

Theory of Automata & formal Languages (RCS-403) (1)

Course Objectives: The aim of this course is,

- To define mathematical methods of computing devices, called abstract machines, namely (viz.) Finite Automata, Pushdown Automata, and Turing Machines.
- To study the capabilities of these abstract machines.
- To classify machines by their power to recognize languages.
- Employ finite state machines to solve problems in computing.
- Explain deterministic and non-deterministic machines.
- Identify different formal language classes and their relationships.
- Design grammars and recognizers for different formal languages.
- Determine the decidability and tractability of computational problems.

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## Unit-I (TAFL)

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### FUNDAMENTALS:

- Symbol:- An atomic unit, such as a digit, character, lower-case letter, etc.. Sometimes a word.  
(Note: formal language does not deal with the "meaning" of the symbols.)
- Alphabet:- A finite set of symbols, usually denoted by  $\Sigma$ .  
 $\Sigma = \{a, b\}$ ,  $\Sigma = \{0, 1, 2\}$ ,  $\Sigma = \{0, a, g\}$ ,  $\Sigma = \{a, b, c, d\}$  etc.

- String:- A finite length sequence of symbols, presumably from some alphabet.

$w = abba$ ,  $u = 0112102$ ,  $v = 0aggaa$ ,  $x = abbcccd$ ,  $y = aaa$

- Special String:  $\epsilon$  (also denoted by  $\lambda$ ) called empty string i.e. a string with no symbols at all.

- Concatenation:- Given two strings  $w$  and  $u$ . concatenation of  $w$  and  $u$  is the string obtained by appending the symbols of  $u$  to the right end of  $w$ , i.e.,

if  $w = a_1 a_2 \dots a_n \leftarrow$  (right end of  $w$ )

&  $u = b_1 b_2 \dots b_m$ ,

then  $wu = a_1 a_2 \dots a_n b_1 b_2 \dots b_m$ . (concatenation)

- Reversal:- The reverse of a string is obtained by writing the symbols in reverse order.

e.g.  $w = a_1 a_2 \dots a_n$

then  $w^R = a_n \dots a_2 a_1$ .

- Length:- Number of symbols in the string, denoted by  $|w|$ .

e.g. if.  $w = 01101$ , then  $|w| = 5$

$w = aba$ , then  $|w| = 3$  etc.

(Note:  $|\lambda| = 0$ .) &  $\lambda w = w\lambda = w \neq \emptyset$ .

- Substring:- Any string of consecutive symbols in some  $w$  is said to be a substring of  $w$ . of

e.g., if  $w = abbab$ , then  $\{\lambda, a, ab, abb, abba, abbab\}$  is the set of all prefixes of  $w$ , while  $bab, ab, b$  are some of its suffixes.

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- $w^n$  : string obtained by  $w$   $n$  times.  
e.g. if  $w = abb$ , then  $w^3 = \underbrace{abb}_{w} \underbrace{abb}_{w} \underbrace{abb}_{w}$   
special case,  $w^0 = \lambda$ ,  $\lambda \neq w$ .

- Some special sets of strings:-

$\Sigma^*$  : All strings of symbols from  $\Sigma$  [countably infinite set]

$$\Sigma^+ = \Sigma^* - \{\lambda\}$$

(Note: While  $\Sigma$  is finite by assumption,  $\Sigma^*$  and  $\Sigma^+$  are always infinite since there is no limit on the length of the strings in these sets.)

$\Sigma^n$  : set of all strings over  $\Sigma$  whose length is  $n$ .

e.g.  $\Sigma = \{0, 1\}$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \dots$$

$$\Sigma^* = \{\lambda, 0, 1, 00, 01, 10, 11, 000, 001, \dots\}$$

$$\Sigma^+ = \{0, 1, 00, 01, 10, 11, 000, 001, \dots\}$$

- Language :- (1) A set of strings from some alphabet (finite or infinite). In other words,
- (2) A language is any subset  $L$  of  $\Sigma^*$ .

e.g., if  $\Sigma = \{a, b\}$

$$L_1 = \{\lambda, a, b\}$$

$$L_2 = \{ab, aabb, aaabbb, \dots\}$$

$$L_3 = \{w \in \Sigma^* \mid |w|_a = |w|_b\}$$

$$L_4 = \{a^n b^n \mid n \geq 0\}$$

$L_1$  is finite language  
&  $L_4$   
&  $L_2$  &  $L_3$  are  
infinite languages.

- Some special languages:

$\phi$  or  $\{\}$  : The empty set/language, containing no string.

$\{\lambda\}$  : A language containing one string, the empty string.

- Since languages are sets, the union, intersection, and difference of two languages are immediately defined.

- Complement of a language:

$$\bar{L} = \Sigma^* - L.$$

- Reverse of a Language: The reverse of a language is the set of all strings' reverses, i.e.

$$L^R = \{w^R \mid w \in L\}.$$

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\* representation using

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Recursive Definition of Lengthfor any letter:  $|a| = 1$ for any string  $wa$ :  $|wa| = |w| + 1$   $[\because |wu| = |w| + |u|]$ 

$$\begin{aligned} \text{Ex: } |abba| &= |abb| + 1 \\ &= |ab| + 1 + 1 \\ &= |a| + 1 + 1 + 1 \\ &= 1 + 1 + 1 + 1 \\ &= 4. \end{aligned}$$

## • Length of concatenation

$|uv| = |u| + |v|$

$$\begin{aligned} \text{Ex: } u &= aab, |u| = 3 \\ v &= abaab, |v| = 5 \\ |uv| &= |aababaab| = 8 \\ |uv| &= |u| + |v| = 3 + 5 = 8. \end{aligned}$$

Operations on Languages

The usual set operations

$\{a, ab, aaaa\} \cup \{bb, ab\} = \{a, ab, bb, aaaa\}$

$\{a, ab, aaaa\} \cup \{bbb, aba\} = \{a, ab, bbb, aba, aaaa\}$

$\{a, ab, aaaa\} \cap \{bb, ab\} = \{ab\}$

$\{a, ab, aaaa\} - \{bb, ab\} = \{a, aaaa\}$

• Complement:  $\bar{L} = \Sigma^* - L$ 

$\text{Ex: } L = \{a, ba\}, \text{ then}$

$\bar{L} = \{\lambda, b, aa, ab, bb, aaa, \dots\}.$

• Reverse: Def":  $L^R = \{w^R : w \in L\}$ 

$$\begin{aligned} \text{Ex: } \{ab, aab, bab\}^R &= \{ba, baa, abab\} \\ L &= \{a^n b^n : n \geq 0\} \\ L^R &= \{b^n a^n : n \geq 0\}. \end{aligned}$$

• Concatenation:  $L_1 L_2 = \{xy : x \in L_1, y \in L_2\}$ 

$\text{Ex: } L_1 = \{a, ab, ba\}, L_2 = \{b, aa\}$

$L_1 L_2 = \{ab, aaa, abb, abaa, bab, baca\}$

$\text{Def": } L^n = \underbrace{L L \dots L}_{n \text{ times}}$

$\text{Ex: } \{a, b\}^3 = \{a, b\} \{a, b\} \{a, b\} =$

$\{aaa, aab, aba, abb, baa, bab, bba, bbb\},$

Special case:

$$\begin{aligned} L^0 &= \{\lambda\} \\ L^1 &= \{L\} \\ L^2 &= \{L^1\} \\ &\vdots \\ L^n &= \{L^{n-1}\} \\ \text{Ex: } \{a, b\}^0 &= \{\lambda\} \\ \text{Ex: } \{a, b\}^1 &= \{a, b\} \\ \text{Ex: } \{a, b\}^2 &= \{aa, ab, ba, bb\} \\ \text{Ex: } \{a, b\}^3 &= \{aaa, aab, aba, abb, baa, bab, bba, bbb\} \end{aligned}$$

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star-closure (Kleene\*) or concatenation closure

Def<sup>n</sup>:  $L^* = L^0 \cup L^1 \cup L^2 \dots$  or  $L^* = \bigcup_{i=0}^{\infty} L^i$

Ex:

$$\{a, bb\}^* = \left\{ \begin{array}{l} \lambda, \\ a, bb, \\ aa, abb, bba, bbbb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$

• Positive closure:

Def<sup>n</sup>:  $L^+ = L^1 \cup L^2 \cup \dots$  or  $L^+ = \bigcup_{i=1}^{\infty} L^i$

$$L^+ = L^* - \{\lambda\}$$

$$\{a, bb\}^+ = \left\{ \begin{array}{l} a, bb, \\ aa, abb, bba, bb\,bb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$

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Language:

$L \subseteq \Sigma^*$

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A Language is any subset of  $\Sigma^*$ .

Ex:  $\Sigma = \{a, b\}$

$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$

Some Languages are  
 $\{\lambda\}$ ,  $\{a, aa, aab\}$ ,  $\{\lambda, abba, baba, aa, ab, aaaa\}$  etc.

An infinite Language

$L = \{a^n b^n : n \geq 0\}$

$\lambda$   
ab  
aabb  
aaaaabbbbb }  $\in L$ ,       $abb \notin L$ .

## Languages can be defined

Descriptive definition

Recursive definition

Using Regular Expressions (RE)

Using Finite Automaton(FA)

etc.

Descriptive definition of language:

The language is defined, describing the conditions imposed on its words.

Ex: The language  $L$  of strings of odd length, defined over  $\Sigma = \{a\}$ , can be written as  
 $L = \{a, aaa, aaaaa, aaaaaaaaa, \dots\}$

Ex: The language  $L$  of strings that does not start with  $a$ , defined over  $\Sigma = \{a, b, c\}$ , can be written as

$$L = \{\lambda, b, c, ba, bb, bc, ca, cb, cc, \dots\}$$

Ex: The language  $L$  of strings of length 2, defined over  $\Sigma = \{0, 1, 2\}$ , can be written as

$$L = \{00, 01, 02, 10, 11, 12, 20, 21, 22\}$$

Ex: The language  $L$  of strings ending in 0, defined over  $\Sigma = \{0, 1\}$ , can be written as

$$L = \{0, 00, 10, 000, 010, 100, 110, \dots\}$$

Ex:  $\Sigma = \{0, 1\}$

$L = \{x \mid x \text{ is in } \Sigma^* \text{ and } x \text{ contains an even number of } 0's\}$

$$L = \{00, 010, 100, 001, 0000, \dots\}$$

Ex: The language EQUAL, of strings with number of a's equal to number of b's, defined over  $\Sigma = \{a, b\}$ , can be written as  
 $\{\lambda, ab, ba, aabb, abab, baba, abba, \dots\}$

Ex: The language EVEN-EVEN, of strings with even number of a's and even number of b's, defined over  $\Sigma = \{a, b\}$ , can be written as  
 $\{\lambda, aa, bb, aaaa, aabb, abab, abba, baab, baba, bbaa, bbbb, \dots\}$

Ex: The language INTEGER, of strings defined over  $\Sigma = \{-, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ , can be written as

$$\text{INTEGER} = \{\dots, -2, -1, 0, 1, 2, \dots\}$$

Ex: The language EVEN, of strings defined over  $\Sigma = \{-, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$ , can be written as

$$\text{EVEN} = \{\dots, -4, -2, 0, 2, 4, \dots\}$$

\* words: Def:

words are strings belonging to some language.

Ex: If  $\Sigma = \{x\}$ , then

$$L = \{x^n \mid n = 1, 2, 3, \dots\}$$

or  $L = \{x, xx, xxx, \dots\}$

Here  $x, xx, \dots$  are the words of  $L$

\* Note: All words are strings, but not all strings are words.

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Ex: The language  $\{a^n b^n\}$ , of strings defined over  $\Sigma = \{a, b\}$ , as  
 $L = \{a^n b^n : n=1, 2, 3, \dots\}$ , can be written as  
 $L = \{ab, aabb, aaabbb, aaaabbbb, \dots\}$ .

Ex: The language  $\{a^n b^n a^n\}$ , of strings defined over  $\Sigma = \{a, b\}$ , as  
 $L = \{a^n b^n a^n : n=1, 2, 3, \dots\}$ , can be written as  
 $L = \{aba, aabbaa, aaabbbbaaa, aaaabbbbaaaa, \dots\}$

Ex: The language factorial, of strings defined over  
 $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$  i.e.  
 $L = \{1, 2, 6, 24, 120, \dots\}$

Ex: The language FACTORIAL, of strings defined over  $\Sigma = \{a\}$ , as  
 $L = \{a^n! : n=1, 2, 3, \dots\}$ , i.e.

$L = \{a, aa, aaaaaa, \dots\}$

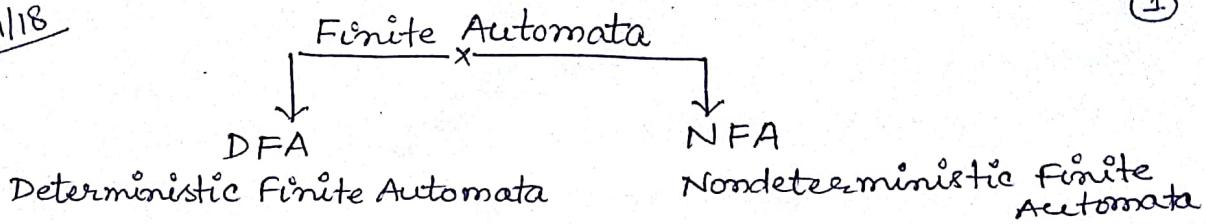
DOUBLE FACTORIAL, of strings defined over  $\Sigma = \{a, b\}$ , as

$L = \{a^n! b^n! : n=1, 2, 3, \dots\}$ , i.e.

$L = \{ab, aabb, aaaaaabbbbb, \dots\}$

Ex: The language PRIME, of strings defined over  $\Sigma = \{a\}$ , as  
 $L = \{a^p : p \text{ is prime}\}$ , i.e.  
 $L = \{aa, aaa, aaaaa, aaaaaaa, aaaaaaaaaa, \dots\}$ .

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DFA :- There are a fixed number of states and we can only be in one state at a time.

NFA :- There are a fixed number of states but we can be in multiple state at one time.

Deterministic Finite Automata

- A DFA is a five-tuple :  $M = (\mathcal{Q}, \Sigma, q_0, F, \delta)$

$\mathcal{Q}$	A <u>finite</u> set of states
$\Sigma$	A <u>finite</u> set of input <del>or</del> symbols (Alphabet)
$q_0$	The initial/startng state, $q_0 \in \mathcal{Q}$
$F$	A set of final/accepting states, $F \subseteq \mathcal{Q}$ .
$\delta$	A transition function, which is a total function $\mathcal{Q} \times \Sigma \rightarrow \mathcal{Q}$ .

$\delta : (\mathcal{Q} \times \Sigma) \rightarrow \mathcal{Q}$  .  $\delta$  is defined for any  $q \in \mathcal{Q}$  and  $s \in \Sigma$ , and i.e.  $s \in \Sigma$ .

$\delta(q, s) = q'$  is equal to another state

Intuitively,  $\delta(q, s)$  is the state entered by  $M$  after reading symbol  $s$  while in state  $q$ .

Ex:  $\mathcal{Q} = \{q_0, q_1\}$

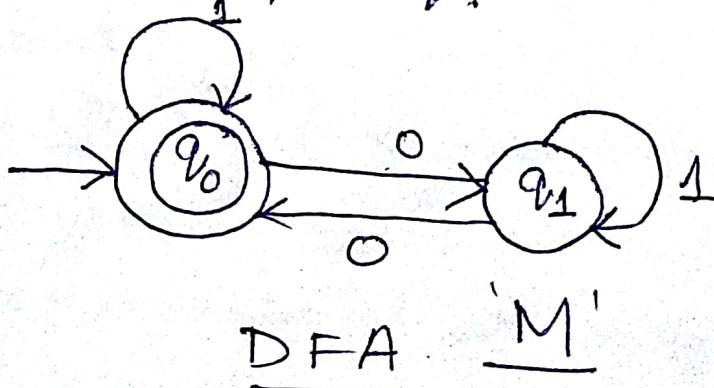
$\Sigma = \{0, 1\}$

Start state is  $q_0$

$F = \{q_0\}$

$\delta$ :

	0	1
q <sub>0</sub>	q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>	q <sub>0</sub>	q <sub>1</sub>



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- Let  $M = (\mathcal{Q}, \Sigma, q_0, F, \delta)$  be a DFA and let  $w$  be in  $\Sigma^*$ . Then  $w$  is accepted by  $M$  iff  $\delta(q_0, w) = p$  for some state  $p$  in  $F$ .

- Let  $M = (\mathcal{Q}, \Sigma, q_0, F, \delta)$  be a DFA. Then the language accepted by  $M$  is the set:

$$L(M) = \{w \mid w \text{ is in } \Sigma^* \text{ and } \delta(q_0, w) \text{ is in } F\}$$

Another equivalent definition:

$$L(M) = \{w \mid w \in \Sigma^* \text{ and } w \text{ is accepted by } M\}$$

- Let  $L$  be a language. Then  $L$  is a regular language iff there exists a DFA  $M$  such that  $L = L(M)$ .

- Let  $M_1 = (\mathcal{Q}_1, \Sigma_1, q_0, F_1, \delta_1)$  and

$$M_2 = (\mathcal{Q}_2, \Sigma_2, p_0, F_2, \delta_2)$$

Then  $M_1$  and  $M_2$  are equivalent iff

$$L(M_1) = L(M_2).$$

Notes:

- A DFA  $M = (\mathcal{Q}, \Sigma, q_0, F, \delta)$  partitions the set  $\Sigma^*$  into two sets  $L(M)$  and  $\Sigma^* - L(M)$ .

- If  $L = L(M)$  then  $L$  is a subset of  $L(M)$  and  $L(M)$  is a subset of  $L$ .

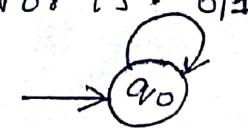
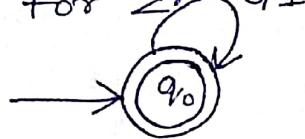
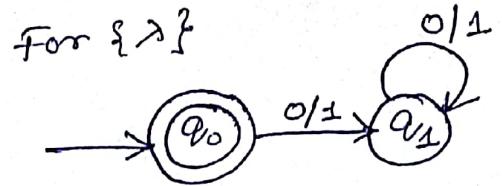
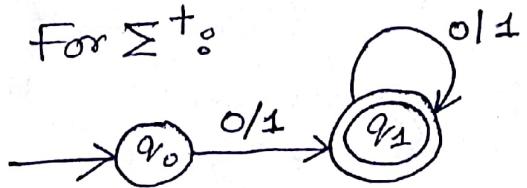
- Similarly, if  $L(M_1) = L(M_2)$  then  $L(M_1)$  is a subset of  $L(M_2)$  and  $L(M_2)$  is a subset of  $L(M_1)$ .
- Some languages are regular, others are not.

for example if  $L_1 = \{x \mid x \text{ is a string of 0's and 1's containing an even number of 1's}\}$  and then  $L_2 = \{x \mid x = 0^n 1^n \text{ for some } n \geq 0\}$   $L_1$  is regular but  $L_2$  is not.

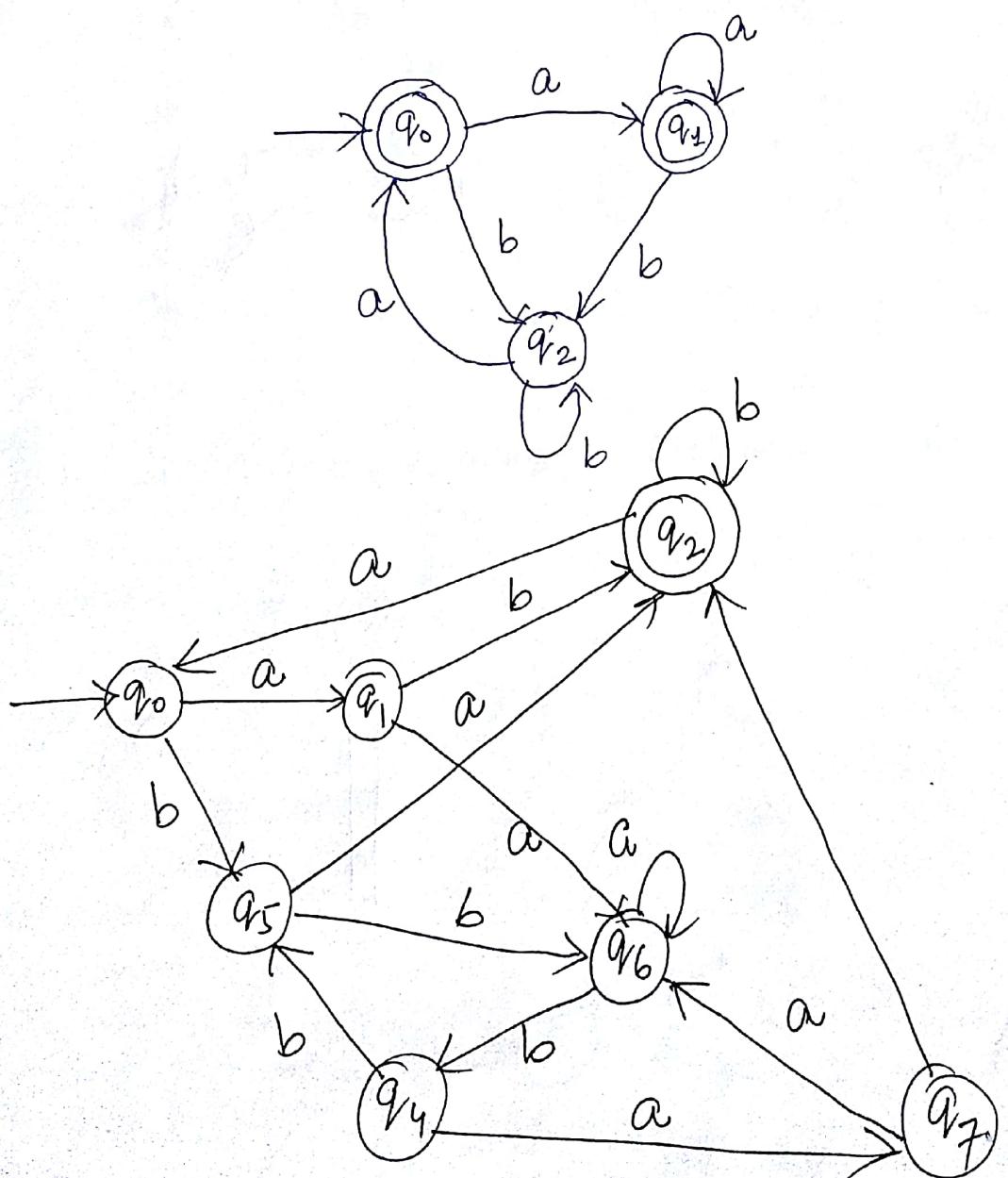
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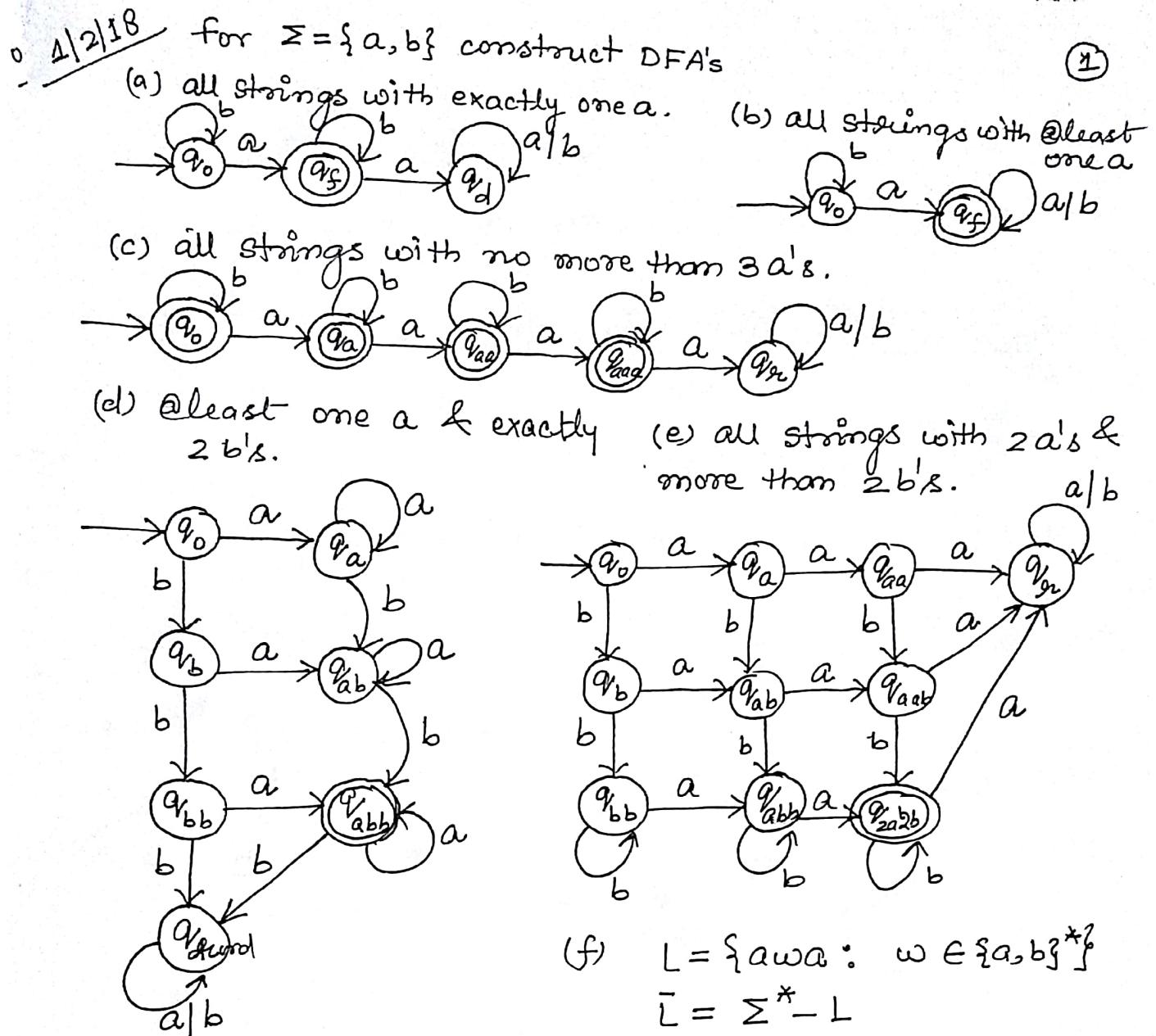
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Let  $\Sigma = \{0, 1\}$ . Give DFAs for  $\{\emptyset\}$ ,  $\{0\}$ ,  $\Sigma^*$  and  $\Sigma^+$ .

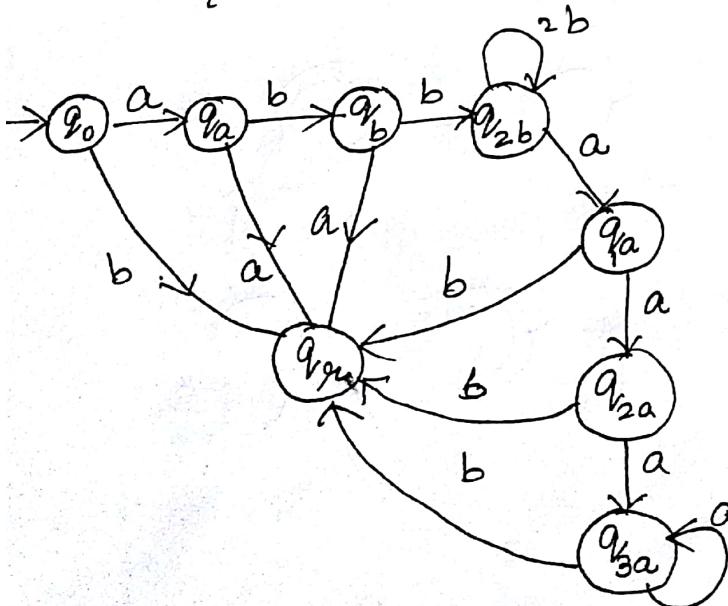
for  $\{\emptyset\}$ :  $0/1$ For  $\{0\}$ :  $0/1$ For  $\{0\}$ :  $0/1$ For  $\Sigma^*$ :  $0/1$ 

Ex:  $L = \{w \in \Sigma^* \mid w \text{ is } \lambda \text{ or } w \text{ ends in } a\}$

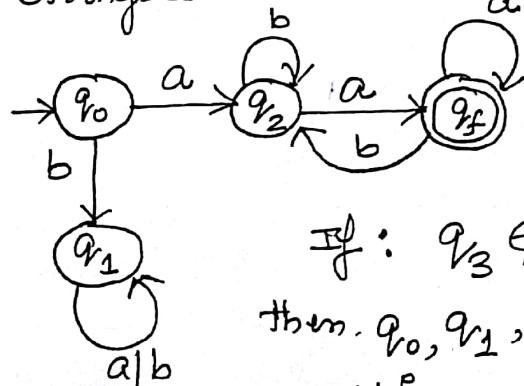




(f)  $L = \{a^m a^n : m \geq 2, n \geq 3\}$



strings  $a^m a^n$  in

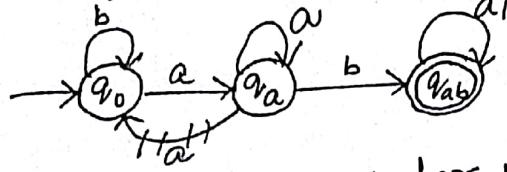
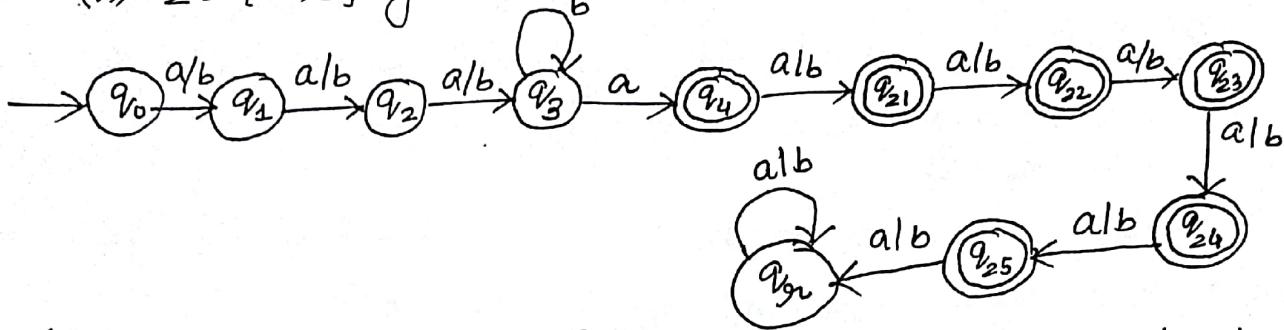
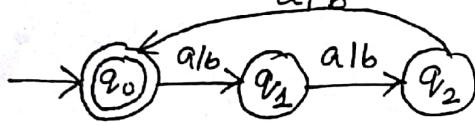
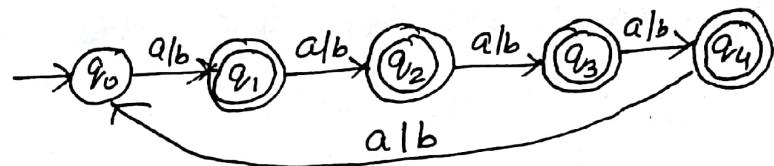
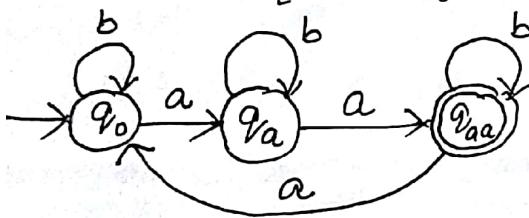
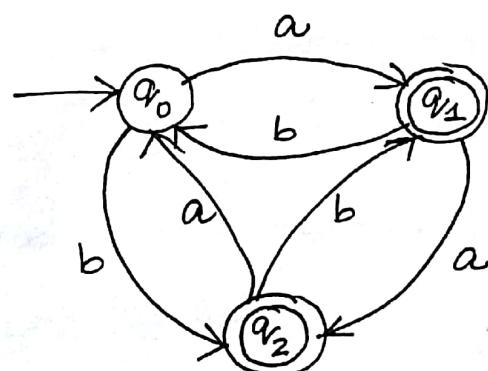


If:  $q_3 \notin F$

then,  $q_0, q_1, q_2 \in F$   
resulting DFA  
accepts  $\bar{L}$ .

$M = (\emptyset, \Sigma, q_0, F, \delta)$   
&  $\hat{M} = (\emptyset, \Sigma, q_0, \emptyset - F, \delta)$   
then  $\overline{L(M)} = L(\hat{M})$ .

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(g)  $L = \{w_1 a b w_2 : w_1 \in \{a, b\}^*, w_2 \in \{a, b\}^*\}$ (h)  $\Sigma = \{a, b\}$  give DFA for  $L = \{w_1 a w_2 : |w_1| \geq 3, |w_2| \leq 5\}$ (i)  $L = \{w : |w| \bmod 3 = 0\}$ (j)  $L = \{w : |w| \bmod 5 \neq 0\}$ (k)  $L = \{w : n_a(w) \bmod 3 > 1\}$ (l)  $L = \{w : (n_a(w) - n_b(w)) \bmod 3 > 0\}$ 

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Nondeterministic Finite Automata (NFA)

- An NFA is a five-tuple:  $M = (Q, \Sigma, q_0, F, \delta)$ , where

$Q$ : A finite set of states

$\Sigma$ : A finite set of symbols (Alphabet set)

$q_0$ : The initial/starting state,  $q_0 \in Q$ .

$F$ : A set of final/accepting states,  $F \subseteq Q$ .

$\delta$ : A transition function, which is a total function  $Q \times \Sigma \rightarrow 2^Q$

$\delta: (Q \times \Sigma) \rightarrow 2^Q$ ,  $2^Q$  is the power set of  $Q$ ,

$\delta(q, \sigma)$ : The set of all states  $p$  such that there is transition labeled  $\sigma$  from  $q$  to  $p$ . SEE

- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA and let  $w$  be in  $\Sigma^*$ .

Then  $w$  is accepted by  $M$  iff  $\delta(\{q_0\}, w)$  contains at least one state in  $F$ .

- Let  $M = (Q, \Sigma, q_0, F, \delta)$  be an NFA. Then the language accepted by  $M$  is the set:

$$L(M) = \{w \mid w \in \Sigma^* \text{ and } \delta(\{q_0\}, w) \text{ contains at least one state in } F\}$$

- Another equivalent definition:

$$L(M) = \{w \mid w \in \Sigma^* \text{ and } w \text{ is accepted by } M\}.$$

Example: Some 0's followed by some 1's.

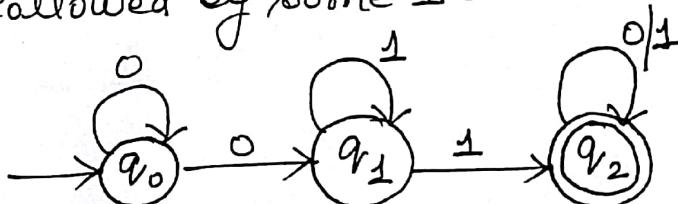
$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

Start state is  $q_0$

$$F = \{q_2\}$$

$\delta$ :



	0	1
$q_0$	$\{q_0, q_1\}$	$\{\}$
$q_1$	$\{\}$	$\{q_1, q_2\}$
$q_2$	$\{q_2\}$	$\{q_2\}$

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Ex: Empty string or start and end with 0.

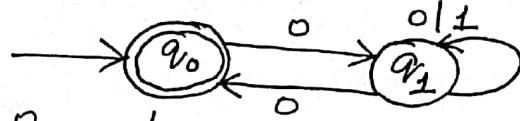
$$Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

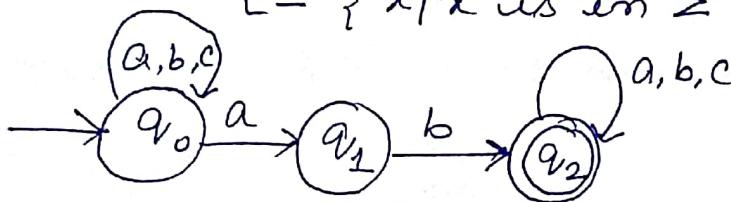
Initial state:  $q_0$ 

$$F = \{q_1\}$$

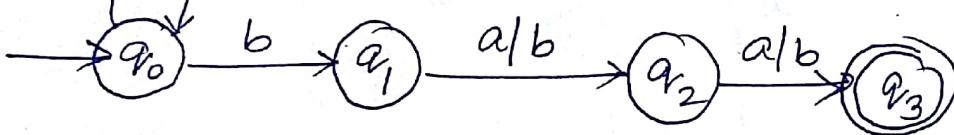
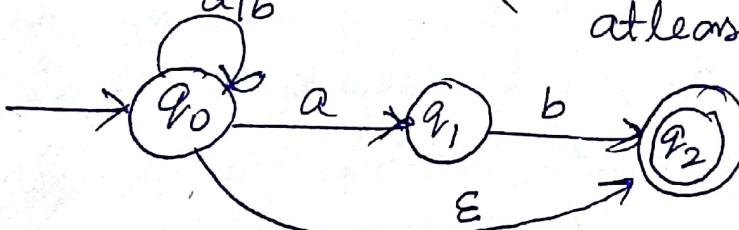
$\delta:$	0	1
$q_0$	$\{q_1\}$	$\{\}$
$q_1$	$\{q_0, q_1\}$	$\{q_1\}$

Ex: Let  $\Sigma = \{a, b, c\}$ . Give an NFA M that accepts:

$$L = \{x \mid x \text{ is in } \Sigma^* \text{ and } x \text{ contains } ab\}$$

Ex: Let  $\Sigma = \{a, b\}$ . Give an NFA M that accepts

$$L = \{x \mid x \text{ is in } \Sigma^* \text{ and the third-to-the-last symbol in } x \text{ is } b\}$$

Ex: Let  $\Sigma = \{a, b\}$ . Give an NFA that accepts language defined as  $\{a, b\}^*$ . (Assume that it must contain at least a and b)

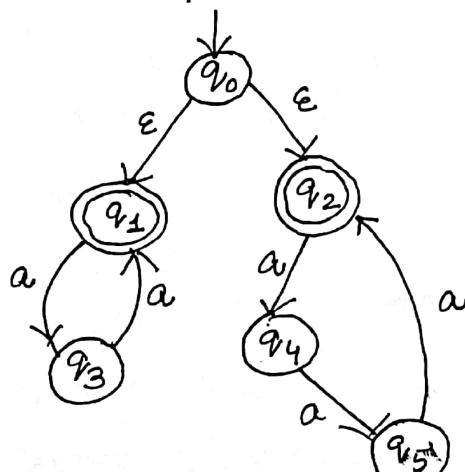
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## NFA's with $\epsilon$ -Transitions

(1)

- We extend the class of NFAs by allowing instantaneous ( $\epsilon$ ) transitions:
  1. The automaton may be allowed to change its state without reading the input symbol.
  2. In diagrams, such transitions are depicted by labelling the appropriate arcs with  $\epsilon$ .
  3. Note that this does not mean that ' $\epsilon$ ' has become an input symbol. On the contrary, we assume that the symbol  $\epsilon$  does not belong to any alphabet.

Example :  $L = \{a^n \mid n \text{ is even or divisible by 3}\}$



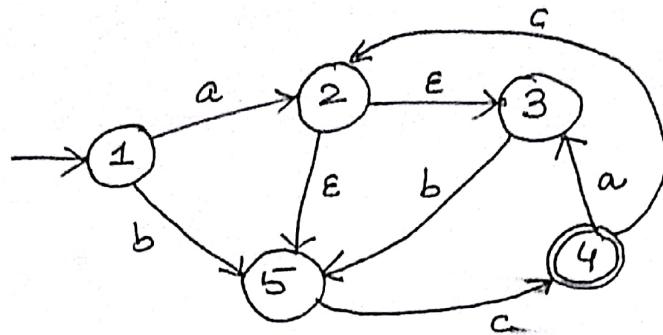
- A  $\epsilon$ -NFA is a quintuple  $M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$ , where
  - $\mathcal{Q}$  is the set of states
  - $\Sigma$  is the alphabet of input symbols
  - $q_0 \in \mathcal{Q}$  is the initial/starting state
  - $F \subseteq \mathcal{Q}$  is the set of final states
  - $\delta: \mathcal{Q} \times \Sigma \rightarrow P(\mathcal{Q})$  is the transition function
- Note ' $\epsilon$ ' is never a member of  $\Sigma$ .
- $\Sigma_\epsilon$  is defined to be  $(\Sigma \cup \{\epsilon\})$

### $\epsilon$ -NFA

- $\epsilon$ -NFAs add a convenient feature but (in sense) they bring us nothing new; they do not extend the class of languages that can be represented. Both NFAs and  $\epsilon$ -NFAs recognize exactly the same languages.

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• Ex:



(2)

This  $\epsilon$ -NFA accepts strings such as ac, abc, ...

### Equivalence of NFA to DFA

Problem Statement: Let  $X = (Q_x, \Sigma, q_0, F_x, \delta_x)$  be an NFA which accepts the language  $L(X)$ . We have to design an equivalent DFA  $Y = (Q_y, \Sigma, q_0, F_y, \delta_y)$  such that  $L(Y) = L(X)$ . The following procedure converts the NFA to its equivalent DFA —

#### Algorithm:

Input: An NFA

Output: An equivalent DFA

Step 1: Create state transition table from the given NFA.

Step 2: Create a blank state table under possible input alphabets for equivalent DFA.

Step 3: Mark the start state of the DFA by  $q_0$  (some as the NFA)

Step 4: find out the combination of states  $\{q_0, q_1, \dots, q_n\}$  for each input alphabet symbol.

Step 5: Each time we generate a new DFA state under the ~~state~~ input alphabet symbol columns, we have to repeat Step 4 again, otherwise go to Step 6.

Step 6: The states which contain any of the final states of the NFA are the final states of the equivalent DFA.

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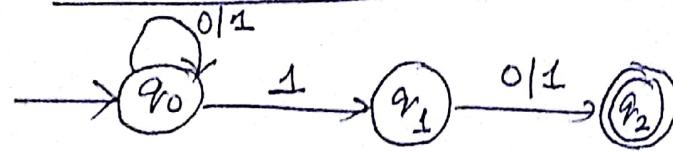
Ex:

NFA  $\rightarrow$  DFA

(3)

For NFA

S:

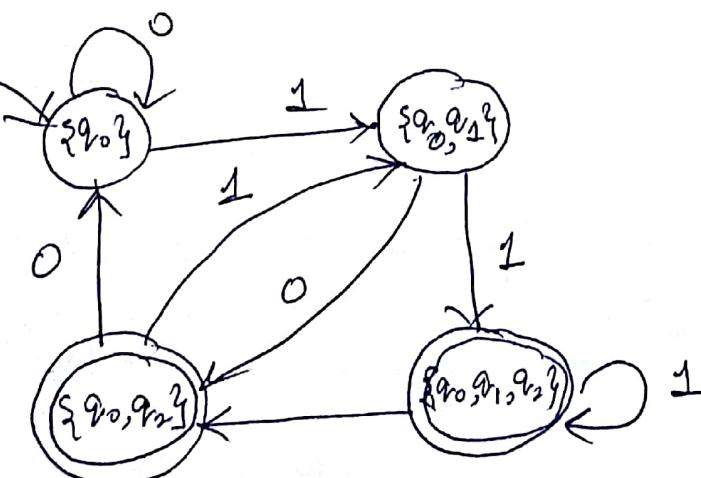


	0	1
$q_0$	$\{q_0\}$	$\{q_0, q_1\}$
$q_1$	$\{q_2\}$	$\{q_2\}$
$q_2$	$\{\}$	$\{\}$

For DFA

States	0	1
$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_2\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_0, q_1, q_2\}$	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$

Equivalent DFA

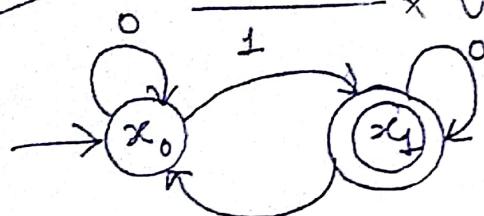


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# Some DFAs regarding restrictions on length

1

for reference



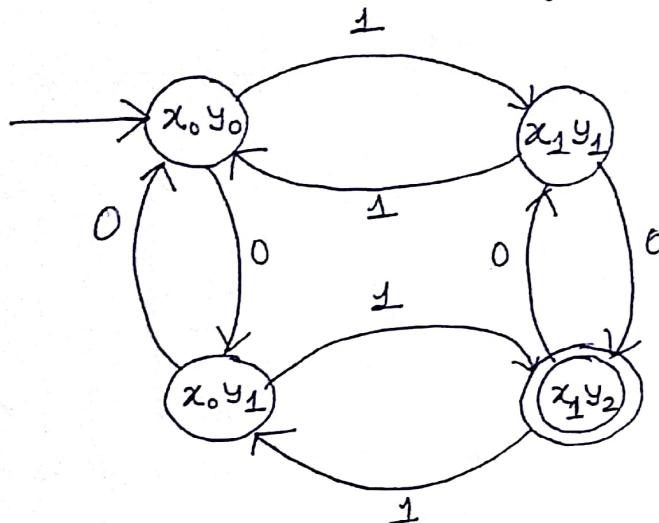
(i) An odd number of 1's



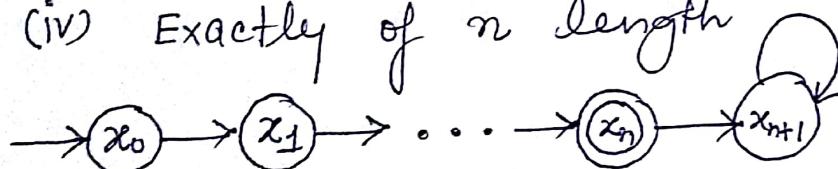
(ii) An even length

combination

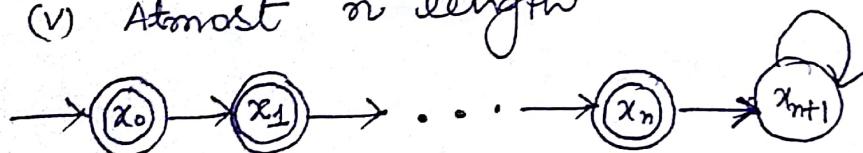
(iii) An odd number of 1's and even length



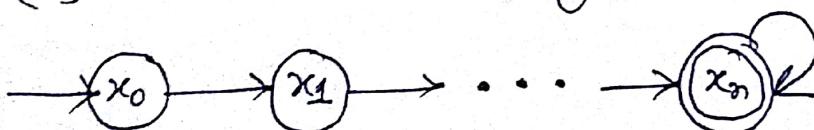
(iv) Exactly of  $n$  length



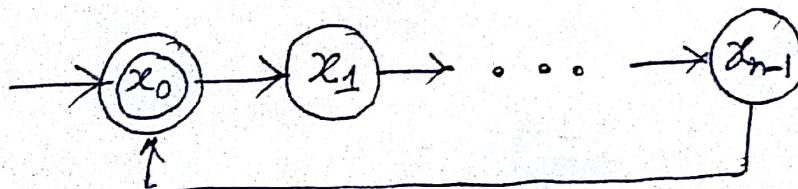
(v) Atmost  $n$  length



(vi) At least  $n$  length (at least)



(vii) divisible by  $n$

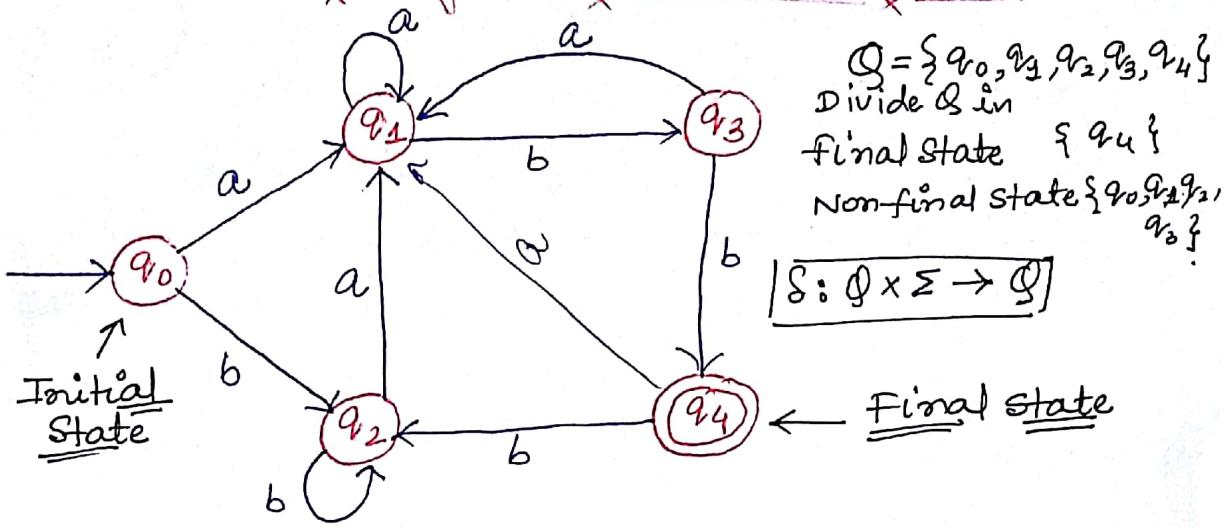


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## Minimization of DFA by Partition Method

1

Ex:



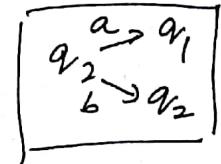
### Transition Table

	a	b
$\rightarrow q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_3$
$q_2$	$q_1$	$q_2$
$q_3$	$q_1$	$*q_4$
$*q_4$	$q_1$	$q_2$

Step 1:

$$\pi_0 \rightarrow \{q_4\} \{q_0, q_1, q_2, q_3\}$$

Step 2:

$$\pi_1 \rightarrow \{q_4\} \{q_0, q_1, q_2\} \{q_3\}$$


$q_0 \xrightarrow{a} q_1 \quad | \quad q_0 \xrightarrow{b} q_2 - NF$   
 $q_3 \xrightarrow{a} q_1 \quad | \quad q_3 \xrightarrow{b} q_4 F X$

Step 3:

$$\pi_2 \rightarrow \{q_4\} \{q_3\} \{q_0, q_2\}$$

$q_0 \xrightarrow{a} q_1 -$   
 $q_1 \xrightarrow{a} q_1 -$   
 $q_0 \xrightarrow{b} q_2 -$   
 $q_1 \xrightarrow{b} q_3 X$

$\pi_3 \rightarrow \{q_4\} \{q_3\} \{q_1\} \{q_0, q_2\}$

$q_0 \xrightarrow{a} q_1 -$   
 $q_2 \xrightarrow{a} q_1 -$   
 $q_0 \xrightarrow{b} q_2 -$   
 $q_2 \xrightarrow{b} q_2 -$

stopping

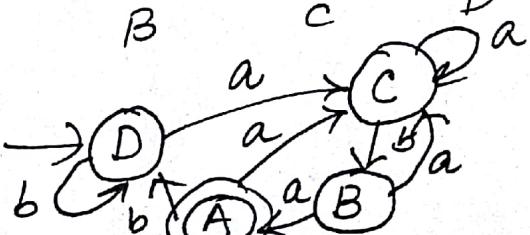
condition output of

$$\pi_n = \pi_{n+1}$$

NO need  
of separation

$q_0 \rightarrow [q_0, q_2]$   
 $q_2 \rightarrow [q_0, q_2]$

	a	b
$\rightarrow D$	C	D ✓
C	C	B ✓
some		
D	C	D
only		
once		
B	C	*A ✓
*A	C	D ✓



Minimal DFA

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①

## Distinguishing One String from Another

Def<sup>n</sup>: Let  $L$  be a language in  $\Sigma^*$  i.e.,  $L \in \Sigma^*$ .

Two strings  $x$  and  $y$  in  $\Sigma^*$  are distinguishable w.r.t. to  $L$  if there is a string  $z \in \Sigma^*$  (which may depend on  $x$  and  $y$ ) so that exactly one of the strings  $xz$  and  $yz$  is in  $L$ .

The string  $z$  is said to distinguish  $x$  and  $y$  with respect to  $L$ .

- we may say that  $x$  and  $y$  are indistinguishable with respect to  $L$  if there is no such string  $z$ ;
- in other words, if for every  $z$ , both  $xz$  and  $yz$  have the same status — either both in  $L$  or both not in  $L$ .

E.g.  $L = \{x \in \{0, 1\}^* \mid x \text{ ends with } 10\}$

the strings  $01011$  and  $100$  are distinguishable w.r.t.  $L$ , because for  $z = 0$ ,  $01011z \in L$  and  $100z \notin L$ .

The strings  $0$  and  $100$  are indistinguishable with respect to  $L$ .

Lemma: Suppose that  $L \subseteq \Sigma^*$  and  $M = (Q, \Sigma, q_0, F, \delta)$  is any FA recognizing  $L$ .

If  $x$  and  $y$  are two strings in  $\Sigma^*$  for which  $\delta^*(q_0 x) = \delta^*(q_0 y)$ , then  $x$  and  $y$  are indistinguishable with respect to  $L$ .

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(2)

Proof: Let  $z$  be any string in  $\Sigma^*$ , and consider  
strings  $xz$  and  $yz$ .

$$\delta^*(q_0, xz) = \delta^*(\delta^*(q_0, x), z)$$

$$\delta^*(q_0, yz) = \delta^*(\delta^*(q_0, y), z)$$

and therefore, by our assumption,

$$\delta^*(q_0, xz) = \delta^*(q_0, yz).$$

Since,  $M$  is assumed to recognize  $L$ , these two strings are either both in  $L$  or both not in  $L$ .

Therefore,  $x$  and  $y$  are indistinguishable w.r.t.  $L$ .

E.g.  $L \subseteq \{0, 1\}^*$ : strings ending with 111.

Here string  $x=1$  distinguishes strings  $011(x)$  and  $001(y)$ , because  $xz \in L$  i.e.  $011z \in L$  and  $yz \notin L$  i.e.  $001z \notin L$ .

### Recursive Definitions of $\delta^*$

$$1. \delta^*(q, \alpha x) = \delta^*(\delta(q, \alpha), x)$$

$$\delta^*(q, \lambda) = q$$

$$2. \delta^*(q, \alpha a) = \delta(\delta^*(q, \alpha), a)$$

$$\delta^*(q, \lambda) = q$$

$$\text{E.g.: } \delta^*(q_0, aab) = \delta(\delta^*(q_0, aa), b) = \delta(\delta(\delta^*(q_0, a), a), b) = \delta(\delta(\delta(\delta^*(q_0, \lambda), a), a), b) = \delta(\delta(\delta(q_0, a), a), b) = \dots$$

Def<sup>n</sup>: A regular expression is recursively defined as follows:



\* Note — Nothing else is regular expression.

A Regular Language is represented by regular expression.

- The set  $R$  on an alphabet  $\Sigma$  is regular language defined as follows:

$\emptyset \subseteq R, \{e\} \subseteq R, \{a\} \subseteq R \forall a \in \Sigma$

If  $L_1, L_2 \subseteq R$ , then  $L_1 \cup L_2 \subseteq R$ ,  $L_1 \cdot L_2 \subseteq R$  and  $L_1^* \subseteq R$ .

Ex: The Language  $\{0, 00, 01, 000, 001, 010, 011, 0000, \dots\}$  which consists all binary strings is regular.

It can be constructed using regular expression  $\{0\} \cdot (\{0\} \cdot \{1\})^*$ .

Note: Expressions obtained by applying any of the rules from 1-4 are regular expressions.

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(2)

### Regular expressions

	Meaning
$(a+b)^*$	set of strings of a's and b's of any length including the NULL string.
$(a+b)^*abb$	set of strings of a's and b's ending with the string abb
$ab(a+b)^*$	set of strings of a's and b's starting with the string ab.
$(a+b)^*aa(a+b)^*$	set of strings of a's and b's having a sub string aa.
$a^*b^*c^*$	set of string consisting of any number of a's (may be empty string also) followed by any number of b's (may <del>be</del> include empty string) followed by any number of c's (may include empty string).
$a^+b^+c^+$	set of string consisting of at least one 'a' followed by string consisting of at least one 'b' followed by string consisting of at least one 'c'.
$aa^*bb^*cc^*$	" as above "
$(a+b)^*(a+bb)$	" "
$(aa)^*(bb)^*b$	set of strings consisting of a's and b's ending with either a or bb
$(0+1)^*000$	set of strings consisting of even number of 0's followed by odd number of b's.
$(11)^*$	set consisting of even number of 1's.