# Shivam Garg

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#### **EXPERIENCE**

## Research Assistant, University of Alberta

Sept 2021–Aug 2022

 Working with Prof. Csaba Szepesvári on reinforcement learning theory (mainly policy gradient methods).

## Internship at Samsung R&D Institute India, Bangalore

 $May\!-\!Jul~2017$ 

- Intern in the Android Platform team.
- Worked on inducing traces in the Linux kernel for data logging.
- Investigated various machine learning techniques for handling the above data.

#### **EDUCATION**

## University of Alberta, Canada

2019 - 21

Master of Science (Thesis) in Computing Science Supervisors: Prof. Rupam Mahmood and Prof. Martha White GPA: 4.0/4.0

## Indian Institute of Technology (BHU) Varanasi, India

2014 - 19

Integrated Dual Degree [BTech (Hons.) + MTech] in Computer Science and Engineering GPA: 9.77/10.0 (ranked 1/82 in my class)

## PAPERS

- [P4] An Alternate Policy Gradient Estimator for Softmax Policies. [PDF]
  Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood.
  International Conference on Artificial Intelligence and Statistics (AISTATS), 2022.
- [P3] A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning. [PDF]
  Sharan Vassani, Olivier Bachem, Simone Totaro, Robert Müller, Shivam Garg, Matthieu Geist,

Marlos C. Machado, Pablo Samuel Castro, Nicolas Le Roux.

International Conference on Artificial Intelligence and Statistics (AISTATS), 2022. (Oral)

- [P2] Gradient Temporal-Difference Learning with Regularized Corrections. [PDF]
  Sina Ghiassian, Andrew Patterson, Shivam Garg, Dhawal Gupta, Adam White, Martha White.
  International Conference on Machine Learning (ICML), 2020.
- [P1] Object Sequences: Encoding Categorical and Spatial Information for a Yes/No Visual Question Answering Task. [PDF] [DOI]
  Shivam Garg and Rajeev Srivastava.
  IET Computer Vision, 2018.

## WORK-SHOP PAPERS

[W3] Making Policy Gradient Estimators for Softmax Policies More Robust to Non-stationarities. [PDF]

Shivam Garg, Samuele Tosatto, Yangchen Pan, Martha White, A. Rupam Mahmood.

The Multi-disciplinary Conference on Reinforcement Learning and Decision Making (RLDM),

2022. (an extended abstract based on [P4])

- [W2] Enabling Safe Exploration of Action Space in Real-World Robots. [PDF] Shivam Garg, Homayoon Farrahi, A. Rupam Mahmood. Virtual Conference on Reinforcement Learning for Real Life (RL4RealLife), 2020.
- [W1] Mirror Descent for Robust Reinforcement Learning. [PDF] Shivam Garg. Indian Workshop on Machine Learning (iWML), 2018.
- THESES [T2] Analysis of an Alternate Policy Gradient Estimator for Softmax Policies. [PDF]
  Shivam Garg.

  M.Sc. Thesis, University of Alberta, 2021. (based on [P4])

# [T1] Coordinated Exploration for Concurrent Reinforcement Learning. [PDF]

Shivam Garg.

M. Tech. Thesis, Indian Institute of Technology (BHU) Varanasi, 2019.

AWARDS AND HONORS

## Nomination for the Best Master's Thesis Award, CAIAC

2022

For the thesis [T2] titled "Analysis of an Alternate Policy Gradient Estimator for Softmax Policies" (every academic unit within a Canadian university nominates one master's thesis to the Canadian Artificial Intelligence Association)

# Nomination for the Best Paper Award, AISTATS

2022

For the paper [P3] titled "A General Class of Surrogate Functions for Stable and Efficient Reinforcement Learning" (top four out of the 492 papers accepted at the International Conference on Artificial Intelligence and Statistics)

# Gold Medal, IIT (BHU) Varanasi

2019

For being ranked first in the Computer Science & Engineering batch of 2014-19

#### Awarded CBSE certificate of merit

2014

For being amongst the top 0.1% candidates in Physics (class XII)

# Successfully qualified Regional Mathematical Olympiad, UP

2012

State level for International Mathematical Olympiad (about 300 students selected nationally)

## National Talent Search Scholarship recipient

2010

Awarded by NCERT, Government of India (about 1000 students selected nationally)

TEACHING ASSISTANT

## University of Alberta

CMPUT 653 – Theoretical Foundations of RL (Grad) [Link]

Winter 2021

CMPUT 655 – Reinforcement Learning 1 (Grad) [Link]

Fall 2020

CMPUT 397 – Reinforcement Learning [Link]

Winter 2020

CMPUT 366 – Intelligent Systems

Fall 2019

# IIT (BHU) Varanasi

CSE 205 – IT Workshop 2

Aug--Dec 2018

CSE 241N – Artificial Intelligence

Jan–May 2018

CSO 101 – Computer Programming

Jan–May 2019, Jan–May 2018, Aug–Dec 2017 Jan–May 2017, Aug–Dec 2016

SERVICE

Reviewer for AISTATS 2022 (a top 10% reviewer) • Reviewer for SSL-RL (ICLR Workshop) 2021 • Helped create Python notebooks for the "Policy Optimization in RL" tutorial at NeurIPS 2020 [Link] • Student reporter for CIFAR Deep Learning and Reinforcement Learning Summer School 2020 • Sub-reviewer for one paper at ICML 2020 • Served as the Vice President of the Computing Science Graduate Student Association, University of Alberta (2020–21).

SKILLS

Python · PyTorch · C · C++ · Matlab ·  $\LaTeX$  · Emacs

COURSES

Graduate at UAlberta

- RL with Robots (Grade: A+)

- Reinforcement Learning 2 (Grade: A+)\*

- Intro. to Machine Learning (Grade: A+)

Undergraduate at IIT (BHU)

- Stochastic Process

- Linear Algebra (Online)

Probability and StatisticsOptimization Techniques

- Intelligent Computing (Neural Networks

- Natural Language Processing

and Genetic Algorithms)

- Computer Vision

- Artificial Intelligence

OTHER PROJECTS

Utility of Traces in Online Value Prediction with  $TD(\lambda)$  [Link] Policy Learning using Function Approximators

April'20

Aug-Nov'17

Emerging and Rare Entity Recognition (NLP)

Dec'17

 $<sup>^{\</sup>ast}$  Unofficial grade. No official grades awarded that semester due to COVID-19.

Cryptography Schemes for Secure Money Transfer [Link]	Nov'17
Zoutendijk's Method for Constrained Optimization	Nov'17
Image Classification and Segmentation	Aug'16-May'17
Functional Projective Synchronization of Chaotic Systems [Link]	Nov'16
In-memory Relational Algebra System [Link]	Aug-Nov'16
Feedback Portal (a Django web application) [Link]	Aug-Nov'16
Multi-document Text Summarizer	Jan-May'16
8-bit CPU simulation on Logisim	Oct'15

EXTRA-CURRICULAR I enjoy going for long walks, cycling, and climbing; and playing harmonica, table tennis, and Go (the board game).