# Energy Efficient Spectrum Sensing and Access In Cognitive Radio Networks

NODES Pizza Seminars

#### Suzan Bayhan

Post-doctoral Researcher
Helsinki Institute for Information Technology HIIT
http://www.hiit.fi/u/bayhan
bayhan@hiit.fi

Collaborators: Fatih Alagoz, Salim Eryigit, Tuna Tugcu, Gurkan Gur

October 2012



#### Outline

- Cognitive Radio in Brief
- 2 Motivations for Energy Efficient Design in CRNs
- 3 Energy Efficient Scheduling in CRNs Enabled via White Space Databases
- 4 Energy-Efficient Scheduling in CRNs Considering PU Interference
- 5 EE Cooperative Sensing Scheduling (with Channel Switching)
- 6 Conclusions



• Why: Radio spectrum is inefficiently used. Smarter schemes: *Dynamic spectrum access* (DSA)



3 / 31

- Why: Radio spectrum is inefficiently used. Smarter schemes:
   Dynamic spectrum access (DSA)
- A Primary User (PU): licensed user



3 / 31

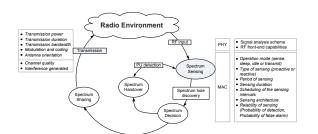
- Why: Radio spectrum is inefficiently used. Smarter schemes:
   Dynamic spectrum access (DSA)
- A Primary User (PU): licensed user
- A spectrum hole: spatiotemporally unused frequency band



- Why: Radio spectrum is inefficiently used. Smarter schemes:
   Dynamic spectrum access (DSA)
- A Primary User (PU): licensed user
- A spectrum hole: spatiotemporally unused frequency band
- What: A Cognitive Radio (CR): smart radio, DSA capability, environment-aware, self-aware, adaptive



- Why: Radio spectrum is inefficiently used. Smarter schemes: *Dynamic spectrum access* (DSA)
- A Primary User (PU): licensed user
- A spectrum hole: spatiotemporally unused frequency band
- What: A Cognitive Radio (CR): smart radio, DSA capability, environment-aware, self-aware, adaptive



CR: a wireless device that can switch from one frequency to another.

**1** Dynamicity of available frequencies:  $f_1, f_2, ..., f_F$  owned by PUs



- **1** Dynamicity of available frequencies:  $f_1, f_2, ..., f_F$  owned by PUs
- PUs must not be interfered: Spectrum sensing, White Spectrum Database Query



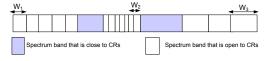
- **1** Dynamicity of available frequencies:  $f_1, f_2, ..., f_F$  owned by PUs
- PUs must not be interfered: Spectrum sensing, White Spectrum Database Query
- 3 Spectrum sensing is not perfect: Probability of detection  $(P_d)$  and probability of false alarm  $(P_{fa})$



- **1** Dynamicity of available frequencies:  $f_1, f_2, ..., f_F$  owned by PUs
- PUs must not be interfered: Spectrum sensing, White Spectrum Database Query
- 3 Spectrum sensing is not perfect: Probability of detection  $(P_d)$  and probability of false alarm  $(P_{fa})$
- **①** Cost of switching from  $f_i$  to  $f_j$ : channel switching overhead. Reduced time available for data transmission, energy consumption.



- **1** Dynamicity of available frequencies:  $f_1, f_2, ..., f_F$  owned by PUs
- PUs must not be interfered: Spectrum sensing, White Spectrum Database Query
- **3** Spectrum sensing is not perfect: Probability of detection  $(P_d)$  and probability of false alarm  $(P_{fa})$
- **②** Cost of switching from  $f_i$  to  $f_j$ : channel switching overhead. Reduced time available for data transmission, energy consumption.
- **5** Spectrum fragmentation:  $f_1 = 100KHz$ ,  $f_2 = 20GHz$





### Outline

- Cognitive Radio in Brief
- 2 Motivations for Energy Efficient Design in CRNs
- 3 Energy Efficient Scheduling in CRNs Enabled via White Space Databases
- 4 Energy-Efficient Scheduling in CRNs Considering PU Interference
- 5 EE Cooperative Sensing Scheduling (with Channel Switching)
- 6 Conclusions



#### Motivations

- CR crucial for xG wireless communications
- Battery-dependent devices
- Energy may be the limiting factor

## Energy efficiency (bits per Joule)

Energy efficiency = 
$$\frac{\text{Number of data bits transmitted (bits)}}{\text{Energy consumed (Joule)}}$$



#### Our objective is

to design *low complexity* schemes for frequency assignment and spectrum sensing in infrastructure based cellular CRNs from an *energy efficiency* viewpoint *without sacrificing the network performance*.



## Our objective is

to design *low complexity* schemes for frequency assignment and spectrum sensing in infrastructure based cellular CRNs from an *energy efficiency* viewpoint *without sacrificing the network performance*.

Energy-Efficient Scheduling in CRNs with WSDBs



## Our objective is

to design low complexity schemes for frequency assignment and spectrum sensing in infrastructure based cellular CRNs from an energy efficiency viewpoint without sacrificing the network performance.

- Energy-Efficient Scheduling in CRNs with WSDBs
- Energy-Efficient Scheduling in CRNs Considering PU Protection



### Our objective is

to design *low complexity* schemes for frequency assignment and spectrum sensing in infrastructure based cellular CRNs from an *energy efficiency* viewpoint *without sacrificing the network performance*.

- Energy-Efficient Scheduling in CRNs with WSDBs
- Energy-Efficient Scheduling in CRNs Considering PU Protection
- Energy-Efficient Cooperative Sensing Scheduling (with Channel Switching)



### Our objective is

to design *low complexity* schemes for frequency assignment and spectrum sensing in infrastructure based cellular CRNs from an *energy efficiency* viewpoint *without sacrificing the network performance*.

- Energy-Efficient Scheduling in CRNs with WSDBs
- Energy-Efficient Scheduling in CRNs Considering PU Protection
- Energy-Efficient Cooperative Sensing Scheduling (with Channel Switching)

#### Our solution approaches

Optimization methods, design of heuristics, network flow, graph algorithms, analytic modeling and simulations



October 2012

Suzan Bayhan (HIIT) Energy Efficiency in CRNs

#### Outline

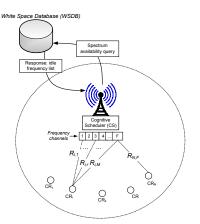
- Cognitive Radio in Brief
- 2 Motivations for Energy Efficient Design in CRNs
- 3 Energy Efficient Scheduling in CRNs Enabled via White Space Databases
- 4 Energy-Efficient Scheduling in CRNs Considering PU Interference
- 5 EE Cooperative Sensing Scheduling (with Channel Switching)
- 6 Conclusions



#### Centralized CRN Model Under Consideration

#### Research question

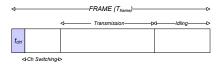
How to allocate idle frequencies out of F frequencies to N CRs such that energy efficiency is maximized? (frequency, CR id)



#### Our solutions

- NLP problem formulation and its optimal solution
- Energy-efficiency maximizing heuristic (Polynomial)
- Throughput max. but with energy consumption restriction
- Energy consumption min. but with minimum throughput guarantees
- Fairness criteria

# Frame organization



- Control messaging (ignored)
- Channel switching (linear function of frequency separation)
- Transmission and Idling

#### Our proposal

- Queue-aware ( $Q_i$ : # bits in CR i's buffer)
- Channel-aware  $(L_{i,f}: \# \text{ bits in CR } i \text{ can send in channel } f)$



# CRN Throughput and Energy Consumption Modeling

### Throughput (R)

- Shannon capacity of a link
- Number of bits in CR's buffer

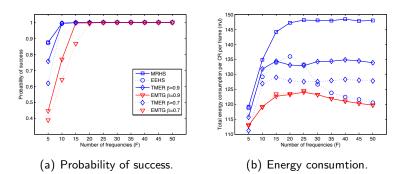
### Energy Consumption (E)

- CRs in transmission state
- CRs in idling state

$$\begin{split} R &= \sum_{f=1}^{F} \sum_{i=1}^{N} X_{i,f} L_{i,f} \text{ bits/frame} \\ E &= \sum_{i \in \mathcal{N}_{tx}} ((P_{tx} + P_c) t_{tx} + P_{id} (T_{frame} - T_{sw} - t_{tx})) \\ &+ \sum_{i \notin \mathcal{N}_{tx}} P_{id} T_{frame} \\ &+ \sum_{i \in \mathcal{N}_{tx}} \sum_{f'=1}^{F} P_{sw} t_{sw} |f - f'| X_{i,f'} \end{split}$$

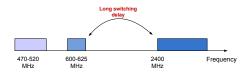


## Contiguous Spectrum



Our energy consumption aware schedulers have the same throughput performance but consume less energy. Max. improvement 23% improvement

## CR Clustering in Fragmented Spectrum



### Effect of Fragmentation in Frequency Domain

- Schedulers assign frequencies to each CR in the same/neighbor fragment
- Decreased opportunity for a CR, decreased competition for a CR.
- If tackled, fragmentation on the average *does not significantly affect* the CRN performance.



13 / 31

October 2012

#### Outline

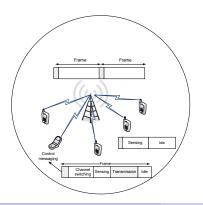
- Cognitive Radio in Brief
- 2 Motivations for Energy Efficient Design in CRNs
- 3 Energy Efficient Scheduling in CRNs Enabled via White Space Database
- 4 Energy-Efficient Scheduling in CRNs Considering PU Interference
- 5 EE Cooperative Sensing Scheduling (with Channel Switching)
- 6 Conclusions



# Energy-Efficient Scheduling in CRNs Considering PU Interference

#### Research question

How should CBS assign frequencies such that all PUs are protected and energy efficiency is maximized?



- CRs apply listen-before-talk
- Sensing time is a function of  $(P_d, P_{fa}, \gamma_f, f_s)$  (Liang et al. [1])
- Control messaging in uplink and downlink
- PU interference ratios below max. tolerable limits



Suzan Bayhan (HIIT)

## Our Solutions

- Formulate utility (energy or throughput efficiency) maximization framework
- Expected interference calculation
- Frame length optimization (short frames due to control overhead are inefficient, long frames are prone to PU collision)

#### Utility maximization framework

$$\max \sum_{i=1}^{N} \sum_{f=1}^{F} P_{idle}^{f} X_{i,f} U_{i,f}$$

$$\tag{2}$$

s.t. 
$$I_{i,f}X_{i,f} \leq \Gamma^f_{thresh}$$

$$I_{i,f} = \frac{\text{Expected interference time of CR} i \text{ at } f}{\text{Mean PU activity duration at } f}$$

Energy Efficiency in CRNs Suzan Bayhan (HIIT)

16 / 31

# Utility of CR i at frequency f

- Sensing is subject to errors: false alarm and misdetection
- $R_{i,f}$ : Expected throughput,  $E_{i,f}$ : Expected energy consumption

$$U_{i,f} = \begin{cases} \frac{R_{i,f}}{E_{i,f}} & \text{, for } EE_{max} \\ \frac{(1-\omega_i)R_{i,f}}{E_{i,f}} & \text{, for } EE_{max} - fair \\ R_{i,f} & \text{, for } Thr_{max} \\ (1-\omega_i)R_{i,f} & \text{, for } Thr_{max} - fair \end{cases}$$



## **Utility Calculation**

- ① Channel switching  $(E_{sw})$
- 2 Spectrum sensing  $(E_s) \Rightarrow$  Four outcomes
- Transmission and idling

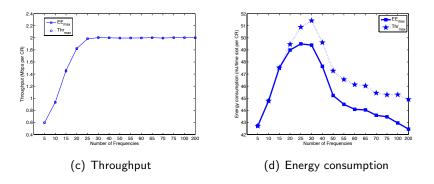
Case	Probability	Throughput	Energy Cons.	Interference Time
1) OPP	$P_{idle}^f(1-P_{fa})$	$(1-q)t_{tx}C_{i,f}$	$P_{tx}t_{tx} + P_{id}(t_r - t_{tx})$	$t_{tx} - \beta_f (1 - \exp(\frac{-t_{tx}}{\beta_f}))$
2) FA	$P_{idle}^f P_{fa}$	0	$P_{id}t_r$	0
3) PUD	$(1-P_{idle}^f)P_d$	0	$P_{id}t_r$	0
4) PUM	$(1-P_{idle}^f)(1-P_d)$	0	$P_{id}t_{tx} + P_{id}(t_r - t_{tx})$	$t_{t \times}$







## Comparison of $EE_{max}$ with $Thr_{max}$ with increasing F



N = 50,  $\lambda_{CR} = 2Mbps$  and  $\Gamma_{thresh} = 0.05$ .

Our scheduler achieves the same throughput but consumes lower energy.

### Outline

- Cognitive Radio in Brief
- 2 Motivations for Energy Efficient Design in CRNs
- 3 Energy Efficient Scheduling in CRNs Enabled via White Space Databases
- 4 Energy-Efficient Scheduling in CRNs Considering PU Interference
- 5 EE Cooperative Sensing Scheduling (with Channel Switching)
- 6 Conclusions



# EE Cooperative Sensing Scheduling

- M frequencies, N CRs,
- CRs sense a subset of channels one after another,
- CBS collects reports (e.g. <  $CR_1$ ,  $f_5$ , 0>, <  $CR_2$ ,  $f_8$ , 1>,) and gives the final decision (e.g.,  $f_1:0$ ,  $f_2:1$ ...)

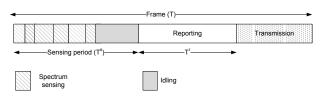


# EE Cooperative Sensing Scheduling

- M frequencies, N CRs,
- CRs sense a subset of channels one after another,
- CBS collects reports (e.g.  $< CR_1$ ,  $f_5$ , 0>,  $< CR_2$ ,  $f_8$ , 1>,) and gives the final decision (e.g.,  $f_1:0$ ,  $f_2:1$ ...)

#### Research question

 Given that sensing must be completed in sensing period and each channel's detection reliability must be above a threshold, how should CBS choose a set of CRs to sense a particular frequency?





## Problem Formulation

#### Merit of our work:

- Heterogeneity among CRs (channel SNR)
- The lower the SNR the longer the required sensing time.
- Mixed Integer Nonlinear Problem  $\rightarrow$
- Outer Linearization Method

**P1:** min 
$$w = \sum_{m=1}^{M} \sum_{n=1}^{N} P^{s} \tau_{m,n} + \sum_{n=1}^{N} E_{n}^{rep} y_{n}$$
 (3)

$$\tau_{m,n} \ge \tau_{m,n}^{min} \mathsf{x}_{m,n} \qquad \forall m \in M, \forall n \in N$$
(4)

$$\sum_{n=1}^{M} \tau_{m,n} \le T^{s} y_{n} \qquad \forall n \in N$$
 (5)

$$\sum_{n=1}^{N} x_{m,n} \ge \delta^{min} \qquad \forall m \in M \tag{6}$$

$$\sum_{n=1}^{N} x_{m,n} \le \delta^{\max} \qquad \forall m \in M \tag{7}$$

$$\sum_{n=1}^{M} x_{m,n} \le M y_n \qquad \forall n \in N$$
 (8)

$$_{th}Q^{d}-Q_{m}^{d}\leq 0 \qquad \forall m\in M \tag{9}$$

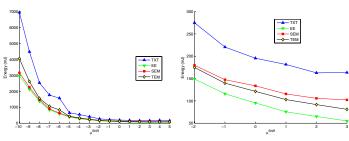
$$\times_{m,n}, y_n \in (0,1) \quad \forall m \in M, \forall n \in N$$
 (10)

$$\tau_{m,n} > 0 \quad \forall m \in M, \forall n \in N, \tag{13}$$



## Performance Analysis

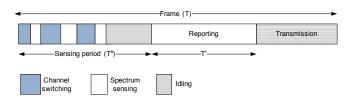
- Optimal energy-efficient solution (EE)
- Transmission time maximization (TXT)
- Sensing energy minimization (SEM)
- Transmission energy minimization (TEM)



(e)  $\mu^{\it SNR}$  between -10 dB and 5 (f)  $\mu^{\it SNR}$  between -2 dB and 3 dB. dB.



# EE Cooperative Sensing Scheduling with Channel Switching

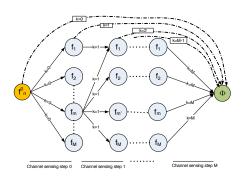


#### Research Challenges

- How to decide on the most EE channel sensing sequence
- For a particular CR, which frequencies should be sensed and in which order?



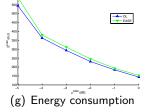
## Problem Formulation using Network Flows



$$\begin{aligned} \text{P1: min } & w = \sum_{m=1}^{M} \sum_{n=1}^{N} P^{s} \tau_{m,n} + \sum_{n=1}^{N} E_{n}^{tx} y_{n} \\ & + P^{cs} t^{cs} \sum_{n=1}^{N} (\sum_{m=1}^{M} |f_{n}^{0} - f_{m}| x_{f_{n}^{0},m,n}^{0} \\ & + \sum_{m=1}^{M} \sum_{\substack{m'=1\\ m' \neq m}}^{M} \sum_{k=1}^{M-1} |f_{m} - f_{m'}| x_{m,m',n}^{k}) \end{aligned}$$

#### Subject to

- Sensing quality related constraints
- Plow related constraints
- Sensing time related constraints





### Outline

- 2 Motivations for Energy Efficient Design in CRNs

- Conclusions



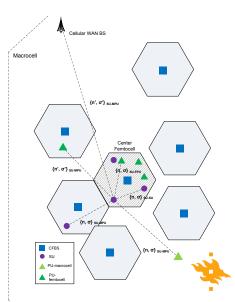
## Summary

- Current CR solutions lack an energy efficiency perspective
- Resource allocation with both throughput and energy efficiency
- Channel-switching-aware, fragmentation-aware, PU-interference-aware design
- Low complexity solutions for EE spectrum sensing and access
- Suzan Bayhan and F.Alagöz, "Scheduling in Centralized Cognitive Radio Networks For Energy Efficiency", accepted, IEEE Transactions on Vehicular Technology, Oct. 2012.
- Salim Eryigit, Suzan Bayhan and Tuna Tugcu, "Energy-Efficient Multi-Channel Cooperative Sensing Scheduling with Heterogeneous Channel Conditions for Cognitive Radio Networks", submitted to IEEE Transactions on Vehicular Technology, 2012..
- Salim Eryigit, Suzan Bayhan and Tuna Tugcu, "Channel Switching Cost Aware and Energy-Efficient Cooperative Sensing Scheduling for Cognitive Radio Networks", submitted to IEEE ICC 2013.
- (in preparation) Suzan Bayhan and F.Alagöz, "Energy Efficiency in Spectrum Sensing and Access in CRNs: A Survey".



## Ongoing/Future Research

- Energy efficient distributed channel access via reinforcement learning
- Energy efficiency analysis of wireless networks with cognitive femtocells
- A framework for energy efficient sensing and transmission
- Network wide energy efficiency analysis → Not a single cell



#### References

- Y. Liang, Y. Zeng, E. Peh, and A. Hoang, "Sensing-throughput tradeoff for cognitive radio networks," *IEEE Transactions on Wireless Communications*, vol. 7, no. 4, pp. 1326–1337, 2008.
- H. Kim and K. G. Shin, "Efficient Discovery of Spectrum Opportunities with MAC-Layer Sensing in Cognitive Radio Networks," *IEEE Transactions on Mobile Computing*, vol. 7, no. 5, pp. 533–545, May 2008.
  - C. Song and Q. Zhang, "Cooperative spectrum sensing with multi-channel coordination in cognitive radio networks," 2010 IEEE International Conference on Communications (ICC), pp. 1–5, 2010.



References

Questions? Comments?

Suzan Bayhan, Post-doctoral researcher, Exactum A 338, http://www.hiit.fi/u/bayhan bayhan@hiit.fi