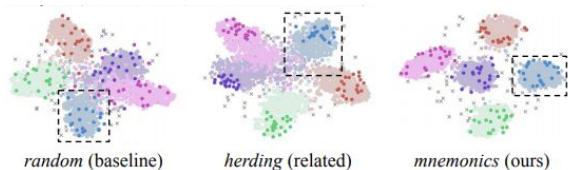


# **Annotation-Efficient Learning: Class-Incremental Learning and Few-Shot Learning**

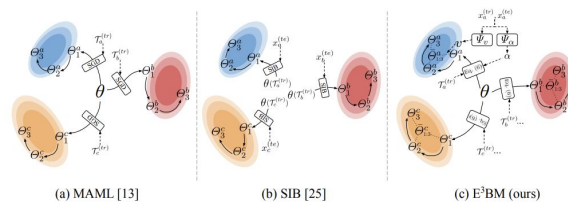
Yaoyao Liu

# Outline of today's talk



## 1. Class-Incremental Learning

*Mnemonics Training: Multi-Class Incremental Learning without Forgetting*  
CVPR 2020

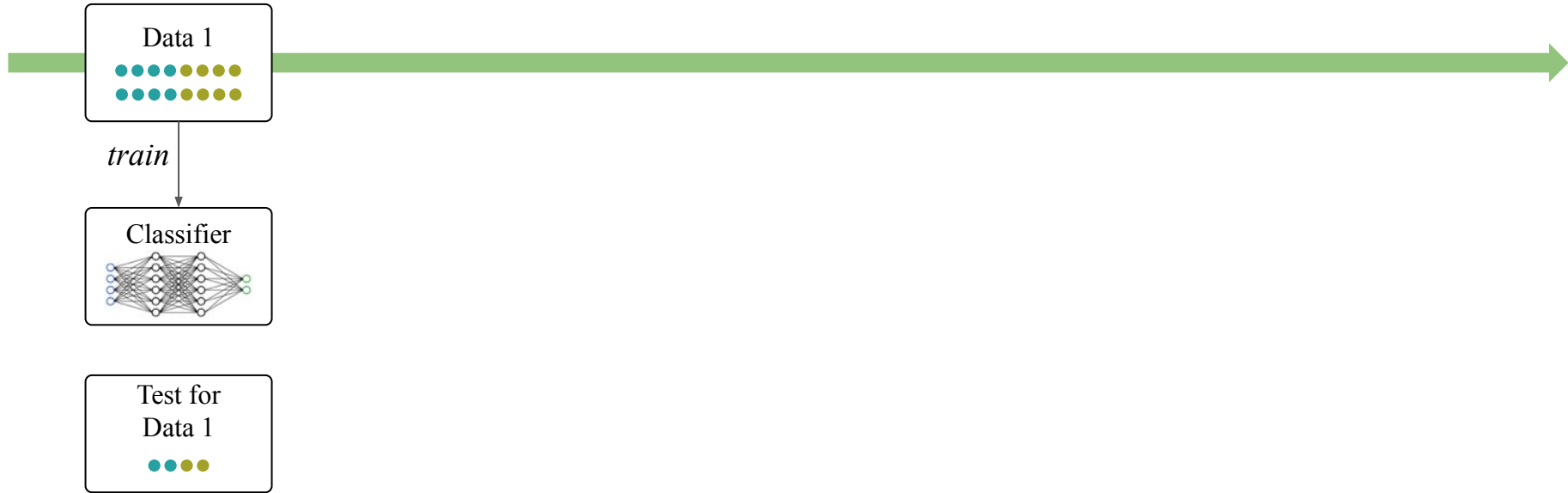


## 2. Few-Shot Learning

*An Ensemble of Epoch-wise Empirical Bayes for Few-shot Learning*  
ECCV 2020

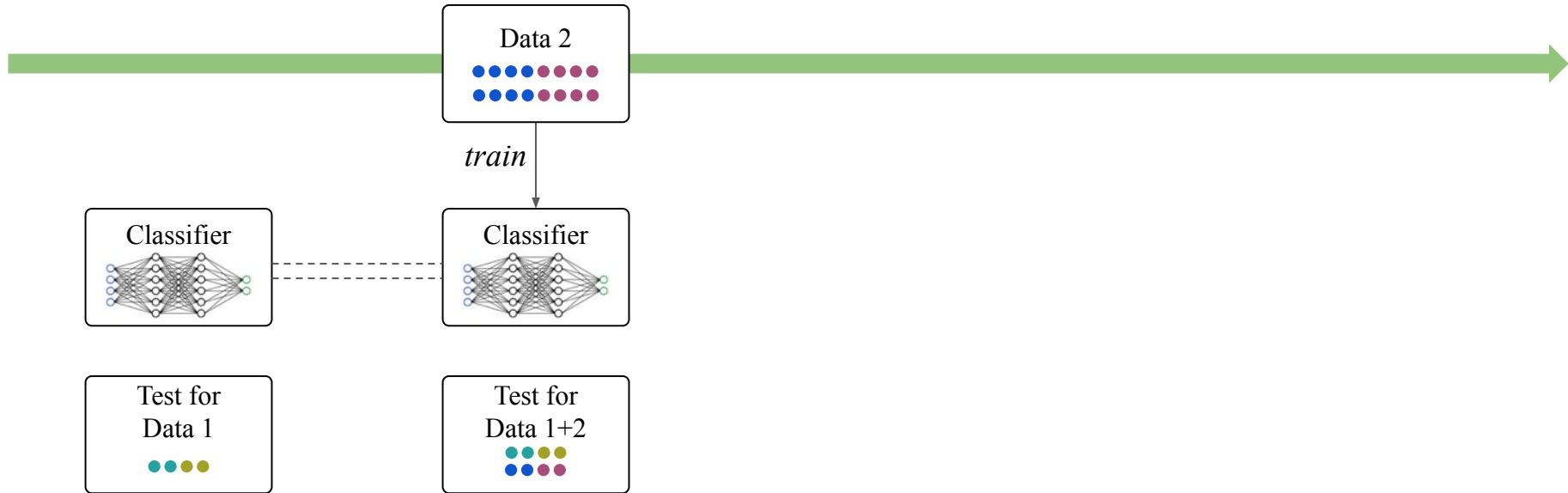
# *Background: class-incremental learning*

## **Phase 1**



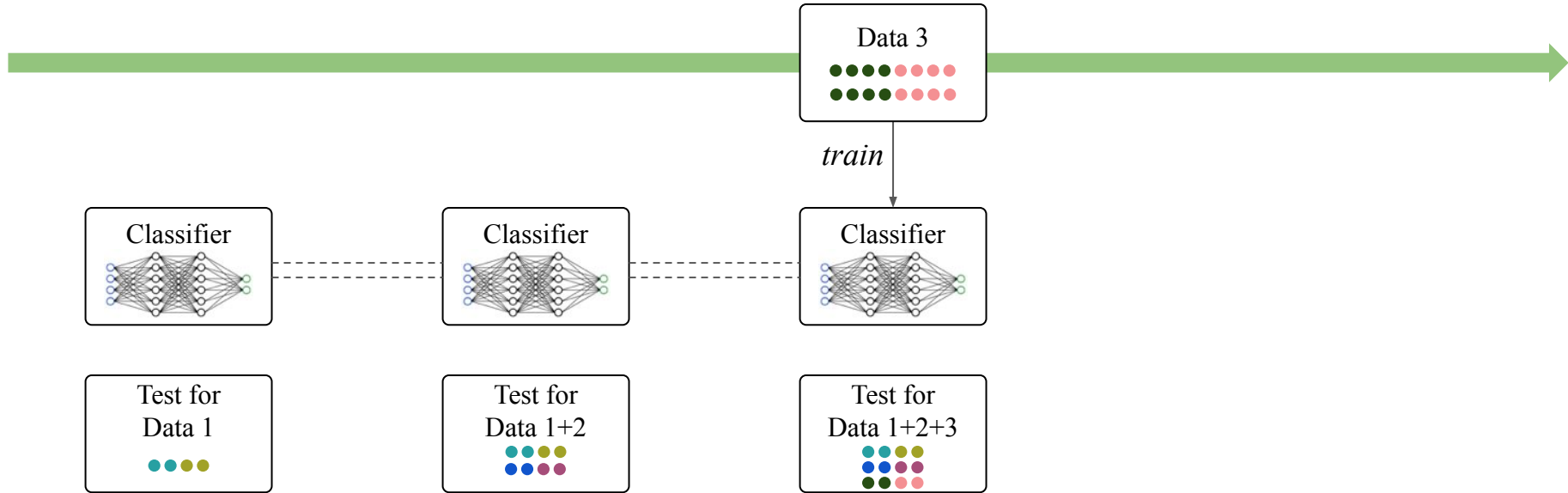
# Background: class-incremental learning

## Phase 2



# Background: class-incremental learning

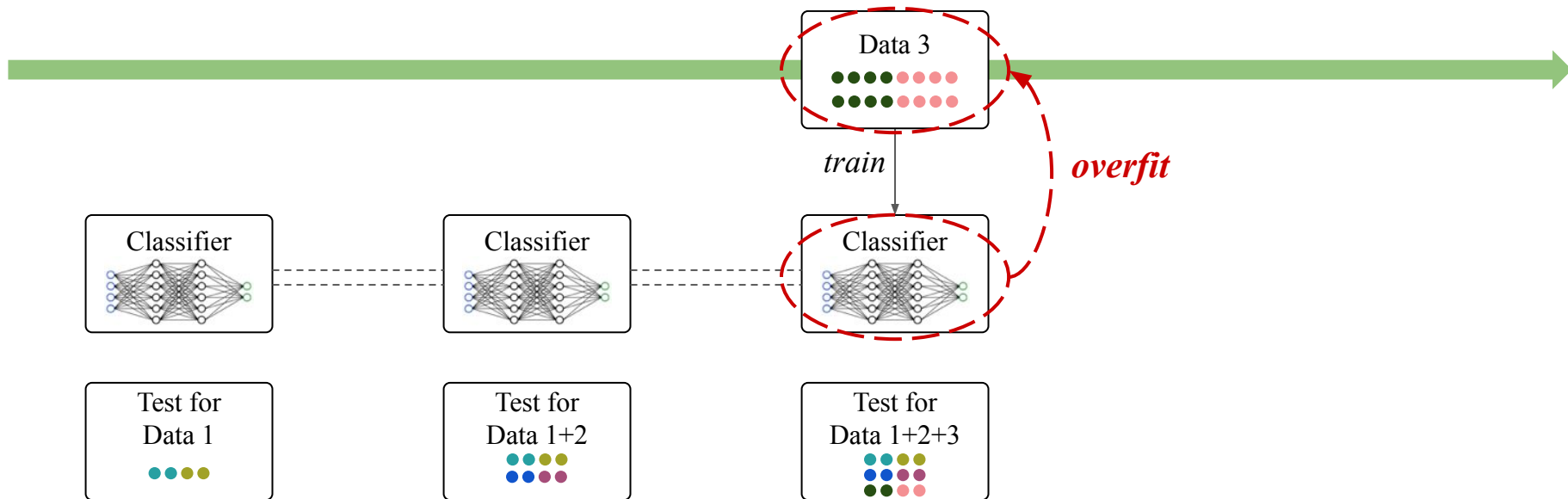
## Phase 3



# Background: Class-Incremental Learning

## Phase 3

*Challenge: catastrophic forgetting*



# Literature review

## ***Technique 1: Replay samples for the old classes:***

iCaRL<sup>[1]</sup>, IL2M<sup>[2]</sup>, ...



***Mnemonics exemplars***

## ***Technique 2: Preserve the knowledge for the old model:***

LwF<sup>[3]</sup>, LUCIR<sup>[4]</sup>, PODNet<sup>[5]</sup>...



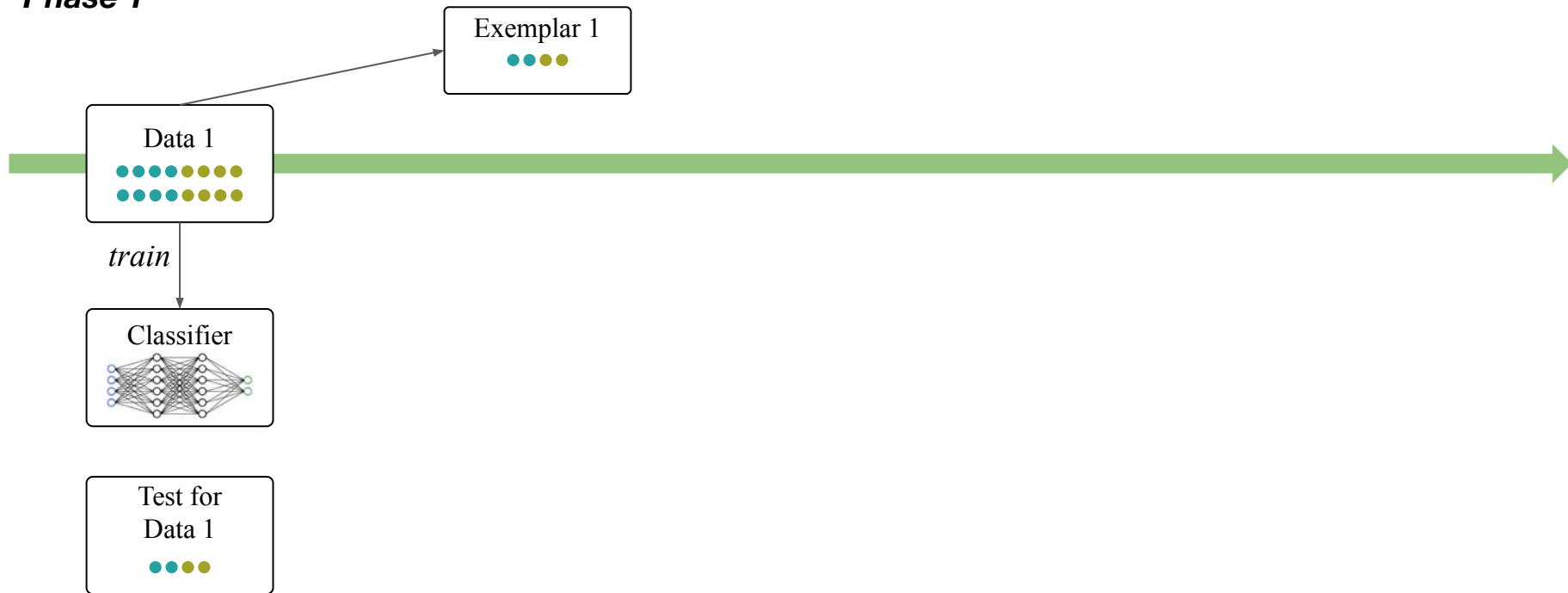
***Weight transfer operations***

## **References**

- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;
- [2] Belouadah, Eden, and Adrian Popescu. "Il2m: Class incremental learning with dual memory." CVPR 2019;
- [3] Li, Zhizhong, and Derek Hoiem. "Learning without forgetting." TPAMI 2017;
- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [5] Douillard, Arthur, et al. "PODNet: Pooled Outputs Distillation for Small-Tasks Incremental Learning." ECCV 2020.

# *Replay samples for the old classes*

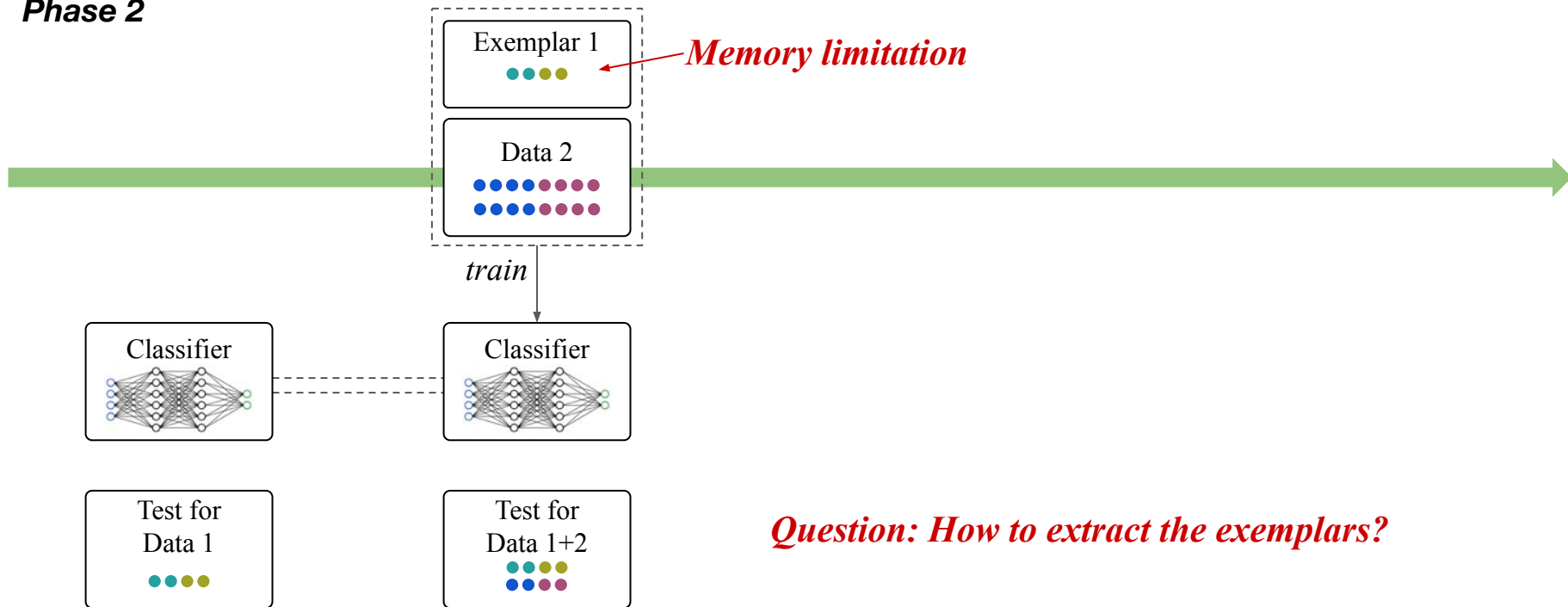
## **Phase 1**





## Replay samples for the old classes

Phase 2



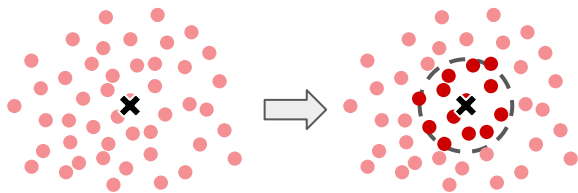
*Question: How to extract the exemplars?*

# Question: how to extract the exemplars?

## Existing methods:

E.g., herding<sup>[1, 4, 6]</sup>:

select the samples near the average embedding

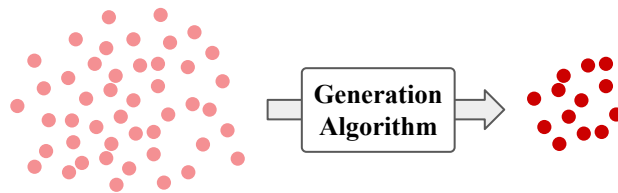


## Limitations for existing methods:

- Heuristic selection, not performance-based
- Select from finite sets (real images)

## Our method: Mnemonics exemplars

Question: Can we generate the optimal exemplars?



## Benefits for our method:

- + Optimal selection by end-to-end training
- + Select from continuous (infinite) synthetic data

## References

- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;
- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [6] Wu, Yue, et al. "Large scale incremental learning." CVPR 2019.

## Question: how to formulate the optimization of the exemplars?

In the  $i$ -th incremental phase,

Data for the current phase

We have: Exemplars for previous phases  $\mathcal{E}_{0:i-1} \cup D_i \xrightarrow{\text{train}} \Theta_i^* \text{ (model)}$

We aim to get: Exemplars for the current phase  $\mathcal{E}_{0:i} \xrightarrow{\text{train}} \Theta_i^\varepsilon$

Bilevel optimization formulation:

$$\min_{\mathcal{E}_{0:i}} \mathcal{L}(\Theta_i^\varepsilon; \mathcal{E}_{0:i-1} \cup D_i)$$

$$\text{s. t. } \Theta_i^\varepsilon = \min_{\mathcal{E}_{0:i}} \mathcal{L}(\Theta_i; \mathcal{E}_{0:i})$$

# Literature review

## ***Technique 1: Replay samples for the old classes:***

iCaRL<sup>[1]</sup>, IL2M<sup>[2]</sup>, ...



***Mnemonics exemplars***

## ***Technique 2: Preserve the knowledge for the old model:***

LwF<sup>[3]</sup>, LUCIR<sup>[4]</sup>, PODNet<sup>[5]</sup>...



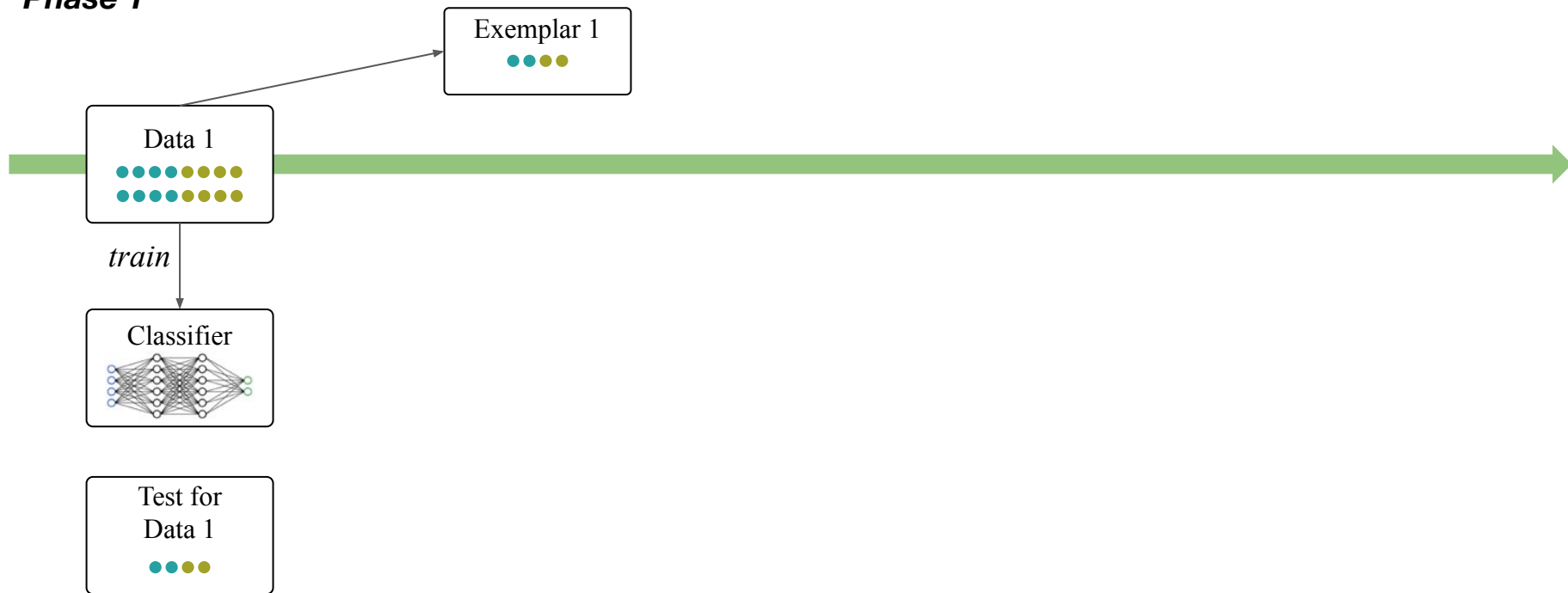
***Weight transfer operations***

## **References**

- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;
- [2] Belouadah, Eden, and Adrian Popescu. "Il2m: Class incremental learning with dual memory." CVPR 2019;
- [3] Li, Zhizhong, and Derek Hoiem. "Learning without forgetting." TPAMI 2017;
- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [5] Douillard, Arthur, et al. "PODNet: Pooled Outputs Distillation for Small-Tasks Incremental Learning." ECCV 2020.

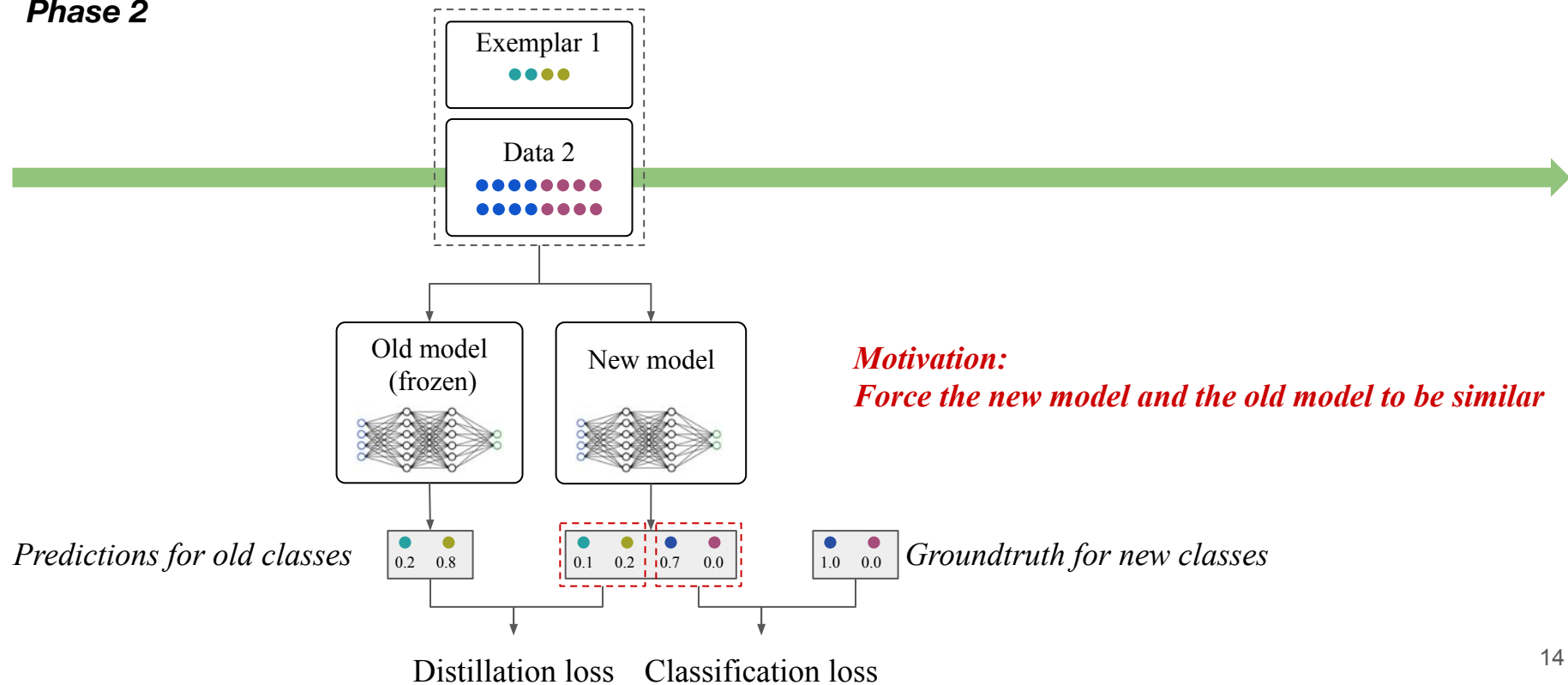
# *Preserve the knowledge for the old model*

## **Phase 1**



# Preserve the knowledge for the old model

## Phase 2



## *Preserve the knowledge for the old model*

iCaRL<sup>[1]</sup> (CVPR 2017)  $\implies$  Distillation on predictions

LUCIR<sup>[4]</sup> (CVPR 2019)  $\implies$  Distillation on the final feature maps

PODNet<sup>[5]</sup> (ECCV 2020)  $\implies$  Distillation on the feature maps from all layers

*Distillation: preserve high-level knowledge for the old model*

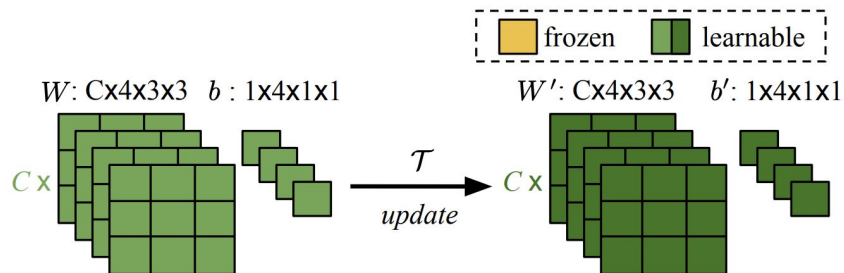
*It is better to transfer low-level knowledge among tasks...<sup>[7]</sup>*

*Q: Can we preserve the low-level knowledge for the old model?*

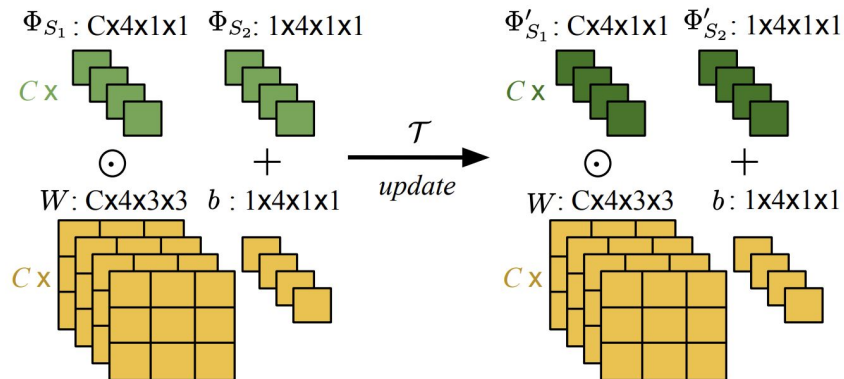
### **References**

- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;
- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [5] Douillard, Arthur, et al. "PODNet: Pooled Outputs Distillation for Small-Tasks Incremental Learning." ECCV 2020;
- [7] Taylor, Matthew E., and Peter Stone. "An introduction to intertask transfer for reinforcement learning." Ai Magazine 32.1 (2011): 15-15.

## How to transfer low-level knowledge for class-incremental learning?



(a) Parameter-level *Fine-Tuning* (FT)



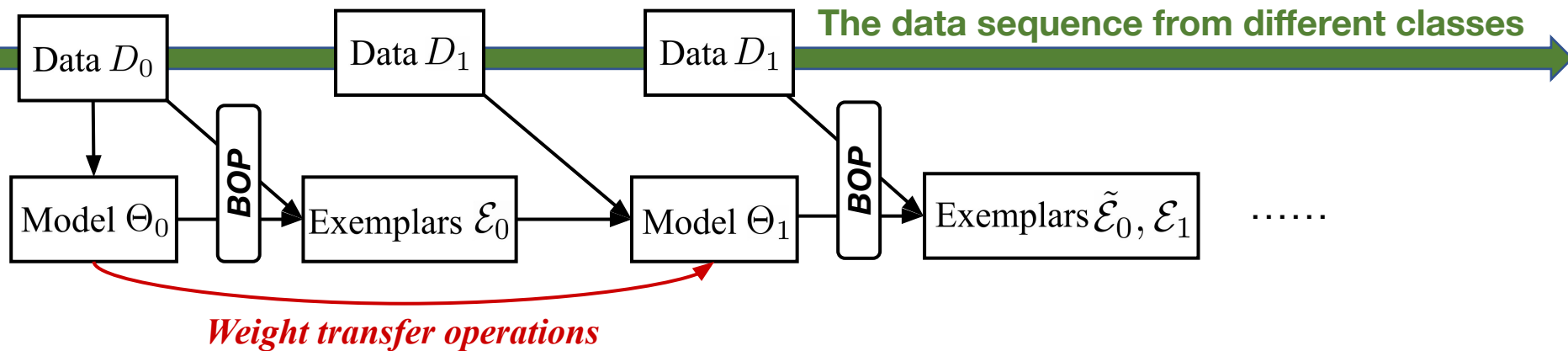
(b) Our *Scaling*  $S_1$  and *Shifting*  $S_2$

**Weight transfer operations:  
Channel-wise masks**



# Global computing glow

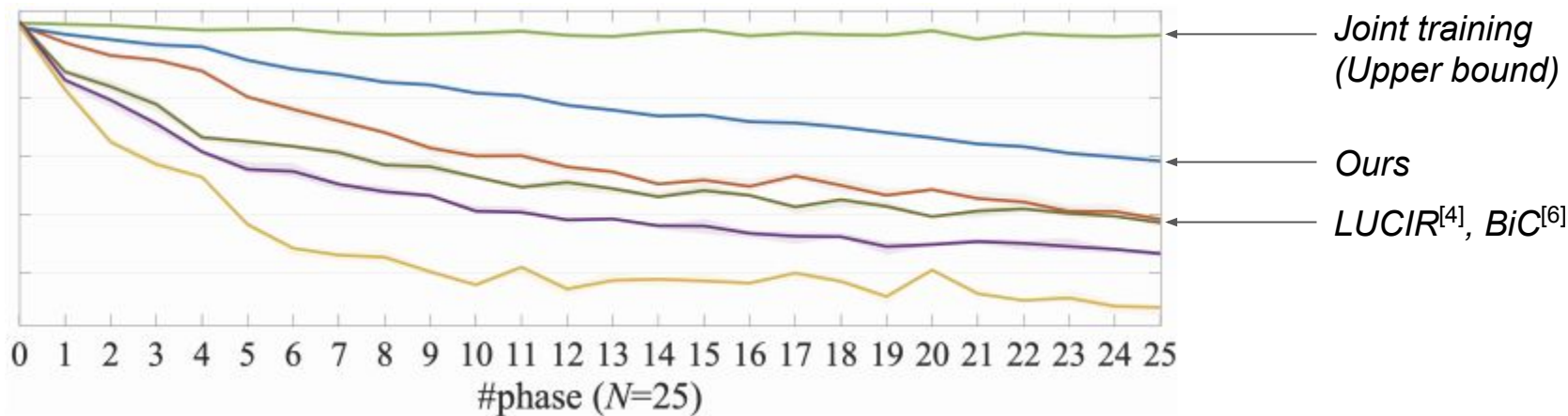
Our method: *Technique 1 + Technique 2*



**BOP** = Bilevel Optimization Program

# *Our method boosts the performance*

Dataset: ImageNet-Subset



## References

- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [6] Wu, Yue, et al. "Large scale incremental learning." CVPR 2019.

# Our method boosts the performance

Metric	Method	CIFAR-100			ImageNet-Subset			ImageNet		
		N=5	10	25	5	10	25	5	10	25
Average acc. (%) $\uparrow$ $\bar{\mathcal{A}} = \frac{1}{N+1} \sum_{i=0}^N \mathcal{A}_i$	LwF <sup>◇</sup> (2016) [2]	49.59	46.98	45.51	53.62	47.64	44.32	44.35	38.90	36.87
	LwF w/ ours	54.21	52.72	51.59	60.94	59.25	59.71	52.70	50.37	50.79
	iCaRL (2017) [1]	57.12	52.66	48.22	65.44	59.88	52.97	51.50	46.89	43.14
	iCaRL w/ ours	60.00	57.37	54.13	72.34	70.50	67.12	60.61	58.62	53.46
	BiC (2019) [6]	59.36	54.20	50.00	70.07	64.96	57.73	62.65	58.72	53.47
	BiC w/ ours	60.67	58.11	55.51	71.92	70.73	69.22	<b>64.63</b>	62.71	60.20
	LUCIR (2019) [4]	63.17	60.14	57.54	70.84	68.32	61.44	64.45	61.57	56.56
	LUCIR w/ ours	<b>63.34</b>	<b>62.28</b>	<b>60.96</b>	<b>72.58</b>	<b>71.37</b>	<b>69.74</b>	64.54	<b>63.01</b>	<b>61.00</b>

- *Generic*
- *Boost the performance for **FOUR** different baselines*

## References

- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;  
 [3] Li, Zhizhong, and Derek Hoiem. "Learning without forgetting." TPAMI 2017;  
 [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;  
 [6] Wu, Yue, et al. "Large scale incremental learning." CVPR 2019.

# Ablation study

Method	CIFAR-100			ImagNet-Subset		
	<i>N=5</i>	10	25	5	10	25
Baseline (LUCIR [4])	63.17	60.14	57.54	70.84	68.32	61.44
+ <i>weight transfer operations</i>	62.98	61.23	60.36	71.66	71.02	69.40
+ <i>weight transfer operations</i> and <i>mnemonics exemplars</i>	<b>63.34</b>	<b>62.28</b>	<b>60.96</b>	<b>72.58</b>	<b>71.37</b>	<b>69.74</b>

## References

[4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019.

# *t-SNE results: clearer separation in the data*

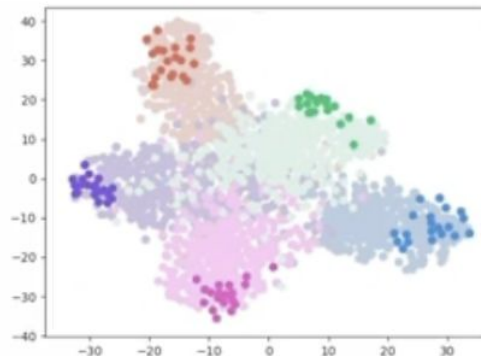
## Phase 25

*One region for one class*

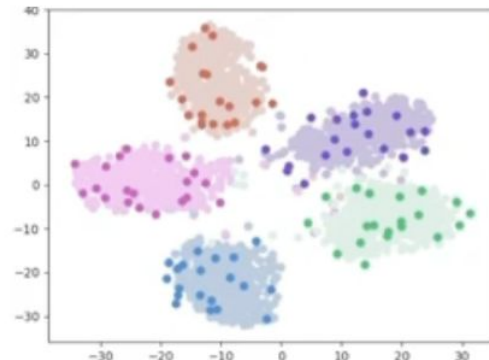
*Light color: original data*

*Deep color: exemplars*

Dataset: ImageNet



*Herding [1, 4]*



*Mnemonics (ours)*

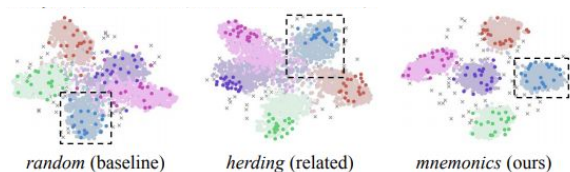
*Our method:*

- *Clearer separation in data*
- *Exemplars locate on the class boundaries*

## References

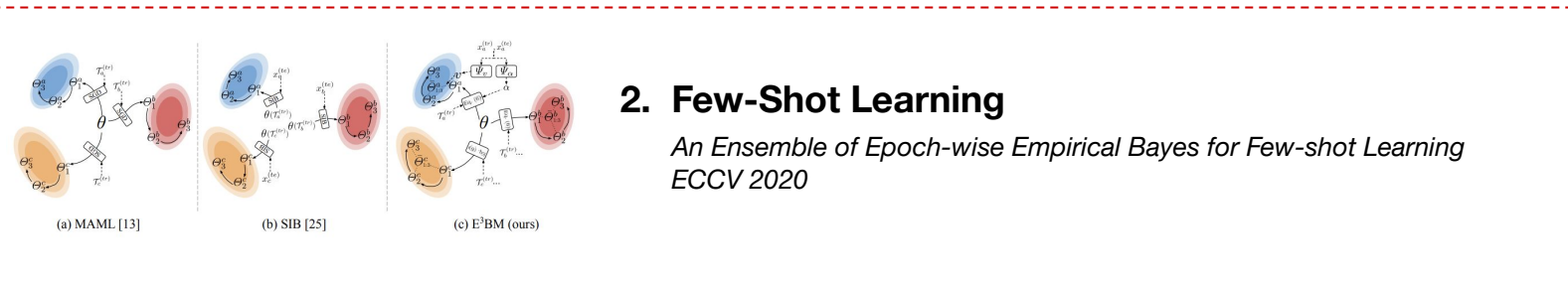
- [1] Rebuffi, Sylvestre-Alvise, et al. "icarl: Incremental classifier and representation learning." CVPR 2017;  
[4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019.

# Outline of today's talk



## 1. Class-Incremental Learning

*Mnemonics Training: Multi-Class Incremental Learning without Forgetting*  
CVPR 2020

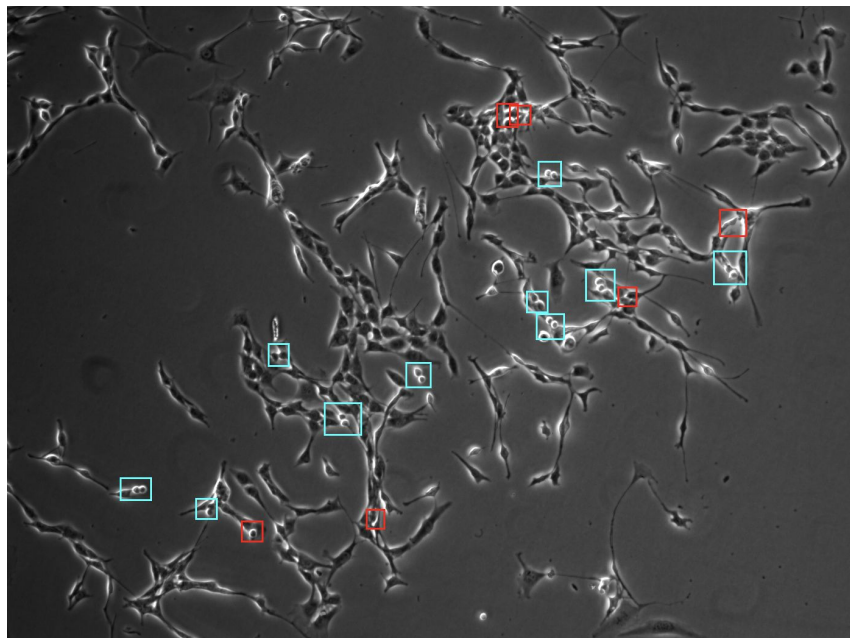


## 2. Few-Shot Learning

*An Ensemble of Epoch-wise Empirical Bayes for Few-shot Learning*  
ECCV 2020

## Research background

- **Limitation:** most algorithms are based on **supervised learning**, so we need lots of **labeled samples** to train the model



**Medical images:**  
expensive to label the data

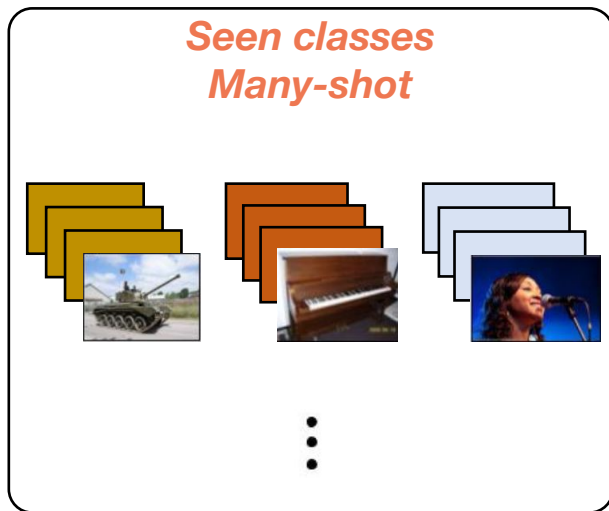
*Mitosis detection*  
*有丝分裂检测*

(Image from Yao Lu)

# ***Few-shot learning: learning with limited data***

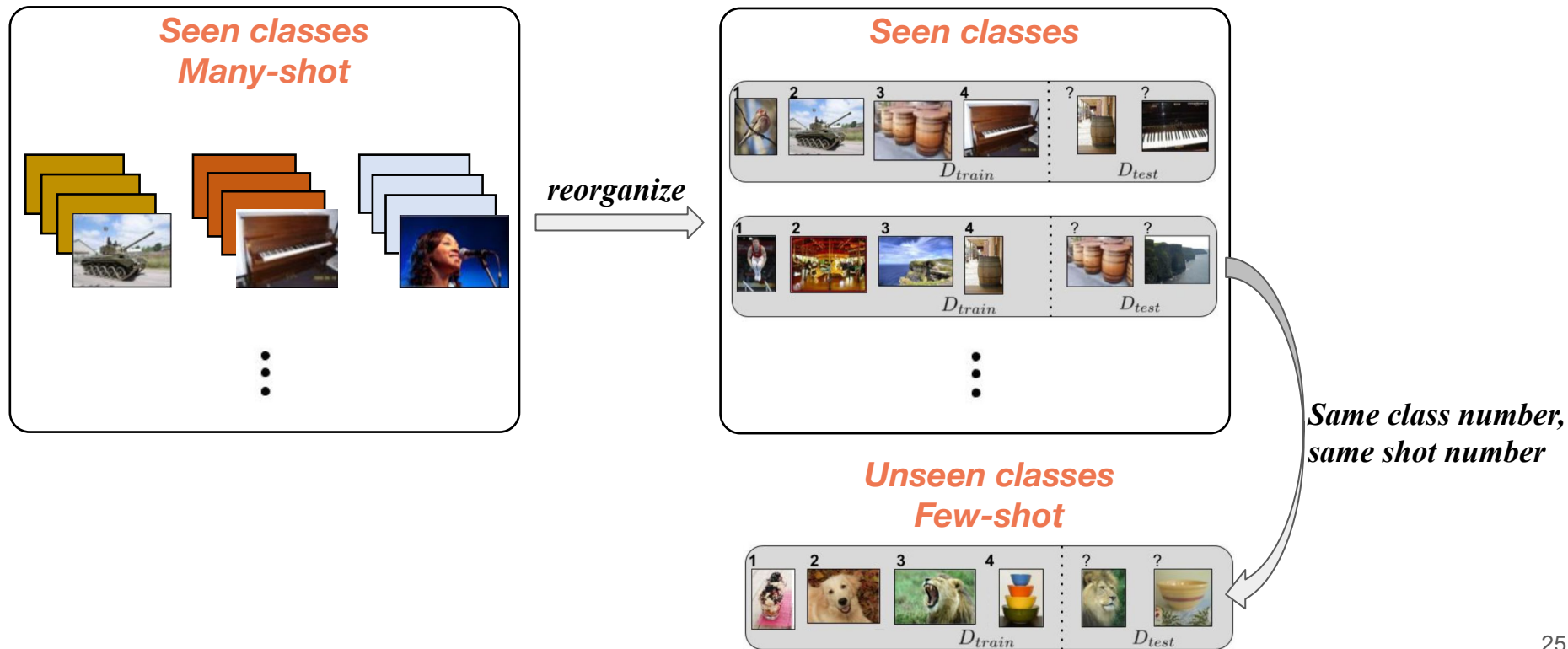
***Question: how to learn a model with limited labeled data?***

***Task: few-shot image classification***





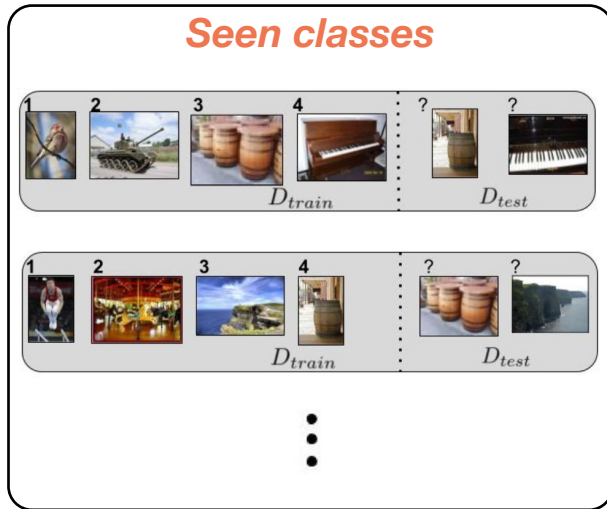
## Review: meta-learning



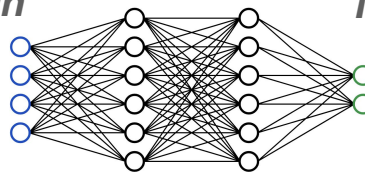
## Review: meta-learning

### Training tasks

#### Seen classes



### Meta-train

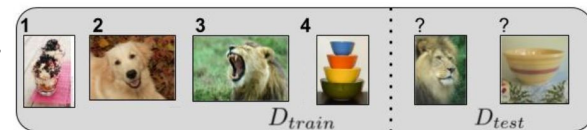


### Meta-test

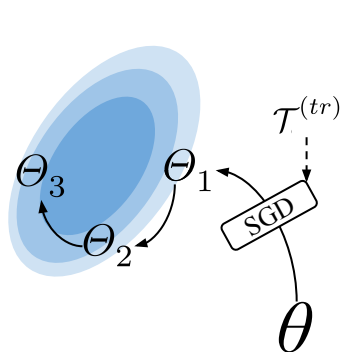


### Test task

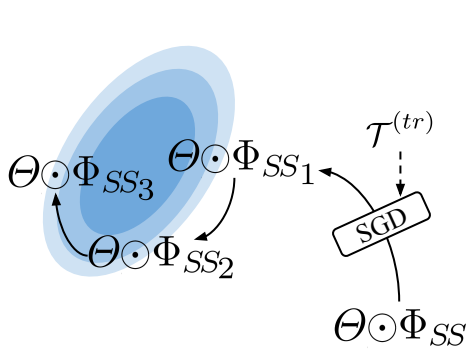
#### Unseen classes



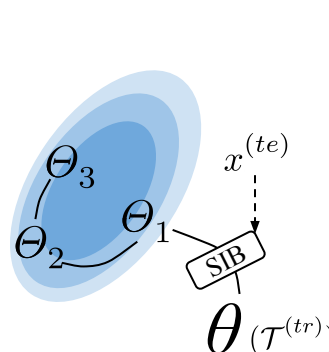
# Existing methods vs. our $E^3BM$



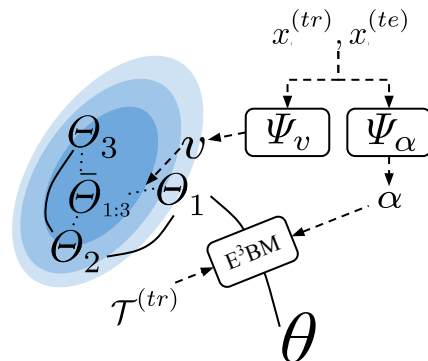
(a) MAML [8]



(b) MTL [9]



(c) SIB [10]



(d)  $E^3BM$  (ours)

## Existing methods:

- A single base-learner
- Arbitrary base-learning hyperparameters
- **Unstable**

## Our $E^3BM$ :

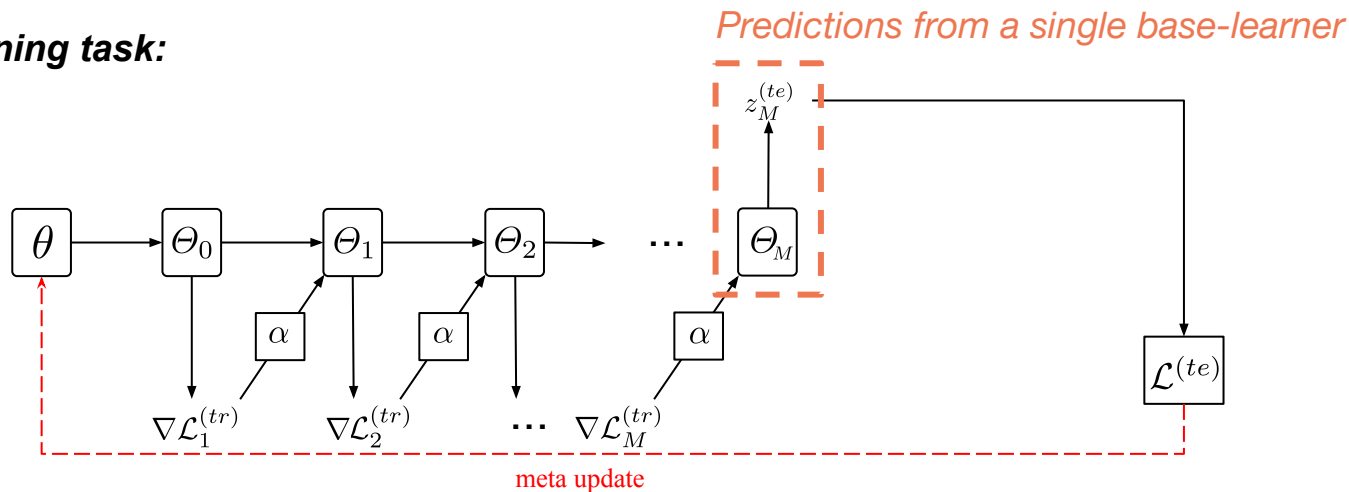
- An ensemble of multiple base-learners
- Task-specific base-learning hyperparameters
- + **Stable and robust**

## References

- [8] Finn, Chelsea, Pieter Abbeel, and Sergey Levine. "Model-agnostic meta-learning for fast adaptation of deep networks." ICML 2017;  
 [9] Sun, Qianru, et al. "Meta-transfer learning for few-shot learning." CVPR 2019;  
 [10] Hu, Shell Xu, et al. "Empirical Bayes Transductive Meta-Learning with Synthetic Gradients." ICLR 2020.

## Existing method: MAML<sup>[8]</sup>

For one training task:



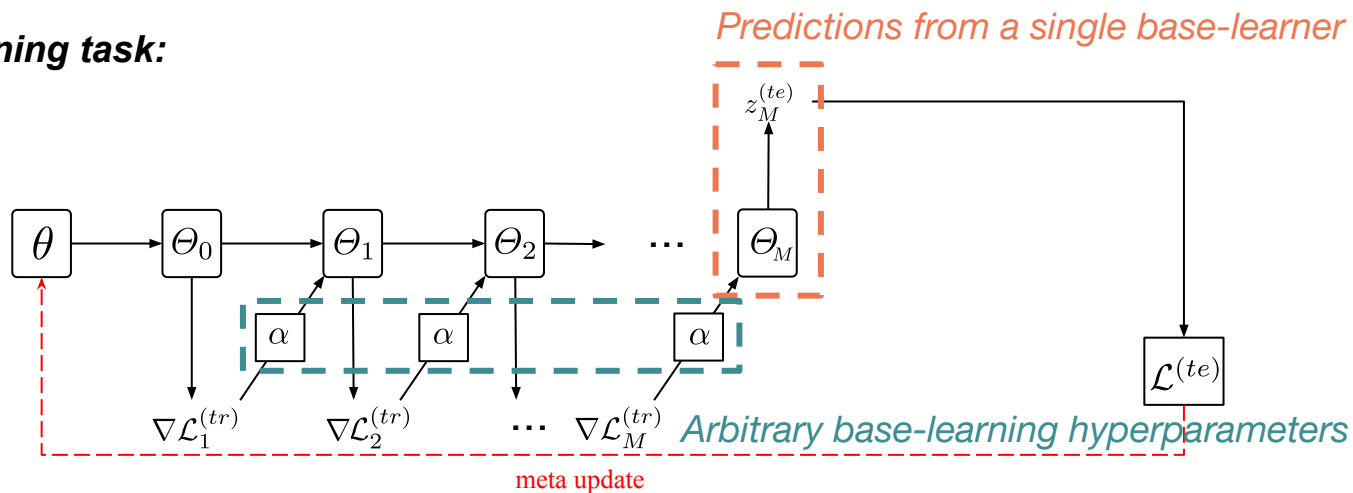
$\theta$  Epoch-wise base-learner     $\theta$  Base-learner initializer     $\alpha$  Learning rate     $\mathcal{U}$  Combination weight

### References

[8] Finn, Chelsea, Pieter Abbeel, and Sergey Levine. "Model-agnostic meta-learning for fast adaptation of deep networks." ICML 2017.

## Existing method: MAML<sup>[8]</sup>

For one training task:



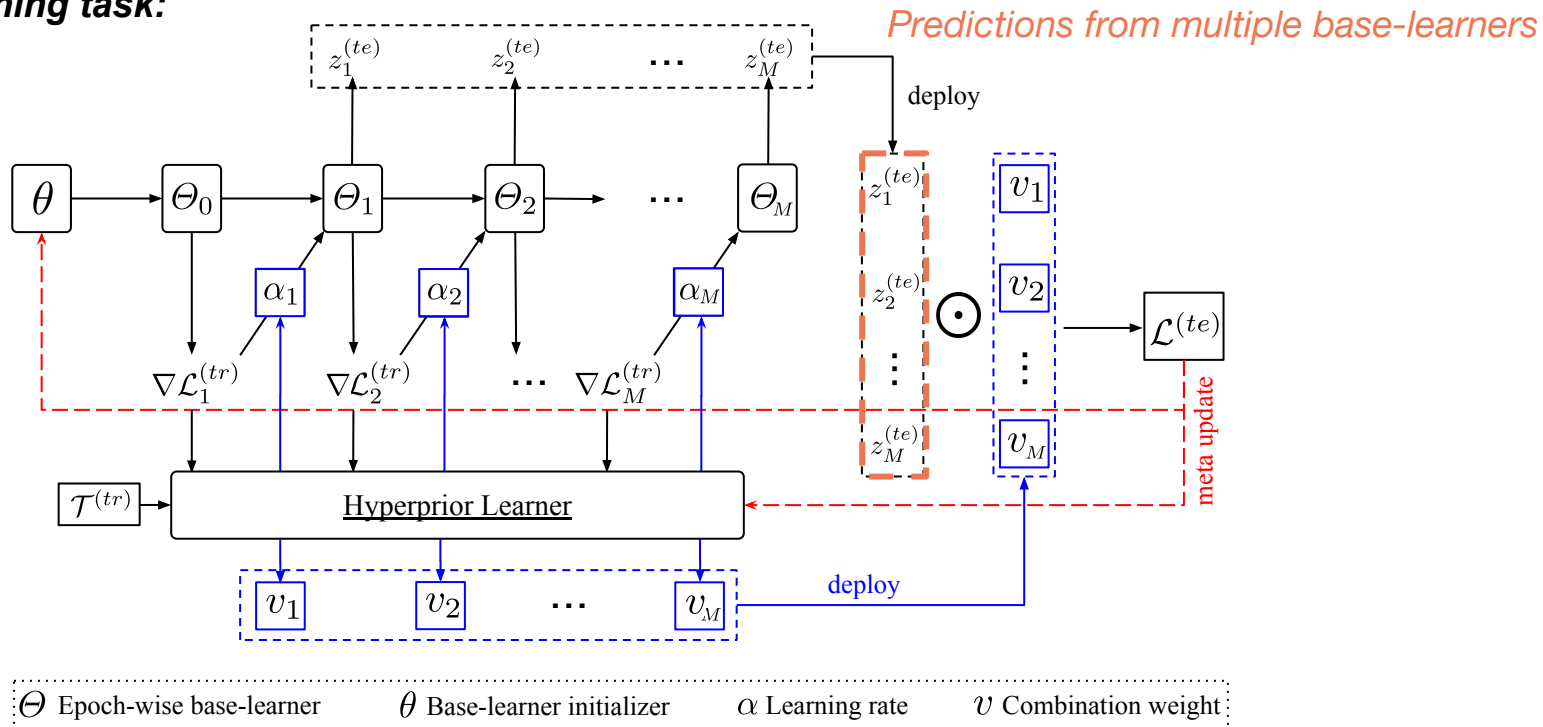
$\Theta$  Epoch-wise base-learner     $\theta$  Base-learner initializer     $\alpha$  Learning rate     $\mathcal{U}$  Combination weight

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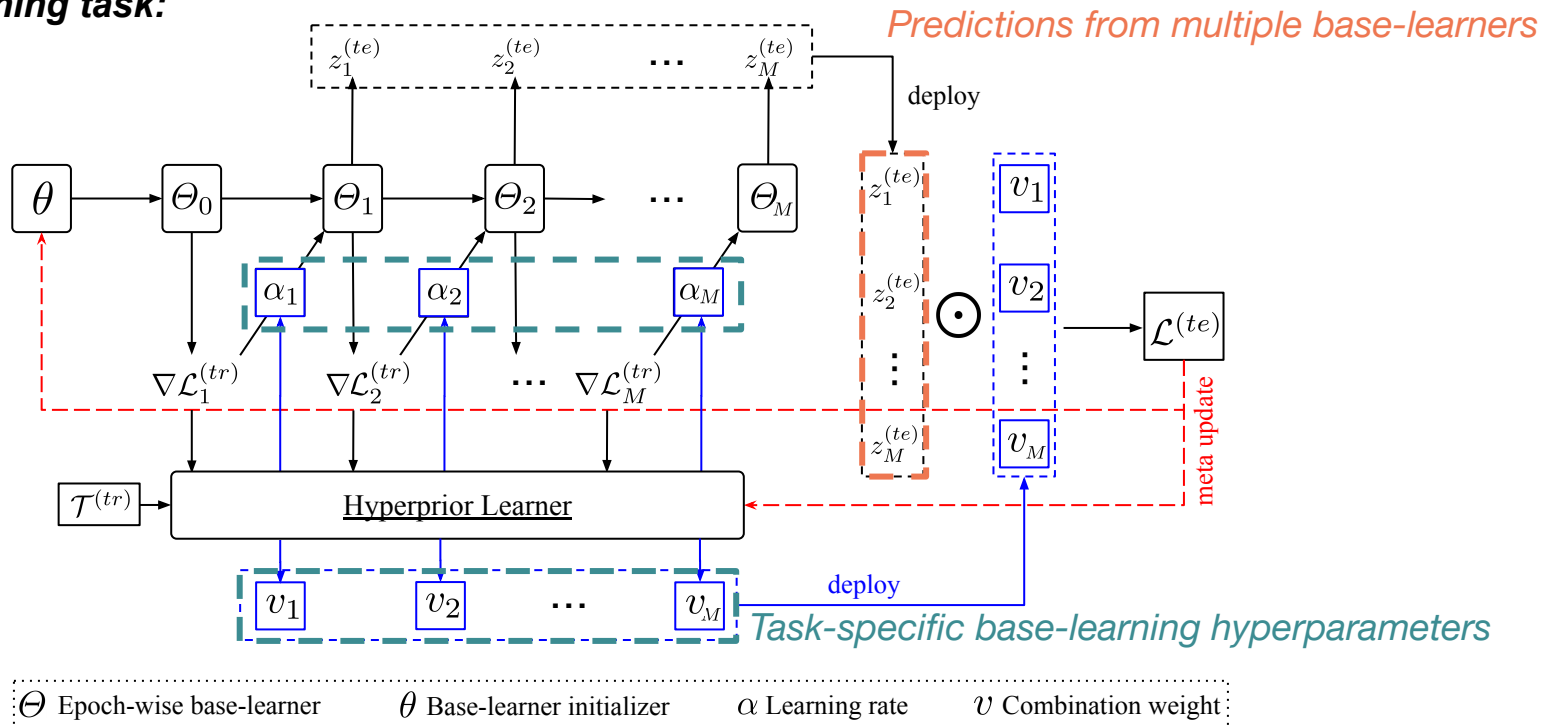
# Our method: $E^3BM$ framework

For one training task:



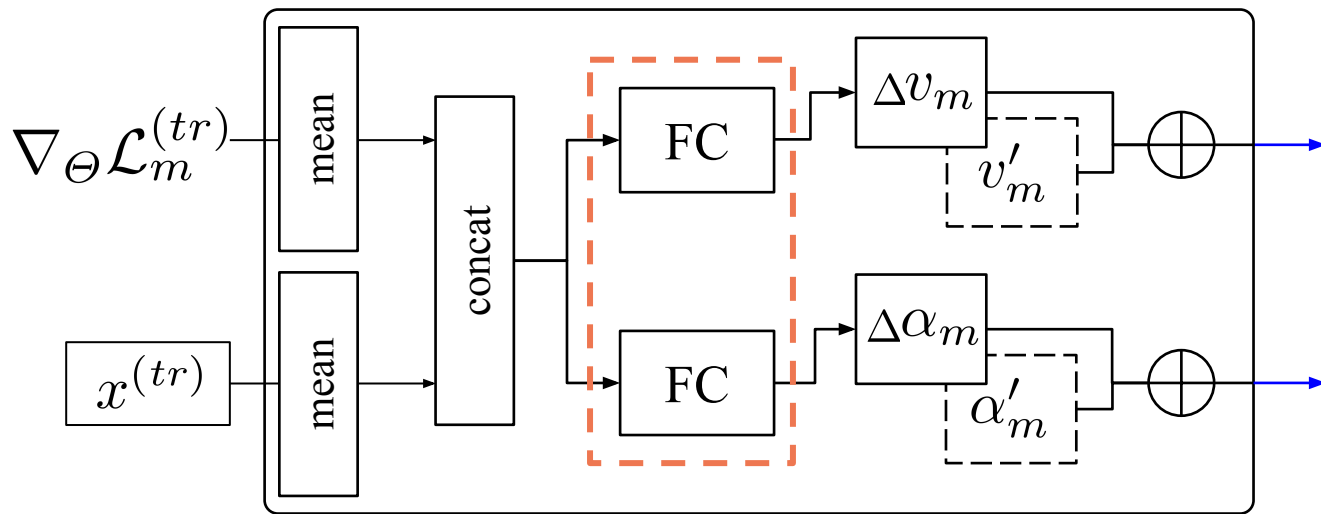
# Our method: $E^3BM$ framework

For one training task:



## The architecture of the hyperprior learner

For the  $m$ -th base epoch:



(a) Epoch-independent



# Boost the performance on **THREE** baselines

The 5-class few-shot classification results (%).

Methods	Backbone	miniImageNet		tieredImageNet		FC100	
		1-shot	5-shot	1-shot	5-shot	1-shot	5-shot
MAML	4CONV	48.70	63.11	49.0	66.5	38.1	50.4
MTL	ResNet-25	63.4	80.1	69.1	84.2	43.7	<b>60.1</b>
<b>MAML+E<sup>3</sup>BM</b> (+time, +param)	4CONV	53.2(↑4.5)	65.1(↑2.0)	52.1(↑3.1)	70.2(↑3.7)	39.9(↑1.8)	52.6(↑2.2)
	–	(8.9, 2.2)	(9.7, 2.2)	(10.6, 2.2)	(9.3, 2.2)	(7.8, 2.2)	(12.1, 2.2)
<b>MTL+E<sup>3</sup>BM</b> (+time, +param)	ResNet-25	<b>64.3</b> (↑0.9)	<b>81.0</b> (↑0.9)	<b>70.0</b> (↑0.9)	<b>85.0</b> (↑0.8)	<b>45.0</b> (↑1.3)	<b>60.5</b> (↑0.4)
	–	(5.9, 0.7)	(10.2, 0.7)	(6.7, 0.7)	(9.5, 0.7)	(5.7, 0.7)	(7.9, 0.7)

(a) Inductive Methods

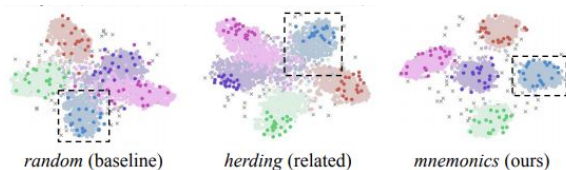
SIB	WRN-28-10	<b>70.0</b>	79.2	<b>72.9</b>	82.8	<b>45.2</b>	<b>55.9</b>
<b>SIB+E<sup>3</sup>BM</b> (+time, +param)	WRN-28-10	<b>71.4</b> (↑1.4)	<b>81.2</b> (↑2.0)	<b>75.6</b> (↑2.7)	<b>84.3</b> (↑1.5)	<b>46.0</b> (↑0.8)	<b>57.1</b> (↑1.2)
	–	(2.1, 0.04)	(5.7, 0.04)	(5.2, 0.04)	(4.9, 0.04)	(6.1, 0.04)	(7.3, 0.04)

(b) Transductive Methods

## References

- [8] Finn, Chelsea, Pieter Abbeel, and Sergey Levine. “Model-agnostic meta-learning for fast adaptation of deep networks.” ICML 2017;
- [9] Sun, Qianru, et al. “Meta-transfer learning for few-shot learning.” CVPR 2019;
- [10] Hu, Shell Xu, et al. “Empirical Bayes Transductive Meta-Learning with Synthetic Gradients.” ICLR 2020.

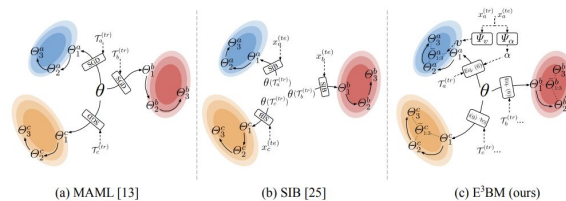
# Open-source resources



## 1. Class-Incremental Learning

*Mnemonics Training: Multi-Class Incremental Learning without Forgetting*

GitHub: <https://github.com/yaoyao-liu/mnemonics-training>



## 2. Few-Shot Learning

*An Ensemble of Epoch-wise Empirical Bayes for Few-shot Learning*

GitHub: <https://github.com/yaoyao-liu/e3bm>

***Thanks!***  
***Any questions?***

Yaoyao Liu  
yaoyao.liu@mpi-inf.mpg.de



## References

- [1] Rebuffi, Sylvestre-Alvise, et al. "iCaRL: Incremental classifier and representation learning." CVPR 2017;
- [2] Belouadah, Eden, and Adrian Popescu. "Il2m: Class incremental learning with dual memory." CVPR 2019;
- [3] Li, Zhizhong, and Derek Hoiem. "Learning without forgetting." TPAMI 2017;
- [4] Hou, Saihui, et al. "Learning a unified classifier incrementally via rebalancing." CVPR 2019;
- [5] Douillard, Arthur, et al. "PODNet: Pooled Outputs Distillation for Small-Tasks Incremental Learning." ECCV 2020;
- [6] Wu, Yue, et al. "Large scale incremental learning." CVPR 2019;
- [7] Taylor, Matthew E., and Peter Stone. "An introduction to intertask transfer for reinforcement learning." Ai Magazine 32.1 (2011): 15-15;
- [8] Finn, Chelsea, Pieter Abbeel, and Sergey Levine. "Model-agnostic meta-learning for fast adaptation of deep networks." ICML 2017;
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- [10] Hu, Shell Xu, et al. "Empirical Bayes Transductive Meta-Learning with Synthetic Gradients." ICLR 2020;
- [11] Sun, Qianru, et al. "Meta-transfer learning for few-shot learning." CVPR 2019;
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