver domain and implement it. However, the source code for the isolated driver domain is not available in the open source Xen hypervisor. As a result,

Table 5.1: Hardware specifications of the system

System Parameter	Configuration
Processor	1 X Quad-code AMD Opteron(tm) Processor 2380, 2.49 Ghz
Number of cores	4 per processor
Hyperthreading	OFF
L1 L2 cache	64K/512K per core
L3 cache	6144K
Main memory	16Gb
Storage	SATA, HDD 7200RPM

we re-implement the isolated driver domain and call it the base Isolated Device Driver (IDDR) system. We implement the spinlock based communication channel over the base IDDR system and refer to it as the new IDDR system.

In order to prove the performance improvement of the new IDDR system over the base IDDR system and the Xen's isolated driver domain, it is necessary to compare the performance of the Xen's isolated driver domain with the base IDDR system. The Xen's isolated driver domain follows split device driver architecture, which has gone over decade for the performance testing. We achieve this evaluation goal by comparing the performance of the base IDDR system with the Xen split device driver. The comparison of both shows that the performance of the IDDR system matches the performance of

the Xen's isolated driver domain. Hence, proves that our implementation provides a suitable baseline for the performance improvement.

2. an evaluation of IDDR performance improvement over base IDDR system,

The second goal of the evaluation is to prove that the spinlock based communication channel improves the performance of the inter-domain communication and hence the IDDR system.

We achieve this evaluation goal by comparing the performance of the base IDDR system with the new IDDR system. The comparison shows that the new IDDR system performs better than the base IDDR system and the Xen's isolated driver domain.

5.2 Methodology

In order to measure the performance of the system, we run performance tests against the variety of block devices. In a Linux system, a loop device is a device that makes a file accessible as a block device. A ramdisk is a block of a memory, which acts as a disk drive. In order to cover a variety of the devices we use block devices such as SATA disk, ramdisk and loop device for the performance testing.

For the performance tests, we format the block device with the ext2 file system, and run the fileIO SysBench benchmark [2] on it. SysBench is a multi-threaded benchmark tool for evaluating a system. It evaluates the system performance without installing a database

or without setting up complex database benchmarks. Sysbench benchmark has different test modes. FileIO is one of the test mode which can be used to produce various file I/O workloads. SysBench can run a specified number of threads by executing all requests in parallel. Sysbench benchmark in fileIO test mode generates 128 files with 1Gb of total data and performs random reads, random writes and mix of random read-writes with a block size of 16Kb.

5.3 The Xen Split Device Driver vs The Base IDDR System

As per our first goal of evaluation mentioned in Section 5.1, we compare the base IDDR with the Xen split device driver. In this comparison, we show that the performance of the base IDDR system matches the Xen's isolated driver domain. This verifies the baseline performance of the IDDR system.

Experimental Setup

Xen Split Device Driver

We create a ramdisk in the domain 0. The guest domain (domain U) is configured such that the ramdisk uses a split device driver and it is available in the guest domain. We do a similar setup for the loop device and the SATA disk. For example, in case of loop device,

we create a loop device in domain 0 and then configure the guest domain to use the loop device as a disk. In case of SATA disk, we configure the guest domain to use SATA disk as a secondary disk. We format and mount the disk in the guest domain with the ext2 file system. Sysbench benchmark is run on the mounted partition as explained in section 5.1.

Base IDDR System

In a Xen hypervisor, the domain 0 always runs as a PV guest and in our setup we run the domain U as a HVM guest.

In Xen, paravirtualized guest is a guest which is aware of the VMM and require special ported kernel to run efficiently without emulation or virtual emulated hardware. Paravirtualization does not require virtualization extensions from the host CPU.

On the other hand, fully virtualized or hardware virtual machine (HVM) guest require CPU virtualization extensions such as Intel VT, AMD-V. The Xen uses modified version of Qemu to emulate hardware for HVM guests. CPU virtualization extensions are used to boost performance of the emulation. A fully virtualized guest does not require special kernel. In order to boost the performance, fully virtualized HVM guest uses special paravirtual device drivers and bypasses the emulation for disk and network IO.

A HVM guest is expected to have less syscall overhead and faster memory bandwidth than a PV guest. In the Xen split device driver setup, the backend device driver runs in the domain 0 and the frontend device driver runs in a domain U. In order to compare the Xen split

device driver and the base IDDR system, it is necessary to run the backend driver of the IDDR system in the domain 0 and the frontend driver of the IDDR system in the domain U.

We insert a ramdisk and the base IDDR system's frontend module in the domain 0. The base IDDR system's backend module is inserted in the guest domain (domain U). We format and mount the ramdisk with ext2 file system and sysbench benchmark is run on it.

Similar setup is used for a loop device and a SATA disk.

Comparison

Figure 5.1a shows the performance of random reads-writes on a ramdisk, and Figure 5.1b shows the performance of random reads on a loop device. Both systems provide roughly similar performance. The figures show that the performance of the IDDR matches the performance of the Xen's isolated driver domain. Therefore, our implementation of the Xen's isolated driver domain provides suitable baseline for the performance improvement.

5.4 Base IDDR System vs New IDDR System

We measure and compare the performance of the base IDDR system with the new IDDR system. We run the fileIO sysbench benchmark with random reads, random writes and mixed random reads-writes.

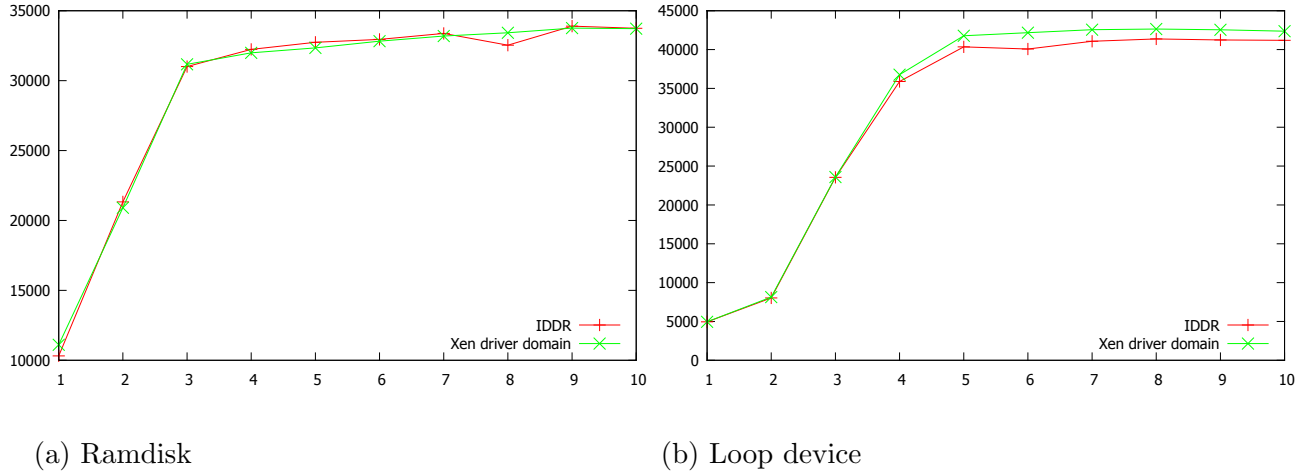


Figure 5.1: Base IDDR system vs Xen split driver

Experimental Setup

In the base IDDR system and the new IDDR system, the domain 0 is an application domain, and a domain U is the driver domain. A ramdisk is created in the driver domain. The backend driver is inserted in the driver domain and the frontend driver is inserted in the application domain. The disk is formatted and mounted with ext2 file system in the application domain. Sysbench benchmark is run on the mounted partition.

For loop device a similar setup is used, we create a loop device in a driver domain, and insert the backend driver in the driver domain. The frontend driver is inserted in the application domain. For a SATA disk, we pass-through the SATA disk to the driver domain, so that the driver domain can directly access the SATA disk.

Random reads and writes

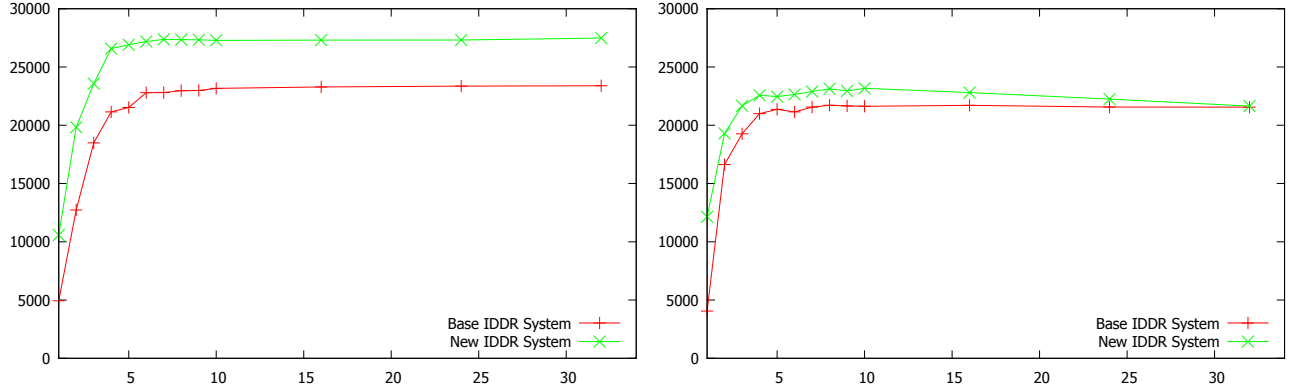
Comparison: Figure 5.2 compares the performance of the base IDDR and the new IDDR with random reads-writes on ramdisk. Figure 5.3 compares the performance of the base IDDR and the new IDDR with random reads and writes on a loop device.

The Figure 5.2a and Figure 5.3a shows that the new IDDR system performs better when data is read from the device randomly.

The Figure 5.2b and Figure ?? compare the performance of the device when data is written randomly on the device. The graph shows that initially the new IDDR system performs better than the base IDDR system, but as number of sysbench threads increases, the throughput of the new IDDR system decrease.

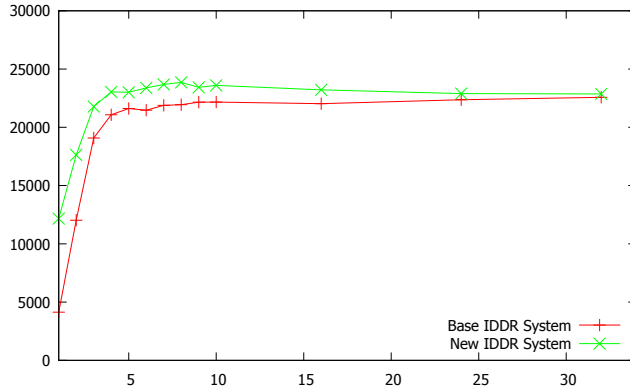
Similarly, since the Figure 5.2c and Figure 5.3c compares the mix random read and write performance. Since throughput of the system is low when data is written, most of the time is spent in writing the data. And performance of the system is dominated by the writes. Both graphs show that the graph curve in case of mix random reads and writes is similar to random writes.

Observation: In the base IDDR system, initially the throughput increases and then it remains constant. When the no of sysbench threads are less, the work overload is less and hence the throughput is low. However, as workload increase, the throughput also increase and after certain value, it remains constant.



(a) Random reads

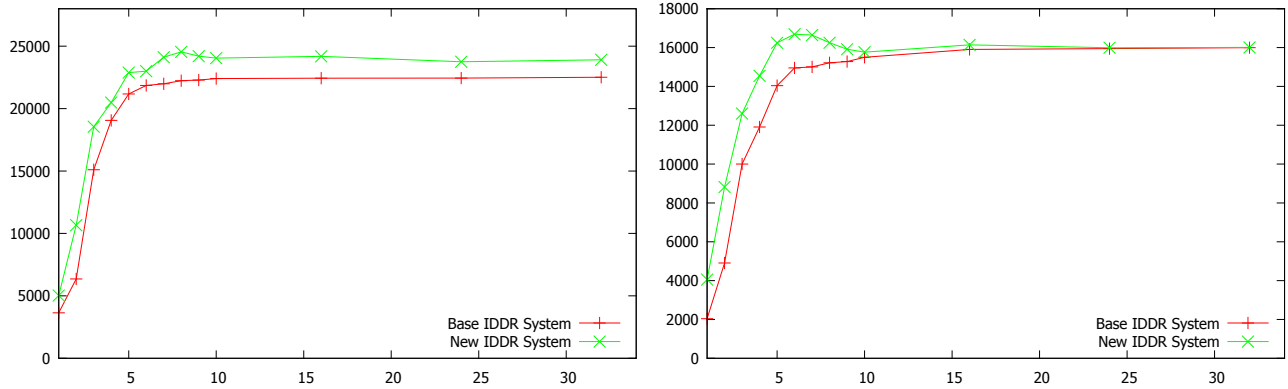
(b) Random writes



(c) Random reads writes

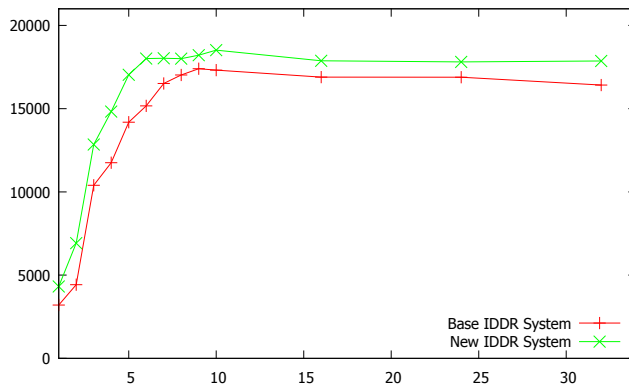
Figure 5.2: Random reads and writes on a Ramdisk

In the new IDDR system, initially the throughput increases as the number of sysbench threads increases. But in new IDDR system, the read response and read request thread continuously spin in case of heavy workload, and hence require dedicated CPU per thread. The base IDDR system does not require the dedicated CPU to spin a thread. This is the reason why the throughput of new IDDR system doesn't remain constant with heavy workload.



(a) Random reads

(b) Random writes



(c) Random reads writes

Figure 5.3: Random reads and writes on a Loop device

Sequential reads and writes:

Comparison: Figure 5.4 compares the performance of the base IDDR and the new IDDR when data is read and written sequentially on a ramdisk. Figure 5.5 compares the performance of the base IDDR and the new IDDR when data is read and written sequentially on a loop device.

The Figure 5.4a and Figure 5.5a compares the throughput, when the device is read sequentially. The graphs show that the new IDDR system performs better than the base IDDR system when the workload is not heavy. As the workload increases, the performance of the new IDDR system decreases drastically. WHY ???? ADD A REASON //TODO

The Figure 5.4b and Figure 5.5b compares the throughput of the base and new IDDR system, when the data is written on the device sequentially. The figure shows that the new IDDR systems performs better than the base IDDR system. However, the throughput improvement drops significantly as number of sysbench threads increases.

The Figure 5.4c and Figure ?? compares the throughput of the base and new IDDR system, when mixed read and writes are fired on the device sequentially. As the writing takes more time, the output is dominated by the throughput performance of the write requests. Hence, the curve is similar to the Figure 5.4b and Figure 5.4b.

Observation:

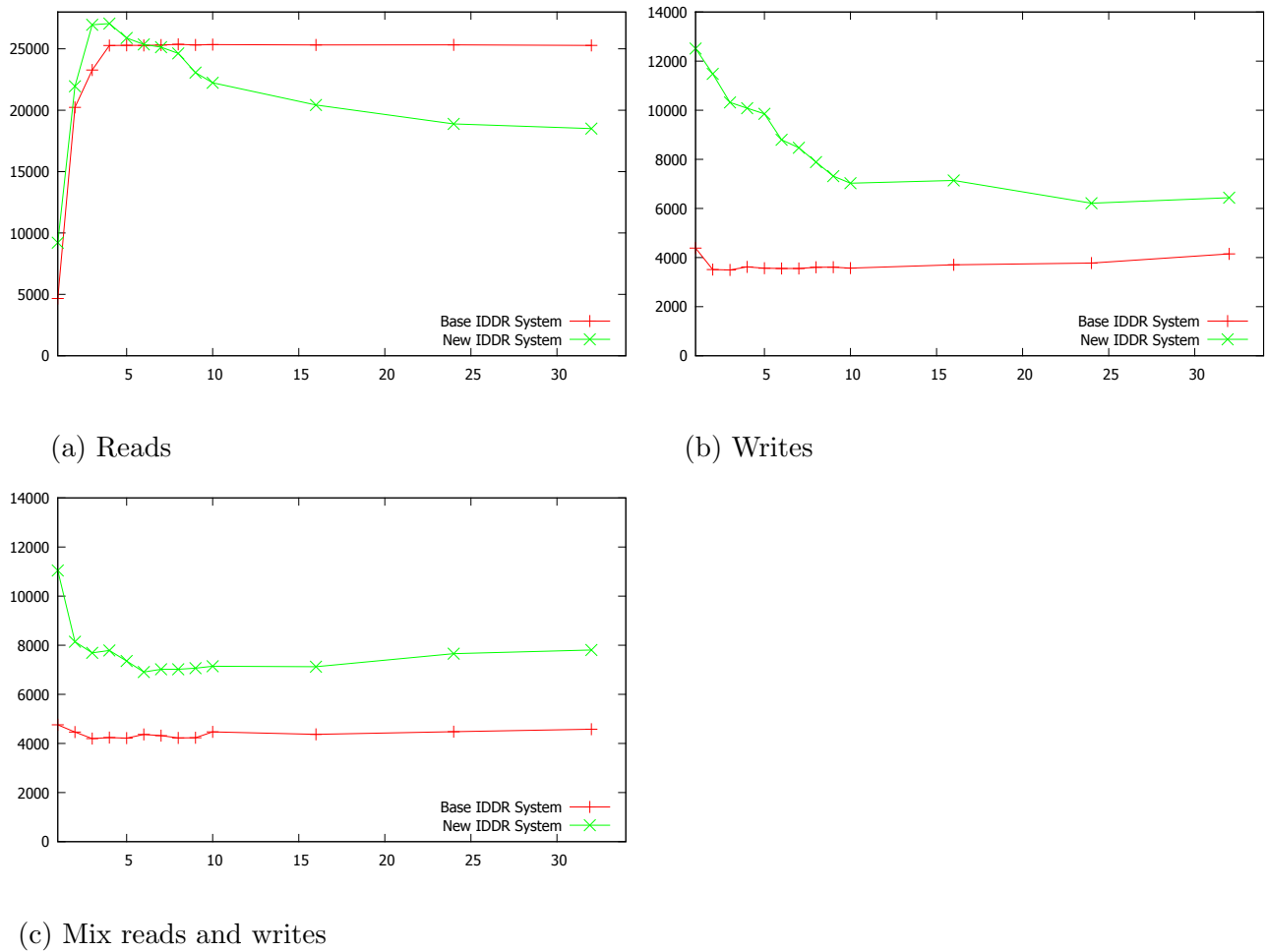


Figure 5.4: Sequential reads and writes on a Ramdisk

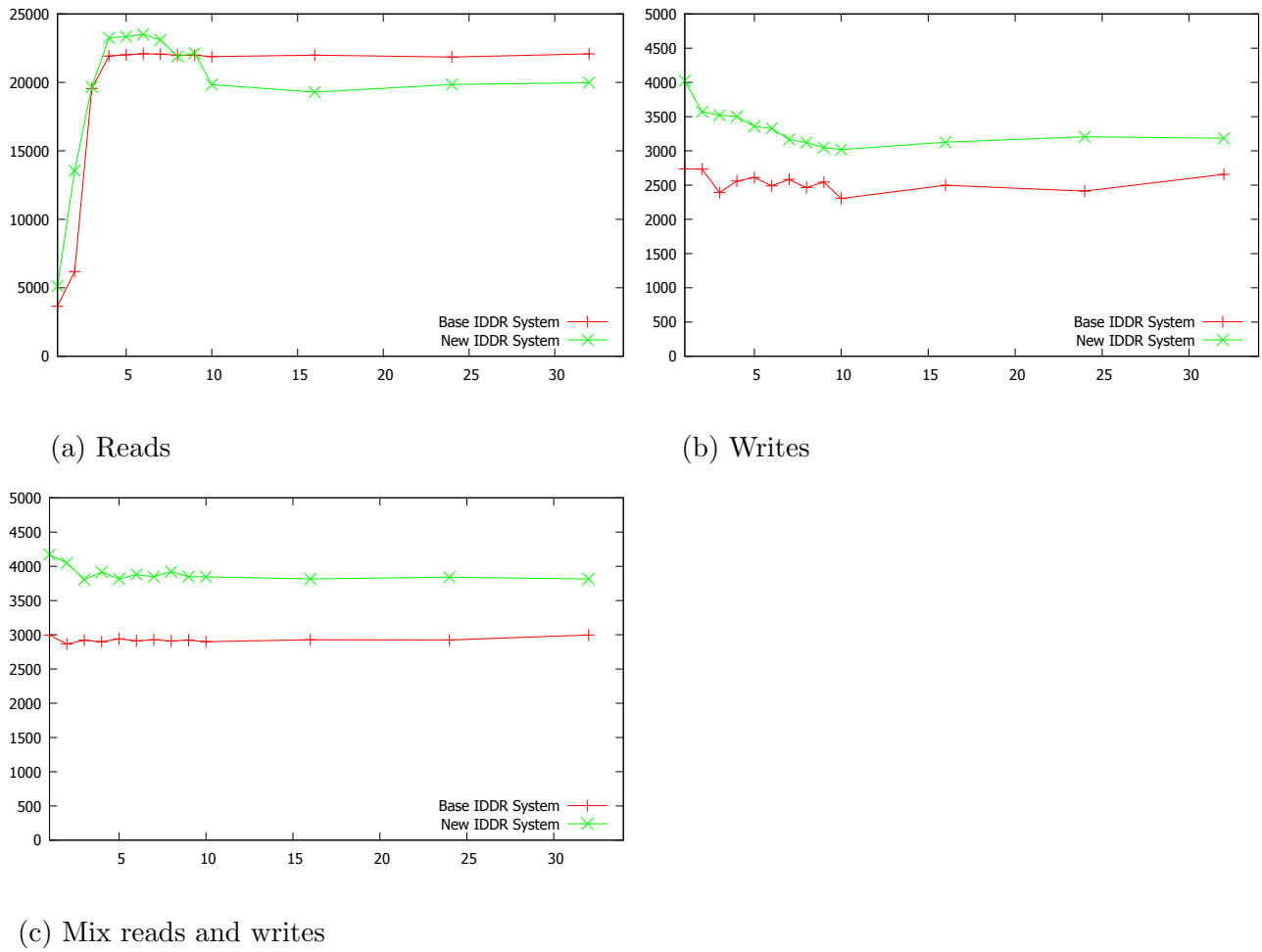


Figure 5.5: Sequential reads and writes on a Loop device

Chapter 6

Related Work

This chapter briefly discusses about the work closely related to the IDDR System. Since our work is divided into two parts: 1. Implementation of the Driver Domain to improve the robustness of the system 2. Performance improvement of the inter-domain communication, this chapter is divided into two section. Section ?? discusses the work which improves the robustness of the system and Section ?? discusses the different work which concentrates on improving the inter-domain communication.

6.1 Reliability of the System

The goal of the baseline implementation of the IDDR system is to increase the robustness of the operating system. In this section we describe research in the operating systems area which focuses on increasing the robustness of the system.

6.1.1 Driver Protection Approches

A faulty device driver causes significant number of failures in the Linux kernel [39, ?]. In the past research in area of user-mode driver frameworks, hardware-based driver isolation and language-based driver isolation has contributed to isolate device drivers.

There have been several attempts to run device driver code from in the user-mode. These frameworks allow user-level programming and good fault isolation. However, they suffer from poor performance [8]. They also require re-writing of the existing device drivers, and lacks compatibility [27]. In order to achieve the good performance and compatibility, Microdrivers [19] split a device driver into two parts. In Microdrivers, Performance critical operations of the device driver runs in the kernel and the rest of the driver code runs in a user-mode process.

Nooks [38] maintains the monolithic kernel structure, and focuses on making device drivers less vulnerable. Nooks creates a lightweight protection domain around each device driver. The domain is created by wrapping a layer of protective software around a device driver.

The wrapper monitors all interactions between the driver and the kernel. It protects the kernel from a faulty device driver. Nooks requires device drivers to be modified as their interaction with the kernel changes.

SUD [11] uses IOMMU hardware to safely isolate malicious device drivers by running them in Linux processes. It runs unmodified Linux device drivers, by emulating a Linux kernel environment in user-space. A faulty device driver hence cannot compromise the rest of the system.

Virtualization Based Approches

Xen isolated driver domain [18] is a device driver isolation architecture presented by Xen. The isolated driver driver allows unmodied device drivers to be run in a separate domain and shared across operating system instances. Isolated driver domain protects individual OSs and the system, from driver failure. The base IDDR system is the re-implementation of the Xen isolated driver domain.

LeVesseur et. al. [28] presents a system to reuse unmodified device drivers and improve system realibility using virtual machines. A unmodified device driver is run with a kernel, in a separate virtual machine. The main goal of this system is to reuse the device driver across different operating systems. However, the system isolates faults caused by a device driver by running a device driver in separate virtual machine.

Other Approches

kernel based: Some systems provide a better fault containment by disintegrating the kernel functionality as system components. Microkernels are an example of this approach. Microkernels provide fault containment by isolating the system components. In microkernels Mach [6] and L4 [30], only essential functionalities like memory management, interprocess communication, scheduling and low level device drivers are implemented in the kernel and remaining system components like file system, process management are implemented as a user process.

Some of the recent work also shows the similarity in the abstraction provided by the hypervisor and microkernel. For example, Microvisor [24] is a kernel that satisfies the combined objectives of microkernels and hypervisors. Microvisor provides an abstraction of a virtual machine, where a guest OS schedule activities on one or more VCPUs.

Dune is a system that provides an application a direct and safe access to the hardware features such as page tables, tagged TLBs and ring protection. It uses virtualization hardware to isolate applications from each other. Dune delivers hardware interrupts directly to applications to improve the signal delivery performance. However, Dune does not isolate device drivers from each other.

6.2 Inter-domain Communication

In the past numerous work on inter domain communication mechanisms was presented. Xen split drivers is one of the inter domain communication approach of Xen hypervisor [18]. The xen split drivers has overhead because of numerous context switches in form of event channel interrupts. It also incurs an overhead due to data copy, page flipping [40]. Xen hypervisor also provides a UNIX domain socket like interface for high throughput interdomain communication on the same system called XenSocket [40]. XenSocket replaces the page flipping design of the split driver. However, XenSocket needs an existing socket interface APIs to be changed.

Fido [12] is a shared memory based inter domain communication mechanism. Fido implements the fast interdomain communication mechanism by reducing data copies in Xen hypervisor. In contrast our system improves the inter domain communication mechanism of Split device drivers by avoiding the context switches. Also, even though Fido removes overhead with zero copy, users have to sacrifice the security and protections guarantees for that.

Chapter 7

Conclusion

The thesis presented Isolated Device Driver (IDDR), a operating system which provides isolation between a device driver and Linkux kernel components by running device driver in the driver domain. IDDR is a re-implementation of the Xen's isolated driver domain. The work presented a spinlock based performance improvement technique in the IDDR system. The new IDDR system allows the application domain and driver domain to spin for requests and responses. This avoids the unwanted domain rescheduling for every request and response event notification.

Bibliography

- [1] Coverity's Analysis of the Linux kernel. http://www.coverity.com/library/pdf/coverity_linuxsecurity.pdf.
- [2] SysBench 0.4.12 A System Performance Benchmark. <http://sysbench.sourceforge.net/>.
- [3] Xen hypervisor: Grant Table. <http://xenbits.xen.org/docs/4.2-testing/misc/grant-tables.txt>.
- [4] Xen hypervisor: Hypercall. <http://wiki.xen.org/wiki/Hypercall>.
- [5] Xen's Isolated Driver Domain. http://wiki.xen.org/wiki/Driver_Domain.
- [6] Mike Accetta, Robert Baron, William Bolosky, David Golub, Richard Rashid, Avadis Tevanian, and Michael Young. Mach: A new kernel foundation for UNIX development. In *Proceedings of the 1986 Summer USENIX Conference*, pages 93–112, 1986.

- [7] Ole Agesen, Alex Garthwaite, Jeffrey Sheldon, and Pratap Subrahmanyam. The evolution of an x86 virtual machine monitors. *ACM SIGOPS Operating Systems Review*, 44(4):3–18, 2010.
- [8] François Armand. Give a process to your drivers. In *Proceedings of the EurOpen Autumn 1991 Conference*, pages 16–20. Budapest, 1991.
- [9] Paul Barham, Boris Dragovic, Keir Fraser, Steven Hand, Tim Harris, Alex Ho, Rolf Neugebauer, Ian Pratt, and Andrew Warfield. Xen and the art of virtualization. In *Proceedings of the Nineteenth ACM Symposium on Operating Systems Principles*, pages 164–177, Bolton Landing, NY, USA, 2003.
- [10] Daniel Bovet and Marco Cesati. *Understanding The Linux Kernel*. Oreilly & Associates Inc, 3rd edition, 2005.
- [11] Silas Boyd-Wickizer and Nickolai Zeldovich. Tolerating malicious device drivers in Linux. In *Proceedings of the 2010 USENIX Annual Technical Conference, ATC’10*, pages 117–130, Boston, MA, USA, 2010.
- [12] Anton Burtsev, Kiran Srinivasan, Prashanth Radhakrishnan, Lakshmi N. Bairavasundaram, Kaladhar Voruganti, and Garth R. Goodson. Fido: Fast inter-virtual-machine communication for enterprise appliances. In *Proceedings of the 2009 Conference on USENIX Annual Technical Conference*, pages 25–25, San Diego, CA, USA, 2009.
- [13] F Camargos, G Girard, and B Ligneris. Virtualization of linux servers. pages 63–76, Ottawa, Ontario, Canada, 2008.

- [14] David Chisnall. *The Definitive Guide to the Xen Hypervisor*. Prentice Hall Press, 1st edition, 2007.
- [15] Jonathan Corbet, Alessandro Rubini, and Greg Kroah-Hartman. *Linux Device Drivers*. O'Reilly Media, Inc., 3rd edition, 2005.
- [16] Peter J Denning. Virtual memory. *ACM Computing Surveys (CSUR)*, 2(3):153–189, 1970.
- [17] Ulrich Drepper. The cost of virtualization. *ACM Queue*, 6(1):28–35, 2008.
- [18] Keir Fraser, Steven Hand, Rolf Neugebauer, Ian Pratt, Andrew Warfield, and Mark Williamson. Safe hardware access with the Xen virtual machine monitor. In *1st Workshop on Operating System and Architectural Support for the on-demand IT Infrastructure (OASIS)*, 2004.
- [19] Vinod Ganapathy, Matthew J. Renzelmann, Arini Balakrishnan, Michael M. Swift, and Somesh Jha. The design and implementation of microdrivers. In *Proceedings of the 13th International Conference on Architectural Support for Programming Languages and Operating Systems, ASPLOS XIII*, pages 168–178, Seattle, WA, USA, 2008.
- [20] R. P. Goldberg. Architecture of virtual machines. In *Proceedings of the Workshop on Virtual Computer Systems*, pages 74–112, Cambridge, Massachusetts, USA, 1973.

- [21] G. Scott Graham and Peter J. Denning. Protection: Principles and practice. In *Proceedings of the May 16-18, 1972, Spring Joint Computer Conference*, pages 417–429, Atlantic City, NJ, USA, 1972.
- [22] Irfan Habib. Virtualization with KVM. *Linux Journal*, 2008(166), 2008.
- [23] Steven Hand, Andrew Warfield, Keir Fraser, Evangelos Kotsovinos, and Daniel J Magenheimer. Are virtual machine monitors microkernels done right? In *the 10th Workshop on Hot Topics in Operating Systems*, Santa Fe, NM, 2005.
- [24] Gernot Heiser and Ben Leslie. The OKL4 Microvisor: Convergence Point of Microkernels and Hypervisors. In *Proceedings of the 1st ACM Asia-Pacific Workshop on Systems*, APSys’10, pages 19–24, New Delhi, India, 2010.
- [25] Samuel T King, George W Dunlap, and Peter M Chen. Operating system support for virtual machines. In *USENIX Annual Technical Conference, General Track*, pages 71–84, 2003.
- [26] Avi Kivity, Yaniv Kamay, Dor Laor, Uri Lublin, and Anthony Liguori. KVM: the linux virtual machine monitor. In *Proceedings of the Linux Symposium*, volume 1, pages 225–230, 2007.
- [27] Ben Leslie, Peter Chubb, Nicholas Fitzroy-Dale, Stefan Gotz, Charles Gray, Luke Macpherson, Daniel Potts, Yueting (Rita) Shen, Kevin Elphinstone, and Gernot Heiser. Userlevel device drivers: Achieved performance. *Journal of Computer Science and Technology*, 20(5):654–664, September 2005.

- [28] Joshua LeVasseur, Volkmar Uhlig, Jan Stoess, and Stefan Götz. Unmodified device driver reuse and improved system dependability via virtual machines. In *OSDI*, volume 4, pages 17–30, University of Karlsruhe, Germany, 2004.
- [29] Chuanpeng Li, Chen Ding, and Kai Shen. Quantifying the cost of context switch. In *Proceedings of the 2007 Workshop on Experimental Computer Science*, San Diego, CA, USA, 2007.
- [30] J. Liedtke. On micro-kernel construction. In *Proceedings of the 15th ACM Symposium on Operating Systems Principles*, SOSP’95, pages 237–250, Copper Mountain, CO, USA, 1995.
- [31] Daniel A Menascé. Virtualization: Concepts, applications, and performance modeling. In *Int. CMG Conference*, pages 407–414, 2005.
- [32] Aravind Menon, Alan L. Cox, and Willy Zwaenepoel. Optimizing network virtualization in Xen. In *Proceedings of the Annual Conference on USENIX ’06 Annual Technical Conference*, pages 2–2, Boston, MA, USA, 2006.
- [33] Jeffrey C. Mogul and Anita Borg. The effect of context switches on cache performance. *SIGPLAN Not.*, 26(4):75–84, 1991.
- [34] Ruslan Nikolaev and Godmar Back. Virtuos: An operating system with kernel virtualization. In *Proceedings of the Twenty-Fourth ACM Symposium on Operating Systems Principles*, pages 116–132, Farmington, PA, USA, 2013.

- [35] Ryan Riley, Xuxian Jiang, and Dongyan Xu. Guest-transparent prevention of kernel rootkits with VMM-based memory shadowing. In *Recent Advances in Intrusion Detection*, pages 1–20, Cambridge, MA, USA, 2008.
- [36] Abraham Silberschatz, Peter Baer Galvin, and Greg Gagne. *Operating System Concepts*. Wiley Publishing, 8th edition, 2008.
- [37] Jeremy Sugerman, Ganesh Venkitachalam, and Beng-Hong Lim. Virtualizing i/o devices on vmware workstation’s hosted virtual machine monitor. In *USENIX Annual Technical Conference, General Track*, pages 1–14, Boston, MA, USA, 2001.
- [38] Michael M Swift, Brian N Bershad, and Henry M Levy. Improving the reliability of commodity operating systems. *ACM Transactions on Computer Systems (TOCS)*, 23(1):77–110, 2005.
- [39] Andrew S Tanenbaum, Jorrit N Herder, and Herbert Bos. Can we make operating systems reliable and secure? *Computer*, 39(5):44–51, 2006.
- [40] Xiaolan Zhang, Suzanne McIntosh, Pankaj Rohatgi, and John Linwood Griffin. Xensocket: A high-throughput interdomain transport for virtual machines. In *Proceedings of the ACM/IFIP/USENIX 2007 International Conference on Middleware*, pages 184–203, Newport Beach, CA, USA, 2007.