Studying evidentiality in language

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Evidentiality

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- More commonly: encoding of information source
- ► In other words, how people know what they are talking about: personal experience, a wild guess, hearsay, etc.

Evidentiality

(1) kieylali-k kan-n-tika
peccary-obj to.die-vs-evid:Infer.Cert/pst
hala-n-dana
to.stink-vs-evid:sens.Cert/prs
'The peccary died; (because) it stinks.'

Via Eberhard (2018: 347) Sabanê (Nambikwara)

Outline

- 1. What is evidentiality?
- 2. Cognition and culture
- 3. Fieldwork on evidentiality

Outline 4/90

What is evidentiality?



Franz Boas (1858-1942)



Suffixes marking "source of information" in Kwakiutl (Kwakwaka'wakw) (Boas 1911)

"The man is sick. We express by this sentence, in English, the idea a definite single man at present sick. In Kwakiutl this sentence would have to be rendered by an expression which would mean, in the vaguest possible form that could be given to it, definite man near him *invisible sick near him invisible.* [...] An idiomatic expression of the sentence in this language would, however, be much more definite, and would require an expression somewhat like the following, *That invisible* man lies sick on his back on the floor of the absent house."

(Boas 1911: 43)

"[...] thus the Kwakiutl, whose language I have used several times as an example, would require a form indicating whether this is a new subject introduced in conversation or not; and, in case the speaker had not seen the sick person himself, he would have to express whether he knows by hearsay or by evidence that the person is sick, or whether he has dreamed it."

(Ibid.)

- ▶ Boas first described a category of information source as a general concept
 (Aikhenvald 2004: 12–13)
- ► But exponents of this category had been described much earlier: observations by Pāṇini on Sanskrit from the 4th century BCE seem to be the oldest (Friedman 2018: 125)

- ▶ Boas first described a category of information source as a general concept (Aikhenvald 2004: 12–13)
- ▶ But exponents of this category had been described much earlier: observations by Pāṇini on Sanskrit − from the 4th century BCE − seem to be the oldest (Friedman 2018: 125)
- ► According to Friedman (2018), A.M. Halpern was the first to use the term evidential: as a descriptive term for a verb suffix in the Quechuan language Yuma (indicating direct evidence) (Halpern 1946: 286)

► Roman Jakobson coined the term **evidential** for a typological category of the verb in 1957

"Evidential is a tentative label for the verbal category which takes into account three events – a narrated event, a speech event, and a narrated speech event, namely the alleged source of information about the narrated event. The speaker reports an event on the basis of someone else's report (quotative, i.e. hearsay evidence), or a dream (revelative evidence), or a guess (presumptive evidence) or of his own previous experience (memory evidence)."

(Jakobson 1957: 46)

"To our question, what happened to the steamer Evdokija, a Bulgarian first answered: *zaminala* "it is claimed to have sailed", and then added: *zamina* "I bear witness; it sailed"."

(Ibid.)

► Importantly, Jakobson brought together information source suffixes from Native American languages and indirect verb tenses from Bulgarian as instances of the same category (Plungian 2010: 26)

Evidentiality in typology

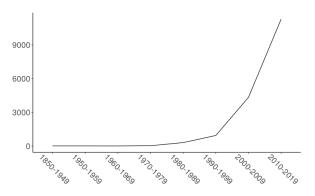
- ► Research started growing exponentially only from the mid-80's onwards
- ► After the publication of *Evidentiality: the linguistic* coding of epistemology (Chafe & Nichols 1986)

Evidentiality in typology

- ► Chafe & Nichols (1986) defined evidentiality in terms of "attitude towards knowledge", rather than simple information source marking
- ➤ They departed from a broad universal semantics, and included all kinds of linguistic devices (grammatical suffixes, lexical items)

Research on evidentiality

Figure 1: Search results for keyword "evidentiality" in Google Scholar by decade



Retrieved April 2019.

The book offers insight into "the ways in which ordinary people, unhampered by philosophical traditions, naturally regard the source and reliability of their **knowledge.** Simultaneously we can learn a great deal about an important ingredient of language itself, the ways in which languages agree and differ in their emphases, and in the kinds of devices they make available to their speakers." (Chafe & Nichols 1986: vii)

"One of the current misconceptions concerning evidentiality is to do with a gratuitous extension of this term to cover every way of expressing uncertainty, probability and one's attitude to the information, no matter whether it is expressed with grammatical or with lexical means; or whether it is the primary meaning of a category or not [...]."

(Aikhenvald 2003: 19)

What is evidentiality?

- ► Evidentiality is often associated with **epistemic modality**, i.e. the speaker's degree of certainty and commitment regarding the truth value of a piece of information (Boye 2012: 1–6)
- ► There have been some attempts to equate or identify the two in the past

The notion that information source marking is inherently connected to reliability and certainty seems intuitively plausible.

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- (2) Smith also owns one of Elton John's old pianos.
- (3) Smith also **reportedly** owns one of Elton John's old pianos.

via: The Washington Post

- ► Plungian (2001: 354) aptly called this a "cultural stereotype"
- ► There is no cross-linguistically valid one-to-one mapping between certain source types and reliability judgments
- ▶ By now: general consensus that the two categories are distinct, though the nature of their relationship remains a matter of debate (see discussion in Wiemer (2018))

"Well, I won't believe it till I see it," said the man. "An' then I dunno whether I'll believe it or not."

The Circus of Dr. Lao by Charles G. Finney (1935)

- Another central debate is whether the term evidentiality should be reserved for grammatical encoding
- ► A.Y. Aikhenvald is the main proponent of a grammar-central approach, explicitly defining evidentiality as "grammatical marking of information source" (Aikhenvald 2018: 1)
- Most others treat evidentiality as a universal semantics which can be expressed with various linguistic means

- ► Though everybody seems to agree that different means should be distinguished, and that studying each and all of them is useful
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- A more substantial problem is how to distinguish different types
- ► Aikhenvald (2004) distinguishes three main types of expressions: grammatical marking, lexical items, and "evidential strategies" → forms that can express an evidential value on occasion in context, but have a different main function

Grammar vs. lexicon

▶ Grammatical marking (as opposed to lexical) consists of "closed systems, which can be realized by bound morphemes, clitics and words which belong to full grammatical classes, such as prepositions, preverbs or particles" (Aikhenvald 2004: 11)

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Evidential strategies

e.g. modal verbs in Germanic languages

(4) Er soll sich das Bein gebrochen haben he must:3.PRS self DEF leg broken have 'Apparently he has broken his leg.' / 'He reportedly broke his leg.' via (Aikhenvald 2004: 150) German

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cf. He must have broken his leg.

Evidential strategies vs. grammar

- ► Evidential strategies are forms that can express an evidential value on occasion in context, but this is not their "main meaning"
- Grammatical evidentials commonly originate from strategies
- ► Many forms are in the process of evolution from strategy to grammar; it is unclear where to draw boundaries between them

Inference and semantic change

A key mechanism in semantic change and grammaticalization is **inference**

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Do not confuse various applications of the term:

- ► Inference in naive epistemology
- Inference as a value in systems of evidential marking
- ► Inference in cognitive psychology
- ► Inference as a mechanism for semantic change

"Another commonly cited mechanism that propels semantic change toward greater grammaticization is inference or the conventionalization of implicature [...] In this type of change, a [grammatical form] that often occurs in an environment in which a certain inference may be made can come to be associated with that inference to such an extent that the inference becomes part of the explicit meaning of the gram." (Bybee, Perkins & Pagliuca 1994: 25)

since as a temporal \rightarrow causal conjunction

(5) Since Susan left him, John has been very miserable.

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- (5) Since Susan left him, John has been very miserable.
- (6) Since I have a final exam tomorrow, I won't be able to go out tonight.

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- (6) Since I have a final exam tomorrow, I won't be able to go out tonight.

New meaning derives from a common inference that correlation implies causation.

(Hopper & Traugott 2003: 78-84)

Evidentiality and implicatures

- ► Evidential meanings rarely emerge alone
- ► In many languages a single form conveys different meanings, including hearsay, logical inference, mirative (new, unexpected information) and epistemic modality (the speaker does not vouch for the truth of the information)

Evidentiality and implicatures

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- The status of these various meanings is unclear
- One may be a pragmatic extension of the other, or they may be two sides of the same coin

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- The status of these various meanings is unclear
- None may be a pragmatic extension of the other, or they may be two sides of the same coin → Interpretations of another, more abstract category such as "mediative"

- (7) Sali-r miča **qini-b-o ek'wa** ali-ERG dagger break-N-CVB AUX.PRS
 - 1. 'Ali (as I found out) broke [his] dagger.'
 - 2. '(I see that) Ali broke [his] dagger.'
 - 3. '(Apparently) Ali broke [his] dagger.'

From (Tatevosov 2007: 361) Bagvalal (East Caucasian)

Mediative

"When [speakers] use the ordinary, unmarked forms, they are stating the facts purely and simply as they know them, with no commentary. But, when they choose to use the special, marked forms, they are expressing them MEDIATELY, through their acknowledgment of the event, without specifying how it happened, and in so doing they are placing themselves, so to speak, at a distance from what they are saying. In the case of hearsay the utterance implies 'as I hear'; in the case of inference it implies 'as I infer'; in the case of unexpected perception it implies 'as I see'." (Lazard 1999: 95)

Mediative

[...] Speakers are somehow split into two persons, the one who speaks and the one who has heard or infers or perceives. This operation distances them from their own discourse, whereas in neutral expression they adhere to their own discourse by virtue of the very laws of linguistic intercourse. The real value of the forms in question is this abstract distance, not any consideration of the nature of the source of the speaker's knowledge of the facts." (Ibid.)

Grammar vs. non-grammar

- Lack of empirical criteria leads to contradictory classifications
- ► How to identify the (main) meaning of a grammatical form?
- Repercussions for methodology

Semantic domain

Personal experience	Active participation		
	Sensory access	Visual	Direct
		Auditory	
Inference	From results		Indirect
	From reasoning		
Hearsay	Secondhand		
	Thirdhand		

^{*}Shaded grey = rare distinctions.

Floating notions

- ► Information from dreams can be framed as direct / visual access, or as unreal and thus inherently unwitnessed; Kwakiutl supposedly has a dedicated revelative marker
- General knowledge that cannot be ascribed to a specific source (type) is marked as direct / personal (minimal mediation), inference from reasoning (assumption), reportative (in Mi'kmaw) or has a dedicated marker

Aikhenvald (2004) makes a distinction of **reportative** vs. **quotative**: reportative = reported information without reference to a specific source ('it is said that Y'); quotative = reported information with reference to a source ('X said Y').

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Quotatives often simply designate a proposition as being a quote, rather than specifying access per se, so it is debatable whether they are strictly speaking evidentials.

(8) he-w hek'a c'innoł-o bis:i-di **bot:t:u** dem-m man know.caus-imp 2Pl-erg quot **lodi!** hit'on χ an.š-t:i.

REP say.aor king-erg

"You find out what kind of man [did this]!"

Said_{rep} the king.'

(Dirr 1906)

Andi (East Caucasian)

(9) ilo-de du-\(\text{\chi}\)a yo\(\text{ca}\) b-eq'-are=\(\text{\chi}\)'ehe mother-erg 2sg.dat book n-get-pfv=quot \(\text{\tilde{a}}\) hear-cvb.n n-be-pfv 1pl.excl-dat 'Mother bought you a book, we heard.'

(Magomedbekova 1967: 107) Akhvakh (East Caucasian)

(10) ?álí nà kònò sù-wókkó músá kò
<name> QUOT REP 3SG:M-see:PFV <name> do
máytà
vomit:vN
'There is a rumour that Ali said he saw Musa
vomiting.'

(Storch 2018: 625) Maaka (Chadic)

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(Storch 2018: 625) Maaka (Chadic)

ightarrow Seems to convey information attributed to a specific source, rather than simply delimit a quotation

Active participation

► Some languages distinguish active participation from direct observation (see Sarvasy (2018: 646–650) on Foe)

Active participation

- ► Some languages distinguish active participation from direct observation (see Sarvasy (2018: 646–650) on Foe)
- ► Tibetic languages famously distinguish egophoric access, which is considered a distinct category (Floyd, Norcliffe & San Roque 2018)
- Egophoric covers personal knowledge: active participation, thoughts, inner sensations (Tournadre & LaPolla 2014); in some cases requires control (Widmer & Zúñiga 2017)

Egophoricity

"The Tibetic Egophoric category is not part of the evidential system; it is an independent, and more fundamental, category which affects evidential meanings that come under its shadow. Rather than an evidential category, Egophoric is a category to which evidentiality is not applicable."

(DeLancey 2018: 584)

Evidentiality as an indexical category

 Remember Jakobson's narrated speech event – an intermediary event designating a relationship between an event and an origo (usually the speaker)

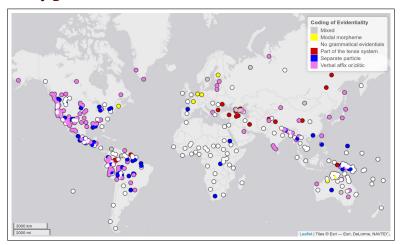
Evidentiality as an indexical category

- Remember Jakobson's narrated speech event an intermediary event designating a relationship between an event and an origo (usually the speaker)
- ► Some recent functional studies revisit the idea of evidentiality as an indexical category (similar to tense, spatial deixis, etc.) (Hanks 2014, Bergqvist 2018)
- ► And similar views are common in formal semantic approaches (see an overview in Speas (2018))

Evidentiality as an indexical category

- Evidentials by default refer to the information source (or access) of the speaker
- Indexical approaches can account for shifts, including:
- Speaker to character in a story
- Speaker to addressee in a question
- Extension from speaker to include addressee in "shared knowledge" markers

Areal types



*Reproduction of (de Haan 2013) made with Lingtypology (Moroz 2017) for R (R Core Team 2018)

Interim summary — evidentiality is:

- A relatively new but very fashionable category in linguistics
- Relatively rare if you define it as *grammatical* encoding of information source
- Universal if you define it as *linguistic* encoding of information source
- ► Alternatively, you can define it as an indexical category specifying the relationship between an origo (usually the speaker) and an event in terms of access to information about the event

Interim summary

- Major subdomains are direct experience, inference, and reported speech
- Some values are highly divergent cross-linguistically
- And some remain controversial in typology

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- Major subdomains are direct experience, inference, and reported speech
- Some values are highly divergent cross-linguistically
- And some remain controversial in typology
- There is a general lack of empirical criteria to identify evidentials: either as markers of evidentiality, or as grammatical markers of evidentiality

Cognition and culture

Jakobson distinguished an evidential value

MEMORY EVIDENCE

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Cross-linguistically the expression of evidentiality seems to favor the past tense (Forker 2018)

Though not exclusively

(11) náciya pæ-æy=hɔ̃ boat go.upriver-dynm=nonvis 'The boat is going upriver (I can hear it).'

(Epps 2008: 153)

Hup (Naduhup)

 Nonetheless, memory is an important and often overlooked parameter

- Nonetheless, memory is an important and often overlooked parameter
- Memories are of varying quality, and speakers are to some extent aware of this
- ► Interestingly, the evidential system of Taku Tibetan seems to encode the quality of a past observation, distinguishing immediate from prolonged observation (Sun 2018: 51–54)

► So far I have assumed a one-to-one mapping: event - *piece of information* - source of information

- ► So far I have assumed a one-to-one mapping: event *piece of information* source of information
- But knowledge is often composed of fragments from different sources
- ► In which case speakers opt for a "preferred evidential" (Aikhenvald 2004: 305–309)

Valteir ite tʃinu
Valteir POSS+NCL:AN dog
nihwã-ka di-na
3SG.NF+bite-REC.PST.VIS 3SG.NF-OBJ
'Valteir's dog bit him.' (VISUAL)

(Aikhenvald 2004: 306)

Tariana (Arawak)

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(Aikhenvald 2004: 306)

Tariana (Arawak)

The speaker heard and saw the event, yet uses -ka (VISUAL) rather than -mahka (NON-VISUAL).

"People's mental experiences do not have labels indicating where they came from."

(Johnson 2006: 761)

Source monitoring

- People can misremember how they know something
- ▶ Lines between real and imagined events can be blurred; details misremembered → see recent research on the reliability of eyewitness testimonies
- People can also think they saw something when actually they read a vivid description (Intraub & Hoffman 1992)

Source monitoring

► Do speakers of evidential languages have a cognitive advantage in this regard?

Source monitoring

- ► Do speakers of evidential languages have a cognitive advantage in this regard?
- ► So far the answer seems to be NO
- ► Not a lot of research has been done so far, limited to languages with small evidential systems, see an overview in Ünal & Papafragou (2018)

Speakers can deliberately use an evidential that does not accurately reflect how they know something.

A common mechanism is pragmatic distancing

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(13) seler ki'ci voğanda getu daxənbar you.PL small when head.covering wear.IMP o - daxan ixua
INT - wear not.INDIR
'When you were young, did you cover your heads?' - 'No, we didn't.'

(Dwyer 2000: 51)

Salar (Turkic)

Distance can take on an ironic or sarcastic flavor

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(14) Her gün koş-uyor-**muş**.
every day run-PRS-mIş
'(It is said that) he jogs every day.'

(Slobin & Aksu-Koç 1986: 161)

Turkish (Turkic)

Distance can take on an ironic or sarcastic flavor

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- (15) Park-ac ē lie_down-INDIR be-3SG 'She's lying down.'
 - 1. This is normal, e.g., she usually rests at this time of day, she's pregnant, she's ill, etc.
 - 2. It's shocking how lazy she is.

(Donabédian 2001: 426) Western Armenian (Armenic)

The reverse is arguably also possible, cf.

- (16) ηθ gepi: ∫a zɐ-wuʔ-*lθ
 1SG:GEN husband:ERG meat eat-PROG-EGO
 'My husband is eating meat.'
- (17) ŋə gepi: ∫a zɐ-lə 1SG:GEN husband:ERG meat eat-EGO 'My husband eats meat.'

(Sun 2018: 56)

Taku Tibetan (Tibetic)

Taking into account the addressee: face-saving and face-threatening

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(18) wa dila r-ik:an x:unul, cel,
hey 1SG.GEN F-beloved wife, somehow
kuxnjali-b dig k:wя?bih-ub-ca-b.
kitchen-N[ESS] meat spoil-PRET-COP-N
'Hey, my dear wife, somehow the meat has
spoiled in the kitchen.'

(Fieldwork 2016) Itsari Dargwa (East Caucasian)

Addressee perspective can also be important: face-saving and face-threatening

(19) Kan-mi pusha-ra-ngi, ri-ki!
you-EVID bring.along-PST-2 look-IMP
'You (are the one) who brought us (to this point), look!'

(Nuckolls 2018: 211) Pastaza Quichua (Quechuan)

In narratives

- Conventionalized usage
- Genre-specific preferences:
- ► Indirect is associated with fictional and unrealistic events in Turkish, and direct with historical accounts and realistic fiction (Slobin & Aksu-Koç 1986: 160)
- Correlation with geographical context in Quechua: familiar environment, direct marking (Howard 2018)

- Switch to direct evidential in an unwitnessed context for "vividness" (Comrie & Polinsky (2007) on Tsez)
- ► Can in some cases be interpreted as overstepping boundaries (Friedman (2003: 207) on Macedonian history books)

► Switch can also have a different cause: foregrounding vs. backgrounding information within the structure of the text (Makartsev (2013) on Balkan languages)

Summary

- Evidential marking relies on people's ability to identify how they know something, which is flawed
- ► It forces the selection of a single value in case knowledge originates from various sources

Summary

- ➤ The use and interpretation of evidential markers in context may not be predictable from their perceived core meanings (e.g. reportative, visual evidence)
- Depends on narrative conventions and politeness strategies, among other things

Abbreviations I

```
first person 54, 89-91
        second person 53, 54, 92
        third person 33, 34, 55, 56, 76, 77, 87, 88
        animate 76, 77
   AN
 AOR
        aorist 53
        auxiliary 45
 AUX
        causative 53
CAUS
CERT
        certainty 4
        copula 90, 91
 COP
        converb 45, 54
 CVB
 DAT
        dative 54
 DEF
        definite 33, 34
        demonstrative 53
 DEM
DYNM
        dynamic 71
        egophoric 89
 EGO
        ergative 45, 53, 54, 89
 ERG
        essive 90, 91
  ESS
        evidential 4, 92
 EVID
        exclusive 54
EXCL.
        feminine 90, 91
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Abbreviations II

```
GEN
          genitive 89-91
          imperative 53, 83, 84, 92
    IMP
          indirect evidential past 83, 84, 87, 88
  INDIR
          inferential 4
 INFER
          interrogative 83, 84
    INT
          masculine 53, 55, 56
          neuter 45, 54, 90, 91
          noun class 76, 77
   NCL.
          non-feminine 76, 77
     NF
          nonvisual evidential 71
NONVIS
          object 4, 76, 77
    OBI
          perfective 54-56
    PFV
          plural 54, 83, 84
   POSS
          possessive 76, 77
  PRET
          preterite 90, 91
          progressive 89
  PROG
          present 4, 33, 34, 45, 85, 86
    PRS
    PST
          past 4, 76, 77, 92
  OUOT
          quotative 53-56
    REC
          recent 76, 77
          reportative 53, 55, 56
    REP
          sensory evidential 4
   SENS
          singular 54-56, 76, 77, 87-91
          visual evidential 76, 77
          verbal noun 55, 56
     VN
          verbal suffix 4
     VS
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