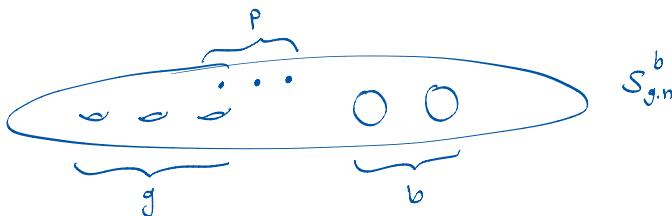


# Liftable Mapping Class Groups - Lecture 1

Geometry-Topology seminar by Prof. Kashyap, 24 Jan 2025

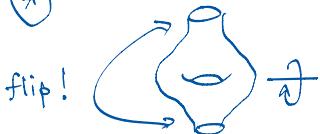
## GRAND GOAL: BIRMAN - HILDEN THEORY.

$S = S_{g,n}^b$ . — Closed orientable / oriented surface of genus  $g$  with  $n$  punctures and  $b$  boundary components.



\* Topologically, punctures can be seen as marked points.

→  $\text{Homeo}^+(S, \partial S)$  = the group of orientation preserving homeos of  $S$ , restricting to identity on  $\partial S$  and preserving the set of punctures.



This is a non-example.

The boundary comps get switched.

→ MCG of  $S$  =  $\pi_0(\text{Homeo}^+(S, \partial S))$  — # path components.

\* What's the topology on  $S$ ?

⇒ Compact-open topology. —

\*  $\pi_0$  does not always form a group.  
Here, it does.

Opt open top — defined on a set ofcts maps.

Let  $C(X, Y) = \text{all cts f: } X \rightarrow Y$ .

Let  $U \subseteq X$  opt,  $V \subseteq Y$  open, and

$V(f, U) := \{f \in C(X, Y) \text{ s.t. } f(U) \subseteq V\}$

Then, All such  $V(f, U)$  form a subbasis for the opt open top.

Optness is preserved by cts maps.

Does not always form a basis of top on  $C(X, Y)$ .

- (\*)  $M_{\text{cr}}$  is a topological group.
- (\*) Elements of  $M_{\text{cr}}$  are ori-preserving maps (Points)
  - $\Rightarrow$  A path in  $M_{\text{cr}}$  is a homotopy.  
[path between maps].
- Isotopy — When you take a path from a point  $f$  to point  $g$  inside  $M_{\text{cr}}$  via homeomorphisms.

(\*) Further,

$\text{Homeo}_+^+(S, \partial S)$  = path component of the identity.

$\hookrightarrow$  it's a normal subgroup in a topological group.

$$\rightarrow M_{\text{cr}}(S) \cong \text{Homeo}^+(S, \partial S) / \text{Homeo}_+^+(S, \partial S)$$

$$\rightarrow \text{Mod}(S) := \nearrow$$

### IMPORTANCE OF $\text{Mod}(S_g)$

In the case  $S = S_g$  ( $a = b = 0$ ):

(a) Describes the orbifold fundamental group of

$$M_g = \text{Teich}(S_g) / \text{Mod}(S_g)$$

(b) Classifies surface bundles with fibers  $S_g$ .

(c)  $\text{Mod}(S_g) \cong \text{Out}(\pi_1(S_g))$  — Dehn-Nielsen-Baer theorem

(d) It generalises the classical modular group

as  $\text{Mod}(T^2) \cong \text{SL}(2, \mathbb{Z})$

↳ From here we get the Mod notation for MCG

(e) In the case  $b=1, g=0$ :

$$\text{Mod}(S_0, n) \cong B_n \quad \text{— Braid group on } n \text{ strands.}$$

BRAID GROUP For a topological space  $X$ , define the configuration space as:

$$\text{Conf}_n(X) = X^n \setminus \{ \text{all those points st at least two coordinates are equal} \}$$

Now,  $S_n$  can act on this set. —  $\Sigma_n := S_n$ .

$$U\text{Conf}_n(X) = \text{Conf}_n(X) / \Sigma_n \quad \text{— } n\text{-fold conf. space of } X.$$

Further, if  $X$  is connected, then

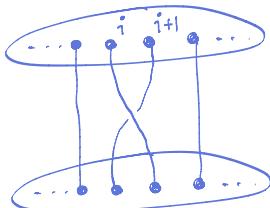
$$B_n(X) := \pi_1(U\text{Conf}_n(X)) \quad \text{— Braid group}$$

$$\rho_n(X) := \pi_1(\text{Conf}_n(X)) \quad ??$$

Braid relation:

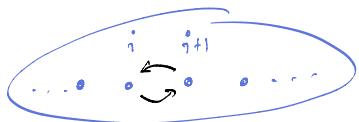
$$B_n = \langle \sigma_1, \dots, \sigma_{n-1} \mid [\sigma_i, \sigma_j] = 1 \text{ if } |i-j| > 1 \\ \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, 1 \leq i \leq n-2 \rangle$$

④ An easier way of understanding  $B_n$ :



$\sigma_i$ : "braid"  $i$ th and  $j$ th marked points.

Represented this action, or the "braiding" in a single picture:



It switches only these two marked points.

How would that look for a curve nearby?

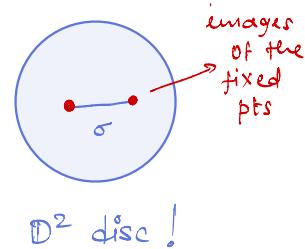
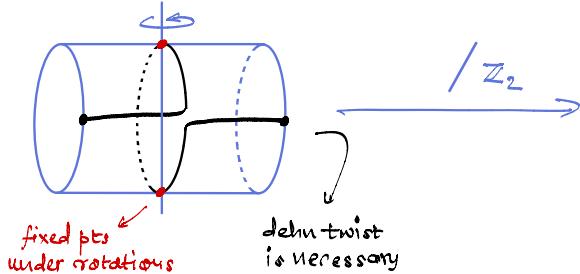


Question: Consider  $S^1 \times I$  with a Dehn twist as

$$S^1 \times I \rightarrow S^1 \times I \text{ by}$$

$$(x, t) \mapsto (xe^{2\pi i t}, t)$$

$\mathbb{Z}_2$  action  $\leftarrow$   $\pi$  rotation.



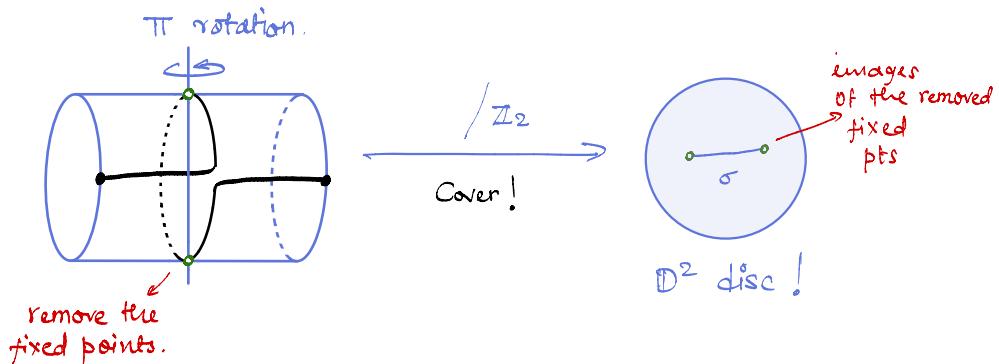
→ **Branch covering**: A map  $p: \tilde{S} \rightarrow S$  is a branch covering if  $\exists$  a finite set  $B \subseteq S$  such that

branch pts

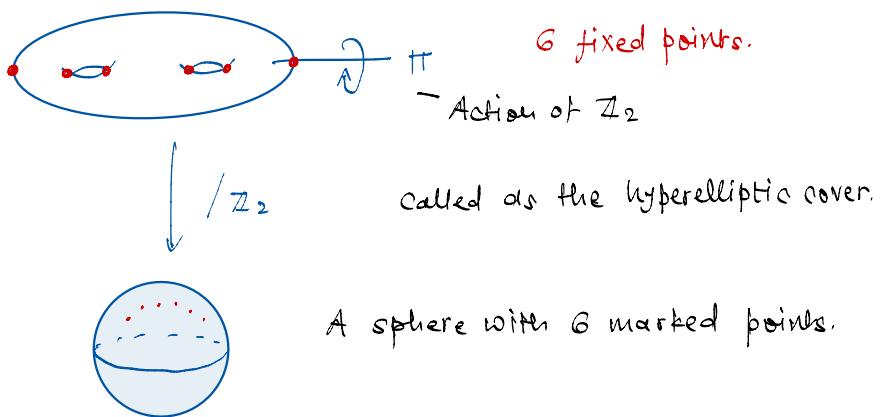
$$p|_{\tilde{S} \setminus p^{-1}(B)} : \tilde{S} \setminus p^{-1}(B) \rightarrow S \setminus B$$

is a covering.

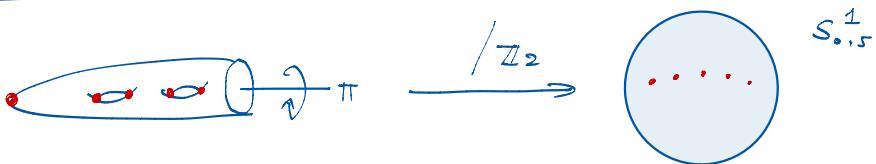
④ In the example above, you remove the problematic fixed points, you actually get a cover!



Another example:



Example :



As you will get  $S^2$  as in the prev. examples, but with a disc removed — which is again a disc!

Central question in Birman-Hilden theory:

When does a MCR lift to a MCR in a cover?

→ Fiber preserving: Given a covering  $p: \tilde{S} \rightarrow S$ , we say a homeo  $f: \tilde{S} \rightarrow \tilde{S}$  is fiber preserving if for each  $x \in S$ ,  $\exists y \in \tilde{S}$  such that

$$f(p^{-1}(x)) = p^{-1}(y)$$

→ Natural question: Given any pairs  $f_1, f_2$  of fiber preserving homeo under  $p$ , are they homotopic through fiber preserving homeos?

The answer, in general, is No!

Covers in which each pairs of homeos satisfy this property are called as **Birman-Hilden covers**.



## KNOWN RESULTS IN BIRMAN-HILDEN THEORY.

Recap: Given any pair  $f_1, f_2$  of fiber-preserving homeos on  $\tilde{S}$   
 (if homotopic)  
 (under  $p: \tilde{S} \rightarrow S$  cover)  $\wedge$  are they homotopic  
 through fiber-preserving homeos?

$$\begin{array}{ccc} \tilde{S} & \xrightarrow{f_1, f_2} & \tilde{S} \\ \downarrow & & \downarrow \\ S & \xrightarrow{f} & S \end{array}$$

Covers satisfying above  $\rightsquigarrow$  "Birman-Hilden covers,"  
 and they satisfy the Birman-Hilden prop.

(1972-78)

Theorem: (Birman-Hilden) Let  $p: \tilde{S} \rightarrow S$  be a  
 finite-sheeted regular branch covering, where  $\tilde{S}$  is  
 hyperbolic. If  $p$  is either unbranched or if  
 $\text{Deck}(p)$  is solvable (as a group), then  $p$  has the  
 Birman-Hilden property.

→ What does the above theorem omit?

$\infty$ -sheeted covers.

→ What about the universal covers? **Question!**

(1973)

Theorem: (MacLachlan - Harvey) If  $p: \tilde{S} \rightarrow S$  is a finite-sheeted regular branched covering map, where  $\tilde{S}$  is hyperbolic, then  $p$  has B-H property.

→ **Unramified**: A preimage of a branch point (of a cover  $p: \tilde{S} \rightarrow S$ ) is unramified if some nbhd is mapped injectively under  $p$ .

A  $p$  is said to be **fully ramified** if no branch pt has a ramified preimage.

→ Ramified  $\stackrel{?}{=}$  fully ramified?

Theorem: (Winarski, 2015) Let  $p: \tilde{S} \rightarrow S$  be a finite sheeted branch covering, where  $\tilde{S}$  is hyperbolic, and  $p$  is fully ramified.

Then,  $p$  has the B-H property.

→ Remark: Regular and unbranched covers are all fully ramified.

## MCG INTERPRETATION OF ALL THIS:

- Let  $p: \tilde{S} \rightarrow S$  be a branched cover. Treating each branch point as a marked pt on  $S$  and assuming all homeos on  $S$  preserve the set of branched points, we have the foll:
- $LMod_p(S) = \text{Liftable MCG.} = \text{subgroup of } Mod(S) \text{ comprising mapping classes rep. by homeos that lift under } p.$

$SMod_p(\tilde{S})$  be the subgroup of  $Mod(\tilde{S})$  rep. by homeos that are fiber-pres. under  $p$

↪  $\text{Symmetric MCG.}$

Propn: Let  $p: \tilde{S} \rightarrow S$  be a finite-sheeted branched cover, where  $\tilde{S}$  is hyperbolic, without boundary.

- TEAE:
- (1)  $p$  has the B-H property.
  - (2) The natural surjection  $SMod_p(\tilde{S}) \rightarrow LMod_p(S)$  is well-defined.
  - (3)  $SMod_p(\tilde{S}) / \text{Deck}(p) \cong LMod_p(S)$
  - (4)  $\exists$  a SES:
- $$1 \rightarrow \text{Deck}(p) \hookrightarrow SMod_p(\tilde{S}) \twoheadrightarrow LMod_p(S) \rightarrow 1.$$

Theorem (B-H) For a regular cyclic cover  $p: \tilde{S} \rightarrow S$ , we have :

$$S\text{Mod}_p(\tilde{S}) = \frac{\text{Normalizer}}{\text{Mod}(\tilde{S})} (\text{Deck}(p))$$

$[\text{Normalizer}_G(H)]$

→ Also holds for non-cyclic covers. 

due to this theorem.

(1963)

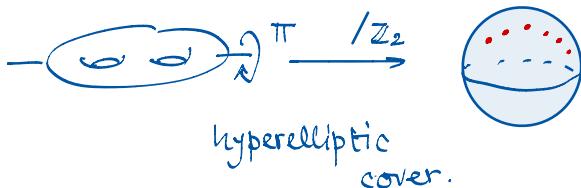
Theorem : (Nielsen-Kirchhoff) Let  $S$  be a hyp surface without boundary. Every finite subgroup of  $\text{Mod}(S)$  lifts under the canonical projection

$$\text{Homeo}^+(S) \longrightarrow \text{Mod}(S)$$

Lifts to an isomorphic subgroup 28 of  $\text{Homeo}^+(S)$ .

## RECENT DEVELOPMENTS

(Ghaswala - Winarski, 2017) Gave a presentation for  $L\text{Mod}_p(S_{0,n})$  under balanced hyperelliptic cover

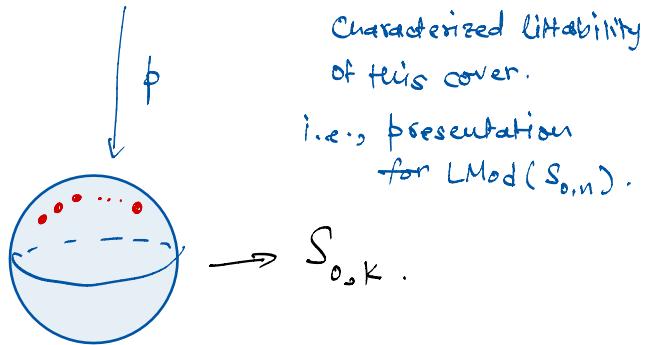
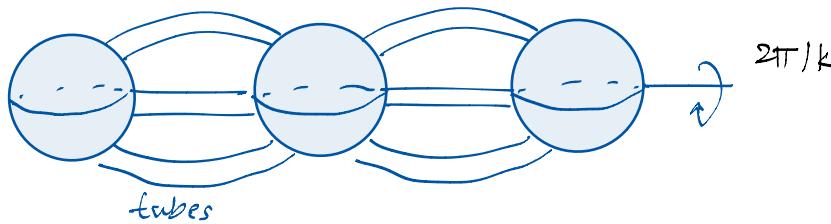


Theorem: (Above guys) Proved  $\exists?$  of the follo:

$$1 \longrightarrow \mathbb{Z}_2 \longrightarrow \text{Mod}(S_2) \longrightarrow \text{Mod}(S_{0,6}) \longrightarrow 1$$

They also derived the presentation for  $\text{Mod}(S_2)$ .

→ Take  $n$ -many spheres and attach  $k$ -many tubes between each one of them.



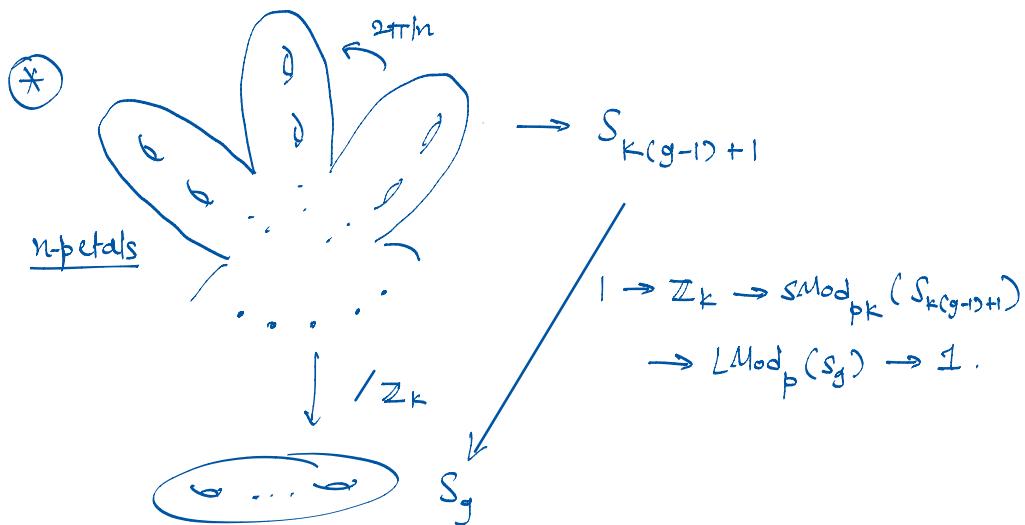
④ Hyperelliptic involutions.  $\overset{\circ}{\iota} :$

A diagram showing a surface with two red dots. A curved arrow labeled  $\pi - \overset{\circ}{\iota}$  points from the surface to a smaller circle below it, which has two red dots. To the right, the text reads:  $\text{LMod}_p(S_{0,2g+2}) = \text{Mod}(S_{0,2g+2})$ .

→ They also showed that not all mapping classes lift under branched covers of spheres.

(\*) OPEN PROBLEMS:

1. B-H prop for arbitrary covers.
2. When is  $LMod(S)$  equal to  $Mod(S)$
3. When is  $LMod(S)$  maximal?
4. Maximal subgroups of  $Mod(S_g)$ .
5. Presentation of  $LMod$ .
6. Normalizers and centralizers of finite subgroups of  $Mod(S)$ .



(\*)  $\mathbb{Z}_k \rightsquigarrow$  if  $k$  is prime  $\Rightarrow LMod_{pk}(S_g)$  is a maximal proper subgroup.