

Lattice Coding for Strongly Secure Compute-and-Forward in a Bidirectional Relay

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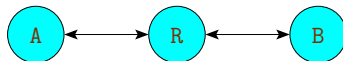
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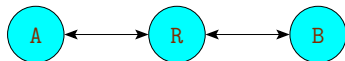
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- SPCOM 2012 Student Travel Grant.

Bidirectional relay



No direct link between user nodes. All links are wireless with unit gain and AWGN.

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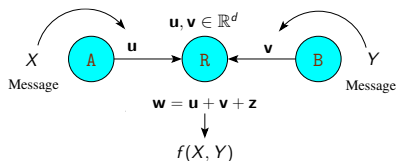


Figure: MAC phase

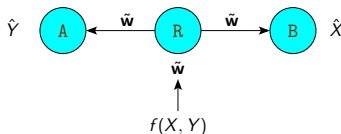
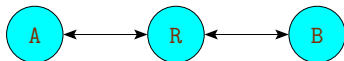


Figure: Broadcast phase

$$\mathbf{w} = \mathbf{u} + \mathbf{v} + \mathbf{z},$$

where \mathbf{z} is AWGN with mean zero and variance σ^2 .

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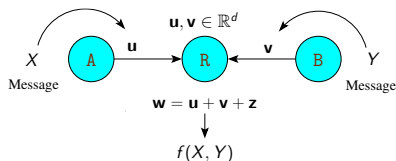


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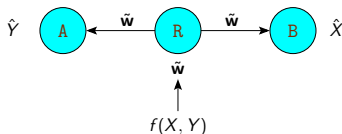


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$$\mathbf{w} = \mathbf{u} + \mathbf{v} + \mathbf{z},$$

where \mathbf{z} is AWGN with mean zero and variance σ^2 .

- Relay acts as passive eavesdropper.
- We want **strong secrecy**: $\mathcal{I}(X; \mathbf{w}), \mathcal{I}(Y; \mathbf{w}) \rightarrow 0$ as $d \rightarrow \infty$.

Compute-and-forward

Wilson et al. (2010), Nazer and Gastpar (2011).

Messages X and Y are mapped to elements of a suitably chosen finite Abelian group (\mathbb{G}, \oplus) .

Here, $\mathbf{u}, \mathbf{v} \in \mathbb{R}^d$, and $f(X, Y) = X \oplus Y$.

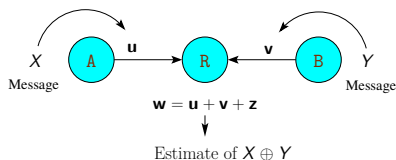


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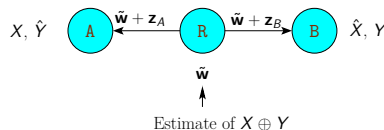


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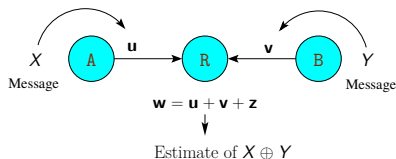


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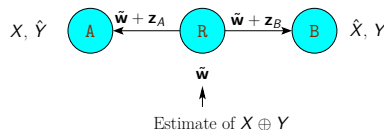


Figure: Broadcast phase

- We assume X and Y are **uniformly distributed** over the set of messages.
- Then, $(X \oplus Y) \perp\!\!\!\perp X$ and $(X \oplus Y) \perp\!\!\!\perp Y$.

Secure Compute-and-forward:

- Weak secrecy using random binning: He and Yener, *"Providing secrecy using lattice codes,"* Allerton '08.
- Strong secrecy using universal hash functions: He and Yener, *"Strong secrecy and reliable byzantine detection in the presence of an untrusted relay,"* IEEE Trans. Inf. Theory '12.
- Perfect secrecy using well chosen pmfs satisfying an average power constraint: Kashyap et al., *"Secure Computation in a Bidirectional Relay,"* ISIT '12.

Gaussian wiretap channel:

- Semantic security using nested lattice codes and sampled Gaussian pmfs: Ling et al., *"Semantically Secure Lattice Codes for the Gaussian Wiretap Channel,"* arXiv:1210.6673.

Lattices

Let $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_d$ be linearly independent vectors in \mathbb{R}^d . Then the set $\Lambda = \{\sum_{i=1}^d a_i \mathbf{v}_i : a_i \in \mathbb{Z}\}$ is called a **lattice**.

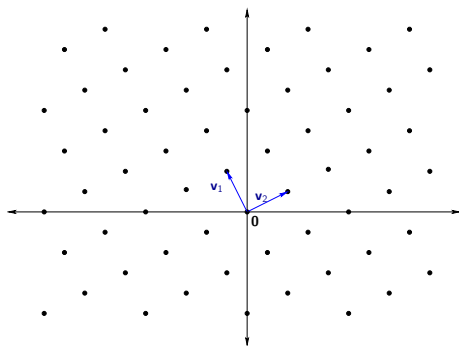


Figure: A lattice in \mathbb{R}^2 .

Define the nearest neighbour quantizer for Λ as

$Q_\Lambda(\mathbf{x}) := \arg \min_{\lambda \in \Lambda} \|\mathbf{x} - \lambda\|$. The **fundamental Voronoi region** of Λ is defined as $\mathcal{V}(\Lambda) := \{\mathbf{y} : Q_\Lambda(\mathbf{y}) = \mathbf{0}\}$.

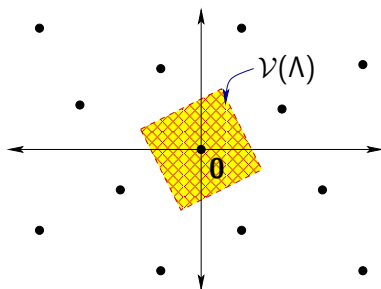


Figure: Fundamental Voronoi region of Λ , $\mathcal{V}(\Lambda)$.

$$\text{vol}(\Lambda) := \text{vol}(\mathcal{V}(\Lambda)).$$

Illustration: Nested lattices

If Λ and Λ_0 are lattices in \mathbb{R}^d with $\Lambda_0 \subset \Lambda$, then Λ_0 is said to be **nested** within Λ , or Λ_0 is a **sublattice** of Λ .

Λ is called the **fine lattice** and Λ_0 is called the **coarse lattice**.

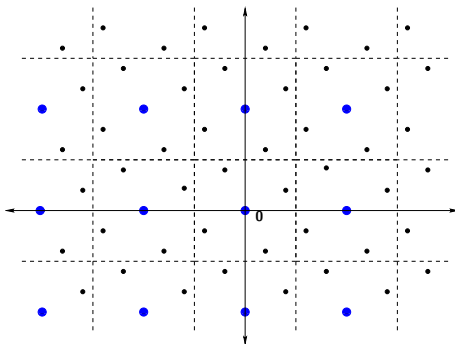


Figure: The blue dots indicate the coarse lattice Λ_0 .

Cosets and coset representatives

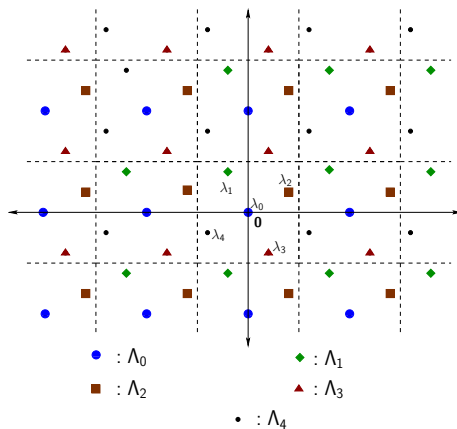


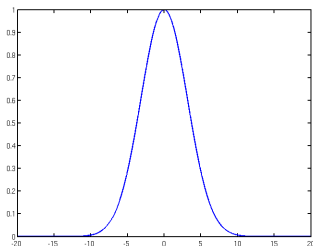
Figure: λ_i is the coset representative of Λ_i within $\mathcal{V}(\Lambda_0)$.

Basic idea to get secrecy

- Fix nested lattice pair (Λ, Λ_0) (e.g. $(\mathbb{Z}, 2\mathbb{Z})$).
- Cosets correspond to different messages. Given message Λ_i , transmit random point from Λ_i .

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- Select a pdf $f(\cdot)$ over \mathbb{R}^d .
- Probability of transmitting $\mathbf{u} \in \Lambda_j$ is $f(\mathbf{u})/(\sum_{\mathbf{v} \in \Lambda_j} f(\mathbf{v}))$.

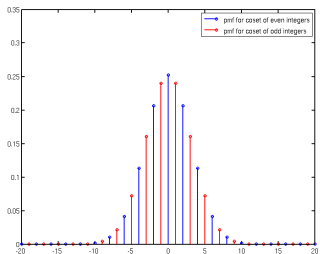


Figure: pmfs for the nested lattice pair $(\mathbb{Z}, 2\mathbb{Z})$.

- Don't use nested lattice shaping.
- Nested lattice shaping: Codewords chosen from $\Lambda \cap \mathcal{V}(\Lambda_0)$.
- Different choices of $f(\cdot)$ gives different secrecy properties!^a

^a "Secure compute-and-forward in a bidirectional relay," online: <http://ece.iisc.ernet.in/~shashank/publications.html>

Notation and definitions

For any $\mathbf{x}, \mathbf{z} \in \mathbb{R}^d$, and real $\kappa > 0$, we define

$$g_{\kappa, \mathbf{x}}(\mathbf{z}) := \frac{1}{(\sqrt{2\pi\kappa})^n} e^{-\frac{\|\mathbf{z}-\mathbf{x}\|^2}{2\kappa^2}}, \quad (1)$$

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$$g_{\kappa, \mathbf{x}}(\Lambda) := \sum_{\lambda \in \Lambda} g_{\kappa, \mathbf{x}}(\lambda). \quad (2)$$

We denote, $g_{\kappa, \mathbf{0}}(\mathbf{z})$ by $g_{\kappa}(\mathbf{z})$, and $g_{\kappa, \mathbf{0}}(\Lambda)$ by $g_{\kappa}(\Lambda)$.

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Observation: The function

$$p(\mathbf{u}) = \begin{cases} \frac{g_{\kappa}(\mathbf{u})}{g_{\kappa}(\Lambda)}, & \mathbf{u} \in \Lambda \\ 0 & \text{otherwise.} \end{cases}$$

is a probability mass function supported over Λ .

Our objective

We want a randomized coding scheme $X \rightarrow \mathbf{u}$, $Y \rightarrow \mathbf{v}$ such that

(S1) $\mathbf{u}, \mathbf{v} \in \mathbb{R}^d$, and $(X, \mathbf{u}) \perp\!\!\!\perp (Y, \mathbf{v})$.

(S2) $\mathbf{u} + \mathbf{v}$ must determine $X \oplus Y$ (for a suitably defined \oplus).

(S3) $\mathcal{I}(X; \mathbf{u} + \mathbf{v})$ and $\mathcal{I}(Y; \mathbf{u} + \mathbf{v})$ must go to 0 as $d \rightarrow \infty$.

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(S3) $\mathcal{I}(X; \mathbf{u} + \mathbf{v})$ and $\mathcal{I}(Y; \mathbf{u} + \mathbf{v})$ must go to 0 as $d \rightarrow \infty$.

- Observe that $X \rightarrow (\mathbf{u} + \mathbf{v}) \rightarrow (\mathbf{u} + \mathbf{v} + \mathbf{z})$ forms a Markov chain.
- Therefore, $\mathcal{I}(X; \mathbf{u} + \mathbf{v} + \mathbf{z}) \leq \mathcal{I}(X; \mathbf{u} + \mathbf{v})$.
- Hence, (S3) guarantees secrecy even in presence of noise.

A strongly secure coding scheme

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- Messages: Chosen uniformly at random from the quotient group $\mathbb{G}^{(d)} := \Lambda^{(d)} / \Lambda_0^{(d)}$. Denote the elements of $\Lambda^{(d)} / \Lambda_0^{(d)}$ by $\Lambda_0, \Lambda_1, \dots, \Lambda_{N-1}$, where $N = |\Lambda^{(d)} / \Lambda_0^{(d)}|$.

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- Encoding: Given message Λ_j , encoder outputs a random point \mathbf{u} from Λ_j according to

$$p_j(\mathbf{u}) = \begin{cases} \frac{g_{\sqrt{P}}(\mathbf{u})}{g_{\sqrt{P}}(\Lambda_j)}, & \text{if } \mathbf{u} \in \Lambda_j \\ 0, & \text{otherwise.} \end{cases}$$

A strongly secure coding scheme

- Decoding: The relay has $\mathbf{w} = \mathbf{u} + \mathbf{v} + \mathbf{z}$.
 - 1 Let $\tilde{\mathbf{w}}$ be the closest point in $\Lambda^{(d)}$ to \mathbf{w} .
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 - 2 The estimate of $X \oplus Y$ is the coset to which $\tilde{\mathbf{w}}$ belongs.
- Achievable power-rate pairs: $(\mathcal{P}, \mathcal{R})$ is achievable with strong secrecy if for every $\delta > 0$, there exists a sequence of $(\Lambda^{(d)}, \Lambda_0^{(d)})$ nested lattice codes such that for all sufficiently large d ,
 - Avg. transmit power, $\frac{1}{d} \mathbb{E} \|\mathbf{u}\|^2 = \frac{1}{d} \mathbb{E} \|\mathbf{v}\|^2$, is less than $\mathcal{P} + \delta$.
 - Transmission rate, $\frac{1}{d} \log_2 |\mathbb{G}^{(d)}|$, is greater than $\mathcal{R} - \delta$.
 - The average probability of decoding $X \oplus Y$ incorrectly from $\mathbf{u} + \mathbf{v} + \mathbf{z}$ is less than δ .
 - The mutual information, $\mathcal{I}(X; \mathbf{u} + \mathbf{v}) = \mathcal{I}(Y; \mathbf{u} + \mathbf{v})$ is less than δ .

Define the average variational distance between $U + V$ and X ,

$$d_V := \sum_{\mathbf{x}} \sum_{\mathbf{w} \in \Lambda^{(d)}} |p_{U+V, X}(\mathbf{w}, \mathbf{x}) - p_{U+V}(\mathbf{w})p_X(\mathbf{x})|$$

Lemma (Csiszár, Narayan (2004))

For $|\mathbb{G}^{(d)}| \geq 4$, we have

$$\mathcal{I}(X; \mathbf{u} + \mathbf{v}) \leq d_V \left(\log_2 |\mathbb{G}^{(d)}| - \log_2 d_V \right).$$

For any $\theta > 0$, the **flatness factor**, $\epsilon_\Lambda(\theta)$, is defined as

$$\epsilon_\Lambda(\theta) = \frac{\max_{\mathbf{x} \in \mathcal{V}(\Lambda)} |(\sum_{\lambda \in \Lambda} g_{\theta, \lambda}(\mathbf{x})) - 1/\text{vol}(\Lambda)|}{1/\text{vol}(\Lambda)}. \quad (3)$$

If \mathbf{z} is a $\mathcal{N}(\mathbf{0}, \theta^2 \mathbf{I}_d)$ random vector, then $\epsilon_\Lambda(\theta)$ is a measure of how far the distribution of $[\mathbf{z}] \bmod \Lambda$ is from the uniform distribution over $\mathcal{V}(\Lambda)$.

Strong secrecy

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Theorem

If the sequence of nested lattice pairs, $(\Lambda^{(d)}, \Lambda_0^{(d)})$ is such that the flatness factor, $\epsilon_{\Lambda_0^{(d)}}(\sqrt{\mathcal{P}/2})$ is less than $1/2$, then the average variational distance,

$$d_V \leq 216 \epsilon_{\Lambda_0^{(d)}}(\sqrt{\mathcal{P}/2}). \quad (4)$$

Secrecy good lattices: $\Lambda^{(d)}$ is **secrecy good** if the flatness factor, $\epsilon_{\Lambda^{(d)}}(\theta)$ decays exponentially in d for all θ that satisfies

$$\frac{(\text{vol}(\Lambda^{(d)}))^{2/d}}{2\pi\theta^2} < 1. \quad (5)$$

¹Erez et al. (2004, 2005), Ling et al. (2012)

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We choose a sequence of nested lattices that satisfy the following “goodness” properties:

- The sequence of coarse lattices, $\Lambda_0^{(d)}$ is good for covering, MSE quantization, AWGN channel coding, and secrecy good.
- The sequence of fine lattices, $\Lambda^{(d)}$ is good for AWGN channel coding and secrecy good.

Nested lattice pairs that satisfy the above properties indeed exist.¹

¹Erez et al. (2004, 2005), Ling et al. (2012)

- To have $\epsilon_{\Lambda_0^{(d)}}(\sqrt{\mathcal{P}/2}) \rightarrow 0$ exponentially, we need (5).

$$\frac{(\text{vol}(\Lambda_0^{(d)}))^{2/d}}{2\pi(\mathcal{P}/2)} < 1.$$

Scale the nested lattice pair so that $(\text{vol}(\Lambda_0^{(d)}))^{2/d} = \pi\mathcal{P} - \delta$.

- For the average transmit power to converge to \mathcal{P} , we require $\epsilon_{\Lambda^{(d)}}(\sqrt{\mathcal{P}/2}) \rightarrow 0$ as $d \rightarrow \infty$, which imposes the following constraint:

$$\frac{1}{d} \log_2 |\mathbb{G}^{(d)}| > \frac{1}{2} - \frac{1}{2} \log_2 \left(1 - \frac{\delta}{\pi\mathcal{P}} \right).$$

- To have the average probability of error decay to zero as $d \rightarrow \infty$, we need²

$$\frac{(\text{vol}(\Lambda^{(d)}))^{2/d}}{2\pi e\sigma^2} > 1.$$

²Erez, Zamir (2004)

Theorem

For any $\mathcal{P} \geq 4e\sigma^2$, a power-rate pair of

$$\left(\mathcal{P}, \frac{1}{2} \log_2 \frac{\mathcal{P}}{\sigma^2} - \frac{1}{2} \log_2 2e \right)$$

can be achieved with strong secrecy.

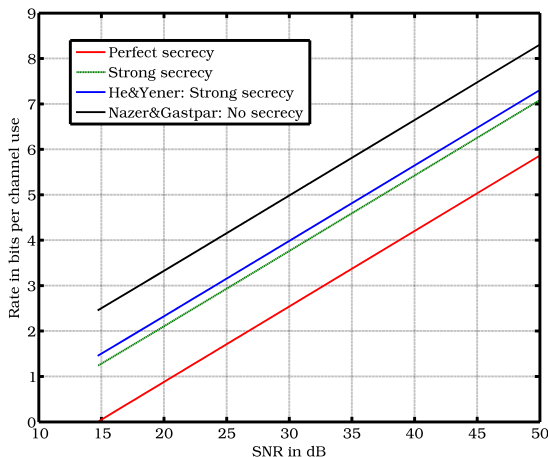
Using dithering techniques and MMSE equalization³ at the relay, a power-rate pair of

$$\left(\mathcal{P}, \frac{1}{2} \log_2 \left(\frac{1}{2} + \frac{\mathcal{P}}{\sigma^2} \right) - \frac{1}{2} \log_2 2e \right)$$

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³Erez & Zamir (2004), Nazer & Gastpar(2011)

A comparison of achievable rates



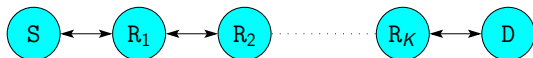
He and Yener (strong secrecy)

$$\mathcal{R} = \frac{1}{2} \log_2 \left(\frac{1}{2} + \frac{\mathcal{P}}{\sigma^2} \right) - 1.$$

Kashyap et al. (perfect secrecy)

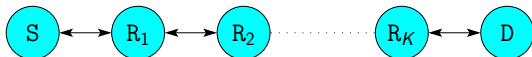
$$\mathcal{R} = \frac{1}{2} \log_2 \frac{\mathcal{P}}{\sigma^2} - \log_2 2e.$$

Multi-hop line network with $K + 1$ hops



- All links are identical wireless AWGN links with unit gain.
- Source node S wants to send M independent messages (chosen uniformly at random) to destination.

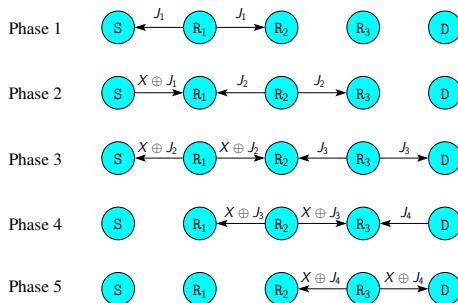
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- Source node S wants to send M independent messages (chosen uniformly at random) to destination.
- Relay nodes are independent passive eavesdroppers.
- Want strong secrecy at relay nodes.

Multi-hop line network with $K + 1$ hops

- He and Yener (Allerton '08) proposed a weakly secure scheme using cooperative jamming.
- Each relay node independently generates a jamming signal J_i ($i = 0, 1, \dots, K$). Destination generates M jamming signals.



Any strongly secure scheme for the bidirectional relay can be used with the cooperative jamming protocol of He and Yener to achieve strong secrecy.⁴

⁴ “*Secure compute-and-forward in a bidirectional relay*,” submitted to IEEE Trans. Inf. Theory, online: <http://ece.iisc.ernet.in/~shashank/publications.html>

Any strongly secure scheme for the bidirectional relay can be used with the cooperative jamming protocol of He and Yener to achieve strong secrecy.⁴

Theorem

For $\mathcal{P} \geq 4e\sigma^2$, a power-rate pair of

$$\left(\mathcal{P}, \frac{1}{4} \log_2 \left(\frac{\mathcal{P}}{\sigma^2} \right) - \frac{1}{4} \log_2 2e \right)$$

is achievable with strong secrecy in a multi-hop network.

⁴ “Secure compute-and-forward in a bidirectional relay,” submitted to IEEE Trans. Inf. Theory, online: <http://ece.iisc.ernet.in/~shashank/publications.html>

- Nested lattice based coding scheme satisfying an average power constraint that achieves strong secrecy over the bidirectional relay.
- Basic idea: Given the i th message (coset), transmit random point from Λ_i according to a pmf obtained by sampling Gaussian distributions.
- Possible that pmfs obtained by sampling different distributions may give interesting secrecy properties.
- Extension to the multi-hop line network.