

Shivam Garg

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EDUCATION	University of Alberta, Canada 2022–present Doctor of Philosophy in Computing Science Supervisor: Prof. Dale Schuurmans
	University of Alberta, Canada 2019–21 Master of Science in Computing Science Supervisors: Prof. Rupam Mahmood and Prof. Martha White GPA: 4.0/4.0 (awarded CAIAC Best Master’s Thesis Award 2022)
	Indian Institute of Technology (BHU) Varanasi, India 2014–19 Integrated Dual Degree [BTech (Hons.) + MTech] in Computer Science and Engineering GPA: 9.77/10.0 (ranked 1/82 in my class)
EXPERIENCE	Research Assistant, University of Alberta Sept 2021–Aug 2022 – Worked with Prof. Csaba Szepesvári on reinforcement learning theory (mainly policy gradient methods).
	Internship at Samsung R&D Institute India, Bangalore May–Jul 2017 – Intern in the Android Platform team. – Worked on inducing traces in the Linux kernel for data logging. – Investigated various machine learning techniques for handling the above data.
PAPERS	[P4] An Alternate Policy Gradient Estimator for Softmax Policies. [PDF] Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood. <i>International Conference on Artificial Intelligence and Statistics (AISTATS)</i> , 2022.
	[P3] A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning. [PDF] Sharan Vaswani, Olivier Bachem, Simone Totaro, Robert Müller, Shivam Garg, Matthieu Geist, Marlos C. Machado, Pablo Samuel Castro, Nicolas Le Roux. <i>International Conference on Artificial Intelligence and Statistics (AISTATS)</i> , 2022. (Oral)
	[P2] Gradient Temporal-Difference Learning with Regularized Corrections. [PDF] Sina Ghiassian, Andrew Patterson, Shivam Garg, Dhawal Gupta, Adam White, Martha White. <i>International Conference on Machine Learning (ICML)</i> , 2020.
	[P1] Object Sequences: Encoding Categorical and Spatial Information for a Yes/No Visual Question Answering Task. [PDF] [DOI] Shivam Garg and Rajeev Srivastava. <i>IET Computer Vision</i> , 2018.
WORK-SHOP PAPERS	[W3] Making Policy Gradient Estimators for Softmax Policies More Robust to Non-stationarities. [PDF] Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood. <i>The Multi-disciplinary Conference on Reinforcement Learning and Decision Making (RLDM)</i> , 2022. (an extended abstract based on [P4])
	[W2] Enabling Safe Exploration of Action Space in Real-World Robots. [PDF] Shivam Garg, Homayoon Farrahi, A. Rupam Mahmood. <i>Virtual Conference on Reinforcement Learning for Real Life (RL4RealLife)</i> , 2020.
	[W1] Mirror Descent for Robust Reinforcement Learning. [PDF] Shivam Garg. <i>Indian Workshop on Machine Learning (iWML)</i> , 2018.

THESES	<p>[T2] Analysis of an Alternate Policy Gradient Estimator for Softmax Policies. [PDF] Shivam Garg. <i>M.Sc. Thesis, University of Alberta</i>, 2021. (based on [P4])</p> <p>[T1] Coordinated Exploration for Concurrent Reinforcement Learning. [PDF] Shivam Garg. <i>M.Tech. Thesis, Indian Institute of Technology (BHU) Varanasi</i>, 2019.</p>
AWARDS AND HONORS	<p>Nominated for the WAGS/ProQuest Distinguished Master’s Thesis Award 2022 For the thesis [T2] titled “Analysis of an Alternate Policy Gradient Estimator for Softmax Policies” (each “Western Association of Graduate Schools” member institution may submit one nomination for this award)</p> <p>Co-winner of the Best Master’s Thesis Award, CAIAC 2022 For the thesis [T2] titled “Analysis of an Alternate Policy Gradient Estimator for Softmax Policies” (every academic unit within a Canadian university nominates one master’s thesis in the field of AI to the Canadian Artificial Intelligence Association) [Link]</p> <p>Nomination for the Best Paper Award, AISTATS 2022 For the paper [P3] titled “A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning” (top four out of the 492 papers at the International Conference on Artificial Intelligence and Statistics) [Link]</p> <p>Gold Medal, IIT (BHU) Varanasi 2019 For being ranked first in the Computer Science & Engineering batch of 2014–19</p> <p>Awarded CBSE certificate of merit 2014 For being amongst the top 0.1% candidates in Physics (class XII)</p> <p>Successfully qualified Regional Mathematical Olympiad, UP 2012 State level for the International Mathematical Olympiad (about 300 students selected nationally)</p> <p>National Talent Search Scholarship recipient 2010 Awarded by NCERT, Government of India (about 1000 students selected nationally)</p>
TEACHING ASSISTANT	<p>University of Alberta</p> <p>CMPUT 653 – Theoretical Foundations of RL (Grad) [Link] Winter 2021 CMPUT 655 – Reinforcement Learning 1 (Grad) [Link] Fall 2020 CMPUT 397 – Reinforcement Learning [Link] Fall 2022, Winter 2020 CMPUT 366 – Intelligent Systems Fall 2019</p> <p>IIT (BHU) Varanasi</p> <p>CSE 205 – IT Workshop 2 Aug–Dec 2018 CSE 241N – Artificial Intelligence Jan–May 2018 CSO 101 – Computer Programming Jan–May 2019, Jan–May 2018, Aug–Dec 2017 Jan–May 2017, Aug–Dec 2016</p>
SERVICE	<p>Participating as an early career professional for the UA-WISE/WISER mentorship program 2022 • Reviewer for AISTATS 2022 (a top 10% reviewer) • Reviewer for SSL-RL (ICLR Workshop) 2021 • Helped create Python notebooks for the “Policy Optimization in RL” tutorial at NeurIPS 2020 [Link] • Student reporter for CIFAR Deep Learning and Reinforcement Learning Summer School 2020 • Sub-reviewer for one paper at ICML 2020 • Served as the Vice President of the Computing Science Graduate Student Association, University of Alberta (2020–21).</p>
SKILLS	<p>Python · PyTorch · C · \LaTeX · Emacs</p>
COURSES	<p>Graduate at UAlberta</p> <ul style="list-style-type: none"> – Stochastic Analysis (ongoing) – Probabilistic Graphical Models (ongoing) – Statistical Inference (ongoing) – RL with Robots (Grade: A+) – Intro. to Machine Learning (Grade: A+) – Reinforcement Learning 2 (Grade: A+)*

Undergraduate at IIT (BHU)

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| – Stochastic Process | – Linear Algebra (Online) |
| – Probability and Statistics | – Intelligent Computing (Neural Networks and Genetic Algorithms) |
| – Optimization Techniques | – Artificial Intelligence |
| – Natural Language Processing | |
| – Computer Vision | |

* Unofficial grade. No official grades awarded that semester due to COVID-19.

OTHER PROJECTS	Utility of Traces in Online Value Prediction with $TD(\lambda)$ [Link]	April'20
	Policy Learning using Function Approximators	Aug–Nov'17
	Emerging and Rare Entity Recognition (NLP)	Dec'17
	Cryptography Schemes for Secure Money Transfer [Link]	Nov'17
	Zoutendijk's Method for Constrained Optimization	Nov'17
	Image Classification and Segmentation	Aug'16–May'17
	Functional Projective Synchronization of Chaotic Systems [Link]	Nov'16
	In-memory Relational Algebra System [Link]	Aug–Nov'16
	Feedback Portal (a Django web application) [Link]	Aug–Nov'16
	Multi-document Text Summarizer	Jan–May'16
	8-bit CPU simulation on Logisim	Oct'15
EXTRA– CURRICULAR	I enjoy going for long walks, rock climbing, and cycling; and playing harmonica, table tennis, and Go (the board game).	