1、atomic (原子类型)

std::atomic自定义类型的有可能不是lock-free的原因: 1、对齐问题:为了获得最佳的性能,数据需要对齐到特定的地址。不是所有的数据类型都可以保证这种对齐。当一个原子对象跨越多个缓存行时,这可能导致额外的开销,因为CPU需要检查多个缓存行来获取完整的数据。 2、大小问题:如果自定义类型的大小超过一个缓存行的大小,那么在执行原子操作时可能需要访问多个缓存行。这增加了操作的复杂性和开销。 3、实现复杂性:对于内置类型,编译器和标准库可以很容易地利用硬件指令来实现高效的原子操作。但对于复杂的自定义类型,实现高效的原子操作更为复杂。 4、硬件限制:不同的处理器和硬件平台可能有不同的原子操作支持和限制。这可能导致为某些自定义类型实现lock-free原子操作的困难。

2、spin lock(自旋锁)

Linux中的spin_lock其实就是一个int类型的变量,使用CPU的原子指令实现自旋锁,不会挂起线程,一直循环等待。

3、mutex(glibc版本为2.29)

__pthread_mutex_s:

```
struct __pthread_mutex_s
 int __lock __LOCK_ALIGNMENT; //锁
 unsigned int __count;
                              //递归类型加锁次数
 int owner;
                             //当前持有锁的线程ID
 #if! PTHREAD MUTEX NUSERS AFTER KIND
 unsigned int __nusers;
 #endif
 /* KIND must stay at this position in the structure to maintain
     binary compatibility with static initializers. */
 int kind;
                              //锁的类型
  __PTHREAD_COMPAT_PADDING_MID
 #if __PTHREAD_MUTEX_NUSERS_AFTER_KIND
 unsigned int nusers; //当前等待和加锁的线程数
 #if! PTHREAD MUTEX USE UNION
                             //PTHREAD MUTEX ADAPTIVE NP类型相关,用来计算最大
  __PTHREAD_SPINS_DATA;
自旋次数
 __pthread_list_t __list; //线程列表
 # define __PTHREAD_MUTEX_HAVE_PREV 1
 __extension__ union
     __PTHREAD_SPINS_DATA;
     __pthread_slist_t __list;
 # define PTHREAD MUTEX HAVE PREV
```

```
#endif
  __PTHREAD_COMPAT_PADDING_END
};
```

首先需要说明的是lock mutex并不是一定就会有用户态和内核态的切换(具体的实现再写),然后mutex的底层唤醒机制用的是futex。PTHREAD MUTEX LOCK:

```
int PTHREAD MUTEX LOCK(pthread mutex t *mutex)
 //获取mutex的类型
 unsigned int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
 //PTHREAD_MUTEX_ELISION_FLAGS_NP
 if (__builtin_expect (type & ~(PTHREAD_MUTEX_KIND_MASK_NP
                | PTHREAD_MUTEX_ELISION_FLAGS_NP), ∅))
     return __pthread_mutex_lock_full (mutex);
 //PTHREAD MUTEX TIMED NP 默认类型
 if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_NP))
     //调用111 lock加锁
     . . .
 }
 //PTHREAD_MUTEX_RECURSIVE_NP 递归类型
 else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
                == PTHREAD_MUTEX_RECURSIVE_NP, 1))
 {
     //获取当前的线程ID,如果当前的线程ID等于mutex-> data. owner,则累加mutex-
> data. count并返回
     //否则调用111 lock加锁
 }
 //PTHREAD MUTEX ADAPTIVE NP 这个类型为了避免用户态和内核态的切换,会做短暂的自旋操作
 else if ( builtin expect (PTHREAD MUTEX TYPE (mutex)
            == PTHREAD MUTEX ADAPTIVE NP, 1))
 {
     //尝试加锁,如果被锁则短暂的自旋
     if (...)
         //短暂的自旋,超过最大次数则调用111 lock加锁
         . . .
 }
 //PTHREAD MUTEX ERRORCHECK NP类型,
 else
 {
     //如果mutex-> data. owner和当前线程ID相等,则返回EDEADLK,
     //否则调用111 lock (mutex)
 }
```

```
//赋值mutex->__data.__owner等字段
...
return 0;
}
```

详细代码截图如下所示:

```
PTHREAD_MUTEX_LOCK (pthread mutex t *mutex)
  /* See concurrency notes regarding mutex type which is loaded from __kind
    in struct __pthread_mutex_s in sysdeps/nptl/bits/thread-shared-types.h. */
 unsigned int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
 LIBC_PROBE (mutex_entry, 1, mutex);
 if (_builtin_expect (type & ~(PTHREAD_MUTEX_KIND_MASK_NP
                 | PTHREAD MUTEX ELISION FLAGS NP), 0))
   return pthread mutex lock full (mutex);
  if (_glibc_likely (type == PTHREAD_MUTEX_TIMED_NP))
     FORCE ELISION (mutex, goto elision);
   simple:
     /* Normal mutex. */
     LLL_MUTEX_LOCK_OPTIMIZED (mutex);
     assert (mutex->__data.__owner == 0);
#if ENABLE ELISION SUPPORT
 else if ( glibc likely (type == PTHREAD MUTEX TIMED ELISION NP))
     return LLL MUTEX LOCK ELISION (mutex);
#endif
  else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
              == PTHREAD MUTEX RECURSIVE NP, 1))
      /* Recursive mutex. */
     pid t id = THREAD GETMEM (THREAD SELF, tid);
     /* Check whether we already hold the mutex. */
     if (mutex-> data. owner == id)
     if (__glibc_unlikely (mutex->__data.__count + 1 == 0))
       return EAGAIN;
      ++mutex->__data.__count;
```

```
return 0:
   /* We have to get the mutex. */
   LLL MUTEX LOCK OPTIMIZED (mutex);
   assert (mutex->__data.__owner == 0);
   mutex->__data.__count = 1;
else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
         == PTHREAD_MUTEX_ADAPTIVE_NP, 1))
   if (LLL_MUTEX_TRYLOCK (mutex) != 0)
   int cnt = 0;
    int max_cnt = MIN (max_adaptive_count (),
              mutex->__data.__spins * 2 + 10);
    int spin_count, exp_backoff = 1;
   unsigned int jitter = get_jitter ();
   do
       /* In each loop, spin count is exponential backoff plus
      random jitter, random range is [0, exp_backoff-1]. */
       spin_count = exp_backoff + (jitter & (exp_backoff - 1));
       cnt += spin_count;
       if (cnt >= max cnt)
       LLL MUTEX LOCK (mutex);
       break;
       do
     atomic spin nop ();
       while (--spin_count > 0);
       /* Prepare for next loop. */
       exp_backoff = get_next_backoff (exp_backoff);
   while (LLL_MUTEX_READ_LOCK (mutex) != 0
      || LLL_MUTEX_TRYLOCK (mutex) != 0);
   mutex->__data.__spins += (cnt - mutex->__data.__spins) / 8;
   assert (mutex->__data.__owner == 0);
else
   pid t id = THREAD GETMEM (THREAD SELF, tid);
   assert (PTHREAD_MUTEX_TYPE (mutex) == PTHREAD_MUTEX_ERRORCHECK_NP);
   if (__glibc_unlikely (mutex->__data.__owner == id))
 return EDEADLK;
   goto simple;
pid t id = THREAD GETMEM (THREAD SELF, tid);
```

__III_lock_wait自旋操作,这么做的原因是futex可能会被虚假唤醒。 __pthread_mutex_unlock:

```
int
attribute_hidden
pthread mutex unlock usercnt (pthread mutex t *mutex, int decr)
 int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
 if ( builtin expect (type &
         ~(PTHREAD_MUTEX_KIND_MASK_NP|PTHREAD_MUTEX_ELISION_FLAGS_NP), 0))
     return __pthread_mutex_unlock_full (mutex, decr);
 //PTHREAD MUTEX TIMED NP 默认类型
 if (__builtin_expect (type, PTHREAD_MUTEX_TIMED_NP)
     == PTHREAD_MUTEX_TIMED_NP)
 {
     //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用lll_unlock
解锁
      . . .
 }
 else if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_ELISION_NP))
     {
     /* Don't reset the owner/users fields for elision.
     return lll_unlock_elision (mutex->__data.__lock, mutex->__data.__elision,
                     PTHREAD_MUTEX_PSHARED (mutex));
     }
 //PTHREAD MUTEX RECURSIVE NP 递归类型
 else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
```

```
== PTHREAD_MUTEX_RECURSIVE_NP, 1))
 {
     //如果mutex->__data.__owner不是当前线程,返回EPERM
     //mutex->__data.__count做减1操作,如果结果不为0直接返回。某一线程重复加锁
     //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用lll_unlock
解锁
     . . .
 }
 //PTHREAD_MUTEX_ADAPTIVE_NP
 else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
                == PTHREAD_MUTEX_ADAPTIVE_NP, 1))
 {
     //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用111_unlock
解锁
 //PTHREAD_MUTEX_ERRORCHECK_NP
 else
 {
     //检查mutex->__data.__owner和当前线程ID相等,以及当前是否被锁,失败则返回EPERM
     //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用lll_unlock
解锁
 }
}
```

详细代码截图如下所示:

```
attribute hidden
 _pthread_mutex_unlock_usercnt (pthread_mutex_t *mutex, int decr)
 int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
 if ( builtin expect (type &
   ~(PTHREAD_MUTEX_KIND_MASK_NP|PTHREAD_MUTEX_ELISION_FLAGS_NP), 0))
   return __pthread_mutex_unlock_full (mutex, decr);
  if ( builtin expect (type, PTHREAD MUTEX TIMED NP)
     == PTHREAD_MUTEX_TIMED_NP)
   normal:
     mutex->__data.__owner = 0;
      if (decr)
  --mutex->__data.__nusers;
     111_unlock (mutex->__data.__lock, PTHREAD_MUTEX_PSHARED (mutex));
     LIBC_PROBE (mutex_release, 1, mutex);
     return 0;
  else if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_ELISION_NP))
      /* Don't reset the owner/users fields for elision. */
     return lll_unlock_elision (mutex->__data.__lock, mutex->__data.__elision,
       PTHREAD_MUTEX_PSHARED (mutex));
  else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
     == PTHREAD_MUTEX_RECURSIVE NP, 1))
      /* Recursive mutex. */
     if (mutex->_data._owner != THREAD_GETMEM (THREAD_SELF, tid))
  return EPERM;
    if (--mutex->__data.__count != 0)
 return 0;
   goto normal;
  else if (_builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
           == PTHREAD MUTEX ADAPTIVE NP, 1))
   goto normal;
  else
     /* Error checking mutex. */
     assert (type == PTHREAD_MUTEX_ERRORCHECK_NP);
     if (mutex->__data.__owner != THREAD_GETMEM (THREAD_SELF, tid)
    || ! lll_islocked (mutex->_data._lock))
 return EPERM;
     goto normal:
```

PTHREAD_MUTEX_TIMED_NP(默认类型)、PTHREAD_MUTEX_ADAPTIVE_NP在解锁的时候没有判断锁的持有线程是否为当前线程,所以需要注意加锁解锁相对应,也可以用PTHREAD_MUTEX_ERRORCHECK_NP,会做检查,只是性能稍差。

4、条件变量

条件变量在futex被唤醒后,有一个自旋操作,因此条件变量不会由于futex的原因导致虚假唤醒。但消耗信号到重新获取互斥锁这两步不是原子性的,这里会导致存在虚假唤醒的情况。

5、信号量

new_sem:

```
struct new_sem
{
    #if __HAVE_64B_ATOMICS
    /* The data field holds both value (in the least-significant 32 bits) and
        nwaiters. */
    # if __BYTE_ORDER == __LITTLE_ENDIAN
    # define SEM_VALUE_OFFSET 0
    # elif __BYTE_ORDER == __BIG_ENDIAN
    # define SEM_VALUE_OFFSET 1
    # else
    # error Unsupported byte order.
```

```
# endif
       # define SEM_NWAITERS_SHIFT 32
       # define SEM_VALUE_MASK (~(unsigned int)0)
       uint64_t data; //futex监听的地址
                          //是否单个进程私有
       int private;
       int pad;
   #else
       # define SEM VALUE SHIFT 1
       # define SEM_NWAITERS_MASK ((unsigned int)1)
       unsigned int value;
       int private;
       int pad;
       unsigned int nwaiters;
   #endif
};
```

__new_sem_post:

```
int __new_sem_post (sem_t *sem)
 struct new_sem *isem = (struct new_sem *) sem;
 int private = isem->private;
 //当前值
 uint64_t d = atomic_load_relaxed (&isem->data);
  {
     //是否超过最大值SEM_VALUE_MAX(int类型的最大值)
     if ((d & SEM VALUE MASK) == SEM VALUE MAX)
          __set_errno (EOVERFLOW);
         return -1;
     }
 }
 //CAS操作,data字段+1
 while (!atomic_compare_exchange_weak_release (&isem->data, &d, d + 1));
 //如果大于0,则唤醒一个线程
 if ((d >> SEM NWAITERS SHIFT) > ∅)
     futex_wake (((unsigned int *) &isem->data) + SEM_VALUE_OFFSET, 1, private);
 return 0;
}
```

详细代码截图如下所示:

```
__new_sem_post (sem_t *sem)
 struct new sem *isem = (struct new sem *) sem;
 int private = isem->private;
#if __HAVE_64B_ATOMICS
     if ((d & SEM VALUE MASK) == SEM VALUE MAX)
    set errno (EOVERFLOW);
 while (!atomic_compare_exchange_weak_release (&isem->data, &d, d + 1));
 if ((d >> SEM NWAITERS SHIFT) > 0)
   futex_wake (((unsigned int *) &isem->data) + SEM_VALUE_OFFSET, 1, private);
  /* Add a token to the semaphore. Similar to 64b version. */
 unsigned int v = atomic_load_relaxed (&isem->value);
    if ((v >> SEM_VALUE SHIFT) == SEM VALUE MAX)
    set errno (EOVERFLOW);
   return -1;
 while (!atomic_compare_exchange_weak_release
  (&isem->value, &v, v + (1 << SEM_VALUE_SHIFT)));
 if ((v & SEM_NWAITERS_MASK) != 0)
   futex wake (&isem->value, 1, private);
#endif
 return 0;
```

__new_sem_wait:

```
int
__new_sem_wait (sem_t *sem)
{
    //如果data字段大于0·并且CAS data字段做减1操作成功·则直接返回0
```

```
if (...)
     return 0;
 else
 {
     for (;;)
     {
         //如果data字段等于0.则调用futex wait.被唤醒后原子操作data字段做减1操作.成
功break,失败则继续
         if (data == 0)
         {
            futex(wait);
         }
         else
         {
            if (cas(data-1))
                break;
            }
         }
     }
 }
```

详细代码截图如下所示:

```
int
   __new_sem_wait (sem_t *sem)
{
   /* We need to check whether we need to act upon a cancellation request here
   because POSIX specifies that cancellation points "shall occur" in
   sem_wait and sem_timedwait, which also means that they need to check
   this regardless whether they block or not (unlike "may occur"
   functions). See the POSIX Rationale for this requirement: Section
   "Thread Cancellation Overview" [1] and austin group issue #1076 [2]
   for thoughs on why this may be a suboptimal design.

   [1] http://pubs.opengroup.org/onlinepubs/9699919799/xrat/V4_xsh_chap02.html
   [2] http://austingroupbugs.net/view.php?id=1076 for thoughts on why this

*/
   __pthread_testcancel ();

if (__new_sem_wait_fast ((struct new_sem *) sem, 0) == 0)
   return 0;
else
   return __new_sem_wait_slow((struct new_sem *) sem, NULL);
}
```

```
static int
 new_sem_wait_fast (struct new_sem *sem, int definitive_result)
{ ₽
  /* We need acquire MO if we actually grab a token, so that this
     synchronizes with all token providers (i.e., the RMW operation we read
     from or all those before it in modification order; also see sem_post).
     We do not need to guarantee any ordering if we observed that there is
     no token (POSIX leaves it unspecified whether functions that fail
     synchronize memory); thus, relaxed MO is sufficient for the initial load
     and the failure path of the CAS. If the weak CAS fails and we need a
    definitive result, retry. */
#if HAVE 64B ATOMICS
     if ((d & SEM_VALUE_MASK) == 0)
     if (atomic compare exchange weak acquire (&sem->data, &d, d - 1))
 while (definitive result);
  unsigned int v = atomic_load_relaxed (&sem->value);
  do
     if ((v >> SEM_VALUE_SHIFT) == 0)
      if (atomic compare exchange weak acquire (&sem->value,
   &v, v - (1 << SEM_VALUE_SHIFT)))
 return 0;
 while (definitive result);
 return -1;
#endif
 Slow path that blocks.
 _attribute__ ((noinline))
 new_sem_wait_slow (struct new_sem *sem, const struct timespec *abstime)
 int err = 0;
#if __HAVE_64B_ATOMICS
 uint64_t d = atomic_fetch_add_relaxed (&sem->data,
    (uint64_t) 1 << SEM_NWAITERS_SHIFT);</pre>
 pthread_cleanup_push (__sem_wait_cleanup, sem);
     if ((d & SEM_VALUE_MASK) == 0)
```

```
-((uint64 t) 1 << SEM NWAITERS SHIFT));
    d = atomic load relaxed (&sem->data);
    if (atomic_compare_exchange_weak_acquire (&sem->data,
       &d, d - 1 - ((uint64_t) 1 << SEM_NWAITERS_SHIFT)))
 pthread_cleanup_pop (0);
#else
  /* The main difference to the 64b-atomics implementation is that we need to
    set the bit again and waking the number of waiters that could grab a
    of the bit by another waiter that happened before us. This avoids having
    to blindly set the bit whenever we need to block on it. We set/unset
    followed another (i.e., nwaiters was never larger than 1); thus, this
    nwaiters; however, that would result in needing Dekker-like
  unsigned int v;
    MO we use when decrementing nwaiters below; it ensures that if another
```

```
waiter unset the bit before us, we see that and set it again.
 atomic_fetch_add_acquire (&sem->nwaiters, 1);
 pthread_cleanup_push (__sem_wait_cleanup, sem);
 /* Wait for a token to be available. Retry until we can grab one. */
 v = atomic load relaxed (&sem->value);
    do
       if ((v & SEM_NWAITERS_MASK) != 0)
   break;
   while (!atomic_compare_exchange_weak_release (&sem->value,
       &v, v | SEM_NWAITERS_MASK));
    /* If there is no token, wait.
    if ((v >> SEM_VALUE_SHIFT) == 0)
        /* See HAVE 64B ATOMICS variant. */
       err = do_futex_wait(sem, abstime);
       if (err == ETIMEDOUT || err == EINTR)
      __set_errno (err);
     err = -1;
     goto error;
       err = 0;
       v = atomic_load_relaxed (&sem->value);
     /* If there is no token, we must not try to grab one. */
     while ((v >> SEM_VALUE_SHIFT) == 0);
  /* Try to grab a token. We need acquire MO so this synchronizes with
 while (!atomic_compare_exchange_weak_acquire (&sem->value,
     &v, v - (1 << SEM_VALUE_SHIFT)));
error:
 pthread_cleanup_pop (0);
   _sem_wait_32_finish (sem);
 return err;
```

通过源码的注释也能知道当futex被唤醒后,还会有CAS操作来避免内核的虚假唤醒。

6、三者比较

• 1、关于条件变量的虚假唤醒

首先需要说明的是三种底层唤醒机制都是用的futex,但只有条件变量存在虚假唤醒。这是有两个方面导致的,条件变量的实现以及内核的原因,解释如下:

实现方面:futex唤醒和mutex加锁之间的竞态,上面代码中有介绍pthread_cond_wait内部的行为大致为:1、释放mutex。2、调用futex(FUTEX_WAIT, ...)进入休眠。3、被pthread_cond_signal或pthread_cond_broadcast唤醒。4、重新尝试获取 mutex。由于步骤3和4不是原子的,多个被唤醒的线程可能会竞争mutex,导致一些线程在真正获取mutex之前再次进入等待。

内核方面:futex_wait()可能会被虚假唤醒(例如:信号、调度、内核 Bug 等因素)。

而互斥锁、条件变量、信号量都不会因为Linux内核可能出现的spurious wakeup导致虚假唤醒·这是因为互斥锁、条件变量、信号量futex被唤醒后·还会通过原子操作检查监控的值是否满足条件。但条件变量自身的实现机制还是会出现虚假唤醒。