BACHELOR THESIS



RADBOUD HONOURS ACADEMY

TBD

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Abstract

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1 Introduction

should consist of:

- explaining the challenge
- my contribution

Within the field of cryptography there are a two different fields, symmetric and asymmetric crypto. The former is about situations where the users have a shared secret already, the latter is about situations where this is not the case. Sometimes work in asymmetric crypto uses constructions that are more common in symmetric crypto, and in this fashion, a paper by Giacon, Kiltz and Poettering [1], which we henceforth call GKP, uses a construction that is very similar to Authenticated Encryption following the generic encrypt-then-MAC construction from Bellare and Namprempre [2]. This construction has since been revised in a paper by Namprempre1, Rogaway and Thomas Shrimpton [3], which we henceforth call NRS, to be better applicable to common use cases. The aim of this thesis is to apply the knowledge of NRS to the setting of GKP and in doing so, create a primitive for authenticated encryption in a asymmetric crypto setting.

2 Preliminaries

should consist of:

- general notation
- generic AE construction
- generic PKE schemes
- nonces vs locks (and different iv's)

2.1 notation table

Below is a table which highlights the differences in notation between GKP and NRS, as well as give the notation I will be using.

Name	GKP	NRS	my notation	rough meaning
message space	\mathcal{M}	\mathcal{M}	\mathcal{M}	set of all possible message options
message	m	M	m	message the user sends
ciphertext space	С	-	С	set of all possible ciphertext options
ciphertext	c	C	c	encrypted message
associated data space	-	\mathcal{A}	A	set of all possible associated data options
associated data	-	A	a	data you want to authenticate but not encrypt
tag space	\mathcal{C}	\mathcal{T}	\mathcal{T}	set off all possible tag options
tag	c	T	t	output of mac function
key space	\mathcal{K}	\mathcal{K}	\mathcal{K}	set of all possible key options
key	k	K	k	user key
nonce space	-	\mathcal{N}	\mathcal{N}	set of all nonce options
nonce	-	n	n	number only used once
lock space	\mathcal{T}	-	\mathcal{L}	set of all possible lock options
lock	t	-	l	nonce that is bound to the user
users	N	-	N	number of users
adversary	A	\mathcal{A}	A	the bad guy
random sampling	← \$	«	←\$	get a random ellermeth form the set
result of randomised function	← \$	-		get the result of a randomised function with given inputs
result of function	←	←		get the result of a function with given inputs
concatination	a b	a b	a b	concatanation of two strings
true	true	-	true	boolean true
false	false	-	false	boolean false
invalid	Т			operation is invalid

strings are binary and bit-wise

3 Existing AE/DEM notations in more detail

should consist of:

- ullet the definition of the two paper, if possible already brought more toward one notation standard
- explain how both relate to the preliminaries and overall story

3.1 Existing notation from GKP

Below are the security notations from GKP that are relevent for this thesis. Some of the variable names are rewritten towards a common notation with NRS.

3.1.1 notation

 \mathcal{M} is a message space, \mathcal{K} is a finite key space, \mathcal{L} is a lock space and \mathcal{C} is a ciphertext space. N is the number of users.

3.1.2 used primitives

• ADEM: the ADEM exists of tuple (A.enc,A.dec), A.enc takes a key k in \mathcal{K} , a lock l in \mathcal{L} and a message m in \mathcal{M} and outputs a ciphertext c in \mathcal{C} . A.dec takes a k in \mathcal{K} , a lock l in \mathcal{L} and a ciphertext c in \mathcal{C} and outputs a message m in \mathcal{M} or \bot to indicate rejection. The correctness requirement is that for every combination of k, l and m we have A.dec(k,l,A.enc(k,l,m)) = m. The security of the ADEM is defined with $\mathbf{Adv}^{l-\text{miot-ind}}_{A,DEM,A,N} = |\Pr[L-\text{MIOT-IND}^{0}_{A,N} = 1] - \Pr[L-\text{MIOT-IND}^{1}_{A,N} = 1]|$, defined by the following game:

Game L-MIOT-IND $_{A,N}^b$	Oracle Oenc (j,l,m_0,m_1)	Oracle $\mathrm{Odec}(j,c)$	
$0: L \leftarrow \emptyset$	6: if $C_j \neq \emptyset$: return \perp	13: if $C_j = \emptyset$: return \bot	
1: for $j \in [1N]$:	7: if $l \in L$: return \perp	14: if $c \in C_j$: return \perp	
$2: k_j \leftarrow^{\$} \mathcal{K}$	$8: L \leftarrow L \cup \{l\}$	15: $m \leftarrow A.dec(k_j, l_j, c)$	
$3: C_j \leftarrow \emptyset$	$9: l_j \leftarrow l$	16: return m	
$4: b' \leftarrow A$	10: $c \leftarrow A.\operatorname{enc}(k_j, l_j, m_b)$		
$5: \mathbf{return} \ b'$	$11: C_j \leftarrow C_j \cup \{c\}$		
	12: $\mathbf{return} \ c$		

Figure 1: L-MIOT-IND game, A has access to oracles Oenc and Odec and the locks in line 10 and 15 are the same. The corresponding game can be found in GKP figure 9.

• AMAC: the AMAC exists of tuple (M.mac,M.vrf). M.mac takes a key k in \mathcal{K} , a lock l in \mathcal{L} , and a message m in \mathcal{M} and outputs a ciphertext c in \mathcal{C} . M.vrf takes a key k in \mathcal{K} , a lock l in \mathcal{L} , a message m in \mathcal{M} and a ciphertext c in \mathcal{C} and returns either true or false. The correctness requirement is that for every combination of k, l and m, all corresponding $c \leftarrow \text{M.mac}(k,l,m)$ gives M.vrf(k,l,m,c) = true. The security of the AMAC is defined with $\mathbf{Adv}_{\text{AMAC},A,N}^{\text{L-MIOT-UF}} = \text{Pr}[\text{L-MIOT-UF}_{A,N}]$, defined by the following game:

Game L-MIOT-UF $_{A,N}$	Oracle $\mathrm{Omac}(j,l,m)$	Oracle $Ovrf(j,m,t)$	
$0: forged \leftarrow 0$	7: if $T_j \neq \emptyset$: return \perp	14: if $T_j = \emptyset$: return \bot	
1: $L \leftarrow \emptyset$	8: if $l \in L$: return \perp	15: if $(m,t) \in T_j$: return \perp	
$2: \text{ for } j \in [1N]:$	9: $L \leftarrow L \cup \{l\}$	16: if M.vrf (k_j, l_j, m, t) :	
$3: k_j \leftarrow^{\$} \mathcal{K}$	$10: l_j \leftarrow l$	17: forged $\leftarrow 1$	
$4: T_j \leftarrow \emptyset$	11: $t \leftarrow \operatorname{M.mac}(k_j, l_j, m)$	18: return true	
$5: b' \leftarrow A$	$12: T_j \leftarrow T_j \cup \{(m,t)\}$	19: else : return false	
6: return b'	13: return t		

Figure 2: L-MIOT-UF game, A has access to oracles Omac and Ovrf and the locks in line 11 and 16 are the same. The corresponding game can be found in GKP figure 15.

3.1.3 goal

The goal is to make a scheme ADEM' exists of tuple (A.enc', A.dec') which has the same security of the ADEM, but is also secure against active attacks.

3.1.4 Security model

The security of the ADEM is defined with $\mathbf{Adv}_{\mathrm{ADEM}',A,N}^{\mathrm{l-miot-ind}} = |\Pr[\text{L-MIOT-IND}_{A,N}^0 = 1] - \Pr[\text{L-MIOT-IND}_{A,N}^1 = 1]|$ where game L-MIOT-IND uses newly defined (A.enc', A.dec') instead of the former (A.enc, A.dec)

3.1.5 construction

The goal is met by creating new A.enc' and A.dec' calls which are build using the calls the primitives provide us:

Proc A.enc' (k,l,m)	Proc A.dec' (k,l,c)	
$0: (k_{dem}, k_{mac}) \leftarrow k$	$6: (k_{dem}, k_{mac}) \leftarrow k$	
1: $c' \leftarrow A.enc(k_{dem}, l, m)$	$7: (c',t) \leftarrow c$	
2: $t \leftarrow \text{M.mac}(k_{mac}, l, c')$	8: if $M.mac(k_{mac}, l, c', t)$:	
$3: c \leftarrow (c', t)$	9: $m \leftarrow A.dec(k_{dem}, l, c')$	
4: return c	10: return m	
	11: else : return \perp	

Figure 3: A.enc' and A.dec' calls, The corresponding calls can be found in GKP figure 16.

The advantage is $\mathbf{Adv}^{\text{l-miot-ind}}_{\text{ADEM}',A,N} \leq 2\mathbf{Adv}^{\text{l-miot-uf}}_{\text{AMAC},B,N} + \mathbf{Adv}^{\text{l-miot-ind}}_{\text{ADAM},C,N}$. Where the running time of B is at most that of A plus the time required to run N-many ADEM encapsulations and Qd-many ADEM decapsulations and the running time of C is the same as the running time of A. Additionally, B poses at most Qd-many Ovrf queries, and C poses no Odec query.

3.2 Existing notation from NRS

Below are the security notations from NRS that are relevent for this thesis. Some of the variable names are rewritten towards a common notation with GKP.

3.2.1 notation

 \mathcal{K} is a nonempty key space, \mathcal{N} is a non-empty nonce space, \mathcal{M} is a message space and \mathcal{A} is the associated-data space. \mathcal{M} contains at least two strings, and if \mathcal{M} and \mathcal{A} contain a string of length x, they must contain all strings of length x.

3.2.2 used primitives

• nE: A nonce-based encryption scheme is defined by triple $\Pi = (\mathcal{K}, E, D)$. E is a deterministic encryption algorithm that takes three inputs (k, n, m) to a value c, the length of c only depends the length of k, n and m. When (k, n, m) is not in $\mathcal{K} \times \mathcal{N} \times \mathcal{M}$, c will be \bot . D is the decryption algorithm that takes three inputs (k, n, c) to a value m. E and D are

inverse of each other implying correctness (if $E(k,n,m)=c\neq \bot$, then D(k,n,c)=m) and tidiness (if $D(k,n,c)=m\neq \bot$, then E(k,n,m)=c). The security is defined as $\mathbf{Adv}^{\mathrm{nE}}_{\Pi,A}=|\Pr[\mathrm{nE-IND\$}_A^0=1]-\Pr[\mathrm{nE-IND\$}_A^1=1]|$, where nE-IND\$ is defined as follows:

Game nE-IND \S^b_A		Ora	cle $Oenc(n,m)$
0: U =	= Ø	5:	$\mathbf{if}\ n \in U: \mathbf{return}\ \bot$
1: k +	$-^{\$} \mathcal{K}$	6:	$U = U \cup n$
2: b' 4	-A	7:	$c \leftarrow \mathrm{E}(k,n,m)$
3: ret	$\mathbf{urn}\ b'$	8:	if $b = 1 \land c \neq \bot$:
		9:	$c \leftarrow^{\$} \{0,1\}^{ c }$
		10:	$\mathbf{return}\ c$

Figure 4: nE-IND\$ game, A has access to oracle Oenc and U is the set of used nonces

• MAC: The MAC is a deterministic algorithm F that takes in a k in \mathcal{K} and a string m and outputs either a n-bit length t or \bot . The domain of F is the set X such that $F(k,m) \neq \bot$. The security is defined as $\mathbf{Adv}^{\mathrm{MAC}}_{\mathrm{F},A} = |\Pr[\mathrm{MAC-PRF}^0_A = 1] - \Pr[\mathrm{MAC-PRF}^1_A = 1]|$, where MAC-PRF is defined as follows:

Game MAC-PRF $_A^b$	Oracle $Omac(m)$
$0: U = \emptyset$	4: if $m \in U$: return \perp
$1: k \leftarrow^{\$} \mathcal{K}$	5: $U = U \cup m$
$2: b' \leftarrow A$	$6: t \leftarrow F(k,m)$
3: return b'	7: if $b = 1 \land t \neq \bot$:
	$8: \qquad t \leftarrow^{\$} \{0,1\}^{ t }$
	9: $\mathbf{return}\ t$

Figure 5: MAC-PRF, A has access to oracle Omac and U is the set of used messages

3.2.3 goal

The goal is a nonce-based authenticated encryption scheme defined by triple $\Pi = (\mathcal{K}, E, D)$. E is a deterministic encryption algorithm that takes four inputs (k, n, a, m) to a value c, the length of c only depends the length of k, n, a and m. When (k, n, a, m) is not in $\mathcal{K} \times \mathcal{N} \times \mathcal{A} \times \mathcal{M}$, c will be \bot . D is the decryption algorithm that takes four inputs (k, n, a, c) to a value m. E and D are inverse of each other implying correctness (if $E(k, n, a, m) = c \ne \bot$, then D(k, n, a, c) = m) and tidiness (if $D(k, n, a, c) = m \ne \bot$, then E(k, n, a, m) = c)

3.2.4 Security model

The security is defined as $\mathbf{Adv}_{II,A}^{\text{nAE}} = |\text{Pr}[\text{nAE-IND}\$_A^0 = 1] - \text{Pr}[\text{nAE-IND}\$_A^1 = 1]|$, where nAE-IND\$ is defined as follows:

Game nAE-IND \S^b_A	Oracle $Oenc(n,a,m)$	Oracle $Odec(n,a,m)$
$0: U = \emptyset$	6: if $n \in U$: return \perp	14: if $b = 1$: return \perp
1: $Q = \emptyset$	7: $U = U \cup n$	15: if $(n, a, \bot, c) \in Q$: return \bot
$2: k \leftarrow^{\$} \mathcal{K}$	8: if $(n, a, m, _) \in Q$: return \bot	16: $m \leftarrow D(k, n, a, c)$
$3: b' \leftarrow A$	9: $c \leftarrow \mathrm{E}(k, n, a, m)$	$17: Q = Q \cup (n, a, m, c)$
4: return b'	10: if $b = 1 \land c \neq \bot$:	18: return m
	$11: \qquad c \leftarrow^{\$} \{0,1\}^{ c }$	
	12: $Q = Q \cup (n, a, m, c)$	
	13: $\mathbf{return}\ c$	

Figure 6: nAE-IND\$ game, A has access to oracles Oenc and Odec, U is the set of used nonces and Q is the set of query results. $_$ denotes a variable that is irrelevant

3.2.5 construction

The goal is met by creating different schemes that combine the mac and nE into a nAE. We define the constructions secure as there is a tight reduction from breaking the nAE-security of the scheme to breaking the nE-security and the PRF security of the underlying primitives. Three different schemes, named N1, N2 and N3 were proven to be secure the can be viewed in NRSfigure 6.

4 New Definition

should consist of:

- syntax of the primitive (input, output, correctness, tidiness, expected bounds)
- game based code
- explanation of the choices made
- formal comparison with other choices

4.1 notation

 \mathcal{K} is a non-empty key space, \mathcal{L} is a non-empty lock space and \mathcal{M} is a message space. \mathcal{M} contains at least two strings, and if it contains a string of length x, it must contain all strings of length x. N is the number of users.

4.2 goal

The end goal is to build a lock-based one time use Authenticated Encryption scheme (loAE). The AE scheme is defined by tuple (AE.enc,AE.dec). AE.enc is a deterministic encryption algorithm that takes three inputs (k,l,m) to a value c, the length of c only depends on the length of k, l and m. When (k,l,m) is not in $\mathcal{K} \times \mathcal{L} \times \mathcal{M}$, c will be \bot . AE.dec is the decryption algorithm that takes three inputs (k,n,c) to a value m. AE.enc and EA.dec are inverse of each other implying correctness (if AE.enc $(k,l,m) = c \neq \bot$, then AE.dec(k,l,c) = m) and tidiness (if AE.dec $(k,l,c) = m \neq \bot$, then AE.enc(k,l,m) = c).

4.3 Security model

The security is defined as $\mathbf{Adv}_{A,N}^{\text{loAE}} = |\text{Pr}[\text{loAE-IND}\$_{A,N}^0 = 1] - \text{Pr}[\text{loAE-IND}\$_{A,N}^1 = 1]|$, where loAE-IND\$ is defined as follows:

Game loAE-IND $\$^b_{A,N}$	Oracle $Oenc(j,l,m)$	Oracle $Odec(j,c)$	
$0: L \leftarrow \emptyset$	6: if $C_j \neq \bot$: return \bot	15: if $b = 1$: return \perp	
1: for $j \in [1N]$:	7: if $l \in L$: return \perp	16: if $C_j = \bot$: return \bot	
$2: k_j \leftarrow^{\$} \mathcal{K}$	$8: L \leftarrow L \cup \{l\}$	17: if $c \in C_j$: return \perp	
$3: C_j \leftarrow \bot$	9: $l_j \leftarrow l$	18: $m \leftarrow AE.dec(k_j, l_j, c)$	
$4: b' \leftarrow A$	10: $c \leftarrow AE.enc(k_j, l_j, m)$	19: return m	
5: return b'	11: if $b = 1 \land c \neq \bot$:		
	12: $c \leftarrow^{\$} \{0,1\}^{ c }$		
	13: $C_j \leftarrow c$		
	14: return c		

Figure 7: loAE-IND\$ game, adversary has access to oracles Oenc and Odec.

5 Constructions

should consist of:

- the old primitives
- how to construct the new primitive from old primitives
- security bounds + proof
- comparison with existing alternatives

5.1 used primitives

 \mathcal{K} is a nonempty key space, \mathcal{N} is a non-empty nonce space and \mathcal{M} is a message space. \mathcal{M} contain at least two strings, and if it contain a string of length x, it must contain all strings of length x. \mathcal{N} is the number of users.

• loDEM: a lock-based one time use DEM scheme is defined by tuple (E.enc,E.dec). E.enc is a deterministic encryption algorithm that takes three inputs (k,l,m) to a value c, the length of c only depends on the length of k, l and m. When (k,l,m) is not in $\mathcal{K} \times \mathcal{L} \times \mathcal{M}$, c will be \bot . E.dec is the decryption algorithm that takes three inputs (k,l,c) to a value m. E.enc and E.dec are inverse of each other implying correctness (if E.enc $(k,l,m) = c \neq \bot$, then E.dec(k,l,c) = m) and tidiness (if E.dec $(k,l,c) = m \neq \bot$, then E.enc(k,l,m) = c). The security is defined as $\mathbf{Adv}_{A,N}^{\mathrm{loDEM}} = |\mathrm{Pr}[\mathrm{loDEM\text{-}IND\$}_{A,N}^0 = 1] - \mathrm{Pr}[\mathrm{loDEM\text{-}IND\$}_{A,N}^1 = 1]|$, where loDEM-IND\$ is defined as follows:

Game loDEM-IND $\$^b_{A,N}$	Oracle $Oenc(j,l,m)$	
$0: L \leftarrow \emptyset$	6: if $C_j \neq \bot$: return \bot	
1: for $j \in [1N]$:	7: if $l \in L$: return \perp	
$2: k_j \leftarrow^{\$} \mathcal{K}$	$8: L \leftarrow L \cup \{l\}$	
$3: C_j \leftarrow \bot$	$9: l_j \leftarrow l$	
$4: b' \leftarrow A$	10: $c \leftarrow \text{E.enc}(k_j, l_j, m)$	
5: return b'	11: if $b = 1 \land c \neq \bot$:	
	$12: \qquad c \leftarrow^{\$} \{0,1\}^{ c }$	
	13: $C_j \leftarrow c$	
	14: return c	

Figure 8: loDEM-IND\$

• loMAC: The lock-based one time use MAC is a deterministic algorithm M.mac that takes in a fixed length k in \mathcal{K} , a fixed length l in \mathcal{L} and a variable length message m in \mathcal{M} and outputs either a n-bit length tag or \bot . The domain of M.mac is the set X such that M.mac $(k,l,m) \neq \bot$. the security is defined as $\mathbf{Adv}_{\mathrm{F},A,N}^{\mathrm{loMAC}} = |\mathrm{Pr}[\mathrm{loMAC\text{-}PRF}_{A,N}^0 = 1] - \mathrm{Pr}[\mathrm{loMAC\text{-}PRF}_{A,N}^1 = 1]|$, where loMAC-PRF is defined as follows:

Game loMAC-PRF $_{A,N}^b$	Oracle $Omac(j,l,m)$	
$0: L \leftarrow \emptyset$	6: if $T_j \neq \bot$: return \bot	
1: for $j \in [1N]$:	7: if $l \in L$: return \perp	
$2: \qquad k_j \leftarrow^{\$} \mathcal{K}$	$8: L \leftarrow L \cup \{l\}$	
$3: T_j \leftarrow \bot$	$9: l_j \leftarrow l$	
$4: b' \leftarrow A$	10: $t \leftarrow \operatorname{M.mac}(k_j, l_j, m)$	
5: return b'	11: if $b = 1 \land t \neq \bot$:	
	12: $t \leftarrow^{\$} \{0,1\}^{ t }$	
	13: $T_j \leftarrow t$	
	14: $\mathbf{return}\ t$	

Figure 9: loMAC-PRF, A has access to oracle Omac

5.2 construction

The loAE schemes we will look at are constructed from the loDEM and the loMAC. Following NRS, three ways to construct this loAE are of interest, namely the ones following from the N1, N2 and N3 scheme. One thing to keep in mind with this that these schemes would originally use associated data. For now we can discard this but it is not proven that the same security results would also follow from this case without associated data. Down here the schemes, adjusted to our setting, can be found, followed by the AE.enc and AE.dec calls that can we construct following these schemes. These calls can be plugged into game loAE-IND\$ to find their respective security games.

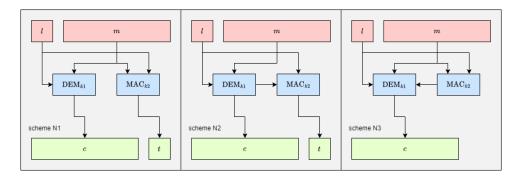


Figure 10: Adjusted N schemes from NRS

AE.enc(k,l,m)	AE.dec(k,l,c)
$0: (k1, k2) \leftarrow k$	$5: (k1, k2) \leftarrow k$
1: $c' \leftarrow \text{E.enc}(k1, l, m)$	$6: (c',t) \leftarrow c$
$2: t \leftarrow \operatorname{M.mac}(k2, l, m)$	7: $m \leftarrow \text{E.dec}(k1, l, c')$
$3: c \leftarrow (c',t)$	$8: t' \leftarrow \mathrm{M.mac}(k2, l, m)$
$4: \mathbf{return} \ c$	9: if $t = t'$: return m
	10: else : return \perp

Figure 11: Calls based on N1

AE.enc(k,l,m)		AE.	AE.dec(k,l,c)	
0:	$(k1, k2) \leftarrow k$	5:	$(k1, k2) \leftarrow k$	
1:	$c' \leftarrow \mathrm{E.enc}(k1, l, m)$	6:	$(c',t) \leftarrow c$	
2:	$t \leftarrow M.mac(k2, l, c')$	7:	$m \leftarrow \mathrm{E.dec}(k1, l, c')$	
3:	$c \leftarrow (c', t)$	8:	$t' \leftarrow \mathrm{M.mac}(k2, l, c')$	
4:	$\mathbf{return}\ c$	9:	if $t = t'$: return m	
		10:	else : return \perp	

Figure 12: Calls based on N2

AE.enc (k,l,m)	$\mathrm{AE.dec}(k,l,c)$
$0: (k1, k2) \leftarrow k$	$5: (k1, k2) \leftarrow k$
1: $t \leftarrow \text{M.mac}(k2, l, m)$	$6: m' \leftarrow \operatorname{E.dec}(k1, l, c)$
$2: m' \leftarrow m \parallel t$	$7: (m,t) \leftarrow m'$
$3: c \leftarrow E.enc(k1, l, m')$	$8: t' \leftarrow M.mac(k2, l, m)$
4: return c	9: if $t = t'$: return m
	10: else : return \perp

Figure 13: Calls based on N3

We define the constructions secure as there is a tight reduction from breaking the loAE-security of the scheme to breaking the loDEM-security and the loMAC security of the underlying primitives.

6 Use cases

should consist of:

• possible use cases

7 Related Work

Location not final yet

8 Conclusion

References

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9 Appendix