# Shivam Garg

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#### EDUCATION University of Alberta, Canada

2022-present

Doctor of Philosophy in Computing Science (specialization in statistical machine learning) Supervisor: Prof. Dale Schuurmans

## University of Alberta, Canada

2019-21

Master of Science in Computing Science Supervisors: Prof. Rupam Mahmood and Prof. Martha White (Received CAIAC Best Master's Thesis Award 2022)

## Indian Institute of Technology (BHU) Varanasi, India

2014 - 19

Integrated Dual Degree [BTech (Hons.) + MTech] in Computer Science and Engineering GPA: 9.77/10.0 (ranked 1/82 in my class)

#### **EXPERIENCE**

## Research Assistant, University of Alberta

Sept 2021–Aug 2022

 Worked with Prof. Csaba Szepesvári on reinforcement learning theory (mainly policy gradient methods)

#### Internship at Samsung R&D Institute India, Bangalore

May-Jul 2017

- Intern in the Android platform team
- Worked on inducing traces in the Linux kernel for data logging
- Investigated various machine learning techniques for handling the above data

#### PAPERS

[P4] An Alternate Policy Gradient Estimator for Softmax Policies. [PDF]

Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood. International Conference on Artificial Intelligence and Statistics (AISTATS), 2022.

[P3] A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning. [PDF]

Sharan Vaswani, Olivier Bachem, Simone Totaro, Robert Müller, Shivam Garg, Matthieu Geist, Marlos C. Machado, Pablo Samuel Castro, Nicolas Le Roux.

International Conference on Artificial Intelligence and Statistics (AISTATS), 2022. (Oral)

- [P2] Gradient Temporal-Difference Learning with Regularized Corrections. [PDF] Sina Ghiassian, Andrew Patterson, Shivam Garg, Dhawal Gupta, Adam White, Martha White. International Conference on Machine Learning (ICML), 2020.
- [P1] Object Sequences: Encoding Categorical and Spatial Information for a Yes/No Visual Question Answering Task. [PDF] [DOI]
  Shivam Garg and Rajeev Srivastava.
  IET Computer Vision, 2018.

### WORK-SHOP PAPERS

[W3] Making Policy Gradient Estimators for Softmax Policies More Robust to Nonstationarities. [PDF]

Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood.

The Multi-disciplinary Conference on Reinforcement Learning and Decision Making (RLDM),
2022.

(an extended abstract based on [P4])

- [W2] Enabling Safe Exploration of Action Space in Real-World Robots. [PDF]
  Shivam Garg, Homayoon Farrahi, A. Rupam Mahmood.

  Virtual Conference on Reinforcement Learning for Real Life (RL4RealLife), 2020.
- [W1] Mirror Descent for Robust Reinforcement Learning. [PDF]
  Shivam Garg.
  Indian Workshop on Machine Learning (iWML), 2018.

#### THESES

Analysis of an Alternate Policy Gradient Estimator for Softmax Policies. [PDF] [T2]

Shivam Garg.

M.Sc. Thesis, University of Alberta, 2021.

(based on [P4])

[T1]Coordinated Exploration for Concurrent Reinforcement Learning. [PDF]

Shivam Garg.

M. Tech. Thesis, Indian Institute of Technology (BHU) Varanasi, 2019.

AWARDS AND HONORS Alberta Graduate Excellence Scholarship recipient

2022

Awarded by Faculty of Graduate Studies and Research, University of Alberta

Nominated for the WAGS/ProQuest Distinguished Master's Thesis Award 2022

For the thesis [T2] titled "Analysis of an Alternate Policy Gradient Estimator for Softmax Policies" (each "Western Association of Graduate Schools" member institution may submit one nomination for this award)

Co-winner of the Best Master's Thesis Award, CAIAC

For the thesis [T2] titled "Analysis of an Alternate Policy Gradient Estimator for Softmax Policies" (every academic unit within a Canadian university nominates one master's thesis in the field of AI to the Canadian Artificial Intelligence Association) [Link]

Nomination for the Best Paper Award, AISTATS

2022

For the paper [P3] titled "A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning" (top four out of the 492 papers at the International Conference on Artificial Intelligence and Statistics) [Link]

Gold Medal, IIT (BHU) Varanasi

2019

For being ranked first in the Computer Science & Engineering batch of 2014-19

Awarded CBSE certificate of merit

2014

For being amongst the top 0.1% candidates in Physics (class XII)

Successfully qualified Regional Mathematical Olympiad, UP

2012

State level for the International Mathematical Olympiad (about 300 students selected nationally)

National Talent Search Scholarship recipient

2010

Awarded by NCERT, Government of India (about 1000 students selected nationally)

TEACHING

University of Alberta

ASSISTANT

CMPUT 653 – Theoretical Foundations of RL (Grad) [Link]

Winter 2021

CMPUT 655 – Reinforcement Learning 1 (Grad) [Link]

Fall 2020

CMPUT 365/397 – Reinforcement Learning [Link]

Winter 2023, Fall 2022, Winter 2020

CMPUT 366 – Intelligent Systems

Fall 2019

IIT (BHU) Varanasi

CSE 205 - IT Workshop 2

Aug-Dec 2018

CSE 241N – Artificial Intelligence

Jan-May 2018

CSO 101 – Computer Programming

Jan-May 2019, Jan-May 2018, Aug-Dec 2017

Jan-May 2017, Aug-Dec 2016

SERVICE

Reviewer for one paper at AISTATS 2022 • Sub-reviewer for one paper for the journal of Artificial Intelligence (AIJ) 2022 • Participating as an early career professional for the UA-WISE/WISER mentorship program 2022 • Reviewer for AISTATS 2022 (a top 10% reviewer) • Reviewer for SSL-RL (ICLR Workshop) 2021 • Helped create Python notebooks for the "Policy Optimization in RL" tutorial at NeurIPS 2020 [Link] • Student reporter for CIFAR Deep Learning and Reinforcement Learning Summer School 2020 • Sub-reviewer for one paper at ICML 2020 • Served as the Vice President of the Computing Science Graduate Student

Association, University of Alberta (2020–21).

**SKILLS** 

Python  $\cdot$  PyTorch  $\cdot$  C  $\cdot$  LATEX  $\cdot$  Emacs

## COURSES Graduate at UAlberta

Probability and Measure (ongoing)
High Dimensional Probability (ongoing)
Statistical Inference
RL with Robots

- Stochastic Analysis - Intro. to Machine Learning
- Probabilistic Graphical Models - Reinforcement Learning 2

# Undergraduate at IIT (BHU)

- Stochastic Process - Linear Algebra (Online)

Probability and Statistics
 Optimization Techniques
 Natural Language Processing
 Intelligent Computing (Neural Networks and Genetic Algorithms)

- Computer Vision - Artificial Intelligence

# OTHER PROJECTS

April'20
Aug-Nov'17
Dec'17
Nov'17
Nov'17
Aug'16–May'17
Nov'16
Aug-Nov'16
Aug-Nov'16
Jan-May'16
Oct'15

## EXTRA-CURRICULAR

I enjoy going for long walks, rock climbing, and cycling; and playing harmonica, table tennis, and Go (the board game).