FUNCTIONAL PROGRAMMING



3 FUNCTIONAL DATA STRUCTURES

FUNCTIONAL DATA STRUCTURES

- are immutable
 - □ once created they cannot be changed
- Based on algebraic data types
 - □ data types in functional programming languages
 - allow pattern matching
- Operations create new data objects
 - reusing existing data objects
- Show similar properties as value types
 - \square 2 + 1 creates new value 3
 - □ Fract(1, 2) + Fract(1,4) creates new value Fract(3, 4)
 - \square Set(1, 2) + 3 creates new value Set(1, 2, 3)
- Efficient concepts for **persistent collections** exist
 - □ e.g. persistent lists, hashtables, red-black-trees, finger frees, ...



3 FUNCTIONAL DATA STRUCTURES

Algebraic Data Types and Pattern Matching

- Case classes
- Pattern matching

Basic ADTs

- Tuples
- Option

Functional Collections

- Functional list case study
- Scala's immutable collection library



ALGEBRAIC DATA TYPES (ADTS)

- Fundamental concept for defining data types in FP
- Consist of
 - □ tagged records: data tag and field types
 - ☐ variants of records

also called tagged unions

In Haskell

data type definitions:

value construction:

. . .

Pt 10 20

Rect (Pt 10 10) 20 40

Circle (Pt 30 10) 30

Mon
Tue

■ Properties

- □ immutable
- ☐ data tags identifies value variants
- □ variants are closed, i.e., no more variants of a type possible
- □ no subtyping



SCALA'S CASE CLASSES

Case classes implement ADTs by classes and inheritance

- case class with class parameters for tagged records
 - ☐ class name is tag
 - ☐ class parameters are fields

```
case class Point(x: Int, y: Int)
```

value construction:

Point(10, 20)

- abstract base type with case classes as subtypes for variants
 - ☐ keyword **sealed** for closing type shape (only those defined in same file are allowed)

```
sealed trait Shape
case class Rect(pos: Point, w: Int, h: Int) extends Shape
case class Circle(pos: Point, radius: Int) extends Shape
```

Rect(Point(10, 10), 20, 40) Circle(Point(30, 30), 10)

- Properties
 - ☐ class parameters are public **val** (final)
 - ☐ equal und hashCode based on class parameters
 - □ no subtypes of case classes



CASE CLASSES

Case classes are special classes

- class parameters are public final fields
- equal and hashCode defined based on class parameters
- toString based on class parameters

```
sealed trait Expr
case class Var(name: String) extends Expr
case class Lit(value: Double) extends Expr
case class BinOp(operator: String, left: Expr, right: Expr) extends Expr
```

Haskell:

```
data Expr =
    Var String
    Lit Double
    BinOp String Expr Expr
```

instantiation

access to class parameters

```
println ( x.name )

val left = expr.left
val right = expr.right
```

allow pattern matching



JAVA: JAVA'S RECORD CLASSES

Java's record classes analogous to Scala's case classes

class definitions with components

public record Point(int x, int y) { }

public sealed interface Shape permits Shape.Rect, Shape.Circle {
 record Rect(Point pos, int w, int h) implements Shape {}
 record Circle(Point pos, int r) implements Shape {}

value creation

JYU

```
Point point = new Point(10, 10);

Shape shape;
shape = new Shape.Rect(new Point(10, 10), 20, 40);
shape = new Shape.Circle(new Point(20, 20), 10);
```

access functions for components

```
System.out.format("Point = %d/%d", point.x(), point.y());
System.out.format("Shape position = %d/%d", shape.pos().x(), shape.pos().y());
```

equals, hashCode, toString implementations based on record components

SCALA'S ENUMS

Enum classes

enum classes are types which allow cases

```
enum Day :
case Mon, Tue, Wed, Thu, Fri, Sat, Sun
```

```
val day = Day.Fri;
```

enum classes are a short variant for defining ADTs

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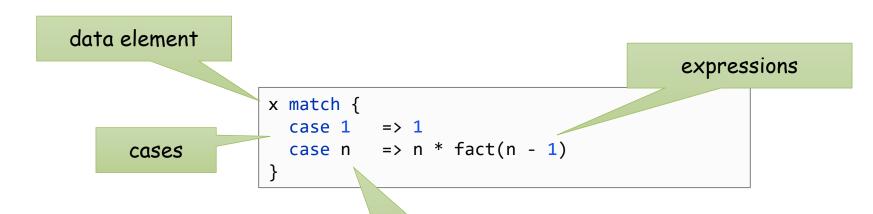
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Pattern matching analogous to Haskell

- Syntax
 - ☐ keyword match
 - ☐ keyword **case** with patterns



pattens

Haskell:

```
case x of
    1 ->    1
    n ->    n * fact (n - 1)
```



Pattern matching works by

- checking for type
- testing pattern literals for equality with (immutable) values of class parameters
- binding pattern variables to values of class parameters

match expression = branching based on patterns

```
def area(shape: Shape) : Double =
   shape match {
    case Circle(pos, r) => r * r * Math.PI
    case Rect(pos, w, h) => w * h
   }
```

```
def isInOrigin(shape: Shape) : Boolean =
    shape match {
        case Circle(Point(0, 0), _) => true
        case Rect(Point(0, 0), _, _) => true
        case _ => false
    }
```

don't care (always matches)

Patterns are built by

- class name
- pattern variables for fields
- or subpatterns for matching field values



Example: Matching Expr

```
abstract sealed class Expr
case class Var(name: String) extends Expr
case class Lit(value: Double) extends Expr
case class BinOp(operator: String, left: Expr, right: Expr) extends Expr
```

Patterns can be arbitrarily nested!



Example: Symbolic differentiation

```
abstract sealed class Expr
case class Var(name: String) extends Expr
case class Lit(value: Double) extends Expr
case class BinOp(operator: String, left: Expr, right: Expr) extends Expr
```

```
object Expr :
  def deriv(expr : Expr, dx : Var) : Expr =
    expr match {
     case Var(n) if dx.name == n => Lit(1.0)
     case Var() => Lit(0.0)
                                                                                    \frac{dc}{dx} = 0
     case Lit(\_) => Lit(0.0)
     case BinOp("+", u, v) \Rightarrow BinOp("+", deriv(u, dx), deriv(v, dx))
     case BinOp("*", u, v) => BinOp("+",
                                  BinOp("*", u, deriv(v, dx)),
                                  BinOp("*", v, deriv(u, dx))
```



PATTERNS SUMMARY

case 1 Values case "Hans" case x Variables case _ Default case p : Person Typetests case (x, y) if x == y■ Guards case Var(n) Case classes case Some(x) case None Lists case List(1, 2, 3, _*) Additional variable bindings with @ case add0@BinOp("+", zero@Lit(0), r) ■ ... some more patterns ...

JAVA'S PATTERN MATCHING EXPRESSIONS

Similar to pattern matching in Scala

with type patterns

```
public double area(Shape shape) {
    return switch (shape) {
        case Shape.Rect rect -> rect.w() * rect.h();
        case Shape.Circle cicle -> circle.r() * dath.PI;
    };
}
```

with record patterns

```
public static double area2(Shape shape) {
   return switch (shape) {
    case Shape.Rect(Point p, int w, int h) -> w * h;
    case Shape.Circle(Point p, int r) -> r * r * Math.PI;
   };
}
record patterns
```

→ must type pattern variables

but no patterns with literals

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TUPLES

Generic tuple data types with multiple elements

generic types

```
(A, B) (A, B, C) ... up to 22 elements
```

values

```
val personInfo = ("Franz", "Kafka", 1883, "male") : (String, String, Int, String)
```

access operations: _1, _2, ...

```
val first = personInfo._1
val born = personInfo._3
```

pattern matching

☐ in assignments

```
val (first2, last, born2, sex) = personInfo
```

 \square in match-expressions

```
personInfo match {
  case ("Franz", "Kafka", year, _) => println(s"Franz Kafka is born in $year")
  case (first, last, year, _) => println(s"$first $last is born in $year")
}
```



co-variant type parameters

Tuple3[+A, +B, +C]

short form for types

Tuple2[+A, +B]

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OPTION

Option[+A] for expressing possibly empty values

Defined as case classes with two variants Some and None

```
sealed abstract class Option[+A]
case final class Some[+A](x : A) extends Option[A]
case object None extends Option[Nothing]
```

Some has value **x None** has no value

■ Option as return value

☐ Example: find for lists

```
val optPrime : Option[Int] = list123.find(x => isPrime(x))
```

Pattern matching with **Option**

```
optPrime match {
  case Some(p) => println("The prime found is " + p)
  case None => println("No prime found")
}
```



OPTION

Important operations

see Section Functional exception handling

```
sealed abstract class Option[+A] extends IterableOnce[A] with Product with Serializable {
    final def isEmpty: Boolean = this eq None
    final def isDefined: Boolean = !isEmpty

    def get: A
    final def getOrElse[B >: A](default: => B): B = if (isEmpty) default else this.get
    final def orElse[B >: A](alternative: => Option[B]): Option[B] = if (isEmpty) alternative else this
    def flatMap[B](f: A => Option[B]): Option[B] = if (isEmpty) None else f(this.get)
    def map[B](f: A => B): Option[B] = if (isEmpty) None else Some(f(this.get))
    def filter(p: A => Boolean): Option[A] = if (isEmpty || p(this.get)) this else None
    ...
}
```

```
final case class Some[+A](value: A) extends Option[A] {
   def get: A = value
}

case object None extends Option[Nothing] {
   def get: Nothing = throw new NoSuchElementException("None.get")
}
```



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FUNCTIONAL COLLECTIONS

- List, Set, Maps which are immutable
- Operations like adding, removing elements create new data objects
 - □ operations return new data structures
 - which reuse current data structure

```
val 121 = 2 :: List(1) | I21 is I1 plus first element 2; I1 is reused
```

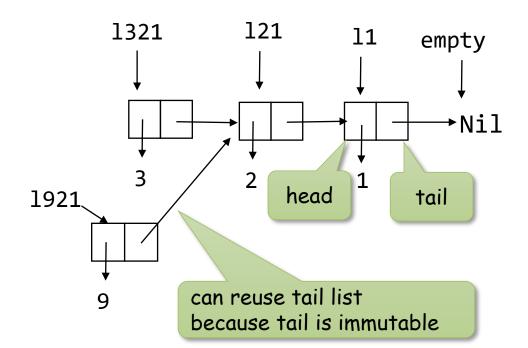
- Efficient concepts for persistent data structures exist
 - □ e.g. persistent lists, hashtables, red-black-trees, finger trees, ...

see: Chris Okasaki: Purely Functional Data Structures, Cambridge University Press, 1998



FUNCTIONAL LIST

- Recursive structure with
 - □ **head** pointing to **first element**
 - ☐ tail pointing to rest list
 - □ and empty list Nil at end
- can add elements in front



```
val empty : List[Int] = Nil;
val 11 : List[Int] = empty.prepended(1);
val 121 : List[Int] = 11.prepended(2);
val 1321 : List[Int] = 121.prepended(3);
```

val 1921 : List[Int] = 121.prepended(9);

- sealed trait FList and
- case classe FNil and FCons
- with covariant type parameter A

prefix F for distinguishing them from standard List type

```
sealed trait FList[+A]

case object FNil extends FList[Nothing]

case class FCons[+A](head: A, tail: FList[A]) extends FList[A]
```

```
val empty = FNil
val l1 = FCons(1, empty)
val l21 = FCons(2, l1)
val l321 = FCons(3, l21)
```



- Basis functions **isEmpty** und **size**
 - ☐ as dynamically bound values

```
sealed trait FList[+A] :
    val isEmpty : Boolean
    val size : Int

case object FNil extends FList[Nothing] :
    val isEmpty : Boolean = true
    val size = 0

case class FCons[+A](head: A, tail: FList[A]) extends FList[A] :
    val isEmpty : Boolean = false
    val size = tail.size + 1
```



■ Higher-order functions using pattern matching

```
sealed trait FList[+A] :
 def isEmpty : Boolean
 val size : Int
 def find(pred : A => Boolean) : Option[A] =
   this match {
     case FNil => None
     case FCons(hd, tl) => if (pred(hd)) then Some(hd) else tl.find(pred)
 def filter(pred : A => Boolean) : FList[A] =
   this match {
     case FNil => FNil
     case FCons(hd, t1) =>
        if (pred(hd)) then FCons(hd, tl.filter(pred))
        else tl.filter(pred)
```

■ Higher-order functions using pattern matching

```
sealed trait FList[+A] :
  def map[B](fn : A \Rightarrow B) : FList[B] =
    this match {
      case FNil => FNil
      case FCons(hd, t1) => FCons(fn(hd), t1.map(fn))
 def all(pred: A => Boolean) : Boolean =
    find(a => !pred(a)).isEmpty
 def any(pred: A => Boolean) : Boolean =
    find(a => pred(a)).isDefined
```



FUNCTIONAL LIST: EXAMPLE APPLICATION

Backtracking algorithm: N-Queens problem

imperative solution with resetting state (in Java)

```
// imperative solution !
boolean solveNQueens(boolean[][] board, int col) {
  if (col >= board.length) {
   return true;
  } else {
   for (int i = 0; i < board.length; i++) {</pre>
      if (isSafe(board, i, col)) {
        board[i][col] = true;
        if (solveNQueens(board, col + 1))
          return true;
        board[i][col] = false; // Backtracking
                                                                    must reset state change
                                                                       to previous state
   return false;
```



FUNCTIONAL LIST: EXAMPLE APPLICATION

Backtracking algorithm: N-Queens problem

functional solution: no resetting of state required

```
def solve(board: List[Pos], col: Int) : Option[List[Pos]] = {
  if (col >= N) {
    return Some(board)
  } else {
    for (i <- 0 to N) {
        if (isSafe(board, i, col)) {
          val optSol = solve(board.prepended(Pos(i, col)), col + 1)
          if (optSol.isDefined) return optSol
        }
    }
    return None
  }
}</pre>
```

Expanding solution does not change current solution!

no backtracking change needed

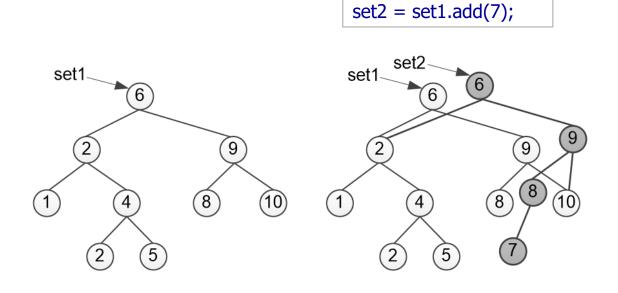


PERSISTENT DATA STRUCTURES

Principal approach

minimal copying, maximal reuse

Example: add element in binary tree





PROS AND CONS OF PERSITENT DATA STRUCTURES

Pros

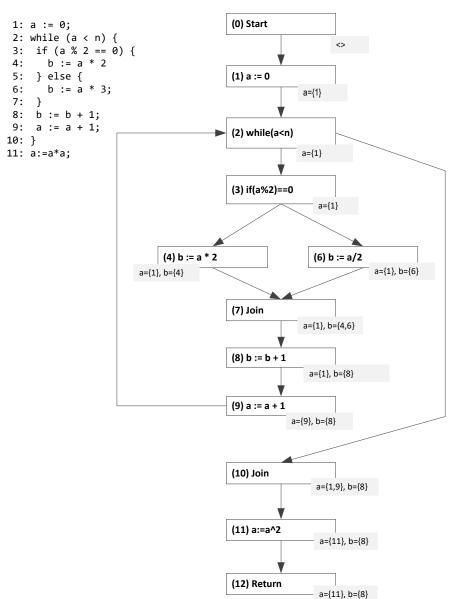
- easy to use
 - \square no need for copying
- reliable
 - □ no side effects
- thread-safe
 - □ very helpful in concurrent and parallel programs

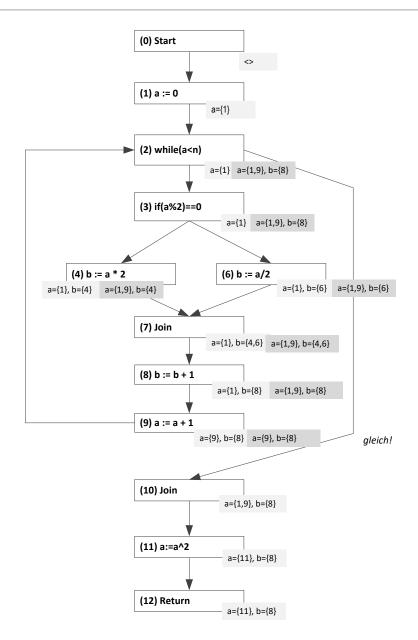
Cons

some overhead in memory and run time



EXAMPLE APPLICATION: ASSIGNMENTS







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Introduction to Immutable Collections

Scala provides a powerful library of immutable collections

■ Package scala.collections.immutable

Abstract types

```
Iterable[T]basic trait for collectionsSeq[T]defined sequence of elementsIndexedSeq[T]direct accesLinearSeq[T]linear iterationSet[T]setsSortedSet[T]sorted setsMap[K, V]mapsSortedMap[K, V]map sorted key K
```



COLLECTION IMPLEMENTATION CLASSES

Concrete Implementations of immutable collections

```
Iterable[T]
   Seq[T]
        IndexedSeq[T]
           Vector[T]
                                   Finger tree
                                   Range of Ints
           Range
       LinearSeq[T]
                                   List with Nil and Cons
           List[T]
           Stream[T]
                                   List with lazy access
           Stack[T]
                                   Stack
           Queue[T]
                                   Queue
   Set[T]
                                   Hash-Table
       HashSet[T]
        LinkedHashSet
                                   Hash-Table + LinkedList
    SortedSet[T]
       TreeSet[T]
                                   Red-Black-Tree
   Map[K, V] extends Iterable[(K, V)]
       HashMap[K, V]
                                   Hash-Table
       SortedMap[K, V]
           TreeMap[K, V]
                                   Red-Black-Tree
```



LIST

Generic list data type List[T]

with two variants

□ empty list□ cons operatorNil□ rest

construction with ::

```
val list123 : List[Int] = 1 :: 2 :: 3 :: Nil
```

construction with List constructors

```
val list123 = List(1, 2, 3)

val empty : List[Int] = List()

List() = Nil
```

access operations: head, tail ...

```
val first = list123.head
val rest = list123.tail
```



PATTERN MATCHING WITH LISTS

Pattern matching with lists

in assignments

```
    with :: patterns

val (first :: rest2) = list123

with List patterns

val List(first, second, third) = list123

matches lists with exactly 3 elements

val List(first, second, _*) = list123

matches lists with at least 2 elements

val List(first, second, _*) = list123

matches lists with at least 2 elements

val List(first, second, _*) = list123

matches lists with at least 2 elements

val List(first, second, _*) = list123

matches lists with at least 2 elements

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matches lists with at least 2 elements

val List(first, second, _*) = list123

matches lists with at least
```

in match expressions

binding rest to xs

```
list123 match {
  case List(1, 2, xs @ _*) => println("first elements are 1, 2, rest is" + xs)
  case (1 :: xs) => println("first element is 1")
  case List() => println("empty list")
  case _ => println("something else")
}
```



PATTERN MATCHING WITH LISTS

Example: equalLists

Haskell:



COLLECTION: PACKAGES UND IMPORTS

Packages

```
□ scala.collections base types
□ scala.collections.immutable immutable collections
□ scala.collections.mutable mutable collections
□ scala.collections.generic internal
```

Implicit imports (mit scala und Predef)

■ Those types are implicitly imported and always available unqualified

□ Lis	st -	scala.collection.immutable.List
□ Ved	tor -	scala.collection.immutable.Vector
□ Set	: -	scala.collection.immutable.Set
□ Map) -	scala.collection.immutable.Map
□ Ite	erable -	scala.collection.Iterable
□ Sed	j -	scala.collection.Seq
□ Ind	lexedSeq -	scala.collection.IndexedSeq



STANDARD IMPLEMENTATIONS

■ The following types are created by default for trait types

```
Iterable(4, 5, 7)
Seq(3, 9, 2)
Implementation: scala.collection.immutable.List
IndexedSeq(3, 9, 2)
Implementation: scala.collection.immutable.Vector
Set(1, 2, 3)
Implementation: scala.collection.immutable.HashSet
Implementation: scala.collection.immutable.HashMap
Implementation: scala.collection.immutable.HashMap
Implementation: scala.collection.immutable.HashMap
```

■ Examples:

```
val trav = Iterable[String]()
val iter = Seq("A", "B")
val iseq = IndexedSeq("F", "H", "P", "U")
val set = Set("A", "B")
val sortedSet = SortedSet("A", "B")
val sortedSet = SortedSet("A", "B")
val sortedMap = SortedMap(1 -> "A", 2 -> "B")
val sortedMap = SortedMap(1 -> "A", 2 -> "B")
scala.collection.immutable.List[String]
scala.collection.immutable.HashSet[String]
scala.collection.immutable.TreeSet[String]
scala.collection.immutable.HashMap[Int, String]
scala.collection.immutable.TreeMap[Int, String]
```

ITERABLE[T]: IMPORTANT METHODS

```
Concatenation
                                ++ (xs2: Trav..)
Test if empty
                                isEmpty
Siez
                                size
                                head
first element
                                tail
rest list
                                last
last element
alle but last
                                init
first n element
                                take(n : T)
element after n elements
                                drop(n : T)
                                mkString(sep)
String with separator
                                foreach(f: T => U)
Iteration
map elements
                                map(f: T => U)
All quantifier
                                forall(pred:..)
exists quantifier
                                exists(pred:..)
Filtering elements
                                filter(pred:..)
                                count(pred:..)
Number with property
Partitioning elements
                                partition(pred:..)
                                . . .
```

```
val coll = Iterable(1, 2) ++ Iterable(3, 4)
val empty = coll.isEmpty
val n = coll.size
val first = coll.head
val rest = coll.tail
val last = coll.last
val firstElems = coll.init
val firstNElems = coll take 3
val lastElems = coll drop 2
val str = coll.mkString(", ")
coll foreach (x => println(x))
val squares = coll.map(x => x * x)
val allEven = coll.forall(x => \times % 2 == 0)
val existsOdd = coll.exists(x => x % 2 == 0)
val evenElems = coll.filter(x => x % 2 == 0)
val nEven = coll.count (x => x \% 2 == 0)
val (even, odds) = coll partition (x => x % 2 == 0)
```

. . .

HIGHER-ORDER FUNCTIONS IN ITERABLE

val numbers = Iterable(1, 2, 3, 4, 5, 6, 7) Examples val squared = numbers.map($(x) \Rightarrow x * x$) □ map ☐ filter val evenNumbers = numbers.filter((x) \Rightarrow x % 2 == 0) □ exists val existsNegative : Boolean = numbers.exists((x) \Rightarrow x < 0) □ forall val allPositive : Boolean = numbers.forall($(x) \Rightarrow x >= 0$) foreach numbers.foreach((x) => println(x)) partition val (smaller5, greaterEqual5) = numbers.partition((x) \Rightarrow x < 5) reduceLeft val sum = numbers.reduceLeft((x, y) => x + y)



ITERABLE[T]: MORE METHODS

- Building pairs
- last n elements
- elements without last n
- ..

```
zip[B](xs: Iterable[B])
takeRight(n: Int)
dropRight(n: Int)
...
```

```
val itrble = Iterable(...)
val pairs = itrble zip (1 to itrble.size)
val last2 = itrble takeRight 2
val exceptLast2 = itrble dropRight 2
...
```



SEQ[T]: IMPORTANT METHODS

- acces by
- Test if index defined
- Index set
- Index of element
- add in front
- add at end
- add until len reached
- change values
- containment
- reverse
- intersection
- **.** . . .

```
apply(i: Int)
isDefinedAt(i: Int)
indices
indexOf(x: T)
+:(x : T)
:+(x : T)
padTo(len: Int, x: T)
updated(i: Int, x: T)
contains(x : Any)
reverse()
intersect(ys: Seq[T])
```

```
var seq = coll.toSeq
val x = seq(i)
if (seq isDefinedAt i)
val indices = seq.indices
val idx = seq indexOf x
                              opeations create
                              new sequences
seq = x +: seq
seq = seq :+ x
seq = seq.padTo(10, 0)
seq = seq.updated(i, y)
val containedX = seq.contains (x)
val rev = seq.reverse
val intersection = seq intersect other
```

SET[T]: IMPORTANT METHODS

```
add element
```

- add some elements
- add elements
- remove an element
- remove elements

- intersection set
- union set
- set difference
- containment
- subset test

```
set = set + 4
+ (x: T)
                          set = set + (5, 6)
+ (x: T*)
                          set = set ++ Iterable(7, 8)
++(x: Iterable[T])
                          set = set - 2
-(x : T)
                          set = set - (3, 5)
- (x : T*)
                          set = set -- Iterable(7, 8)
--(x: Iterable[T])
                          set = Set(1,2, 3) \& Set(2, 3, 4)
& (s: Set[T])
                          set = Set(1,2, 3) \mid Set(2, 3, 4)
(s: Set[T])
                          set = Set(1,2, 3) \& Set(2, 3, 4)
&~ (s: Set[T])
                          val xContained = set contains x
contains(x: T)
                          val isSubset = set subsetOf Set(1,2,3,4,5)
subsetOf(set: Set[T])
```

var set = Set(1, 2, 3)

MAP[T]: IMPORTANT METHODS

- access by key
- access by key with default
- access (exception if not contained)
- test if containd
- keys
- values
- add key value pair
- remove entry with key
- ..

```
get(k: K): Option[V]
getOrElse(k: K, d: V): V
apply(k: K) : V
contains(k : K) : Boolean
keys : Iterable[K]
values : Iterable[V]

+ (k: K, v: V)
- (k : K)
...
```

```
var map = Map(1 -> "A", 2 -> "B")
val vOpt : Option[String] = map.get(2)
val v = map.getOrElse(2, "")
val v = map(2)
if (map.contains(2)) { ...
for (k <- map.keys) { ...
for (v <- map.values) { ...
map = map + (3 -> "C")
map = map - 3
```

FOR LOOP

- for loop for iteration over collections
 - ☐ Iteration over collection

```
for (variable <- collection) { code }</pre>
```

☐ Iteration over collection with elements collected and returned

```
val results = for (variable <- collection) yield { result }</pre>
```

```
val filesInDir = java.io.File(".").listFiles

for (file <- filesInDir) {
   println(file.toString)
}

val fileNames: Seq[String] = for (f <- filesInDir) yield f.getName()</pre>
```



FOR LOOP

□ with filtering of elements

□ nested iteration

```
def fileLines(file: java.io.File) = {
    scala.io.Source.fromFile(file).getLines().toList
}
for (
    file <- filesInDir
    if file.isFile;
    line <- fileLines(file)
    if line.trim.matches(pattern)
) println(file.getName + ": " + line.trim)</pre>

Iteration over lines of files
```



FOR LOOP

- ☐ Iteration over number ranges
 - inclusive upper limit

```
for (i <- from to to) { code }
```

exclusive upper limit

```
for (i <- from until to) { code }</pre>
```

Examples:

Remark: to und until are methods for Int providing Range collections

```
val range1_10 = 1.until(10)

for (i <- range1_10) {
   println(i)
}</pre>
```

Infix-Notation:

```
val range1_10 = 1 until 10
```