#### hochschule mannheim



## **Understanding Eventual Consistency**

MSI Presentation SS2014

Horst Schneider, Patrick Beedgen Hochschule Mannheim

June 17th, 2014

#### Introduction

"...the storage system guarantees that if no new updates are made to the object, eventually all accesses will return the last updated value"
-W. Vogels (2009)

## Introduction

#### Interpretations of Eventual Consistency

## Interpretation 1

"When you read data[...], the response might not reflect the results of a recently completed write operation. The response might include some stale data. Consistency across all copies of the data is usually reached within a second; so if you repeat your read request after a short time, the response returns the latest data."

## Interpretation 2

"This sort of system we term "single writer eventual consistency". So what are its properties?

- (1) A client could read stale data.
- (2) The client could see out-of-order write operations. [...] So this is our weakest form of consistency eventually consistent with out of order reads in the short term."

## Introduction

#### Interpretations of Eventual Consistency

## DynamoDB Documentation

"When you read data[...], the response might not reflect the results of a recently completed write operation. The response might include some stale data. Consistency across all copies of the data is usually reached within a second; so if you repeat your read request after a short time, the response returns the latest data."

## MongoDB Documentation

"This sort of system we term "single writer eventual consistency". So what are its properties?

- (1)A client could read stale data.
- (2) The client could see out-of-order write operations.[...] So this is our weakest form of consistency eventually consistent with out of order reads in the short term."

 disparate and low-level formalisms consistency model is tied to system implementation

- disparate and low-level formalisms consistency model is tied to system implementation
- weak guarantees
   in realistic scenarios updates never stop

- disparate and low-level formalisms consistency model is tied to system implementation
- weak guarantees
   in realistic scenarios updates never stop
- conflict resolution policies resolution of conflicts in multiple replicas

- disparate and low-level formalisms consistency model is tied to system implementation
- weak guarantees
   in realistic scenarios updates never stop
- conflict resolution policies resolution of conflicts in multiple replicas
- combinations of different consistency levels strong consistency may be needed at certain times

- disparate and low-level formalisms consistency model is tied to system implementation
- weak guarantees
   in realistic scenarios updates never stop
- conflict resolution policies resolution of conflicts in multiple replicas
- combinations of different consistency levels strong consistency may be needed at certain times
- $\Rightarrow$  some sort of formalism is needed to define semantics of Eventual Consistency

## Agenda

- Replicated Data Types
- 2 Axiomatic Specification Framework
- **3** Consistency Strengthening Interfaces
- 4 Conclusion / Discussion

• a replicated database stores **objects**  $Obj = \{x, y, \dots\}$ 

- a replicated database stores **objects**  $Obj = \{x, y, \dots\}$
- every object  $x \in \mathrm{Obj}$  has
  - a value  $\in Val$
  - a **type** type $(x) \leftrightarrow \tau$
  - ullet operations  $\operatorname{Op}_{\operatorname{type}(x)}$  that a client can perform on it

- a replicated database stores **objects**  $Obj = \{x, y, \dots\}$
- every object  $x \in \text{Obj has}$ 
  - a value  $\in Val$
  - a **type** type $(x) \leftrightarrow \tau$
  - ullet operations  $\operatorname{Op}_{\operatorname{type}(x)}$  that a client can perform on it
- two examples: Int Register intreg, Counter ctr

$$\begin{aligned} & \text{Op}_{\text{ctr}} = \{\text{rd}, \text{inc}\} \\ & \text{Op}_{\text{intreg}} = \{\text{rd}, \text{wr}(k) | k \in \mathbb{Z}\} \end{aligned}$$

#### Sequential Data Type Specification

in a *strongly consistent system*, the semantics of a data type can be specified by a function:

$$S_{\tau}: \mathrm{Op}_{\tau}^+ \to \mathrm{Val}$$

#### Sequential Data Type Specification

in a *strongly consistent system*, the semantics of a data type can be specified by a function:

$$S_{\tau}: \mathrm{Op}_{\tau}^+ \to \mathrm{Val}$$

$$S_{\text{intreg}}(\sigma \text{ wr}(k)) = S_{\text{ctr}}(\sigma \text{ inc}) = \bot;$$

(e.g. 
$$\sigma = \{ rd \ rd \ wr(5) \ wr(6) \ rd \}$$
 or  $\sigma = \{ rd \ rd \ inc \ inc \ rd \}$ )

#### Sequential Data Type Specification

in a *strongly consistent system*, the semantics of a data type can be specified by a function:

$$S_{\tau}: \mathrm{Op}_{\tau}^+ \to \mathrm{Val}$$

$$S_{\mathrm{intreg}}(\sigma \ \mathrm{wr}(k)) = S_{\mathrm{ctr}}(\sigma \ \mathrm{inc}) = \bot;$$
  
 $S_{\mathrm{ctr}}(\sigma \mathrm{rd}) = (\mathrm{number \ of \ inc \ operations \ in} \ \sigma);$ 

(e.g. 
$$\sigma = \{ rd \ rd \ wr(5) \ wr(6) \ rd \}$$
 or  $\sigma = \{ rd \ rd \ inc \ rd \}$ )

#### Sequential Data Type Specification

in a *strongly consistent system*, the semantics of a data type can be specified by a function:

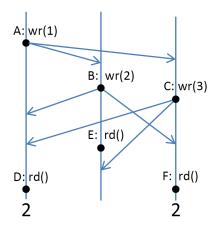
$$S_{\tau}: \mathrm{Op}_{\tau}^+ \to \mathrm{Val}$$

$$S_{\mathrm{intreg}}(\sigma \ \mathrm{wr}(k)) = S_{\mathrm{ctr}}(\sigma \ \mathrm{inc}) = \bot;$$
  
 $S_{\mathrm{ctr}}(\sigma \mathrm{rd}) = (\mathrm{number \ of \ inc \ operations \ in \ } \sigma);$   
 $S_{\mathrm{intreg}}(\sigma \mathrm{rd}) = k;$  if  $\mathrm{wr}(0)\sigma = \sigma_1 \mathrm{wr}(k)\sigma_2$  and  $\sigma_2$  does not contain wr operations

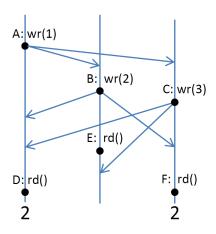
(e.g. 
$$\sigma = \{ rd \ rd \ wr(5) \ wr(6) \ rd \}$$
 or  $\sigma = \{ rd \ rd \ inc \ rd \}$ )

# Replicated Data Types Semantics of Eventual Consistency

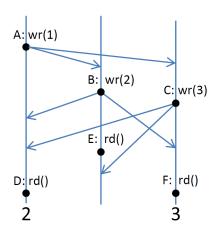
- semantics of eventually consistent systems are harder to formalize
- concurrent operations on the same object happen on multiple replicas
- each replica executes operations immediately, updating other replicas later  $\rightarrow$  conflicts
- different conflict resolution strategies for replicated data types



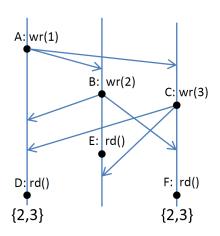
Conflict Resolution Strategies



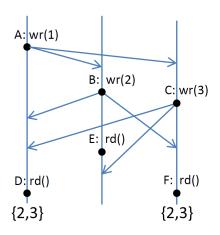
 make concurrent operations commutative



- make concurrent operations commutative
- 2 order concurrent operations



- make concurrent operations commutative
- ② order concurrent operations
- 3 flag conflicts (let the user decide)



- make concurrent operations commutative
- ② order concurrent operations
- 3 flag conflicts (let the user decide)
- 4 resolve conflicts semantically

Replicated Data Type Specification

- $S_{\tau}$  is not strong enough to formalize these strategies
- visibility and order of preceding operations have to be included

Replicated Data Type Specification

- $S_{ au}$  is not strong enough to formalize these strategies
- visibility and order of preceding operations have to be included
- $F_{\tau}$ : takes an **operation context** C and returns a **value**

$$F_{\tau}(C) \in \text{Val}$$

#### Replicated Data Type Specification

- $S_{ au}$  is not strong enough to formalize these strategies
- visibility and order of preceding operations have to be included
- $F_{\tau}$ : takes an **operation context** C and returns a **value**

$$F_{\tau}(C) \in \text{Val}$$

 C adds visibility and arbitration relations to preceding operations:

$$C = (f, V, ar, vis)$$

#### Replicated Data Type Specification

- $S_{\tau}$  is not strong enough to formalize these strategies
- visibility and order of preceding operations have to be included
- $F_{\tau}$ : takes an **operation context** C and returns a **value**

$$F_{\tau}(C) \in \text{Val}$$

 C adds visibility and arbitration relations to preceding operations:

$$C = (f, V, \operatorname{ar}, \operatorname{vis})$$

$$u \xrightarrow{\operatorname{vis}} v, \operatorname{vis} \subseteq V \times V$$

$$u \xrightarrow{\operatorname{ar}} v, \operatorname{ar} \subseteq V \times V$$

# Replicated Data Types Replicated Data Type Specification

## example: Strategy Make Concurrent Calls Commutative

 $F_{\rm ctr}({\rm inc}, V, {\rm vis}, {\rm ar}) = \bot;$ 

 $F_{\text{ctr}}(\text{rd}, V, \text{vis}, \text{ar}) = (\text{the number of inc operations in } V);$ 

#### Replicated Data Type Specification

### example: Strategy Make Concurrent Calls Commutative

$$F_{\rm ctr}({\rm inc}, V, {\rm vis, ar}) = \bot;$$

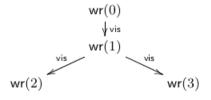
 $F_{\text{ctr}}(\text{rd}, V, \text{vis}, \text{ar}) = (\text{the number of inc operations in } V);$ 

## example: Strategy Order Concurrent Operations

$$F_{\text{intreg}}(f, V, \text{vis, ar}) = S_{\text{intreg}}(V^{\text{ar}}f)$$
  
 $(S_{\tau} : \text{Op}_{\tau}^{+} \to \text{Val})$ 

Replicated Data Type Specification

### example: Strategy Flag Conflicts



writes on a multi value register

Session and Action

- a single client may do several changes to the same object
- sessions provide a way to track client identity for operations
- an action is a tuple (e, s, [x.f:k])
  - e: unique identifier
  - s: session id  $\in$  SId
  - [x.f:k]: object, operation and return value

Session and Action

- a single client may do several changes to the same object
- sessions provide a way to track client identity for operations
- an **action** is a tuple (e, s, [x.f:k])
  - e: unique identifier
  - s: session id  $\in$  SId
  - [x.f:k]: object, operation and return value

$$a = (1af3c, 17, [x.rd : k]); \text{ type}(x) = \text{intreg}$$

# Axiomatic Specification Framework History and Execution

- the set of all actions that happen in a database is denoted as Act
- a history (A, so) is a set of actions  $A \subseteq Act$  and a session order relation  $so \subseteq A \times A$
- an **execution** X = (A, so, vis, ar) enhances the history with visibility and arbitration relations
- we can now extract an operation context for any action in any session, providing a deterministic return value

Levels of Eventual Consistency

- with replicated data types we can define multiple forms of Eventual Consistency
  - Basic Eventual Consistency
  - Session Guarantees
  - Causality
- every form contains multiple axioms
- more axioms mean stronger consistency

#### Basic Eventual Consistency Axioms

- axioms a database implementation has to enforce to offer basic eventual consistency
- Well Formedness Axioms
  - SOwf: **so** is the union of transitive, irreflexive and total orders on actions by each session
  - VISwf:  $\forall a, b. \ a \xrightarrow{\text{vis}} b \Rightarrow obj(a) = obj(b)$
  - ARwf:  $\forall a, b. \ a \xrightarrow{\mathsf{ar}} b \Rightarrow obj(a) = obj(b)$

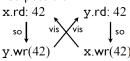
Basic Eventual Consistency Axioms

- Basic Eventual Consistency axioms:
  - THINAIR: so ∪ vis is anticyclic

Basic Eventual Consistency Axioms

- Basic Eventual Consistency axioms:
  - THINAIR: so ∪ vis is anticyclic

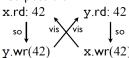
not possible in THINAIR:



Basic Eventual Consistency Axioms

- Basic Eventual Consistency axioms:
  - THINAIR: so ∪ vis is anticyclic

not possible in THINAIR:



• EVENTUAL:  $\forall a \in A. \neg (\exists \text{infinitely many } b \in A. \text{ same}(a, b)) \land \neg (a \xrightarrow{\text{vis}} b))$ 

Problem with basic eventual consistency

TODO: Image explaining photo/noboss example from paper

Session guarantees

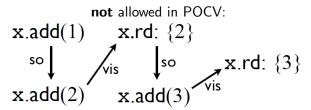
- with basic eventual consistency we still might be reading values out of order
- axioms that formalise that all operation within a session keep the current context consistent:
  - Read Your Writes: An operation sees all previous operations by the same session
  - Writes Follow Reads in Visibility: Arbitration orders an operation after other operations previously seen by the same session
  - ... etc.

Causality Axioms

 Per-object-causal-visibility: POCV guarantees that an operation sees all operations on the same object that causally affect it

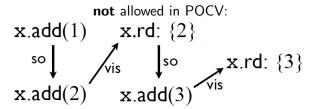
Causality Axioms

 Per-object-causal-visibility: POCV guarantees that an operation sees all operations on the same object that causally affect it



# Axiomatic Specification Framework Causality Axioms

 Per-object-causal-visibility: POCV guarantees that an operation sees all operations on the same object that causally affect it



 Per-object-causal-arbitration: POCA correspondingly restricts the arbitration relation

Online Shopping

- almost everybody does online shopping
  - we put items in our shopping cart
  - we pay them
  - we continue shopping.. or not

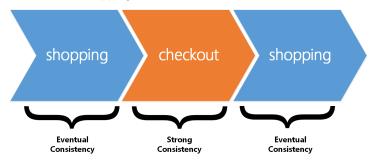
Online Shopping

- almost everybody does online shopping
  - we put items in our shopping cart
  - we pay them
  - we continue shopping.. or not



Online Shopping

- almost everybody does online shopping
  - we put items in our shopping cart
  - we pay them
  - we continue shopping.. or not



**Consistency Annotations** 

 every action accepted by the database has to marked with a consistency annotation

$$(e, s, [x.f_{\mu}:k])\mu \in \{ORD, CSL\}$$

- either ordinary or causal
- ordinary actions behave like we defined previously
- causal actions make all operations performed before the annotations visible to all previous actions

- instead of annotating every single action, a fence can be used
- a **fence** is an **action** where the executing replica forces all its updates on every other replica in the cluster

action 
$$a = (e, s, fence)$$

 the execution of other actions is halted until all replicas acknowledge the receipt

- instead of annotating every single action, a fence can be used
- a **fence** is an **action** where the executing replica forces all its updates on every other replica in the cluster

action 
$$a = (e, s, fence)$$

- the execution of other actions is halted until all replicas acknowledge the receipt
- the database behaves like a **CP** database!

#### Conclusion

- the paper provides a formal way to precisely specify eventually consistent systems
- Every aspect of a system is covered, from data types to client interaction
- specifications are independent of implementation details
- still very theoretical, no tools available to map between specifications and implementation
- the framework is **not suitable for programmers**, as it is very abstract and not easily understandable and applicable
- the paper is still "work in progress"

## Discussion