1、atomic (原子类型)

std::atomic自定义类型的有可能不是lock-free的原因: 1、对齐问题:为了获得最佳的性能,数据需要对齐到特定的地址。不是所有的数据类型都可以保证这种对齐。当一个原子对象跨越多个缓存行时,这可能导致额外的开销,因为CPU需要检查多个缓存行来获取完整的数据。 2、大小问题:如果自定义类型的大小超过一个缓存行的大小,那么在执行原子操作时可能需要访问多个缓存行。这增加了操作的复杂性和开销。 3、实现复杂性:对于内置类型,编译器和标准库可以很容易地利用硬件指令来实现高效的原子操作。但对于复杂的自定义类型,实现高效的原子操作更为复杂。 4、硬件限制:不同的处理器和硬件平台可能有不同的原子操作支持和限制。这可能导致为某些自定义类型实现lock-free原子操作的困难。

2、spin lock(自旋锁)

Linux中的spin_lock其实就是一个int类型的变量,使用CPU的原子指令实现自旋锁,不会挂起线程,一直循环等待。

3、mutex(glibc版本为2.29)

__pthread_mutex_s:

```
struct __pthread_mutex_s
   int __lock __LOCK_ALIGNMENT; //锁
   unsigned int __count;
                                //递归类型加锁次数
   int owner;
                                //当前持有锁的线程ID
   #if! PTHREAD MUTEX NUSERS AFTER KIND
   unsigned int __nusers;
   #endif
   /* KIND must stay at this position in the structure to maintain
       binary compatibility with static initializers. */
   int kind;
                                //锁的类型
    __PTHREAD_COMPAT_PADDING_MID
   #if __PTHREAD_MUTEX_NUSERS_AFTER_KIND
   unsigned int __nusers; //当前等待和加锁的线程数
   #if! PTHREAD MUTEX USE UNION
    PTHREAD SPINS DATA;
                               //PTHREAD MUTEX ADAPTIVE NP类型相关,用来计算最
大自旋次数
   __pthread_list_t __list; //线程列表
   # define __PTHREAD_MUTEX_HAVE_PREV 1
    __extension__ union
       PTHREAD SPINS DATA;
       __pthread_slist_t __list;
   # define __PTHREAD_MUTEX_HAVE_PREV
```

```
#endif
  __PTHREAD_COMPAT_PADDING_END
};
```

首先需要说明的是lock mutex并不是一定就会有用户态和内核态的切换(具体的实现再写)·然后mutex的底层唤醒机制用的是futex。__pthread_mutex_lock:

```
int pthread mutex lock (pthread mutex t *mutex)
   //获取mutex的类型
   unsigned int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
   //PTHREAD_MUTEX_ELISION_FLAGS_NP
   if (__builtin_expect (type & ~(PTHREAD_MUTEX_KIND_MASK_NP
                  | PTHREAD_MUTEX_ELISION_FLAGS_NP), 0))
       return __pthread_mutex_lock_full (mutex);
   //PTHREAD MUTEX TIMED NP 默认类型
   if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_NP))
       //调用111 lock加锁
       . . .
   //PTHREAD_MUTEX_RECURSIVE_NP 递归类型
   else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
                  == PTHREAD_MUTEX_RECURSIVE_NP, 1))
   {
       //获取当前的线程ID,如果当前的线程ID等于mutex->__data.__owner,则累加mutex-
> data. count并返回
       //否则调用111 lock加锁
   //PTHREAD MUTEX ADAPTIVE NP 这个类型为了避免用户态和内核态的切换,会做短暂的自旋操
作
   else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
              == PTHREAD MUTEX ADAPTIVE NP, 1))
   {
       //尝试加锁,如果被锁则短暂的自旋
       if (...)
       {
           //短暂的自旋,超过最大次数则调用111 lock加锁
       }
   //PTHREAD MUTEX ERRORCHECK NP类型,
   else
   {
       //如果mutex-> data. owner和当前线程ID相等,则返回EDEADLK,
       //否则调用111 lock (mutex)
       . . .
   }
```

```
//赋值mutex->__data.__owner等字段
...
return 0;
}
```

详细代码截图如下所示:

```
_pthread_mutex_lock (pthread_mutex_t *mutex)
 unsigned int type = PTHREAD MUTEX TYPE ELISION (mutex);
 LIBC_PROBE (mutex_entry, 1, mutex);
 if (__builtin_expect (type & ~(PTHREAD_MUTEX_KIND_MASK_NP
                | PTHREAD MUTEX ELISION FLAGS NP), 0))
    return pthread mutex lock full (mutex);
  if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_NP))
     FORCE_ELISION (mutex, goto elision);
   simple:
     /* Normal mutex. */
     LLL MUTEX LOCK (mutex);
     assert (mutex->__data.__owner == 0);
#ifdef HAVE ELISION
 else if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_ELISION_NP))
     return LLL MUTEX LOCK ELISION (mutex);
#endif
  else if ( builtin expect (PTHREAD MUTEX TYPE (mutex)
               == PTHREAD_MUTEX_RECURSIVE_NP, 1))
     /* Recursive mutex. */
     pid_t id = THREAD_GETMEM (THREAD_SELF, tid);
     /* Check whether we already hold the mutex. */
     if (mutex->__data.__owner == id)
     if (_glibc_unlikely (mutex->_data._count + 1 == 0))
       return EAGAIN;
      ++mutex-> data. count;
```

```
LLL MUTEX LOCK (mutex);
     assert (mutex->__data.__owner == 0);
     mutex->__data.__count = 1;
  else if (_builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
            == PTHREAD_MUTEX_ADAPTIVE_NP, 1))
     if (! __is_smp)
   goto simple;
     if (LLL_MUTEX_TRYLOCK (mutex) != 0)
     int cnt = 0;
     int max_cnt = MIN (MAX_ADAPTIVE_COUNT,
               mutex->__data.__spins * 2 + 10);
         if (cnt++ >= max_cnt)
         LLL_MUTEX_LOCK (mutex);
         break;
         atomic_spin_nop ();
     while (LLL_MUTEX_TRYLOCK (mutex) != 0);
     mutex->_data.__spins += (cnt - mutex->_data.__spins) / 8;
     assert (mutex->__data.__owner == 0);
  else
     pid_t id = THREAD_GETMEM (THREAD_SELF, tid);
     assert (PTHREAD_MUTEX_TYPE (mutex) == PTHREAD_MUTEX_ERRORCHECK_NP);
     if (_glibc_unlikely (mutex->_data._owner == id))
   return EDEADLK;
     goto simple;
 pid_t id = THREAD_GETMEM (THREAD_SELF, tid);
 /* Record the ownership. */
 mutex-> data. owner = id;
#ifndef NO_INCR
 ++mutex->__data.__nusers;
#endif
 LIBC PROBE (mutex acquired, 1, mutex);
 return 0;
```

__III_lock_wait_private汇编代码中,当futex被唤醒后,还会有CAS操作来避免内核的虚假唤醒。 pthread mutex unlock:

```
int
attribute hidden
__pthread_mutex_unlock_usercnt (pthread_mutex_t *mutex, int decr)
   int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
   if (__builtin_expect (type &
           ~(PTHREAD_MUTEX_KIND_MASK_NP|PTHREAD_MUTEX_ELISION_FLAGS_NP), 0))
       return __pthread_mutex_unlock_full (mutex, decr);
   //PTHREAD MUTEX TIMED NP 默认类型
   if ( builtin expect (type, PTHREAD MUTEX TIMED NP)
       == PTHREAD MUTEX TIMED NP)
   {
       //重置mutex-> data. owner, mutex-> data. nusers字段减1,并且调用
111 unlock解锁
       . . .
   }
   else if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_ELISION_NP))
       {
       /* Don't reset the owner/users fields for elision. */
       return lll_unlock_elision (mutex->__data.__lock, mutex->__data.__elision,
                       PTHREAD MUTEX PSHARED (mutex));
   //PTHREAD_MUTEX_RECURSIVE NP 递归类型
   else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
                   == PTHREAD MUTEX RECURSIVE NP, 1))
   {
       //如果mutex-> data. owner不是当前线程,返回EPERM
       //mutex-> data. count做减1操作·如果结果不为0直接返回。某一线程重复加锁
```

```
//重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用
111_unlock解锁
       . . .
   }
   //PTHREAD MUTEX ADAPTIVE NP
   else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
                  == PTHREAD_MUTEX_ADAPTIVE_NP, 1))
   {
       //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用
111_unlock解锁
       . . .
   }
   //PTHREAD_MUTEX_ERRORCHECK_NP
   else
   {
       //检查mutex->__data.__owner和当前线程ID相等,以及当前是否被锁,失败则返回EPERM
       //重置mutex->__data.__owner, mutex->__data.__nusers字段减1,并且调用
111_unlock解锁
   }
}
```

详细代码截图如下所示:

```
attribute hidden
 _pthread_mutex_unlock_usercnt (pthread_mutex_t *mutex, int decr)
 int type = PTHREAD_MUTEX_TYPE_ELISION (mutex);
 if ( builtin expect (type &
   ~(PTHREAD_MUTEX_KIND_MASK_NP|PTHREAD_MUTEX_ELISION_FLAGS_NP), 0))
   return __pthread_mutex_unlock_full (mutex, decr);
  if ( builtin expect (type, PTHREAD MUTEX TIMED NP)
     == PTHREAD_MUTEX_TIMED_NP)
   normal:
     mutex->__data.__owner = 0;
      if (decr)
  --mutex->__data.__nusers;
     111_unlock (mutex->__data.__lock, PTHREAD_MUTEX_PSHARED (mutex));
     LIBC_PROBE (mutex_release, 1, mutex);
     return 0;
  else if (__glibc_likely (type == PTHREAD_MUTEX_TIMED_ELISION_NP))
      /* Don't reset the owner/users fields for elision. */
     return lll_unlock_elision (mutex->__data.__lock, mutex->__data.__elision,
       PTHREAD_MUTEX_PSHARED (mutex));
  else if (__builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
     == PTHREAD_MUTEX_RECURSIVE NP, 1))
      /* Recursive mutex. */
     if (mutex->_data._owner != THREAD_GETMEM (THREAD_SELF, tid))
  return EPERM;
    if (--mutex->__data.__count != 0)
 return 0;
   goto normal;
  else if (_builtin_expect (PTHREAD_MUTEX_TYPE (mutex)
           == PTHREAD MUTEX ADAPTIVE NP, 1))
   goto normal;
  else
     /* Error checking mutex. */
     assert (type == PTHREAD_MUTEX_ERRORCHECK_NP);
     if (mutex->__data.__owner != THREAD_GETMEM (THREAD_SELF, tid)
    || ! lll_islocked (mutex->_data._lock))
 return EPERM;
     goto normal:
```

```
#define __lll_unlock(futex, private)

((void)

({
    int *__futex = (futex);
    int __private = (private);
    int __oldval = atomic_exchange_rel (__futex, 0);
    if (__glibc_unlikely (__oldval > 1))
        | lll_futex_wake (__futex, 1, __private);
    }))

#define lll_unlock(futex, private)

__lll_unlock (&(futex), private)
```

PTHREAD_MUTEX_TIMED_NP(默认类型)、PTHREAD_MUTEX_ADAPTIVE_NP在解锁的时候没有判断锁的持有线程是否为当前线程,所以需要注意加锁解锁相对应,也可以用PTHREAD_MUTEX_ERRORCHECK_NP,会做检查,只是性能稍差。

4、条件变量

```
int
__pthread_cond_signal (pthread_cond_t *cond)
{
    //调用futex(FUTEX_WAKE, ...)
    ...
}
```

5、信号量

new_sem:

```
struct new_sem
{
```

```
#if __HAVE_64B_ATOMICS
       /* The data field holds both value (in the least-significant 32 bits) and
           nwaiters. */
       # if __BYTE_ORDER == __LITTLE_ENDIAN
       # define SEM VALUE OFFSET 0
       # elif BYTE ORDER == BIG ENDIAN
       # define SEM_VALUE_OFFSET 1
       # else
       # error Unsupported byte order.
       # endif
       # define SEM_NWAITERS_SHIFT 32
       # define SEM_VALUE_MASK (~(unsigned int)0)
       uint64_t data; //futex监听的地址
       int private;
                         //是否单个进程私有
       int pad;
   #else
       # define SEM_VALUE_SHIFT 1
       # define SEM NWAITERS MASK ((unsigned int)1)
       unsigned int value;
       int private;
       int pad;
       unsigned int nwaiters;
   #endif
};
```

__new_sem_post:

```
int __new_sem_post (sem_t *sem)
{
   struct new sem *isem = (struct new sem *) sem;
   int private = isem->private;
   //当前值
   uint64_t d = atomic_load_relaxed (&isem->data);
   do
   {
       //是否超过最大值SEM VALUE MAX(int类型的最大值)
       if ((d & SEM VALUE MASK) == SEM VALUE MAX)
            __set_errno (EOVERFLOW);
           return -1;
       }
   //CAS操作,data字段+1
   while (!atomic compare exchange weak release (&isem->data, &d, d + 1));
   //如果大于0,则唤醒一个线程
   if ((d >> SEM NWAITERS SHIFT) > ∅)
       futex_wake (((unsigned int *) &isem->data) + SEM_VALUE_OFFSET, 1,
private);
```

```
return 0;
}
```

详细代码截图如下所示:

```
_new_sem_post (sem_t *sem)
 struct new sem *isem = (struct new sem *) sem;
 int private = isem->private;
#if __HAVE_64B_ATOMICS
 uint64 t d = atomic load relaxed (&isem->data);
     if ((d & SEM VALUE MASK) == SEM VALUE MAX)
    set errno (EOVERFLOW);
 while (!atomic compare exchange weak release (&isem->data, &d, d + 1));
 if ((d >> SEM NWAITERS SHIFT) > 0)
   futex_wake (((unsigned int *) &isem->data) + SEM_VALUE_OFFSET, 1, private);
#else
 /* Add a token to the semaphore. Similar to 64b version. */
 unsigned int v = atomic_load_relaxed (&isem->value);
    if ((v >> SEM VALUE SHIFT) == SEM VALUE MAX)
    __set_errno (EOVERFLOW);
   return -1;
 while (!atomic compare exchange weak release
  (&isem->value, &v, v + (1 << SEM_VALUE_SHIFT)));
  /* If there is any potentially blocked waiter, wake one of them. */
 if ((v & SEM NWAITERS MASK) != 0)
   futex_wake (&isem->value, 1, private);
#endif
 return 0;
```

__new_sem_wait:

```
int
__new_sem_wait (sem_t *sem)
   //如果data字段大于0,并且CAS data字段做减1操作成功,则直接返回0
   if (...)
      return 0;
   else
   {
       //如果data字段等于0.则调用futex wait.被唤醒后原子操作data字段做减1操作
      if (data == 0)
       {
          futex(wait);
          cas(data -1);
       }
       //
       else
       {
          cas(data-1);
       }
   }
}
```

详细代码截图如下所示:

```
int
   __new_sem_wait (sem_t *sem)
{
   /* We need to check whether we need to act upon a cancellation request here
   because POSIX specifies that cancellation points "shall occur" in
   sem_wait and sem_timedwait, which also means that they need to check
   this regardless whether they block or not (unlike "may occur"
   functions). See the POSIX Rationale for this requirement: Section
   "Thread Cancellation Overview" [1] and austin group issue #1076 [2]
   for thoughs on why this may be a suboptimal design.

[1] http://pubs.opengroup.org/onlinepubs/9699919799/xrat/V4_xsh_chap02.html
   [2] http://austingroupbugs.net/view.php?id=1076 for thoughts on why this

*/
   __pthread_testcancel ();

if (__new_sem_wait_fast ((struct new_sem *) sem, 0) == 0)
   return 0;
   else
        return __new_sem_wait_slow((struct new_sem *) sem, NULL);
}
```

```
static int
 new_sem_wait_fast (struct new_sem *sem, int definitive_result)
{ ₽
  /* We need acquire MO if we actually grab a token, so that this
     synchronizes with all token providers (i.e., the RMW operation we read
     from or all those before it in modification order; also see sem_post).
     We do not need to guarantee any ordering if we observed that there is
     no token (POSIX leaves it unspecified whether functions that fail
     synchronize memory); thus, relaxed MO is sufficient for the initial load
     and the failure path of the CAS. If the weak CAS fails and we need a
    definitive result, retry. */
#if HAVE 64B ATOMICS
     if ((d & SEM_VALUE_MASK) == 0)
     if (atomic compare exchange weak acquire (&sem->data, &d, d - 1))
 while (definitive result);
  unsigned int v = atomic_load_relaxed (&sem->value);
  do
     if ((v >> SEM_VALUE_SHIFT) == 0)
      if (atomic compare exchange weak acquire (&sem->value,
   &v, v - (1 << SEM_VALUE_SHIFT)))
 return 0;
 while (definitive result);
 return -1;
#endif
 Slow path that blocks.
 _attribute__ ((noinline))
 new_sem_wait_slow (struct new_sem *sem, const struct timespec *abstime)
 int err = 0;
#if __HAVE_64B_ATOMICS
 uint64_t d = atomic_fetch_add_relaxed (&sem->data,
    (uint64_t) 1 << SEM_NWAITERS_SHIFT);</pre>
 pthread_cleanup_push (__sem_wait_cleanup, sem);
     if ((d & SEM_VALUE_MASK) == 0)
```

```
-((uint64 t) 1 << SEM NWAITERS SHIFT));
    d = atomic load relaxed (&sem->data);
    if (atomic_compare_exchange_weak_acquire (&sem->data,
       &d, d - 1 - ((uint64_t) 1 << SEM_NWAITERS_SHIFT)))
 pthread_cleanup_pop (0);
#else
  /* The main difference to the 64b-atomics implementation is that we need to
    set the bit again and waking the number of waiters that could grab a
    of the bit by another waiter that happened before us. This avoids having
    to blindly set the bit whenever we need to block on it. We set/unset
    followed another (i.e., nwaiters was never larger than 1); thus, this
    nwaiters; however, that would result in needing Dekker-like
  unsigned int v;
    MO we use when decrementing nwaiters below; it ensures that if another
```

```
waiter unset the bit before us, we see that and set it again.
 atomic_fetch_add_acquire (&sem->nwaiters, 1);
 pthread_cleanup_push (__sem_wait_cleanup, sem);
 /* Wait for a token to be available. Retry until we can grab one. */
 v = atomic load relaxed (&sem->value);
   do
       if ((v & SEM_NWAITERS_MASK) != 0)
   break;
   while (!atomic_compare_exchange_weak_release (&sem->value,
       &v, v | SEM_NWAITERS_MASK));
    /* If there is no token, wait.
    if ((v >> SEM_VALUE_SHIFT) == 0)
        /* See HAVE 64B ATOMICS variant. */
       err = do_futex_wait(sem, abstime);
       if (err == ETIMEDOUT || err == EINTR)
      __set_errno (err);
     err = -1;
     goto error;
       err = 0;
       v = atomic_load_relaxed (&sem->value);
     /* If there is no token, we must not try to grab one. */
     while ((v >> SEM_VALUE_SHIFT) == 0);
  /* Try to grab a token. We need acquire MO so this synchronizes with
 while (!atomic_compare_exchange_weak_acquire (&sem->value,
     &v, v - (1 << SEM_VALUE_SHIFT)));
error:
 pthread_cleanup_pop (0);
   _sem_wait_32_finish (sem);
 return err;
```

通过源码的注释也能知道当futex被唤醒后,还会有CAS操作来避免内核的虚假唤醒。

6、三者比较

• 1、关于条件变量的虚假唤醒

首先需要说明的是三种底层唤醒机制都是用的futex,但只有条件变量存在虚假唤醒。这是有两个方面导致的,条件变量的实现以及内核的原因,解释如下:

实现方面:futex唤醒和mutex加锁之间的竞态,上面代码中有介绍pthread_cond_wait内部的行为大致为:1、释放mutex。2、调用futex(FUTEX_WAIT, ...)进入休眠。3、被pthread_cond_signal或pthread_cond_broadcast唤醒。4、重新尝试获取 mutex。由于步骤3和4不是原子的,多个被唤醒的线程可能会竞争mutex,导致一些线程在真正获取mutex之前再次进入等待。

内核方面:futex_wait()可能会被虚假唤醒(例如:信号、调度、内核 Bug等因素)。 而互斥锁和信号量不会因为Linux内核可能出现的spurious wakeup导致虚假唤醒,这是因为互斥锁和信号量futex被唤醒后,还会通过原子操作检查监控的值是否满足条件。