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Abstract

In the era of brain computer interfaces, the importance of P300 speller is evident. However, the Speller technology can have a wide foot print, only if its cost is reduced. Electroencephalogram (EEG) device is the major contributing factor to the cost of the speller. Our effort is directed towards using a low cost EEG device and to study if it can be used for the speller. We have considered the Farwell and Donchin's speller model as our base. This model uses a 6x6 key matrix. Previous studies around this model, use 8 or more sensors to correctly identify the target key. However since our low cost EEG device (Muse 2) has 4 sensors, we thought of beginning with a smaller (3x3) key matrix. Reducing the number of keys increases the probability of identifying the target key correctly. This study aims towards doing a comparative study of "accuracy" and "speed" of different sizes of P300 spellers using low cost, fewer sensors EEG device. This study is conducted on OpenViBE platform. It uses different classifiers (LDA, MLP and CNN) to process the EEG signals for target key identification. This study also aims to identify the amount of training data required for speller and its consequent efficient classifier.

Introduction

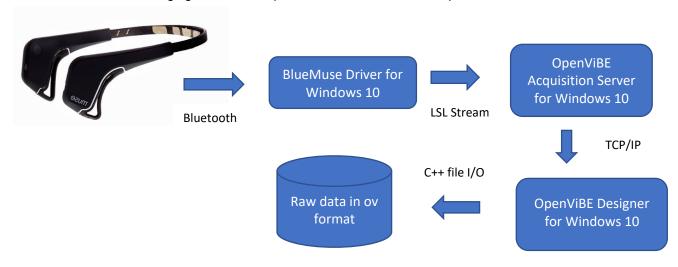
The Farwell and Donchin P300 speller is one of the important Brain Computer Interfaces used mainly for patients with Locked-In syndrome, who possess good cognitive ability. The speller is based on Oddball paradigm where the occipital lobe (the visual cortex) of the brain produces a P300 visual evoked potential, on encountering an infrequent deviant (target) stimulus among a sequence of similar repetitive stimuli. The name P300 refers to the fact that the peak of the signal appears after 300 msec on presenting the deviant stimulus to the subject. We are using Muse 2 device for our experiments. This device has two electrodes (AF7 and AF8) placed on the forehead and two electrodes (TP9 and TP10) placed behind the ears as per 10-20 electrode positioning system. Muse 2, has no electrode placed on the occipital lobe. However, there are a couple of studies showing the presence of this visual evoked potential on Temporal and Frontal electrodes. Hence we decided to use this device for speller. We used OpenViBE platform for data generation, signal processing and prediction functionality. In order to take advantage of full range of TensorFlow's machine learning libraries, we extended the OpenViBE's python interfacing API. We used Sklearn/TensorFlow's LDA, MLP and Conv1D classifiers and predictors. We used OpenViBE's speller user interface to generate the Oddball stimuli. The built-in speller user interface contains 6x6 matrix of characters and numbers. However, we customized it to present 3x3 matrix of numbers to the subjects. This paper will present a comparative analysis of 3x3 and 6x6 matrix performance with Muse device.

Experimental Setup

The experiment begins with interfacing Muse -2 with OpenViBE, using BlueMuse driver and collecting data from various subjects. The data collection process involves the following steps:

- 1. Muse -2 device communicates with the BlueMuse Driver over Bluetooth.
- 2. BlueMuse connects with OpenViBE's Acquisition Server over Lab Streaming Layer (LSL).
- 3. The Acquisition Server then sends the data to OpenViBE designer over TCP/IP.
- 4. OpenViBE designer captures the raw data in .ov format

Please look at the following figure to have a pictorial view of the above steps.



Below, we have shown the configuration of all the following components required for the project:

- 1. BlueMuse Driver
- 2. Acquisition Server
- 3. OpenViBE designer

Let's begin with BlueMuse Driver configuration.

Configuration of BlueMuse Driver

- 1. When the bluetooth connection between Muse-2 device and the BlueMuse driver is on, the screen in Figure 1 appears on opening the BlueMuse driver user interface.
- 2. On clicking the **Gear Icon** in the top menu bar in Figure 1, the screen in Figure 2 appears, where we have kept all default setting intact, except we have unchecked all the checkboxes except the **EEG Enabled** checkbox.
- 3. Once the configuration in Figure 2 is done, we can start streaming EEG to OpenViBE acquisition server over LSL.

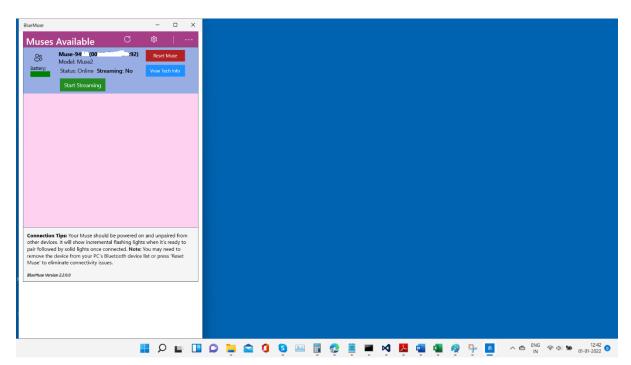


Figure 1 Streaming from Muse 2

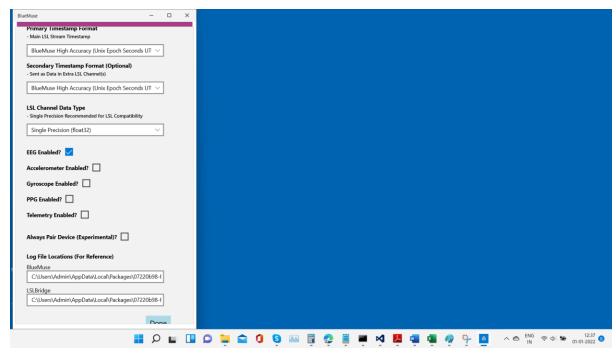


Figure 2 Muse 2 Driver Configuration for Streaming EEG

Configuration of OpenViBE Acquisition Server

- 1. Run the OpenViBE acquisition server to get its user interface as shown in Figure 3. Keep the default settings, except choosing **the LabStreamingLayer** as the communication medium between BlueMuse and Acquisition server.
- 2. Click on the **Driver Properties**. If things go smooth so far, **EEG** option will be shown in the signal stream box along with the device identity as shown in Figure 4. Click on Apply to go back to Figure 3.
- 3. Click on **Preferences** button in Figure 3 and the user interface will appear as shown in Figure 5. Select the options/values as shown in the Figure 5. Click on Apply to go back to Figure 3.
- 4. In Figure 3 click on **Connect** and **Play** to get the OpenViBE acquisition server running with LSL driver.



Figure 3 Configuration of OpenViBE acquisition server

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This driv cf_float32 str cf_int32 st LSL streams with will automatically to	amingLayer (LSL) ver only supports reams for signals and treams for markers nominal sampling rate of 0 urn the fallback sampling rate force it if set to a numerical value)	
Identifier :	0 18	
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Figure 4 OpenViBE Acquisition Server Configuration - LSL

📦 Global Configuration			_		\times	
Acquisition Server Configuration						
Drift Correction	Let the driver decide			~		
Drift Tolerance (ms)	2				-	
Jitter Estimation Count For Drift	16				-	
Oversampling Factor	1				A	
Select only named channels						
NaN value replacement	Rep	lace with th	ne last	correct val	lue 🔻	
Plugin Settings						
EnableExternalStimulations						
ExternalStimulationQueueName openvibeExternalStimulations						
TCP_Tagging_Port 15361		61			-	
LSL_EnableLSLOutput						
LSL_MarkerStreamName openvibeMarkers						
LSL_SignalStreamName	оре	envibeSign	al			
Fiddler_Strength	0.0	0000			* *	
		<u>A</u> pply		Cance		

Figure 5 Acquisition Server Preferences

Configuration of OpenViBE Designer For Data Collection

Open the OpenViBE designer UI and open the p300-speller-1-acquisition.xml. Configure the P300 Speller Stimulator box as shown in the below figure (Figure 6). We have configured the flash duration to be 170 msec and non-flash duration to be 30 msec. This makes the stimuli repetition interval to be 200 msec. The number of rows in the speller UI are configured to be 3. Number of columns of the speller are also 3. This enables the designer to handle a 3 x 3 matrix of keys for the speller. The number of repetitions have been configured to 12. This makes each row and column to flash 12 times. We have configured the number of trials to have 10 characters in the training session. When we run this scenario, a 3 x 3 speller looks as in Figure 7. Each of the training characters (target character) is highlighted in BLUE colour. Once the character gets highlighted, the subject has to focus on the character till it completes 24 flashes. The number 24, attributes to 12 repetitions x (1 row flash on target + 1 column flash on target = 2 flashes). After completing 24 flashes on the target, another character is presented to the subject in BLUE colour. Once all 10 characters are completed, the Speller User Interface closes automatically and a data file is created in .ov format. A sample storage format is p300-online-[2021.12.31-10.33.34].ov. Rename this file such that files for different subjects can be identified correctly, for example: Subject-1-p300-online-[2021.12.31-10.33.34].ov. This file further needs to be processed to extract the epoch data for each of the stimulation.

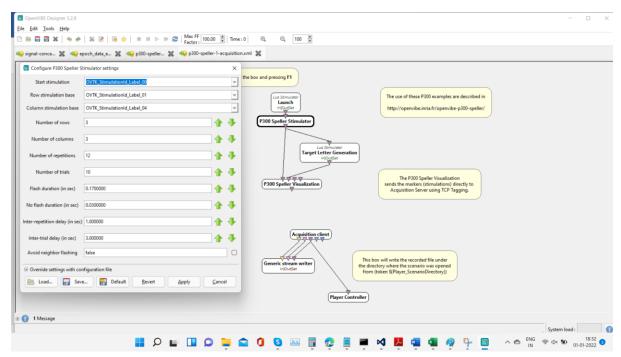


Figure 6 Data Acquisition Scenario of OpenViBE Designer

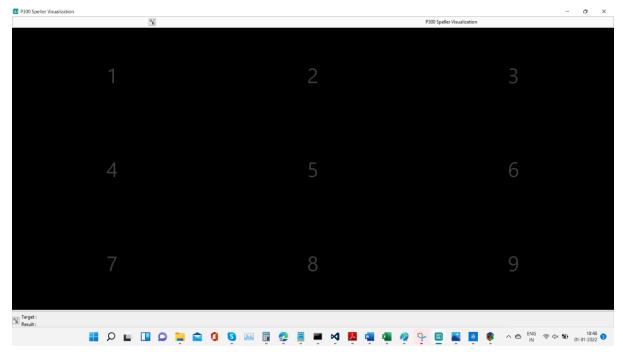


Figure 7 P300 Speller of size 3 x 3

Epoch Data Extraction

In the OpenViBE designer UI, open the scenario file **epoch_data_extraction.xml** (Figure 8). Configure the EEG channels (Figure 9) from which to extract the data, using the channel selector. In our condition Muse-2 provides four channels TP9, AF7, AF8 and TP10. Then configure the Temporal Filter as shown in Figure 10. Most of the literature specifies a frequency band of 1 Hz to 12 Hz for extracting the P300 signal. Hence we have extracted the same band from the raw data using 4th order Butterworth Bandpass Filter. The ripple is set to its default configuration by OpenViBE. The signal is then decimated by 8 using Signal Decimation Box (Figure 11). The epochs of 600 msec are extracted posterior to each stimulation (Figure 12). This duration of epoch is chosen based on the literature for extracting P300. A python script is written as per OpenViBE instructions, for dumping the epoch data into an excel sheet (in the **D** drive). This script is kept at the below location.

epoch extraction python script

Each row of the excel sheet identify samples of either target or nontarget epoch. Each row contains 76+1 columns. Out of which the first 19 columns of each row correspond to channel TP9, the second set of 19 columns correspond to channel AF7. The third set of 19 columns correspond to channel AF8 and the fourth set of 19 columns correspond to channel TP10. The number 19, is attributed to samples per epoch per channel. As we know, Muse 2 operates on a sampling frequency of 256 Hz. It gives 256 samples in a second. After decimation by 8, the samples are reduced to 32 samples in a second. Hence an epoch of 600 msec, will contain 19 samples in a single channel. The last column of this excel sheet identifies whether the row belongs to target or non-target flash. Target flash is identified by a 1 and non-target flash is identified by a zero.

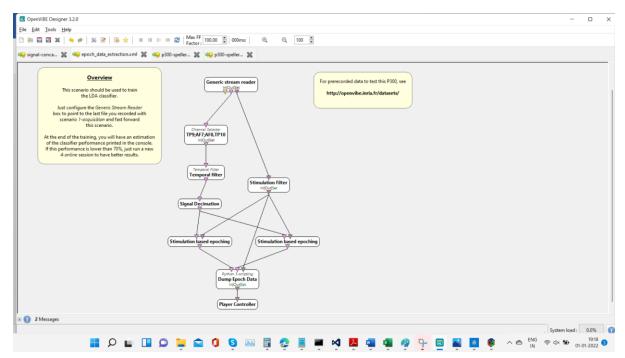


Figure 8 Epoch Data Extraction Scenario

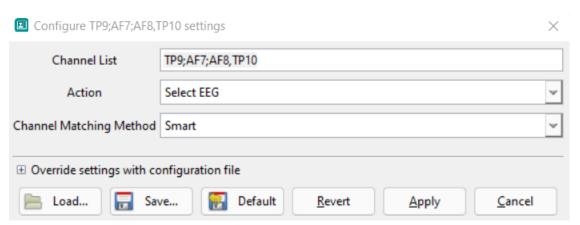


Figure 9 Configuration of Channel Selector Box

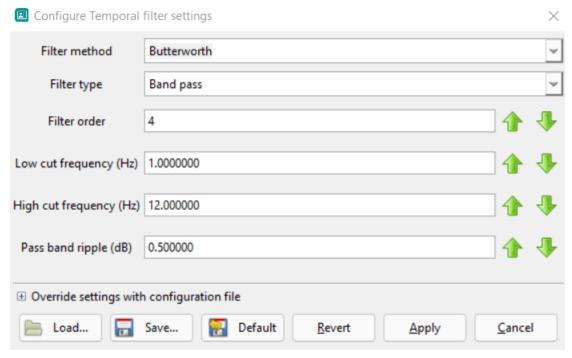


Figure 10 Bandpass Filter to Extract P300

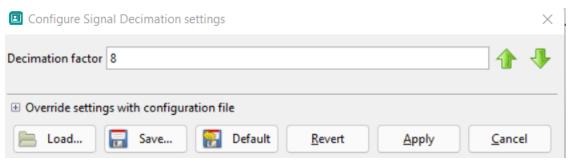


Figure 11 Decimation of Raw Data

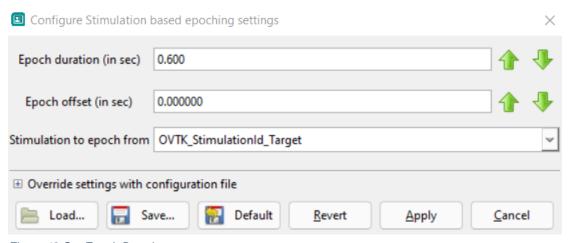


Figure 12 Set Epoch Duration

Training Data Collection

The models are trained with the data of 60 characters collected across two subjects. 40 characters belong to one subject (Subject 1 – Age 45 years) and 20 characters belong to the other (Subject 2 – Age 47 years). Each row and column flashes 12 times. This makes the target character flash (12 row flashes + 12 column flashes) 24 times. Rest non-target rows and columns (total 2 rows + 2 columns of non-target) also flash 12 times. This gives $12 \times (2 + 2) = 48$ non-target flashes corresponding to each non-target character. This gives total $24 \times 60 = 1440$ target flashes (epochs) in the excel sheet. It also gives $48 \times 60 = 2880$ non-target flashes (epoch samples) in the excel sheet.

Plot Average of Target Epochs and Check for P300

In this step, we calculate the feature by feature average (each feature correspond to a column in the **epochs excel sheet** generated in the above section) of the target and non-target epochs from the training excel sheet. We plot this average and check whether target epoch average displays a P300 waveform. We also check whether the difference between target and non-target epochs is sufficiently clear. Below we have attached the python script for getting the epoch average.

Script to Calculate Epoch Average

This script calculates an epoch average given the training data as an input. It dumps the epoch average into another excel sheet with name data_characteristics in the D drive. The first row of the data_characteristics excel sheet corresponds to the average of Target epochs and the second row of the data_characteristics excel sheet corresponds to the average of Non-Target epochs. Figure 13 is the sample graph of two subjects with age 15 and age 47. For the subject of age of 15, the target epoch average shows a clear P300 potential on channel AF8. The peak occurs at feature 45. This comes around at 220 msec on channel AF8 after the start of stimulation. The peak amplitude is of 6 microvolts. For the subject of age 47, the target epoch average peak is rather unclear. The peak amplitude does not exceed beyond 2 microvolts in case of age group 45. We have shown the graph of P300 amplitude variation across the age time span from the literature in Figure 14.



Figure 13 Target and Non-Target Epoch Average

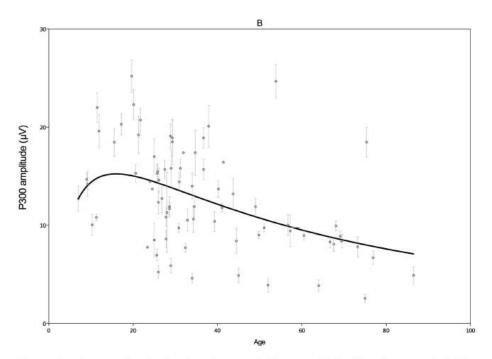


Figure 3. P300 latency and amplitude trajectories across the lifespan as obtained from the meta-analysis. Dots represent (subgroups from a) study. Error bars represent SEM. doi:10.1371/journal.pone.0087347.g003

Figure 14 P300 voltage variations across age span

Online Data Collection

There are two subjects for whom we have gathered the online data (Subject 2-47 years) and (Subject 3-15 years). Online data collection requires a model to predict the characters. This model is created using the training data. We used 10 characters for subject 2, and 10 characters for subject 3 for online sessions. It gives us $24 \times 10 = 240$ target online flashes and $48 \times 10 = 480$ non-target flashes. We expect the following confusion matrix for online sessions after **ideal prediction**:

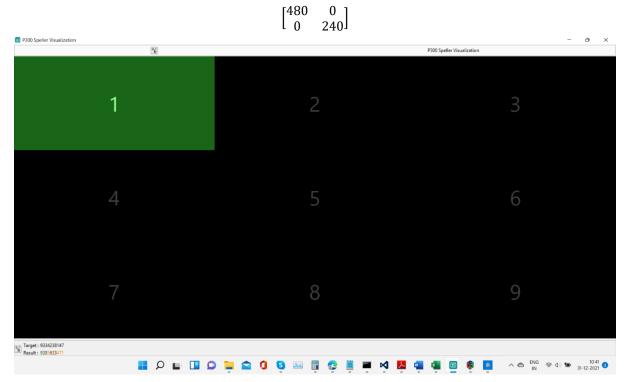


Figure 15 OpenViBE Prediction Speller UI

Create a Sklearn/TensorFlow Models

We created TensorFlow models with the extracted target and non-target training data in the section above. Below are the python/TensorFlow scripts for LDA, MLP and CNN classifier model creation. The verification scripts are used for predicting the online data and rendering the confusion matrix.

Conv1D model creation script MLP model creation script LDA model creation script Conv1D model verification script MLP model verification script LDA model verification script

Pre-processing steps in model creation

- 1. Non-Target epochs are duplicated for data augmentation
- 2. The Target and Non-Target epochs are then balanced, to have the probability of target and non-target both to be 0.5. (The original data in the epoch extraction step has target and nontarget proportion is 1:2)
- 3. 70% data is used for training and 30% data is used for validation
- 4. The training and testing data epochs are shuffled5. The mean of all the training samples is subtracted from all the training samples and thus the data is transformed to be zero mean
- 6. All the samples which are above 60 microvolts are then saturated to a value of 60
- 7. All the samples which are below -60 microvolts are then saturated to a value of -60
- 8. The data is then normalized to be in the range from [0 1]. The formula used for normalization is below.

$$normalized data point = \frac{\left(data point + abs(min(data))\right)}{\left(max(data) - min(data)\right)}$$

9. The Sklearn/TensorFlow LDA, MLP and CNN models are created. For LDA, we have used SVD as a solver. For MLP we used Adam optimizer with max iterations of 1200. Alpha regularization set to 0.001 and two hidden layers were used, first one with 100 neurons and the second one with 30 neurons. The CNN model is created as below, because it is easier to understand it with TensorFlow code. We came up with this model after reading literature available over CNN models for EEG and doing some trials.

```
model=Sequential()
model.add(Conv1D(filters=20, kernel size=4, padding='valid', activation='relu', strides=1,
input shape=(19, 4)))
model.add(Conv1D(filters=20, kernel_size=4, padding='valid', activation='relu', strides=1))
model.add(AveragePooling1D(pool_size=2))
model.add(Dropout(0.2))
model.add(Conv1D(filters=40, kernel size=3, padding='valid', activation='relu', strides=1))
model.add(GlobalMaxPooling1D())
model.add(Dense(20, activation='relu'))
model.add(Dense(2, activation='softmax'))
```

- 10. The models' training time is around 60 seconds for training data of 60 characters
- 11. After training the model, we have used each model for prediction and we are getting the following results.

Results - Classifier Performance

We trained our models with the training data, where both the subjects are of age above 45. The P300 peak is not clearly visible for both. When this model is used for 3 x 3 key prediction, the subject with age 14 got 50% online accuracy and the subject with age 45 got 45% online accuracy. It is clear from the confusion matrix that False Positives are twice greater than the True Positive in all the online scenarios. However the prediction of actual keys in the 3 x 3 matrix is observed to be better, when the first three principal components show high variance. It looks like high variance among the principal components gives a better clarity of identifying target and non-target components and hence better prediction accuracy. Please check the online key prediction accuracy for the two subjects in the table given below.

Table 1 Online Key Prediction Accuracy

	Key predictions	Prediction Accuracy
Subject – Age 14 years	3 green characters + 4 orange	$3 \times 10 + 4 \times 5 + 3 \times 0 = 50$
	characters + 3 red characters	percent
Subject – Age 47 years	1 green characters + 7 orange	$1 \times 10 + 7 \times 5 + 2 \times 0 = 45$
	characters + 2 red characters	percent

The below table shows the performance of the three classifiers that we have used, for prediction of Online data.

Table 2 Classifier Performance and PCA component variance

Classifier	LDA	MLP	Conv1D (CNN)	Target PCA – 1 component variance	Target PCA – 2 component variance	Target PCA – 3 component variance
Subject – Age 14 years	[[212 268] [120 120]] Online acc:	[[295 185] [143 97]] Online acc:	[[254 226] [139 101]] Online acc:	24%	20%	7%
	46%	54%	49%			
Subject – Age 47 years	[[246 234] [117 123]]	[[234 246] [121 119]]	[[264 216] [130 110]]	15%	9%	8%
	Online acc: 51%	Online acc: 49%	Online acc: 51%			

Conclusion

Our goal should be to get subjects, for whom the first few principal components show a high variance. The higher the variance, better is the prediction. With this data, we should be able to generate a good model. This model should be able to correctly classify the epochs and hence should predict correctly. It should also be able to reduce the false positives. The best online prediction accuracy we have achieved so far is 50%. We need to increase the accuracy of 3 x 3 key matrix up to 70%.

Limitations

- 1. Configuration of a 3 x 3 speller and 6 x 6 speller in OpenViBE is out of scope of this document
- 2. The results of 6 x 6 matrix need to be put in the format of Table 1 and Table 2
- 3. The OpenViBE prediction scenario is yet to be covered in detail
- 4. The voting strategy to predict the target character has to be explained

References

- Figure 1 derived from <u>OpenViBE</u> (inria.fr)
- Figure 14 derived from https://doi.org/10.1371/journal.pone.0087347
- Configuration of OpenViBE 3 x 3 key matrix <u>Starting from ZERO on Brain-Computer Interface</u>
 (BCI) Openvibe BCI-Speller XDawn | by Apiporn Simapornchai | Medium
- OpenViBE P300 Speller tutorial / questions OpenBCI Forum
- Presence of P300 potential on forehead A Brain-Computer Interface—based P300 Speller for Home Appliances Control System by Praveen Shukla, R. K. Chaurasiya, Shrish Verma
- Presence of P300 potential on forehead https://alexandre.barachant.org/blog/2017/02/05/P300-with-muse.html
- Interstimulus interval and epoch duration Overlap and refractory effects in a brain-computer interface speller based on the visual P300 event-related potential by SMMMartens, N J Hill, J Farquhar and B Sch¨olkopf
- Preprocessing of EEG An efficient P300-based brain-computer interface for disabled subjects Ulrich Hoffmann; Jean-Marc Vesin, Touradj Ebrahimi, Karin Diserens