

# AdS/CFT correspondence and Integrability

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## Plan of Lectures

1. Introduction
2. N=4 Supersymmetric Yang-Mills Theory
3. Hagedorn transition in gauge theory
4. Integrability and TBA

## Notice

If you have any questions, stop me at any moment

Let me know if you think I speak too fast  
(Probably I don't understand Chinese)

Lecture materials will be uploaded; rest assured

I assume the audience knows basics of QFT and string theory

# Introduction

explain title : AdS / CFT correspondence

Physics of gravity on  $\text{AdS}_{d+1}$  spacetime

||

Physics of conformal field theory in d-dim.

Proposed by Juan Maldacena

Hep-th/9711200

Bulk boundary corr. ( $\text{CFT}_d \leftrightarrow \partial \text{AdS}_{d+1}$ )

Realizes large N duality proposed by 't Hooft

Maldacena's setup :

$N \gg 1$  coincident D3-branes on the flat space

closed string description :  
IIB superstring on  $AdS_5 \times S^5$  spacetime  
with  $N$  units of RR flux

$$\sqrt{\lambda} = \frac{R^2}{\alpha'} \gg 1$$

open string description :

$D=4$   $N=4$  super Yang Mills theory  
with gauge group  $SU(N)$

strong-weak duality  $\rightarrow$  hard to 'prove'  $\lambda = N g_m^2 \ll 1$

Comments :

- 1) Hard to quantize covariantly the superstring theories on the spacetime w. RR-flux  



coming from D-branes
- 2)  $N=4$  SYM is a superconformal gauge theory with the central charge  $\frac{\dim \mathrm{SU}(N)}{4} = \frac{N^2 - 1}{4}$
- 3) Many versions of AdS / CFT proposed  
other versions may also be right, but Maldacena's version was studied precisely

# Integrability (title of this lecture)

Liouville (or classical) integrability ;

The system has as many conserved charges  
as the degrees of freedom.

$$q_i(t) - q_i(0) = \int_0^t ds \underbrace{\dot{q}_i(s)}_{\text{constants of motion}}$$

Quantum integrability ;

The system (typically with a mass gap) has  
 $\infty$ -dim symmetry related to Yang-Baxter relation

- Integrable systems are often solved by Ansatz

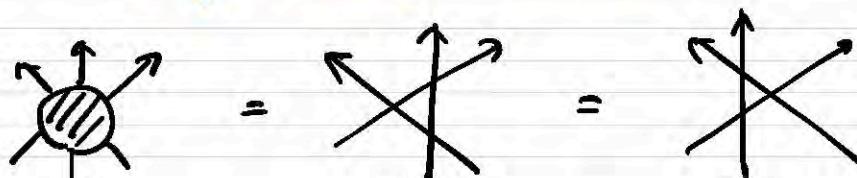
(= working hypothesis)

e.g. Bethe Ansatz

- Integrable QFT is often found in 1+1 dim,  
whose many-body S-matrix factorizes

$$S_{12\cdots n} |a_1 a_2 \cdots a_n\rangle_{in} = |a'_1 a'_2 \cdots a'_n\rangle_{out}$$

$n=3$  : Yang-Baxter relation



YB relations  $\longrightarrow$  Physical observables  
⋮  
(like energy spectrum)

Yangian algebra  $\longrightarrow$   $\infty$  conserved quantities

Well understood (Quantum inverse scattering)

Q: Is a given system integrable?

A: Hard question; check phase space trajectory  
or level-spacing statistics (Berry-Tabor conjecture)

The (maximally supersymmetric) AdS/CFT pair is believed to be integrable at  $N = \infty$

$N=4$  SYM

Superstring on  $\text{AdS}_5 \times S^5$

$\Delta_\alpha(\lambda)$

?

$E_\alpha(\lambda)$

conformal dim. of  
a single-trace operator

energy of a closed string

$\lambda \ll 1$

Integrable System

$E_\alpha^{(\text{int})}(\lambda)$

$\lambda \gg 1$

Comments :

- Integrability  $\rightarrow$  AdS / CFT dictionary
- Application to QCD  $N=4$  SYM predicts "part of" QCD data
- Why integrable ? Other integrable AdS / CFT pairs ?  
good questions, hard
- Exactly solvable  $\neq$  Exactly solved  
needs explicit computation ( $\rightarrow$  Mathematica)
- I discuss partition functions, not spectral problem

# $N=4$ Super Yang - Mills

cf. Sohnius, Physics Reports 128 (1985) 39; D'Hoker Freedman, hep-th/0201253; Minahan, 1012.3983

Lagrangian      trivial dimensional reduction of  $D=10$

$$N=1 \text{ SYM} \rightarrow D=4 \text{ } N=4 \text{ SYM}$$

$$\mathcal{L}_{10d} = -\frac{1}{4g_{YM}^2} \text{tr} (F_{MN} F^{MN}) + \text{fermions}$$

$$F_{MN} = [\nabla_M, \nabla_N], \quad M, N = 0, 1, \dots, 9$$

$$A_N = (A_\mu, \phi_I) \quad \begin{matrix} \mu = 0, 1, 2, 3 \\ I = 4 \sim 9 \end{matrix}$$

Neglecting  $\partial_I$ ,

$$F_{\mu\nu} = [\nabla_\mu, \nabla_\nu]$$

$$F_{\mu I} = -F_{I\mu} = \nabla_\mu \phi_I, \quad F_{IJ} = [\phi_I, \phi_J]$$

$$\text{tr } F_{MN}^2 = \text{tr} \left( F_{\mu\nu}^2 + 2 (\nabla_\mu \phi_I)^2 + [\phi_I, \phi_J]^2 \right)$$

$$\leadsto L_{\text{4d}}$$

### Properties

- All fields are adjoint under  $SU(N)$

$$(A_\mu)_{ij}$$

$$(\Phi_I)_{ij}$$

$$(\lambda_{\alpha\dot{\alpha}})_{ij}$$

$$(\bar{\lambda}_{\dot{\alpha}\dot{\alpha}})_{ij}$$

$$4(N^2-1)$$

$$6(N^2-1)$$

$$4 \times 2(N^2-1)$$

$$4 \times 2(N^2-1)$$

- Maximally supersymmetric in 4d

after requiring  $|h| \leq 2$ ,  $h =$  helicity of fields

- Interacting 4d CFT + UV

scale invariant theory  $\beta(g_M) = 0$

conformal field theory  $\langle T_\mu^\mu \rangle = 0$

$\Rightarrow N=4$  SYM has the global superconformal symmetry

$$\text{psu}(2,2|4) \supset \frac{\text{su}(2,2)}{4\text{d conformal symm}} \times \text{su}(4)_R$$

$$\text{cf. } \text{AdS}_5 \times S^5 = \frac{\text{SO}(2,4)}{\text{SO}(1,4)} \times \frac{\text{SO}(6)}{\text{SO}(5)} \subset \frac{\text{PSU}(2,2|4)}{\text{USp}(2,2) \times \text{USp}(4)}$$

Cartan subalgebra of  $\text{psu}(2,2|4)$

$$\Delta \quad S_1 \quad S_2 \quad J_1 \quad J_2 \quad J_3$$

conformal dim	Lorentz spin	R-charges
non-cpt direction of $\text{AdS}_5$		cpt directions of $\text{AdS}_5 \times S^5$ quantized to integers

# Review of Day 1

AdS/CFT correspondence

Integrability

N=4 super Yang-Mills

Superconformal symmetry  $\text{PSU}(2,2|4)$

# Plan of Day 2

Hagedorn transition in gauge theory

Hagedorn temperature in string theory  
(Hopefully I have time to talk about it)

# Hagedorn transition in gauge theory

We compute thermal partition function of

$N=4$  SYM on  $S^1 \times S^3$  at zero coupling

$$R^4 \longrightarrow R \times S^3 \longrightarrow \frac{S^1 \times S^3}{\text{--- radius } \beta \text{ ---}}$$

polar coord.      compactify  
radial direction      set  
as "time"      radius = 1  
"Hamiltonian" = Dilatation D      using  
conformal symmetry

$$Z_{S^1 \times S^3} = \int D A_\mu D\phi_i D\lambda_A D\bar{\lambda}_A e^{-S} = \text{tr}(e^{-\beta D})$$

We should sum over "gauge invariant" states

← Gauss law constraint on  $S^3$

Derivation    SU(N) current conservation around  $g_{YM} = 0$

$$j^0 = \nabla_\mu F^{0\mu} = \partial_k \partial^k A^0 - \underbrace{\partial_k \partial^0 A^k}_{=0 \text{ if } \partial_k A^k = 0} + \mathcal{O}(g_{YM})$$

Integrate this over  $S^3$

$$Q = \int_{S^3} d^3x \partial_k \partial^k A^0 = 0 \quad \left( \begin{array}{l} \text{false for } \mathbb{R}^3 \text{ due to} \\ \text{extra charge at } \infty \end{array} \right)$$

- Here we say "total charge over  $S^3$  is zero" but non-trivial  $SU(N)$  charge distribution  $\rho(x)$  may exist
- It is a non-trivial question whether elementary degrees of freedom are  $SU(N)$  - singlet or adjoint

$$\text{tr}(\phi_1 \phi_2 \dots)$$

$$(\phi_s)_{ab}$$

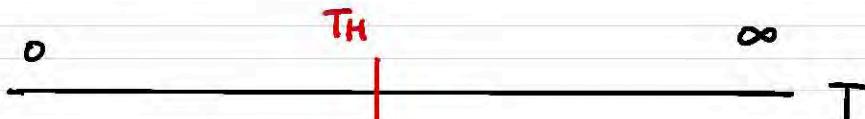
$$= \sum_{a,b,\dots} (\phi_1)_{ab} (\phi_2)_{bc} \dots$$

$$a,b = 1, 2, \dots, N$$

- This "color confinement" is a result of  $S^3$  topology, and not related to strong coupling dynamics of QCD

Define free energy  $Z_{s' \times s^2} = e^{-\beta F}$  ( $\beta = \frac{1}{T}$ )

Expectation :



Confined

$$F \sim \mathcal{O}(1)$$

States ~

multi-trace operators



Deconfined

$$F \sim \mathcal{O}(N^2)$$

Color flux tubes



Phase transition at  $T_H$   
(Hagedorn temperature)

Compute  $\text{tr}(e^{-\beta D})$  in the confined phase, tree-level

Sundborg, hep-th/9908001; Polyakov, hep-th/0110196; Aharony et al. hep-th/0310285

How to count  $\mathcal{Q} = \text{tr}(W^{A_1} W^{A_2} \dots W^{A_L})$  ?

$W^A$ : adjoint of  $SU(N)$ , single letter of  $N=4$  SYM

$$W^A \in \left\{ \begin{array}{l} D_{(\mu_1} D_{\mu_2} \dots D_{\mu_5)} \phi^{\alpha} \\ D \dots D \lambda_{\alpha\dot{\alpha}}, \quad D \dots D \bar{\lambda}_{\dot{\alpha}\dot{\alpha}} \\ D \dots D F_{\mu\nu} \end{array} \right\}$$

Since  $[D_\mu, D_\nu] = F_{\mu\nu}$ , we can symmetrize  $D_{\mu_1} \dots D_{\mu_5}$

Define  $x \equiv e^{-\beta}$

Scalars

$$\phi^I - \underbrace{D^2 \phi^I}_{\text{eom for } \phi^I} \quad (\Delta_0 = 1, I = 1 \sim 6)$$

contribute to  $Z$  by  $6x - 6x^3$

Conformal descendants

$$\phi \rightarrow D_{\mu_1} D_{\mu_2} \cdots D_{\mu_3} \phi, \mu_k = 0 \sim 3$$

$$Z_{\text{scalar}} = \frac{6x - 6x^3}{(1-x)^8}, \quad \frac{1}{(1-x)^4} = 1 + 4x + 10x^2 + \dots$$

$D_\mu \quad D(\mu D_\nu)$

## Fermions

$$\lambda_{\alpha\dot{\alpha}} = \not{D} \lambda_{\alpha\dot{\alpha}}, \quad \bar{\lambda}_{\dot{\alpha}\dot{\alpha}} = \not{D} \bar{\lambda}_{\dot{\alpha}\dot{\alpha}}$$

( $\alpha = 1, 2$ ,  $\dot{\alpha}, \dot{\dot{\alpha}} = 1, 2$ )

$$Z_{\text{fermions}} = \frac{16 x^{3/2} - 16 x^{5/2}}{(1-x)^4}$$

note: fermions are **anti-periodic** by going around  $S^1_\beta$ .

$$(e^{2\pi i z})^{1/2} = -z^{1/2}$$

## Gauge fields

$$F_{\mu\nu} = [\nabla_\mu, \nabla_\nu] \quad (\mu, \nu = 0 \sim 3) \rightsquigarrow \frac{6x^2}{(1-x)^4}$$

Subtract equations of motion & Bianchi identities

$$\left\{ \begin{array}{l} D^\mu F_{\mu\nu} = 0 \\ D^\mu \tilde{F}_{\mu\nu} = \epsilon_{\mu\nu\rho\sigma} D^\rho F^{\sigma\mu} = 0 \end{array} \right.$$

Since both eqs have one  $D$ ,  $\rightarrow -\frac{8x^3}{(1-x)^3}$

Consider trivial identities

$$X_{\mu\nu} \equiv (D^\mu D^\nu + D^\nu D^\mu) F_{\mu\nu} = 0$$

$$\tilde{X}_{\mu\nu} \equiv (D^\mu D^\nu + D^\nu D^\mu) \tilde{F}_{\mu\nu} = 0$$

no sum over  $\mu, \nu$

$$X_{\mu\nu} = -X_{\nu\mu} \longrightarrow 6 \text{ constraint eqs} \quad ①$$

However, we have already subtracted  $D(EoM)$  or

$$\sum_\mu X_{\mu\nu} = \sum_\mu (D^\mu D^\nu + D^\nu D^\mu) F_{\mu\nu} \quad (\nu=0 \sim 3) \quad ②$$

$\rightarrow$  Doubly count 4 eqs in ①

Note that  $X$  itself is antisymmetric

$$\sum_{\mu, \nu} X_{\mu\nu} = 0$$

$\rightarrow$  Doubly count 1 eq in ②

$$\therefore) 6 - 4 + 1 = 3, \text{ same for } \tilde{X}_{\mu\nu} \rightarrow \frac{-6x^4}{(1-x)^4}$$

$$Z_{\text{gauge}} = \frac{6x^2}{(1-x)^4} - \frac{8x^3}{(1-x)^3} - \frac{6x^4}{(1-x)^2}$$

Now define the single letter function

$$\zeta(x) = \underbrace{\zeta_B(x)}_{\text{scalar + gauge}} + \underbrace{\zeta_F(x)}_{\text{fermions}}$$

$$= \frac{2x(3-\sqrt{x})}{(1-\sqrt{x})^3}$$

$$= 6x + 16x^{3/2} + (24+6)x^2 + \dots$$

$$\phi^I \quad \lambda_a, \bar{\lambda}_{\dot{a}} \quad D_r \phi^I, F_{\mu\nu}$$

The  $N=4$  SYM partition fn on  $S^1 \times S^3$  at  $g_m \sim 0$

~ Partition fn of multi-trace operators

= Plethystic exponential of single-trace partitions



= Polya counting of  
single letter function

- We can apply combinatorial theory (for zero chem. pot)
- Partition fn  $\neq$  Superconformal index (SUSY-protected)

## Pólya Enumeration Theorem

$$\text{Domain} = \{1, 2, \dots, L\} , \quad \text{Range} = \{Z, Y\}$$

Single-trace op

$$\text{tr } \underbrace{(ZZ \cdots YY \cdots)}_L \leftrightarrow \text{Map} \left( \mathbb{Z}_L \xrightarrow{\text{Domain}} \text{Range} \right)$$

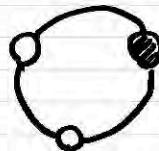
weight function  $C(x, y) = x + y$ , such that

$$z^m y^n \text{ correspond to } Z^m Y^n \quad (m+n=L)$$

In our setup  $C(x, y) \rightarrow S(x)$  with  $x = e^{-\beta}$

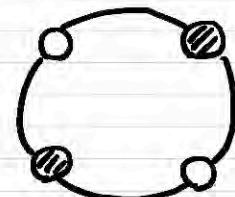
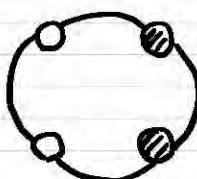
Examples

$z^2 w$



same for  $zw^2$

$z^2 w^2$



$$Z = 1 + (x+y) + (x^2 + xy + y^2)$$

$$+ (x^3 + x^2y + xy^2 + y^3)$$

$$+ (x^4 + x^3y + \underline{2x^2y^2} + xy^3 + y^4) + \dots$$

The generating fn for the number of single-trace ops made of  $(Z, Y)$  is

$$Z^{\text{Polya}}(x, y) = \sum_{L=1}^{\infty} \frac{1}{L} \sum_{h|L} \text{Tot}(h) c(x^h, y^h)^{L/h}$$

cycle index for  $Z_L$

$\text{Tot}(h)$  = Euler Totient's function

$$= \sum_{d=1}^h \delta(\gcd(h, d), 1) \quad (= 1, 1, 2, 2, 4, \dots)$$

$$\Rightarrow Z^{\text{Polya}}(x, y) = - \sum_{h=1}^{\infty} \frac{\text{Tot}(h)}{h} \log(1 - c(x^h, y^h))$$

In  $N=4$  SYM,

$$Z_{\text{single}}(x) = -S(x) - \sum_{n=1}^{\infty} \frac{\text{Tot}(n)}{n} \log \left[ 1 - S((-1)^{n+1} x^n) \right]$$

$\underbrace{\text{tr } W = 0}$

for  $SU(N)$

$\underbrace{\text{fermions are anti-periodic}}$

by going around  $S^1_F$

$((-1)^n \text{ or } (-1)^{n+1} \text{ is non-trivial})$

$$= 21x^2 + 96x^{5/2} + 376x^3 + \dots$$

$$\text{tr}(\phi^I \phi^J)$$

$$\text{tr}(\phi^I \lambda_a)$$

$$\text{tr}(\phi^I \bar{\lambda}_a)$$

$$\text{tr}(\phi^I \phi^J \phi^K) \quad 76$$

$$\text{tr}(\phi^I D_\mu \phi^J) \quad 144$$

$$\text{tr}(\phi^I F_{\mu\nu}) \quad 36$$

Multi-trace partition function is

$$Z_{\text{multi}}(x) = \exp \left( \sum_{m=1}^{\infty} \frac{1}{m} Z_{\text{single}}(x^m) \right)$$

$$= \exp \left( - \sum_m \frac{1}{m} \Im(x^m) \right) \cdot \prod_{m=1}^{\infty} \frac{1}{1 - \Im(x^m)}$$

$$\leftrightarrow \text{tr}(e^{-\beta D})$$

$Z_{\text{single}}$  and  $Z_{\text{multi}}$  diverges if  $\Im(x_H) = 1$ .

$$x_H = 7 \pm 4\sqrt{3} = \{ 0.07, 13.9 \}$$

- is correct because  $e^{-\beta} < 1$  for  $\beta > 0$

## Further references

Spradling, Volovich, hep-th/0408178

Bianchi, hep-th/0409304

Yaffe, Yamada, hep-th/0602074

Bianchi et al., hep-th/0609179

# Hagedorn temperature in string theory

Sundborg, Nucl. Phys. B254 (1985) 583

$$\text{tr}(\chi^D) \sim \sum_m C_{m/2} \chi^{m/2}, \quad \chi = e^{-\beta} < 1$$

Naively large  $m$  terms are small because  $\chi < 1$

However, if the number of states grows exponentially

$$C_{m/2} \sim \exp\left(\alpha \frac{m}{2}\right) \text{ with } \alpha \geq \beta, \text{ then}$$

$$\text{tr}(\chi^D) \sim \sum_m e^{\frac{m}{2}(\alpha - \beta)} \rightarrow \infty$$

Hagedorn  
behavior

Spacetime (not worldsheet) partition function of  
 IIB superstring theory on  $S^1_p \times \mathbb{R}^9$  (at  $g_s = 0$ )

$\simeq$  Partition fn of supergravity on  $S^1_p \times \mathbb{R}^9$  with  
 $\infty$  many particles with mass  $\alpha' m^2 = 0, 4, 8, \dots$

$$Z = \int \prod_n [d\phi_n d\psi_n] e^{-\sum_n S[\phi_n, \psi_n]}$$

$$\ln Z = \int dm \rho(m) \int \frac{d^9 k}{\sqrt{k^2 + m^2}} \ln \left( \frac{1 + e^{-\beta \sqrt{k^2 + m^2}}}{1 - e^{-\beta \sqrt{k^2 + m^2}}} \right)$$

density of states w. mass  $m$

## Density of states from string

usual CFT techniques  $|0\rangle, \alpha_-^{\mu} |0\rangle, \psi_-^{\mu} |0\rangle,$

$\alpha_{-1}^{\mu} \alpha_{-1}^{\nu} |0\rangle, \alpha_{-2}^{\mu} |0\rangle, \dots$

in NS-NS sector

Bosons  $[\alpha_m^{\mu}, \alpha_n^{\nu}] = m \eta^{\mu\nu} \delta_{m+n,0} + \text{right movers}$

Fermions  $\{\psi_m^{\mu}, \psi_n^{\nu}\} = \eta^{\mu\nu} \delta_{m+n,0} + \text{right movers}$

mass  $\alpha' m^2 = 4N_{\text{tot}} = 4(N_B + \bar{N}_B + N_F + \bar{N}_F)$

We are interested in  $N_{\text{tot}} \gg 1$

Bosons

$$(\alpha_{-m})^p (\bar{\alpha}_{-n})^g |0\rangle \quad \text{for all } p, g \in \mathbb{Z}_{\geq 0}$$

$$\sum_p \sum_g x^{mp} \bar{x}^{ng} = \frac{1}{1-x^m} \frac{1}{1-\bar{x}^n} \quad (m, n \in \mathbb{Z}_{\geq 1})$$

- We impose the level matching conditions later

- There are 8 physical directions  $\alpha_{-m}^\mu$  ( $\mu = 2 \sim 9$ )

Fermions

$$(\psi_m)^p (\bar{\psi}_n)^g |0\rangle, \quad p, g = 0, 1$$

$$\sum_p \sum_g x^{mp} \bar{x}^{ng} = (1+x^m)(1+\bar{x}^n)$$

Summing over  $\delta_B + \delta_F$  directions

$$\begin{aligned} \text{tr}(x^N \bar{x}^{\bar{N}}) &= \prod_{n=1}^{\infty} \left( \frac{1+x^n}{1-x^n} \right)^8 \left( \frac{1+\bar{x}^n}{1-\bar{x}^n} \right)^8 \\ &\equiv \sum_{n, \bar{n}} d_{n, \bar{n}} x^n \bar{x}^{\bar{n}} \end{aligned}$$

Impose level matching ( $n = \bar{n}$ )

$$\prod(x) = \sum_N d_{N, N} x^{2N} = \frac{1}{\vartheta_4(0|t)^{16}}$$

Elliptic Theta ,  $z = e^{\pi i t}$

The physical partition function is

$$\sim \Pi_{NS,NS} + \Pi_{NS,R} + \Pi_{R,NS} + \Pi_{R,R}$$

not important for computing  $P(m)$

$$d_{N,N} = \oint_{z=0} \frac{dz}{2\pi i} \frac{\Pi(z)}{z^{2N+1}} , \quad \Pi(z) \text{ is singular at } z=1$$

$$\Pi(z) \sim \left( \frac{1-z}{4\pi} \right)^{\delta} \exp \left( 2\pi^2 \frac{1+z}{1-z} \right)$$

The saddle point is shifted as  $z \sim 1 - \pi \sqrt{\frac{2}{N}}$

Thus

$$d_{N,N} \sim \frac{e^{4\pi\sqrt{2N}}}{4096 N^4}, \text{ using } \alpha'^m^2 = 4N_{\text{tot}}$$

$$\rho(m) \sim \frac{e^{m\sqrt{8\pi^2\alpha'}}}{16(\alpha'm^2)^4}$$

Substitute this result into the spacetime partition fn.

$$\ln Z = \int dm p(m) \int \frac{d^3 k}{\sqrt{k^2 + m^2}} \ln \left( \frac{1 + e^{-\beta \sqrt{k^2 + m^2}}}{1 - e^{-\beta \sqrt{k^2 + m^2}}} \right)$$

$$= v_d(S^q) \sum_{n: \text{odd}} \frac{2}{n} \int \frac{dk \cdot k^q}{\sqrt{k^2 + m^2}} e^{-n\beta \sqrt{k^2 + m^2}}$$

$\left(\frac{m}{n}\right)^+ K_q(n\beta m)$

$$\ln Z \sim \sum_n \int dm p(m) K_q(n\beta m) \quad \text{modified Bessel f.}$$

$K_q$

$$\sim \sum_n \int_0^\infty dm \exp \left[ m \sqrt{\beta \tau^2 \alpha'} - mn\beta \right]$$

Diverges at  $n=1$  if  $\beta \leq \beta_H = \sqrt{\beta \tau^2 \alpha'}$ ; Hagedorn temperature

# Review of Day 2

Hagedorn transition in N=4 SYM

Hagedorn temperature in superstring

# Plan of Day 3

Thermodynamics of AdS gravity

Integrability in N=4 SYM

# Reply to questions in Day 2

Atick, Witten, Nucl. Phys. B310 (1988) 291

Kruczenski, Lawrence, hep-th/0508148

Hagedorn transition in string theory



closed string winding modes around  
thermal circle become tachyonic

Closed string tachyon condensation

→ deforms background spacetime

Consistent with Hawking - Page transition ( $\text{AdS} \rightarrow \text{AdS-BH}$ )

# Thermodynamics of AdS gravity

References:

Hawking, Page, Comm. Math. Phys. 87 (1983)

Witten, hep-th/9803131

Reviews:

Natsuume, 1409.3575

Hartman, Lectures on Quantum Gravity and Black Holes

$\text{AdS}_5 \times S^5$  superstring



$\alpha' \rightarrow 0$   
neglect massive  
string modes

$\text{AdS}_5 \times S^5$  IIB supergravity



neglect towers of KK modes  
neglect fermions

$\text{AdS}_5$  gravity

## Gravity action

$$S = - \frac{1}{16\pi G_N} \int dz \sqrt{-g} (R - 2\Lambda)$$

$$\Lambda = -\frac{6}{L^2} \quad \text{for } AdS_5 \quad (L: AdS \text{ radius})$$

$$\text{EoM: } R_{\mu\nu} - \underline{\frac{R}{2} g_{\mu\nu}} + \Lambda g_{\mu\nu} = 0$$

Einstein spent  $\sim 10$  years to find this term (?)

$AdS$  is non-cpt  $\Rightarrow$  Need to regularize  $S$  by adding various boundary (& boundary counter) terms

## Black hole temperature (conical defect trick)

Write the BH metric

$$ds^2 = -V dt^2 + \frac{dr^2}{V} + r^2 d\Omega_3$$

$g_{tt}=0$  or  $V(r_0)=0$  is the event horizon

Introduce imaginary time  $t=i\tau$ , and expand the metric  $r=r_0+\epsilon$ ,  $\epsilon \ll 1$

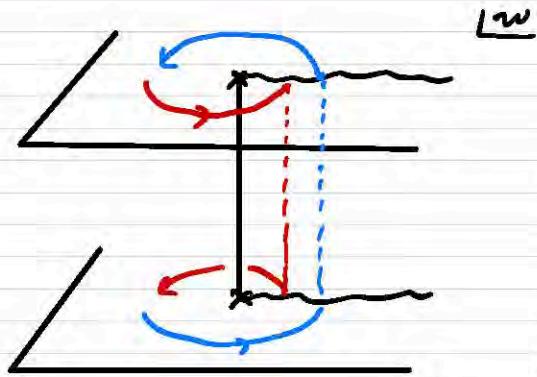
$$ds^2 \sim \epsilon V'(r_0) d\tau^2 + \frac{d\epsilon^2}{\epsilon V'(r_0)} + \dots$$

Requires that  $ds^2 = dR^2 + R^2 d\Theta^2 + \dots$

$$= dz d\bar{z} + \dots, \quad z = Re^{i\Theta}$$

No conical singularity at  $z=0$  if  $\Theta \sim \Theta + 2\pi$

$$z = \sqrt{w}$$



The branch point  
"x" has curvature  
in GR

(also in CFT  
"defect operator")

$$ds^2 = \epsilon V' d\tau^2 + \frac{d\epsilon^2}{\epsilon V'} + \dots = R^2 d\Theta^2 + dR^2 + \dots$$

$$\frac{d\epsilon}{dR} = \sqrt{\epsilon V'}, \quad \epsilon(R=0) = 0 \quad \Rightarrow \quad \epsilon = \frac{R^2 V'}{4}$$

then  $ds^2 = \left(\frac{R V'}{2}\right)^2 d\tau^2 + dR^2 + \dots$

$$d\tau = \beta = \frac{1}{T} \quad \text{corresponds to} \quad d\Theta = 2\pi$$

$$\Rightarrow T = \frac{V'}{4\pi} \quad (\text{Bekenstein-Hawking temperature})$$

CFT<sub>4</sub> on S<sub>p</sub><sup>1</sup> × S<sup>3</sup>

↔ AdS<sub>5</sub> BH with spherical (not planar) horizon

$$ds^2 = -f dt^2 + \frac{dr^2}{f} + \frac{r^2}{L^2} \sum dx_i^2 \quad (\text{planar})$$

$$f = \frac{r^2}{L^2} \left(1 - \frac{r_0^4}{r^4}\right)$$

•  $ds^2 = -V dt^2 + \frac{dr^2}{V} + r^2 d\Omega_3 \quad (\text{spherical})$

$$V = 1 + \frac{r^2}{L^2} - \frac{\mu}{r^2} \quad \mu \sim \text{BH mass}$$

$d\hat{s}^2$  is invariant under the rescaling

$$(x_i, r, r_0) \rightarrow (ax_i, a^{-1}r, a^{-1}r_0)$$

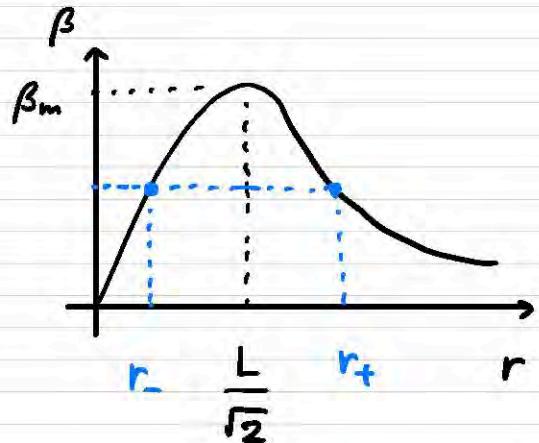
corresponding to the scale invariance in CFT,

$ds^2$  is not invariant under the rescaling

→ possible phase transition at a finite  $\beta$

conical defect trick  $\Rightarrow$

$$\beta = \frac{4\pi}{V'} = \frac{2\pi r_+ L^2}{L^2 + 2r_+^2}$$



$$\beta_m = \frac{\pi L}{\sqrt{2}}$$

minimum BH temp.

For  $\beta < \beta_m$ , small BH ( $r_-$ ) and large BH ( $r_+$ ) exist

Large BH  $\sim$  dominant contribution to  $Z = e^{-S}$   
 new object in AdS (specific heat  $> 0$ )

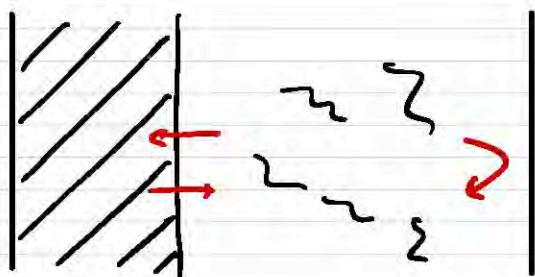
Small BH  $\sim$  similar to flat space BH  
 (specific heat  $< 0$ )

Physically AdS is a finite-size box

BH and thermal radiation  $\rightarrow$  equilibrium

BH free energy increases by absorbing radiation

Radiation reflects back by AdS boundary



## On-shell Euclidean Action

Compute  $Z = e^{-S} \equiv e^{-\beta F}$  for

various solutions  $\left\{ \begin{array}{l} \text{small / large BH,} \\ \text{thermal AdS if } \mu \sim r_+^2 \rightarrow 0 \end{array} \right.$

---

Bulk : Einstein - Hilbert term (cutoff at  $r = \frac{1}{\epsilon} \gg 1$ )

Boundary : Gibbons - Hawking - York term  $\left( \text{from } \delta g_{\mu\nu} \Big|_{\frac{1}{\epsilon}} = 0 \right)$

AdS counter terms

"holographic renormalization"

$$I = - \frac{1}{16\pi G_N} \int d^5x \sqrt{g} (R(g) - 2\Lambda)$$

EH

$$+ \frac{1}{8\pi G_N} \int d^4x \sqrt{h} (K_{AdS} - K_{flat})$$

GHY

$$r=1/\epsilon$$

$$+ \frac{1}{8\pi G_N} \int_{r=1/\epsilon} d^4x \sqrt{h} \left( \frac{3}{L} + \frac{L}{4} R(h) \right)$$

c.t.

$h_{ab}$  : metric on the horizon  $\partial M$  at  $r=r_*$

$K$  : extrinsic curvature on  $\partial M$  ( $n_\nu$  : normal to  $\partial M$ )

$$2K = h^{\mu\nu} \nabla_\mu n_\nu = h^{\mu\nu} \partial_r h_{\mu\nu} = \frac{\partial_r \sqrt{h}}{\sqrt{h}}$$

By substituting the metric

$$I_{AdS-Sch} = \frac{\pi\beta}{32 G_N L^2} \left\{ 3L^4 + 4L^2 r_+^2 - 4r_+^4 \right\}$$

$$\mu = \left( \frac{r_+}{L} \right)^2 (L^2 + r_+^2)$$

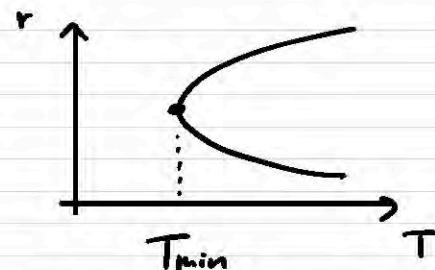
large  $r_+ \Rightarrow \Xi = e^{-I}$  becomes large

Compare  $I_{AdS-Sch}$  and  $I_{th}$  (thermal AdS,  $r_+ = 0$ )

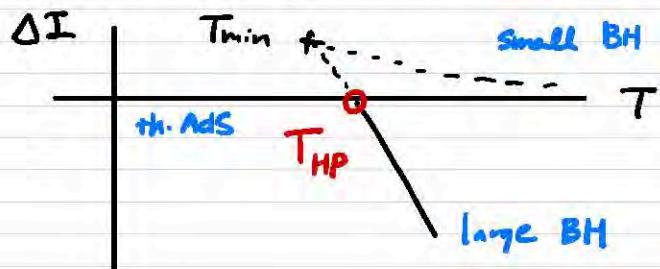
$$\Delta I \equiv I_{AdS-Sch} - I_{th} = \frac{\pi\beta}{8 G_N} \left( \frac{r_+}{L} \right)^2 (L^2 - r_+^2)$$

Recall  $\beta_{BH} = \frac{2\pi r_+ L^2}{L^2 + 2r_+^2}$

$$T_{min} = \frac{\sqrt{2}}{\pi L}$$



Express  $\Delta I$  as a function of  $T$



$$\Delta I(r_+ = L) = 0$$

$$T_{HP} = \frac{3}{2\pi L}$$

$T < T_{HP}$  : thermal AdS is stable

$T > T_{HP}$  : large BH is stable (Hawking - Page transition)

Comment

Hagedorn temp. of flat superstring ,  $T_H = \frac{1}{2\sqrt{2}\alpha' \pi}$

Hawking - Page temperature ,  $T_{HP} = \frac{3}{2\pi L}$

$$\left( \frac{T_H}{T_{HP}} \right)^2 = \frac{L^2}{18\alpha'} = \frac{\sqrt{\lambda}}{18}$$

If  $\sqrt{\lambda} < 18$  ,  $T_H < T_{HP}$

massive string modes contribute to BH transition

more than gravitons (massless string modes)

## Half BPS states in $N=4$ SYM

Introduce  $SU(3)$  notation

$$Z = \phi^5 + i\phi^6, \quad \bar{Z} = \phi^5 - i\phi^6, \quad Y = \phi^3 + i\phi^4$$

$$\bar{Y} = \phi^3 - i\phi^4, \quad X = \phi^1 + i\phi^2, \quad \bar{X} = \phi^1 - i\phi^2$$

$SU(N)$  Wick rule, with  $i, j, k, l = 1, 2, \dots, N$

$$(\overline{\phi^I})_i : i (\phi^J)_k^l = \delta^{IJ} \left( \delta_i^l \delta_k^j - \frac{\delta_i^j \delta_k^l}{N} \right)$$

$$\overline{XX} = \overline{YY} = \overline{Z\bar{Z}} = 0 \quad \text{but} \quad Z\bar{Z} \neq 0$$

Half-BPS  $\sim [0, L, 0]$  irrep of  $SU(4)_R$   
 symmetric traceless scalars

$$\mathcal{O}^{IJ} = \text{tr}(\phi^I \phi^J) - \frac{\delta^{IJ}}{6} \text{tr}(\phi^k \phi^k)$$

$$\mathcal{O}^{zz} = \text{tr} Z^2, \quad \mathcal{O}^{z2..z} = \text{tr} Z^L$$

HWS (highest weight states) of  $SU(4)_R$

$$su(4) \ni j \sim$$

$$\begin{pmatrix} X\partial_x & Y\partial_x & Z\partial_x \\ X\partial_y & Y\partial_y & Z\partial_y \\ X\partial_z & Y\partial_z & Z\partial_z \end{pmatrix}$$

Annihilates  
 $\text{tr} Z^L$

Introduce  $\Gamma$ -matrices for SUSY transformations

$$4d : \quad \gamma_\mu = \begin{pmatrix} (\delta_\mu)_{\alpha\dot{\beta}} \\ (\delta_\mu)_{\dot{\alpha}\beta} \end{pmatrix} \quad \alpha, \beta, \dot{\alpha}, \dot{\beta} = 1, 2 \\ \mu = 0 \sim 3$$

$$6d : \quad (\gamma_I)_{ab} = - (\gamma_I)_{ba} \quad I = 1 \sim 6 \quad a, b = 1, 2, 3, 4$$

$$\gamma_{\mu\nu} = [\gamma_\mu, \gamma_\nu] = \begin{pmatrix} (\delta_{\mu\nu})_{\alpha\beta} \\ (\delta_{\mu\nu})_{\dot{\alpha}\dot{\beta}} \end{pmatrix}$$

Then  $F_{\mu\nu} \rightarrow F_{\alpha\beta}^+ = F_{\beta\alpha}^T, \quad F_{\dot{\alpha}\dot{\beta}}^- = F_{\dot{\beta}\dot{\alpha}}^- \quad \underline{3 \oplus 3}$

$$\phi_I \rightarrow \phi_{ab} = -\phi_{ba} \quad \underline{6}$$

$N=4$  supercharges       $Q_{\alpha\dot{\alpha}}, \tilde{Q}_{\dot{\alpha}}^b \quad (a, b = 1 \sim 4)$

$$[0, 2, 0] \quad Q^{abcd} = \text{tr}(\phi^{ab}\phi^{cd}) - \frac{\epsilon^{abcd}\epsilon_{efgh}}{24} \text{tr}(\phi^{ef}\phi^{gh})$$

Then

$$Q_{\alpha\dot{\alpha}} \phi_{bc} = \epsilon_{abcd} \lambda_{\dot{\alpha}}^d$$

$$(\phi_{12}, \phi_{34}) = (\bar{z}, z) \Rightarrow Q_{1\dot{\alpha}} \bar{z} = Q_{2\dot{\alpha}} \bar{z} = 0 \quad \underline{\text{BPS}}$$

Also,

$$\begin{cases} Q_{\alpha\dot{\alpha}} Q_{\beta\dot{\beta}} Q_{\gamma\dot{\gamma}} Q_{\delta\dot{\delta}} Q^{abcd} \sim F_{\alpha\beta}^+ F_{\gamma\delta}^+ \\ \bar{Q} \bar{Q} \bar{Q} \bar{Q} Q^{abcd} \sim F_{\dot{\alpha}\dot{\beta}}^- F_{\dot{\gamma}\dot{\delta}}^- \end{cases}$$

$\text{tr } F_{\mu\nu}^2 \in N=4$  Lagrangian  $\Rightarrow g_{YM}$  is SUSY protected.

## Spin Chain

Operators close to  $\text{tr} z^L$ ;

$$\text{tr}(z^{L-2} \chi^2) \sim \text{tr}(zz\cdots z\chi z\cdots z\chi z\cdots zz)$$

$\chi$  : any single letter , adjoint rep of  $SU(N)$

These operators are generally non-BPS , and

mix under renormalization

→ Need to **diagonalize** quantum dilatation operator

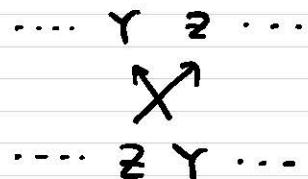
or anomalous dimension matrix

$$\Delta O_a = M_{ab} O_b \rightarrow \Delta O_\alpha = \gamma_\alpha O_\alpha$$

Case of  $\chi = Y$

1-loop dilatation :  $\Delta_1 = \frac{\lambda}{8\pi^2} \text{tr} \left( [Y, Z] [\partial_Y, \partial_Z] \right)$

$\Delta_1$  interchanges  $Y$  and  $Z$



→ Identical to the spectral problem of 1D spin chain

$$(Z, Y) \longleftrightarrow (\uparrow, \downarrow)$$

$$\text{tr}(ZZ \dots YY \dots) \longleftrightarrow |\uparrow\uparrow\dots\downarrow\downarrow\rangle \text{ with PBC}$$

$$\Delta_{\text{1-loop}} \longleftrightarrow (\lambda/8\pi^2) H_{xxx}$$

## The XXX Hamiltonian

$$H_{XXX} = \sum_{k=1}^L (I_{k,k+1} - P_{k,k+1})$$

$$I_{k,k+1} | \dots a_k b_{k+1} \rangle = | \dots a_k b_{k+1} \dots \rangle$$

$$P_{k,k+1} | \dots a_k b_{k+1} \rangle = | \dots b_k a_{k+1} \dots \rangle$$

$$(a_k, b_k) \in (\uparrow, \downarrow)$$

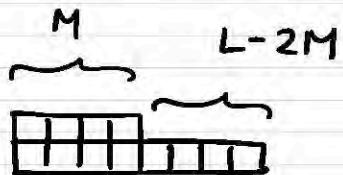
If we introduce  $2 \times 2$  Pauli matrices  $\{\sigma_k^+, \sigma_k^-, \sigma_k^z\}$

$$H_{XXX} = \frac{1}{2} \sum_{k=1}^L (I_{k,k+1} - \vec{\sigma}_k \cdot \vec{\sigma}_{k+1})$$

$\text{XXX}$  model is integrable, and its energy spectrum is given by solving Bethe Ansatz Equations

cf. Faddeev, hep-th/9605187; Doikou et al., 0912.3350

$$\left\{ \begin{array}{l} H_{XXX} \Psi_\alpha = E_\alpha \Psi_\alpha \\ \Psi_\alpha \text{ is HWS of } su(2) \text{ for} \end{array} \right.$$



↑ "equivalence" is tricky (Mathematica)

$$\left\{ \begin{array}{l} E = \sum_{j=1}^M \epsilon(u_j), \quad \epsilon(u) = \frac{1}{4u^2 + 1} \\ \left( \frac{u_j + i/2}{u_j - i/2} \right)^L = \prod_{k \neq j}^M \frac{u_j - u_k + i}{u_j - u_k - i} \end{array} \right. \quad \text{BAE for } \text{XXX}_{y_2}$$

# Review of Day 3

Thermodynamics of AdS gravity

Integrability in N=4 SYM

# Plan of Day 4

All-loop asymptotic spin chain

TBA for Hagedorn temperature

Free energy (if I have an extra time)

$N=4$  SYM has all-loop integrable structure.

The integrability method typically gives the prediction:

$$(\text{Observable}) = (\text{asymptotic}) + (\text{wrapping})$$

$2pt, 3pt, \dots$  mostly determined by symmetry  $\infty$  sum over gluon scattering, ... virtual particles

"asymptotic"  $\leftrightarrow$   $\infty$  long background

e.g.  $L \rightarrow \infty$  in  $\tau \in \mathbb{Z}^L$

"wrapping"  $\leftrightarrow$  finite size corrections

This structure is valid at any  $\lambda$  (and  $N = \infty$ )

# Asymptotic spin chain

Beisert, hep-th/0511082, nlin/0610017  
Komatsu, Wang, 2004.09514

$N=4$  SYM exhibits perturbative integrability

(also superstring on  $\text{AdS}_5 \times S^5$  is classically integrable)

}

All-loop integrability (at large  $N$ )

The idea is to take the limit  $L \rightarrow \infty$  in  $\tau \in \mathbb{Z}^L$

~~Periodicity~~ → central extension of global symmetry

?

Part of  $\infty$ -dim algebra (Yangian)

$$\chi \in \left( \begin{array}{cc} D_{\alpha\dot{\alpha}} & \lambda_{\alpha\dot{\alpha}} \\ \bar{\lambda}_{\dot{\alpha}\dot{\alpha}} & \Phi_{ab} \end{array} \right) \quad a,b,\alpha,\dot{\alpha} = 1,2$$

$\underbrace{x, Y, \bar{x}, \bar{Y}}$

$$(\phi_a | \psi_\alpha)_L \otimes (\bar{\phi}_b | \bar{\psi}_{\dot{\beta}})_R \sim \chi$$

$$(2|2)_L \otimes (2|2)_R \sim \delta_B \oplus \delta_F$$

- $\chi$  satisfies  $\Delta_0 - J_3 = 1$
- Other letters (e.g.  $\bar{z}$ ,  $D\bar{\Phi}$ ,  $D\lambda$ ) have  $\Delta_0 - J_3 = 2$   
 $\rightarrow$  bound states of the asymptotic spin chain

Algebra  $\text{psu}(2|2) \ltimes \mathbb{R}$

$$J \in \{ R^a{}_b, L^\alpha{}_\beta, Q^\alpha{}_a, S^\beta{}_\beta, \hat{C} \}$$

$\underbrace{\phantom{L^\alpha{}_\beta}}_{\text{su}(2)^2 \text{ rotation}}$      $\underbrace{\phantom{Q^\alpha{}_a}}_{\text{super}}$      $\underbrace{\phantom{S^\beta{}_\beta}}_{\text{superconformal}}$      $\overline{\hat{C}}$   
center  
 $(\Delta - J)$

satisfying

$$\{ Q^\alpha{}_a, S^\beta{}_\beta \} = \delta^\alpha_\beta R^b{}_a + \delta^b_a L^\alpha{}_\beta + \delta^b_a \delta^\alpha_\beta \hat{C}$$

Central extension :  $\text{psu}(2|2) \ltimes \mathbb{R}^3$

$$\{ Q^\alpha{}_a, Q^\beta{}_b \} = \epsilon^{\alpha\beta} \epsilon_{ab} \hat{P}$$

$$\{ S^\alpha{}_a, S^\beta{}_\beta \} = \epsilon^{ab} \epsilon_{\alpha\beta} \hat{K}$$

## One-particle states

$$|\chi(p)\rangle = \sum e^{ip^a} \underbrace{|zz\cdots z\overset{\swarrow}{x}\cdots zz\rangle}_{L \text{ fields}}$$

$|ABC\cdots\rangle$  means  $\text{tr}(ABC\cdots)$ , forgetting trace cyclicity

In the limit  $L \rightarrow \infty$ , this state is invariant (up to const) under the addition / removal of  $z$ 's. Then, the additional centers  $\hat{P}, \hat{K}$  can be non-trivial on  $|\chi(p)\rangle$

**Caution:** In  $N=4$  SYM  $\text{tr}(zz\cdots z\overset{\swarrow}{x}\cdots zz)$  is BPS descendant  
"one-particle state" is a mathematical building block.

Write  $|X(p)\rangle = |X_L\rangle \otimes |X_R\rangle$ ,  $X_{L,R} \in (2|2)$

The charges  $\{Q, S\}$  act on  $|X_L\rangle$  as (same for  $X_R$ )

$$Q_a^\alpha |\phi^b\rangle = A \delta_a^b |\psi^\alpha\rangle, \quad Q_a^\alpha |4^b\rangle = B \epsilon^{ab} \epsilon_{\alpha\beta} |2^+ \phi^b\rangle$$

$$S_a^\alpha |\phi^b\rangle = C \epsilon^{ab} \epsilon_{\alpha\beta} |2^- 4^\beta\rangle, \quad S_a^\alpha |4^\beta\rangle = D \delta_a^\beta |\phi^\alpha\rangle$$

Commutation relations of  $psu(2|2) \propto \mathbb{R}^3$  give

$$\hat{C}|x\rangle = \frac{AD + BC}{2}|x\rangle, \quad \hat{P}|x\rangle = AB|x\rangle, \quad \hat{K}|x\rangle = CD|x\rangle$$
$$\underbrace{\{Q, Q\}}_{= \{S, S\}}$$

$$\underbrace{Q \cdot 1}_{= \{Q, S\}} = AD - BC$$

Based on perturbative data, introduce variables

$$A = \sqrt{f} \gamma, \quad B = \frac{\sqrt{f}}{\gamma} \left(1 - \frac{x^+}{x^-}\right)$$

$$C = \frac{i\sqrt{f} \gamma}{x^+}, \quad D = \frac{\sqrt{f} x^+}{i\gamma} \left(1 - \frac{x^-}{x^+}\right)$$

$$AD - BC = 1 \Leftrightarrow x^+ + \frac{1}{x^+} - x^- - \frac{1}{x^-} = \frac{i}{f}$$

We can solve it by Zhukowski map  $x = x(u)$ ,

$$x(u) + \frac{1}{x(u)} = u, \quad x^\pm(u) = x\left(u \pm \frac{i}{2f}\right)$$

With  $\frac{x^+}{x^-} = e^{ip}$  (from  $\chi(p)$ ), the center becomes

$$2\hat{C} = \frac{1 + \frac{1}{x^+ x^-}}{1 - \frac{1}{x^+ x^-}} = \sqrt{1 + 4f^2 \sin^2 \frac{p}{2}}$$

$( = \Delta - J_3 = E(p) \text{, elliptic dispersion relation w. mass=1} )$

$f$  is an arbitrary function of the 't Hooft coupling

$$\text{In } N=4 \text{ SYM, } 4f^2 = \frac{\lambda}{\pi^2} \quad (\equiv 4g^2)$$

In ABJM, comparison w. BPS Wilson lines (localization)  
fixes this function

Gromov, Sizov, 1403.1894

## Two-particle states

$$|\chi_a(p_1) \chi_b(p_2)\rangle$$

$$\begin{aligned} &= \sum_{n_1 \ll n_2} \left( e^{ip_1 n_1 + ip_2 n_2} |z \dots z \overset{n_1}{\chi_a} z \dots z \overset{n_2}{\chi_b} z \dots \rangle \right. \\ &\quad \left. + e^{ip_1 n_2 + ip_2 n_1} S_{ab}^{cd}(p_1, p_2) |z \dots z \chi_c z \dots z \chi_d z \dots \rangle \right) \\ &+ (n_1 \sim n_2) \quad \text{this is 2-particle S-matrix} \end{aligned}$$

2-particle states form  $16^2$ -dimensional (reducible)  
representation of  $\text{psu}(2|2)^2 \times \mathbb{R}^3$

- We impose that the S-matrix commutes with all generators of  $\text{psu}(2|2)^2 \times \mathbb{R}^3$
- $S_{ab}^{cd}(p_1, p_2)$  determined up to overall factor (dressing factor)
- This  $\text{psu}(2|2)^2$ -invariant S-matrix satisfies Yang-Baxter relations, unitarity and crossing
- The entire algebra is part of Yangian of  $\text{psu}(2|2)$

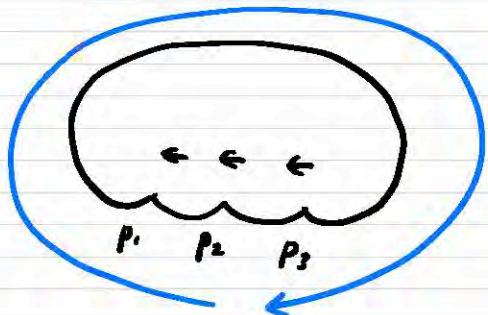
## Asymptotic Bethe Ansatz Equations

$$\Delta - J_3 = \sum_{k=1}^M \sqrt{1 + f(g) \sin^2 \frac{p_k}{2}}$$

momentum is determined by BAE

Bethe Ansatz  $\sim$  Periodic boundary conditions

→ Now  $L (= J_3)$  is large but finite, neglect finite  $L$  corrections



We go around the spin chain

$$PBC \rightarrow "1 = e^{-ip_j L} \prod_{k \neq j} S(p_j, p_k)"$$

Explicit form of  $\text{psu}(2|2)^2$  BAE is complicated

$$x_s(u) \equiv \frac{u}{2} \left( 1 + \sqrt{1 - \frac{4}{u^2}} \right),$$

$$x_k^\pm \equiv x_s(u_k \pm \frac{i}{g}) \quad , \quad g = \frac{\sqrt{\lambda}}{2\pi} \quad (= \text{string tension})$$

$$\left( \frac{x_k^+}{x_k^-} \right)^L = \prod_{l \neq k}^{\kappa^r} S_0(u_k, u_l) \frac{x_k^+ - x_l^-}{x_k^- - x_l^+} \sqrt{\frac{x_k^+ x_l^-}{x_k^- x_l^+}} \times$$

$$\prod_{\alpha=L,R}^{\kappa_L} \prod_{m=1}^{\kappa_\alpha} \frac{x_k^- - y_m^{(\alpha)}}{x_k^+ - y_m^{(\alpha)}} \sqrt{\frac{x_k^+}{x_k^-}}$$

+ 4 more equations for auxiliary Bethe roots  $(y^{(\alpha)}, \omega^{(\alpha)})$

## Dressing factor

$$S(x_1^\pm, x_2^\pm) = \underbrace{S_0(x_1^\pm, x_2^\pm)}_{\text{scalar}} \left[ \hat{S}_{\text{SU}(2|2)_L} \otimes \hat{S}_{\text{SU}(2|2)_R} \right]$$
$$x_j^\pm = x(u_j \pm \frac{i}{2g})$$

matrix determined by symmetry

Perturbative dilatation / energy spectra  $\Rightarrow S_0 \neq 1$

e.g. Higher loop computation of QFT predicts

highly **transcendental** numbers  $\zeta(3), \zeta(5), \dots$

But BAE (without phase) gives irrational but **algebraic** numbers (polynomial roots)

One way to obtain  $S_0$  is to solve crossing relations

$$\begin{array}{ccc} \text{Diagram: } & & \\ \text{a} & \nearrow & \bar{a} \\ \text{---} & \cap & \text{---} \\ 0 & & 0 \end{array} = \begin{array}{ccc} \text{Diagram: } & & \\ \text{a} & \curvearrowleft & \bar{a} \\ \text{---} & & \text{---} \end{array}$$
$$S_{0a} S_{0\bar{a}} = 1$$

If a test particle (0) scatters against a pair of  
particle & anti-particle ( $a, \bar{a}$ ) , then S-matrix is trivial

anti-particle ( $E<0$ ) is mathematically given by  
the crossing transformation ,  $x^\pm \rightarrow 1/x^\pm$

- Note that "crossing symmetry" is natural only from the viewpoint of worldsheet theory (2d QFT).

From  $N=4$  SYM / spin chain, this symmetry is mysterious

- Solutions of the crossing equations are not unique, due to the ambiguity of periodic (crossing-inv.) functions  $\rightsquigarrow$  CDD factor

Beisert, Eden, Staudacher, hep-th/0610251

- We don't need an explicit form of  $S_0(x_1^\pm, x_2^\pm)$  in Quantum Spectral Curve (= rewriting of TBA)

# TBA for Hagedorn temperature

Harmark, Wilhelm, 1706.03074 and 1803.04416

Recall the  $N=4$  SYM partition fn on  $S^1_\beta \times S^3$

$$\text{tr}(e^{-\beta D}) = \exp \left( \sum_{n=1}^{\infty} \frac{1}{n} Z_{\text{single}}(e^{-n\beta}) \right)$$

We look for the largest  $\beta = \beta_*(\lambda)$  (smallest  $T_*$ )

s.t. the partition function diverges at any  $\lambda$

Perturbative computation  $\rightarrow$  can focus on  $n=1$

Rewrite dilatation  $D = D(\lambda=0) + \delta D$

Expand the single-trace partition as

$$Z_{\text{single}}(e^{-\beta}) = \sum_{m=2} \text{tr} e^{-\beta \left[ \frac{m}{2} + \delta D \left( \frac{m}{2} \right) \right]} = \sum_m e^{-\beta F_m}$$

$F_m \sim \begin{cases} \text{Sum of anomalous dim. of all single-traces} \\ \text{with the canonical dim. } D_0 = m/2 \end{cases}$

Cauchy's root test:  $\sum_n a_n$  converges / diverges if

$$\lim_{n \rightarrow \infty} (a_n)^{1/n} = r \text{ satisfies } r < 1 / r > 1$$

$\therefore$  roughly  $\sum_n a_n \sim \sum_n n^c r^n$  for a fixed  $c$

In our case .

$$\lim_{m \rightarrow \infty} \exp\left(-\frac{\beta}{m} F_m\right) \rightarrow r \stackrel{?}{=} 1$$

$$\log r \sim -\frac{\beta}{m} \left\{ \frac{m\beta}{2} + \underbrace{\log \text{tr} \left[ e^{\beta S D \left( \frac{m}{2} \right)} \right]}_{= \frac{m\beta}{2} F(T)} \right\} \stackrel{?}{=} 0$$

Hagedorn temperature :  $F(T_H) = -1$

$F \leftrightarrow$  sum of anomalous dim. of very long operators

$\leftrightarrow$  thermodynamic limit of  $psu(2|2)^2$  Bethe Ansatz

# TBA equations

Zamolodchikov, Nucl.Phys.B342(1990)695

We sum the (fuc) energies over the states which  
solve Bethe Ansatz Equations in a thermodynamic limit  
 $L \rightarrow \infty, M \rightarrow \infty, \frac{M}{L}$  fixed

Free energy

$$F = E - TS - T \cdot i\gamma N$$

chemical potential for fermions

$$E - T \cdot i\gamma N = \sum_a \underbrace{\int du}_{\text{rapidity}} \underbrace{(e_a(u) - T \cdot \gamma_a)}_{\text{density of type } a \text{ particles}} \rho_a(u)$$

$$S = \sum_a \log \frac{(N_a + \bar{N}_a)!}{N_a! \bar{N}_a!}$$

$$\rightarrow \sum_a \int d\mu \left\{ (\rho_a + \bar{\rho}_a) \log (\rho_a + \bar{\rho}_a) - \rho_a \log \rho_a - \bar{\rho}_a \log \bar{\rho}_a \right\}$$

$N_a + \bar{N}_a$  = # (possible energy levels)

$N_a$  = # (occupied " )

$\bar{N}_a$  = # ( unoccupied " )

We extremize  $F[\rho_a, \bar{\rho}_a]$  v.r.t.  $\rho_a, \bar{\rho}_a$

$$\delta F = \frac{\partial F}{\partial \rho_a} \delta \rho_a + \frac{\partial F}{\partial \bar{\rho}_a} \delta \bar{\rho}_a = 0$$

Use BAE to relate  $\delta p_a$  and  $\delta \bar{p}_a$

$$\log(\text{BAE}) : 2\pi i n_{a,k} = i p(u_k) L_a + \sum_b \sum_{j \neq k} \log S_{ab}(u_k, u_j)$$

$n_{a,k} \in \mathbb{Z}$  has upper & lower bounds because

$\text{Im } \log z \in (-\pi, \pi]$  and RHS is a finite sum.

Expectation For each (appropriate) choice of  $\{n_{a,k}\}$

there is a consistent solution of  $\{u_{a,k}\}$

Continuum limit of BAE

$$\rightarrow \underline{\underline{p_a + \bar{p}_a}} = \frac{p(u) L_a}{2\pi} + \sum_b \int du \frac{1}{2\pi i} \partial_u \log S_{ba}(v, u) \cdot \underline{\underline{p_b(u)}}$$

After a little algebra,

$$EF = 0 \Leftrightarrow$$

"TBA eq"

$$\log Y_b(u) = \underbrace{\frac{e_b(u)}{T}}_{\text{Source term}} - \sum_a \int dv \log (1 + e^{i\gamma_a} Y_a(v)) K_{ab}(v, u)$$

Source term

$$e^{i\gamma_a} Y_a(u) = \frac{p_a(u)}{\bar{p}_a(u)}, \quad K_{ab}(v, u) = \frac{1}{2\pi i} \frac{\partial}{\partial v} \log S_{ab}(v, u)$$

Free energy at the extremum is

$$F = -T \sum_a \int \frac{du}{2\pi} \log (1 + e^{i\gamma_a} Y_a(u))$$

$\sim \infty$  sum for  $N \neq \infty$  SYM

TBA equations from asymptotic spin chains

$\hat{=}$  Y-system + Discontinuity Relations

$$Y_{a,s}^+ Y_{a,s}^- = \frac{(1 + Y_{a,s-1})(1 + Y_{a,s+1})}{\left(1 + \frac{1}{Y_{a+1,s}}\right)\left(1 + \frac{1}{Y_{a+1,s}}\right)}, \quad f^\pm = f(u \pm \frac{i}{g})$$

If we introduce  $Y_{a,s} = \frac{T_{a,s+1} T_{a,s-1}}{T_{a+1,s} T_{a-1,s}}$

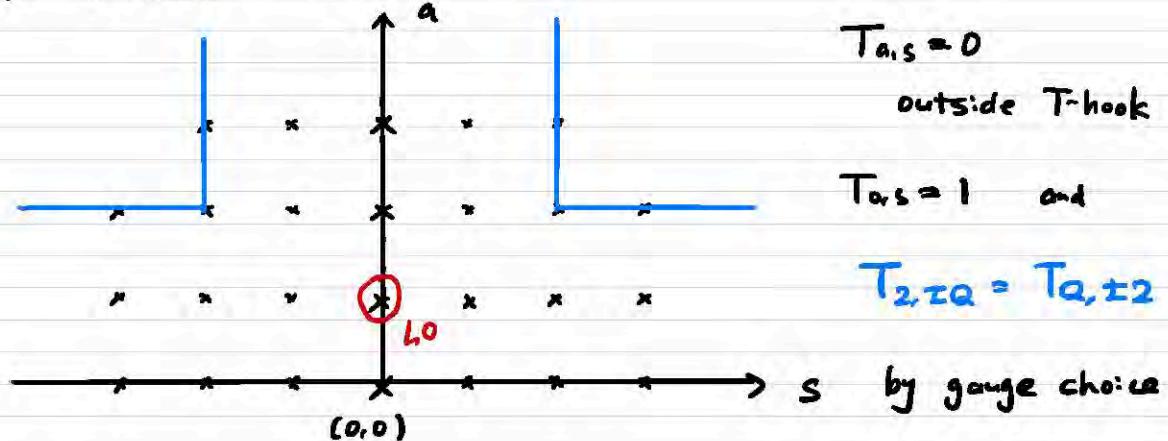
$$Y\text{-system} \Leftrightarrow T_{a,s}^+ T_{a,s}^- = T_{a,s-1} T_{a,s+1} + T_{a-1,s} T_{a+1,s}$$

T-system or Hirota equations

If  $T_{a,s}(u) = \text{constant}$  (indep. of  $u$ ) ,

$T_{a,s}^{\pm} \rightarrow T_{a,s} = \text{PSU}(2,2|4)$  character for the  
"rectangular representation"  $a \times s$

$\text{PSU}(2,2|4)$  T-hook



Hirota eq is invariant under

$$T_{a,s} \rightarrow g_1^{[a+s]} g_2^{[a-s]} g_3^{[-a+s]} g_4^{[-a-s]} T_{a,s}$$

$$f^{(n)} = f(u + \frac{in}{g})$$

$T_{a,s}$  is the gauge-invariant variable

At  $g = \frac{i\pi}{2\pi} = 0$ , TBA equations indeed have constant solutions given by the  $\text{psu}(2,2|4)$  characters  $X_R(g)$

Gromov, Kazakov, Vieira, 0901.3753  
Gromov, Kazakov, Tsuboi, 1002.3981

## PSU(2,2|4) characters

Generating fn for  $GL(M|N)$  characters

$$w_{M|N}(t, g) = \text{Sdet} \frac{1}{1 - gt} = \frac{\prod_{n=1}^N (1 - y_n t)}{\prod_{m=1}^M (1 - x_m t)}$$
$$\equiv \sum_{s=1}^{\infty} t^s T_{[1,s]}^{(M|N)}(g)$$

$$\text{where } g = \text{diag} \left( x_1, x_2 \dots x_M \mid y_1, y_2, \dots y_N \right)$$

$[1,s] \leftrightarrow s\text{-th symmetric representation}$

Clearly, we can decompose

$$W_{4|4}(t, g_L \times g_R) = W_{2|2}(t, g_L) \times W_{2|2}(t, g_R)$$

$$\rightarrow T_{1,s}^{(4|4)}(g_L \times g_R) = \sum_{j=0}^s T_{1,s-j}^{(2|2)}(g_L) T_{1,j}^{(2|2)}(g_R)$$

We need  $\underset{\text{non-cpt}}{\sim} \text{GL}(2,2|4)$  character, not  $\underset{\text{cpt}}{\sim} \text{GL}(4|4)$

$\rightarrow$  expand  $\text{GL}(2|2)_R$  in  $1/t, g_R^{-1}$

$$T_{1,s}^{(2,2|4)}(g_L \times g_R) = \frac{y_3 y_4}{x_3 x_4} \sum_{j=\max(0,-s)}^{\infty} T_{1,s+rj}^{(2|2)}(g_L) T_{1,j}^{(2|2)}(g_R^{-1})$$

$$\text{PSU}(2,2|4) \ni g = \text{diag} \left( \underbrace{(x_1, x_2, x_3, x_4)}_{\text{SU}(2,2)}, \underbrace{(y_1, y_2, y_3, y_4)}_{\text{SU}(4)_R} \right)$$

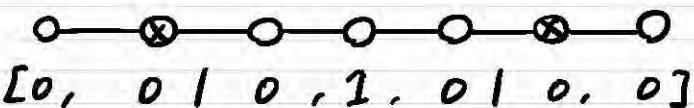
$$x_1 x_2 x_3 x_4 = y_1 y_2 y_3 y_4 = 1$$

We set  $x_1 = x_2 = \frac{1}{x_3} = \frac{1}{x_4} = -e^{-\beta/2}$ ,  $y_{1-4} = 1$

then  $T_{1,0} = \mathcal{T}(x)$  with  $x = e^{-\beta}$

single-letter function of  $N=4$  SYM

$\therefore$ ) singleton rep. of  $\text{psu}(2,2|4)$



## Solving TBA

Pick up one of  $\infty$  equations ;

$$\log Y_{1,1} Y_{2,2} = \sum_{Q=1}^{\infty} \log (1 + Y_{Q,0}) * K_{Qy}$$

$$f * K(u) = \int_{-\infty}^{\infty} dv f(v) K(v, u)$$

All  $Y$ -functions are constant  $\underset{\infty}{\dots}$

$$\Rightarrow \text{RHS becomes } \sim \int_{-\infty}^{\infty} dv K(v, u) = 0$$

$$1 = Y_{1,1} Y_{2,2} = \frac{T_{1,0}}{T_{0,1}} \frac{T_{2,3}}{T_{3,2}} = T_{1,0} \quad (\text{gauge choice})$$

Solving TBA at  $g_{YM} = 0 \Rightarrow T_{1,0} = 1$

This is consistent with  $S(x_H) = 1$

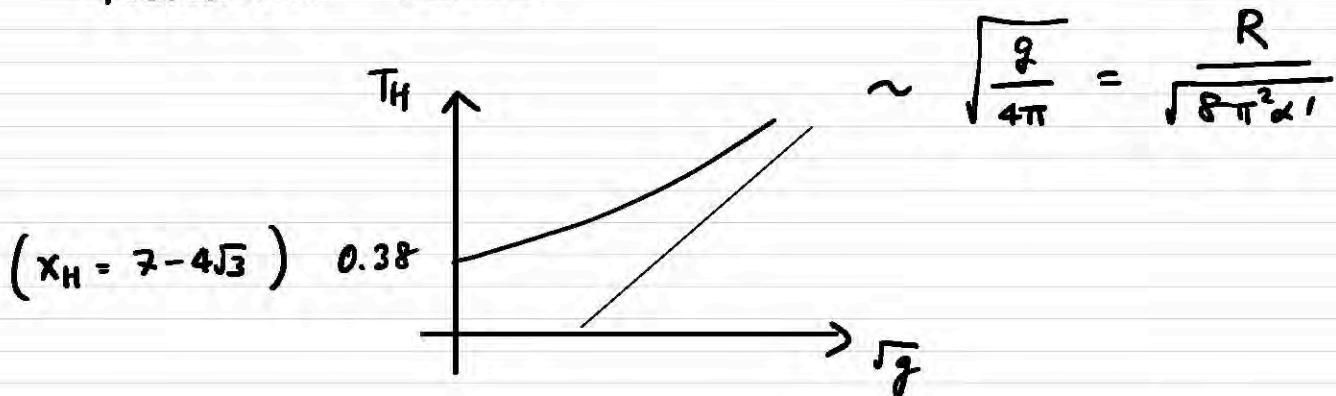
$$S(n) = T_{1,0}(x)$$

Unfortunately, the free energy vanishes under the constant (= character) solutions ;  $F \neq -1$

→ need to go to higher order in  $g_{YM}$

We can solve TBA numerically starting from the character solution at  $\lambda = 0$  to any  $\lambda > 0$ .  
Quantum Spectral Curve is useful for this computation.

## Numerical Solution



$T_H (g \gg 1)$  approaches the Hagedorn temp. of

the flat space superstring  $\left( T_H = \frac{1}{\sqrt{8\pi^2\alpha'}} \right)$

in the unit of AdS radius  $R$ .

## Comments

- We can include chemical potential
- Not known how to quantize (covariantly)  
Superstring on  $AdS_5 \times S^5$
- Hagedorn TBA gives  $T_H$  but not  $Z(T < T_H)$
- Several calculations exist for  $Z(T > T_H)$   
free energy  $\sim O(N^2)$

# Free energy

Compute the free energy of  $N=4$  SYM at  $g_{YM} = 0$

To examine  $F = \mathcal{O}(N^2)$  or  $\mathcal{O}(1)$

Sundborg, hep-th/9908001, Skagerstam, Z. Phys. C24 (1987) 97, Wilhelm et al. 2005.06480

Step 1

$$\text{tr}(e^{-\beta D_0}) = \int dg \prod_n \frac{\det(1 + e^{-\beta \omega_n} R_g)}{\det(1 - e^{-\beta \omega_n} R_g)}$$

which can be derived e.g. by inserting the coherent states  
& projecting into  $SU(N)$  singlets

$dg$  : Haar measure of  $SU(N)$

$R_g$  : (adjoint) representation of  $g \in SU(N)$

Step 2      The  $psu(2,2|4)$  structure is hidden in the index "n". We replace the sum over bosons & fermions by  $\mathcal{S}(x)$

$$\text{tr}(e^{-\beta D_0}) =$$

$$\int dg \exp \left( \sum_{n=1}^{\infty} \frac{1}{n} \left[ \mathcal{S}_B(x^n) \chi_{\text{adj}}(g^n) + (-1)^{n+1} \mathcal{S}_F(x^n) \chi_{\text{adj}}(g^n) \right] \right)$$

$$\text{Since } N \otimes \bar{N} = \text{adj} + 1$$

$$\chi_{\text{adj}}(g) = \chi_N(g) \chi_{\bar{N}}(g) - 1$$

$$\text{If } \begin{cases} g \sim \text{diag}(e^{i\alpha_1}, e^{i\alpha_2}, \dots, e^{i\alpha_N}) \\ \sum_{j=1}^N \alpha_j = 2\pi k \end{cases}$$

$$\chi_{\text{adj}}(g) = \sum_{j < k} e^{i(\alpha_j - \alpha_k)} - 1$$

$$= \sum_{j < k} 2 \cos(\alpha_j - \alpha_k) - 1$$

### Step 3

Evaluate Haar measure on  $SU(N)$

Mehta, Random matrices

Unitary matrix is diagonalizable,

$$g = M \Lambda M^{\dagger}, \quad \Lambda = \text{diag}(e^{i\alpha_1}, e^{i\alpha_2}, \dots, e^{i\alpha_N})$$

Invariant measure of  $U(N)$  is  $dg = \frac{\prod_{i,j=1}^N dg_{ij}}{(\det g)^N}$

& we substitute the above decomposition

Since the integrand depends only on  $\Lambda$ ,  
the integral over angular directions is trivial  
except for Jacobian.

$$dg = \prod_{j=1}^N d\alpha_j \prod_{j < k} \sin^2\left(\frac{\alpha_j - \alpha_k}{2}\right) \underbrace{d\Omega}_{\text{angular part}}$$

For  $SU(N)$  we add  $\delta\left(\sum_j \alpha_j - 2\pi k\right)$

In summary ,

$$\text{tr} (e^{-\beta D}) = \int \prod_j d\alpha_j \prod_{j < k} \sin^2 \left( \frac{\alpha_j - \alpha_k}{2} \right) \delta \left( \sum_j \alpha_i - 2\pi k \right) \times$$

$$\exp \left[ \sum_{n=1}^{\infty} \frac{\zeta_B(x^n) - (-1)^n \zeta_F(x^n)}{n} \left( \sum_{j \leq k} 2 \cos(n(\alpha_j - \alpha_k)) - 1 \right) \right]$$

$$\sim = \exp \left[ \sum_{j \neq k} \log \left| \sin \frac{\alpha_j - \alpha_k}{2} \right| \right]$$

Step 4 Take large N limit , introduce the density  
of eigenvalues  $p(\alpha)$  , look for saddle points

$$\sum_n f(\alpha_n) \rightarrow \underset{=}{{\color{red} N}} \int_{-\alpha_c}^{\alpha_c} d\alpha \rho(\alpha) f(\alpha)$$

$$\sum_{m < n} f(\alpha_m, \alpha_n) \rightarrow \underset{=}{{\color{red} \frac{N^2}{2}}} \text{p.v.} \int_{-\alpha_c}^{\alpha_c} \int_{-\alpha_c}^{\alpha_c} d\alpha d\beta \rho(\alpha) \rho(\beta) f(\alpha, \beta)$$

Add Lagrange multiplier  $\lambda \left( \int d\alpha \rho(\alpha) - 1 \right)$

These factors are the origin of  $F \sim \Theta(N^2)$   
unless the coefficient vanishes ...

$$\text{tr} \left( e^{-\beta D} \right) = \int d\rho d\lambda e^{-S(\rho) + \lambda \left( \int d\alpha \rho(\alpha) - 1 \right)}$$

$$S = -N^2 \int d\alpha d\beta \rho(\alpha) \rho(\beta) K(\alpha, \beta) + \sum_{n=1}^{\infty} \frac{\zeta_B(x^n) - (-1)^n \zeta_F(x^n)}{n}$$

$$K = \log \left| 2 \sin \frac{\alpha - \beta}{2} \right| + \sum_{n=1}^{\infty} \frac{\zeta_B(x^n) - (-1)^n \zeta_F(x^n)}{n} \cos(n(\alpha - \beta))$$

Since all eigenvalues show up in the difference

$(\alpha - \beta)$ ,  $\rho = \text{constant}$  is a saddle point ( $S = \mathcal{O}(n)$ )

again we expect that  $n=1$  gives dominant term

After focusing on the  $n=1$  term,

this becomes Gross-Witten-Wadia matrix model

Gross, Witten, Phys. Rev. D21 (1980) 446; Wadia, Phys. Lett. B93 (1980) 403;  
Russo, Tierz, 2007.08515

If  $\rho(\alpha) \neq 0$  over  $-\pi \leq \alpha \leq \pi$ , then

$$\rho(\alpha) \sim C_0 + C_1 \cos \alpha, \quad S = \mathcal{O}(1)$$

If  $\rho(\alpha) \neq 0$  over  $-\alpha_c \leq \alpha \leq \alpha_c$  ( $\alpha_c < \pi$ ), then

$$\rho(\alpha) = \frac{\cos \frac{\alpha}{2}}{\pi \sin^2 \frac{\alpha_c}{2}} \sqrt{\sin^2 \frac{\alpha_c}{2} - \sin^2 \frac{\alpha}{2}}, \quad S = \mathcal{O}(N^2)$$

Singular Integral Eq. /Mann, Polchinski hep-th/0508232

We need to solve Riemann - Hilbert problem

with the periodicity conditions on  $\alpha$ -variables...

According to Sundborg ,

$$F_s \sim -\frac{N^2}{2} \left\{ \zeta - 1 + \sqrt{\zeta^2 - \zeta} - \log(\zeta + \sqrt{\zeta^2 - \zeta}) \right\} + \zeta$$

vanishes if  $\zeta = 1$       (after Hagedorn)

$$F_c \sim \zeta \quad (\text{before Hagedorn})$$