

# Hartshorne Exercises

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## Part I

# Schemes

## 1 Sheaves

**DEFINITION.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves of abelian groups on  $X$ . The association  $U \mapsto \text{Hom}(\mathcal{F}|_U, \mathcal{G}|_U)$  is a sheaf on  $X$ . It is called the *sheaf Hom* and is denoted by  $\mathcal{H}om(\mathcal{F}, \mathcal{G})$ .

**EXERCISE 1.15.**

## 2 Schemes

### EXERCISE 2.3 (REDUCED SCHEMES).

- (a) Suppose  $X$  is reduced. Then, every open affine corresponds to a reduced ring. Consequently, the local ring of any point on  $X$  is the localisation of a reduced ring and hence, is reduced.

Conversely, suppose  $\mathcal{O}_{X,P}$  is reduced for every  $P \in X$ . Let  $U = \text{Spec } A$  be an affine open. The local ring of any point  $P \in U$  is a localisation of  $A$  at a prime. Since all these rings are reduced, so is  $A$ .

Let  $U \subseteq X$  be open. Cover  $U$  with affine opens  $U_i = \text{Spec } A_i$  and let  $s \in \mathcal{O}(U)$  be nilpotent. Its image  $s_i = \text{res}_{U,U_i}(s)$  is nilpotent in  $\mathcal{O}(U_i) = A_i$  and hence,  $s_i = 0$ . Consequently  $s = 0$  due to the identity axiom. This shows that  $\mathcal{O}(U)$  is reduced.

- (b) The first part follows immediately from the fact that there is a commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{\phi} & B \\ \downarrow & & \downarrow \\ A_{\text{red}} & \xrightarrow{\phi_{\text{red}}} & B_{\text{red}}. \end{array}$$

Consider the map of locally ringed spaces  $(\text{id}, f^\#)$ , where  $f^\# : \mathcal{O}_X \rightarrow \mathcal{O}_X^{\text{red}}$  is the collection of the canonical maps  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X^{\text{red}}(U)$ .

- (c) Follows from the fact that any morphism of rings  $\phi : A \rightarrow B$  with  $B$  reduced factors through the natural map  $A \rightarrow A_{\text{red}}$ .

**EXERCISE 2.4.** Let  $\varphi \in \text{Hom}_{\mathcal{G}\text{rings}}(A, \Gamma(X, \mathcal{O}_X))$ . Cover  $X$  with affine opens  $U_i = \text{Spec } A_i$ . The restriction map gives us a homomorphism

$$A \xrightarrow{\varphi} \Gamma(X, \mathcal{O}_X) \xrightarrow{\text{res}_{U_i}^X} \Gamma(U_i, \mathcal{O}_X) = A_i,$$

which induces a map on schemes  $\pi_i : U_i \rightarrow \text{Spec } A$  where  $\pi_i = \text{Spec}(\text{res}_{U_i}^X \circ \varphi)$ .

We contend that the maps  $\pi_i$  can be glued. Indeed, for  $i \neq j$ , cover  $U_i \cap U_j$  with affine opens  $U_{ijk} = \text{Spec } A_{ijk}$ . Now,

$$\pi_i|_{U_{ijk}} = \text{Spec}(\text{res}_{U_{ijk}}^{U_i}) \circ \pi_i = \text{Spec}(\text{res}_{U_{ijk}}^{U_i} \circ \text{res}_{U_i}^X \circ \varphi) = \text{Spec}(\text{res}_{U_{ijk}}^X \circ \varphi).$$

Similarly,  $\pi_j|_{U_{ijk}} = \text{Spec}(\text{res}_{U_{ijk}}^X \circ \varphi)$ , consequently, the family of morphisms  $\{\pi_i\}$  can be glued to a morphism  $\pi : X \rightarrow \text{Spec } A$ . This gives a map

$$\beta : \text{Hom}_{\mathcal{G}\text{rings}}(A, \Gamma(X, \mathcal{O}_X)) \rightarrow \text{Hom}_{\mathcal{S}\text{ch}}(X, \text{Spec } A).$$

It is straightforward to verify that  $\alpha$  and  $\beta$  are inverses to one another.

**EXERCISE 2.5.** Follows from the previous exercise and the fact that  $\mathbb{Z}$  is an initial object in the category of rings.

**EXERCISE 2.7.** Let  $(f, f^\sharp) : \text{Spec } K \rightarrow X$  is a morphism of schemes which sends the unique point in  $\text{Spec } K$  to  $x \in X$ . Then, there is an induced map on local rings  $f_x^\sharp : \mathcal{O}_x \rightarrow K$ , which must be local and hence, factor through the maximal ideal of  $\mathcal{O}_x$ , thereby inducing a map  $k(x) \rightarrow K$ . It is easy to see that this process is reversible.

**EXERCISE 2.9.** Let  $Z \subseteq X$  be irreducible and closed. Let  $U = \text{Spec } A$  be an open affine intersecting  $Z$ . Then,  $Z \cap U$  is open in  $Z$  and hence, is irreducible. Further, it is closed in  $U$  and hence, corresponds to a prime ideal  $\xi = \mathfrak{p} \in \text{Spec } A$ . Note that  $\overline{\{\xi\}} \cap U = Z \cap U$  and  $\overline{\{\xi\}} \subseteq Z$  since  $Z$  is closed.

Let  $V$  be any other open set intersecting  $Z$ . Then, one can replace  $V$  with an open affine  $\text{Spec } B$  intersecting  $Z$ . Suppose  $\xi \notin V$ . Then,

$$(Z \cap U) \cap (Z \cap V) = Z \cap U \cap V = \overline{\{\xi\}} \cap U \cap V = \emptyset,$$

since the closure of  $\{\xi\}$  in  $U$  is contained in  $U \setminus V$ . This is not possible since  $Z \cap U$  and  $Z \cap V$  are nonempty open sets in an irreducible space. Hence,  $\xi$  is a generic point.

Now we argue for uniqueness. Suppose  $\xi_1$  and  $\xi_2$  were two generic points in  $Z$ . Consider an affine neighborhood  $U = \text{Spec } A$  intersecting  $Z$ . Then,  $Z \cap U$  must contain  $\xi_1$  and  $\xi_2$ . Let  $\xi_i$  correspond to a prime  $\mathfrak{p}_i$  in  $A$  for  $i = 1, 2$ . Now,  $Z \cap U = V(\mathfrak{p}_1) = V(\mathfrak{p}_2)$ , consequently,  $\mathfrak{p}_1 = \mathfrak{p}_2$ , that is,  $\xi_1 = \xi_2$ . This completes the proof.

**DEFINITION.** Let  $(X, \mathcal{O}_X)$  be a scheme and let  $f \in \Gamma(X, \mathcal{O}_X)$ . Define  $X_f$  to be the set of all  $x \in X$  such that the stalk  $f_x$  of  $f$  at  $x$  is not contained in the maximal ideal  $\mathfrak{m}_x$  of the local ring  $\mathcal{O}_{X,x}$ . This is known as the *support* of  $f$  on  $X$ .

**EXERCISE 2.16.**

- (a) The set of all  $x \in U$  such that  $f_x \notin \mathfrak{m}_x$  is the set of all prime ideals  $\mathfrak{p}$  in  $B$  such that  $f/1$  is not in the maximal ideal  $\mathfrak{p}B_{\mathfrak{p}}$  in  $B_{\mathfrak{p}}$ . Equivalently,  $f \notin \mathfrak{p}$ . Thus,  $X_f \cap U = D(\bar{f})$ . Now, since  $X$  can be covered with open affines and the intersection of  $X_f$  with every open affine is open,  $X_f$  must also be open.
- (b) Pick a finite open cover  $\{U_i = \text{Spec } A_i\}_{i=1}^m$ . The restriction of  $a$  to  $X_f \cap U_i = D(\text{res}_{U_i}^X(f))$  is zero and hence, there is a positive integer  $n_i$  such that  $\text{res}_{U_i}^X(f^{n_i}a) = 0$ . Let  $N = \max_{1 \leq i \leq m} n_i$ . Then,  $\text{res}_{U_i}^X(f^N a) = 0$ . Due to the identity axiom, we must have  $f^N a = 0$ .
- (c) Let  $U_i = \text{Spec } A_i$  and let  $f_i = \text{res}_{U_i}^X(f)$ . Since  $X_f \cap U_i = D(f_i)$ , there is a  $b_i \in A_i = \Gamma(U_i, \mathcal{O}_X)$  such that  $\text{res}_{U_i \cap X_f}^X(b) = \frac{b_i}{f_i^{n_i}}$  for some nonnegative integer  $n_i$ . Choosing  $n$  to be larger than all the  $n_i$ 's, we get that there is a  $b_i \in A_i$  such that  $\text{res}_{U_i \cap X_f}^X(f^n b) = \text{res}_{U_i \cap X_f}^{U_i}(b_i)$ . Now consider  $b_i - b_j$  on  $U_i \cap U_j$ , which can be covered by finitely many affine opens  $U_{ijk} = \text{Spec } A_{ijk}$ . Since  $\text{res}_{U_{ijk} \cap X_f}^X(b_i - b_j) = 0$ , using a similar argument as in

(b), there is a positive integer  $m_{ij}$  such that  $f^{m_{ij}}(b_i - b_j)$  restricts to 0 on  $U_i \cap U_j$ . Choosing  $m$  larger than  $m_{ij}$  for all pairs  $i, j$ , we have that  $f^m(b_i - b_j)$  restricts to 0 on  $U_i \cap U_j$ . Consequently,  $\text{res}_{U_i \cap U_j}^{U_i}(f^m b_i) = \text{res}_{U_i \cap U_j}^{U_j}(f^m b_j)$  and hence, there is a  $c \in \Gamma(X, \mathcal{O}_X)$  such that  $\text{res}_{U_i}^X(c) = f^m b_i$ . Hence,  $\text{res}_{U_i \cap X_f}^X(c) = \text{res}_{U_i \cap X_f}^X(f^{m+m} b)$ . This completes the proof.

- (d) First, we show that  $\text{res}_{X_f}^X(f)$  is invertible. Since  $f_x \notin \mathfrak{m}_x \subseteq \mathcal{O}_x$  for every  $x \in X_f$ , we see that the restriction of  $f$  to every affine open contained in  $X_f$  must be invertible (else it would lie in a prime ideal and hence, in the stalk of some point). Consider an open cover  $U_i$  of  $X_f$  using affine opens. There is a  $g_i \in \Gamma(U_i, \mathcal{O})$  such that  $g_i \text{res}_{U_i}^X(f) = 1$ . For  $i \neq j$ , we have

$$\text{res}_{U_i \cap U_j}^{U_i}(g_i) \text{res}_{U_i \cap U_j}^X(f) = 1 = \text{res}_{U_i \cap U_j}^{U_j}(g_j) \text{res}_{U_i \cap U_j}^X(f)$$

and hence,  $\text{res}_{U_i \cap U_j}^{U_i}(g_i) = \text{res}_{U_i \cap U_j}^{U_j}(g_j)$  and hence, the  $g_i$ 's can be lifted to some  $g \in \Gamma(X_f, \mathcal{O}_X)$ , furthermore  $\text{res}_{X_f}^X(f)g = 1$ , whence invertibility follows.

Consider the map  $\Phi : A_f \rightarrow \Gamma(X_f, \mathcal{O}_X)$  given by

$$\frac{a}{f^n} \mapsto \frac{\text{res}_{X_f}^X(a)}{\text{res}_{X_f}^X(f^n)}.$$

If  $\Phi(a/f^n) = 0$ , then  $\text{res}_{X_f}^X(a) = 0$ , consequently, due to part (b), there is a positive integer  $m$  such that  $f^m a = 0$ , equivalently,  $a/f^n = 0$  in  $A_f$ . Hence,  $\Phi$  is injective.

As for surjectivity, let  $b \in \Gamma(X_f, \mathcal{O}_X)$ . Due to part (c), there is a positive integer  $m$  such that  $f^m b = \text{res}_{X_f}^X(a)$  for some  $a \in A$  whence  $\Phi(a/f^m) = b$ . This completes the proof.

### EXERCISE 2.17 (A CRITERION FOR AFFINENESS).

- (a) Each  $f : f^{-1}U_i \rightarrow U_i$  has an inverse  $g_i : U_i \rightarrow f^{-1}U_i$  that agrees on intersections since inverses are unique. These maps can be glued to give an inverse  $g : Y \rightarrow X$  of  $f$ .
- (b) First, note that  $X = \bigcup_{i=1}^n X_{f_i}$ , for if not, then there is an  $x \in X$  such that  $x \notin X_{f_i}$  for  $1 \leq i \leq n$ . Consider an affine open  $U = \text{Spec } B$  containing  $x$  and let  $\mathfrak{p}$  be the prime corresponding to  $x$ . According to our hypothesis,  $\text{res}_U^X(f_i) \in \mathfrak{p}$  for  $1 \leq i \leq n$ . But these restrictions generate the unit ideal, a contradiction.

Being a finite union of affine opens,  $X$  is quasi-compact. Further,  $X_{f_i} \cap X_{f_j}$  is a distinguished open in  $X_{f_i}$  and hence, is quasi-compact. As a result, Exercise 2.16 (d) is applicable. Using Exercise 2.4 and glueing morphisms just as in part (a), we are done.

**DEFINITION.** A morphism  $f : X \rightarrow Y$  of schemes is said to be *dominant* if  $f(X)$  is dense in  $Y$ .

### EXERCISE 2.18.

- (a) Intersection of all prime ideals is the nilradical.
- (b) We denote the morphism by  $\pi : Y \rightarrow X$ . If  $\pi^\#$  is injective, then taking global sections, we obtain that  $\varphi$  is injective. Conversely, suppose  $\varphi$  is injective. It suffices to show that  $\varphi^\#$  is injective on the  $D(f)$ 's since these form a base on  $X$ . We have

$$\pi_{D(f)}^\# : \mathcal{O}_X(D(f)) \rightarrow \mathcal{O}(\pi^{-1}(D(f))) \equiv \pi_{D(f)}^\# : A_f \rightarrow B_f,$$

which is injective. This proves the first part.

Next, we must show that  $\pi$  is dominant if  $\varphi$  is injective. Indeed, suppose  $\pi(Y)$  were not dense, then there would be a basic open set  $D(f)$  in  $\text{Spec } A$  such that  $\pi^{-1}D(f) = \emptyset$ , equivalently,  $f \in \mathfrak{q}$  for every prime ideal  $\mathfrak{q}$  of  $B$ . Hence,  $f$  is nilpotent in  $B$ , whence nilpotent in  $A$ , consequently,  $D(f) = \emptyset$ . This completes the proof.

- (c) We denote the morphism by  $\pi$ . The first part follows from the fact that  $\text{Spec } A/\mathfrak{a} \hookrightarrow \text{Spec } A$  is a topological imbedding. The second part is argued in a similar way as (b) by first concluding surjectivity on basic opens  $D(f)$ . Then, taking stalks, it follows that  $\pi^\#$  is surjective.
- (d)

### 3 First Properties of Schemes

**LEMMA 3.1 (AFFINE COMMUNICATION LEMMA).**

**DEFINITION.** A morphism  $f : X \rightarrow Y$  of schemes is *locally of finite type* if there exists a covering of  $Y$  by open affine subsets  $V_i = \text{Spec } B_i$  such that for each  $i$ ,  $f^{-1}V_i$  can be covered by open affine subsets  $U_{ij} = \text{Spec } A_{ij}$ , where each  $A_{ij}$  is a finitely generated  $B_i$ -algebra.

The morphism  $f$  is *of finite type* if in addition each  $f^{-1}V_i$  can be covered by a finite number of the  $U_{ij}$ .

**DEFINITION.** A morphism  $f : X \rightarrow Y$  is a *finite* morphism if there exists a covering of  $Y$  by open affine subsets  $V_i = \text{Spec } B_i$  such that for each  $i$ ,  $f^{-1}V_i$  is affine, equal to  $\text{Spec } A_i$ , where  $A_i$  is a finite  $B_i$ -module.

**EXERCISE 3.1.** Let  $\pi : X \rightarrow Y$  denote the morphism. We use Lemma 3.1. To this end, we first show that if  $\text{Spec } B \subseteq Y$  is an affine open such that  $\pi^{-1}\text{Spec } B$  can be covered by affine opens  $U_i = \text{Spec } A_i$ , each of which is a finitely generated  $B$ -algebra, then the same is true for  $\text{Spec } B_f$ , where  $f \in B$ . Now,  $\pi^{-1}\text{Spec } B_f \subseteq \pi^{-1}\text{Spec } B$  and hence, is contained in  $\bigcup U_i$ . Consider  $\pi^{-1}\text{Spec } B_f \cap U_i$ . This can be written as a union of  $D(f_{ij})$ 's where  $f_{ij} \in A_i$ . Note that  $D(f_{ij}) = \text{Spec}(A_i)_{f_{ij}}$ , which is a finitely generated  $A_i$  algebra, whence a finitely generated  $B$ -algebra, consequently, a finitely generated  $B_f$ -algebra. This proves the first condition of Lemma 3.1.

Next, suppose  $(1) = (f_1, \dots, f_n)$  in  $B$  and  $\text{Spec } B_{f_i}$  has the desired property. Then obviously  $B$  has the property, since  $B_{f_i}$  is a finitely generated  $B$ -algebra, and hence, any finitely generated  $B_{f_i}$ -algebra will be a finitely generated  $B$ -algebra.

**DEFINITION.** A morphism  $f : X \rightarrow Y$  of schemes is *quasi-compact* if there is a cover of  $Y$  by open affines  $V_i$  such that  $f^{-1}V_i$  is quasi-compact for each  $i$ .

**EXERCISE 3.2.** Let  $\pi : X \rightarrow Y$  denote the morphism. We use Lemma 3.1. To this end, it suffices to show that if  $\text{Spec } A \subseteq Y$  is an affine open such that  $\pi^{-1}\text{Spec } A$  is quasi-compact, then for any  $f \in A = \Gamma(\text{Spec } A, \mathcal{O}_A)$ ,  $\pi^{-1}\text{Spec } A_f$  is quasi-compact. We wish to characterize

$$\{P \in \pi^{-1}\text{Spec } A : f \notin \pi(p) = \mathfrak{p} \in \text{Spec } A\}.$$

We have the map  $\pi_p^\sharp : \mathcal{O}_{Y, \pi(p)} \rightarrow \mathcal{O}_{X, p}$ . If  $f \in \mathfrak{p} = \pi(p)$ , then  $f \in \mathfrak{m}_{Y, p}$  and hence,  $\pi_p^\sharp f \in \mathfrak{m}_{X, p}$  (since  $\pi_p^\sharp$  is a local homomorphism). On the other hand, if  $f \notin \mathfrak{p}$ , then  $f/1 = 1/1$  in  $\mathcal{O}_{Y, \pi(p)} = A_p$ , consequently,  $\pi_p^\sharp f = 1 \notin \mathfrak{m}_{X, p}$ .

Thus, the set we are looking for is the *complement* of  $(\pi^{-1}\text{Spec } A)_{\pi^\sharp f}$ , the latter being closed in the open subscheme  $\pi^{-1}\text{Spec } A$ , due to Exercise 2.16. Since  $\pi^{-1}\text{Spec } A$  is quasi-compact, we can cover it with open affines. Let  $U = \text{Spec } B$  be one such affine. Then,  $\text{res } \pi^\sharp f \in \mathcal{O}_B$  and the set of desired points  $p$  are precisely those in  $D(\text{res } \pi^\sharp f)$ , consequently, is quasi-compact. Being a finite union of quasi-compact sets, the required complement is quasi-compact.

**EXERCISE 3.3.**

(a)  $\implies$  Obviously a morphism of finite type is locally of finite type. On the other hand, with the notation of the above definitions, since  $f^{-1}V_i$  can be covered by finitely many  $U_{ij}$ 's, it is a finite union of quasi-compact spaces, whence is quasi-compact. Thus,  $f$  is a quasi-compact morphism.

$\Leftarrow$  On the other hand, suppose  $f : X \rightarrow Y$  is locally of finite type and quasi-compact. Then, due to Exercise 3.2,  $f^{-1}V_i$  is quasi-compact, whence can be covered by finitely many of the  $U_{ij}$ 's. Thus,  $f$  is of finite type.

(b)

(c)

**EXERCISE 3.4.** Let  $\pi : X \rightarrow Y$  denote the morphism. We use Lemma 3.1. Suppose  $V = \text{Spec } B$  can be covered by distinguished opens  $V_i = \text{Spec } B_{f_i}$  for  $1 \leq i \leq n$  such that each  $V_i$  has the desired property. We shall show that  $V$  has the desired property. Let  $U = \pi^{-1}V_i = \text{Spec } A_i$  where  $A_i$  is a finite  $B_{f_i}$ -module. Let  $A = \Gamma(U, \mathcal{O}_X)$ . Then, the morphism  $\pi$  induces a homomorphism  $\varphi : B \rightarrow A$  of rings making

$$\begin{array}{ccc} B & \xrightarrow{\varphi} & A \\ \downarrow & & \downarrow \text{res}_{U_i}^U \\ B_{g_i} & \longrightarrow & A_i \end{array}$$

commute. Using the above diagram, it is not hard to argue that  $U_{g_i} = A_i$ , consequently, Exercise 2.17 shows that  $U$  is affine and equal to  $\text{Spec } A$ .

We have reduced the algebraic geometry problem to the following commutative algebra problem:

Let  $\varphi : B \rightarrow A$ , let  $f_1, \dots, f_n$  generate the unit ideal in  $B$  and let  $g_i = \varphi(f_i)$ . Suppose  $A_{g_i}$  is a finite  $B_{f_i}$  module for  $1 \leq i \leq n$ . Then  $A$  is a finite  $B$ -module.

add  
in

**DEFINITION.** A morphism  $\pi : X \rightarrow Y$  is *quasi-finite* if for every  $y \in Y$ ,  $\pi^{-1}(y)$  is a finite set.

**EXERCISE 3.5.**

(a) This is essentially asking us to show that if  $B$  is an  $A$ -algebra that is a finite  $A$ -module, then for every  $\mathfrak{p} \in \text{Spec } A$ , the fiber over  $\mathfrak{p}$  in  $B$  is finite. Recall that the fiber over  $\mathfrak{p}$  is precisely  $\text{Spec } (\kappa(\mathfrak{p}) \otimes_A B)$ , which is the spectrum of a  $\kappa(\mathfrak{p})$ -algebra that is also a finite  $\kappa(\mathfrak{p})$ -module, i.e. the spectrum of an artinian ring, whence is finite.

(b) Follows from the commutative algebra fact that integral morphisms induce closed maps on the spectrum.

(c)

add

**DEFINITION.** A morphism  $\pi : X \rightarrow Y$ , with  $Y$  irreducible is *generically finite* if  $\pi^{-1}(\eta)$  is a finite set, where  $\eta$  is the generic point of  $Y$ .



**EXERCISE 3.7.** Let  $\pi : X \rightarrow Y$  denote the morphism. Let  $\xi$  be the generic point of  $X$  and  $\eta$  the generic point of  $Y$ . First, we show that  $\pi(\xi) = \eta$ . Indeed,

$$\pi(X) = \pi(\overline{\{\xi\}}) \subseteq \overline{\{\pi(\xi)\}}.$$

But since  $\pi$  is dominant,  $\pi(X)$  is dense in  $Y$ , consequently,  $\pi(\xi)$  must be a generic point, hence, equal to  $\eta$ .

**EXERCISE 3.11 (CLOSED SUBSCHEMES).**

(a)

(b) We may suppose, without loss of generality that  $Y \subseteq X$ . For a point  $P \in Y$ , choose an open affine neighborhood  $U = \text{Spec } C$  of  $P$  in  $Y$ . Then, there is an  $f \in A$  such that  $P \in D(f) \cap Y \subseteq U$ . We contend that  $D(f) \cap Y$  is a distinguished open in  $U$ . Indeed, the inclusion  $\iota : (Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  restricted to  $U$  induces a map of rings  $\varphi : A \rightarrow C$ . It is easy to see that  $\iota^{-1}(D(f)) = D_U(\varphi(f))$ , consequently,  $D(f) \cap Y$  is a distinguished open in  $U$ .

Next, cover  $X$  with  $D(f_i)$ 's such that  $D(f_i) \cap Y$  is either affine in  $Y$ , or nonempty. Let  $\bar{f}_i = \iota_X^\#(f_i) \in \Gamma(Y, \mathcal{O}_Y)$ . We claim that  $Y_{\bar{f}_i} = D(f_i) \cap Y$ . Indeed, if  $P \in D(f_i) \cap Y$ , then there is a surjective map of stalks

$$\mathcal{O}_{X,P} \rightarrow \mathcal{O}_{Y,P}$$

sending  $f_i$  to  $\bar{f}_i$ . Since  $f_i$  is invertible in the former, it must be invertible in the latter. On the other hand, if  $P \in Y_{\bar{f}_i}$ , then  $\bar{f}_i$  is invertible in the latter whence, cannot lie in the maximal ideal  $\mathfrak{m}_{X,P}$ , since the above map is a local homomorphism of local rings. This shows that  $D(f_i) \cap Y = Y_{\bar{f}_i}$ .

Combining our above discussion with Exercise 2.17 (b), we have that  $Y$  is affine. Next, we must show that  $Y$  is obtained as the quotient of an ideal in  $A$ . For this, invoke Exercise 2.18 (d).

**EXERCISE 3.12.**

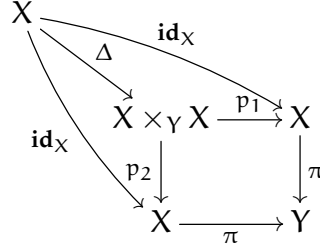
**EXERCISE 3.13 (PROPERTIES OF MORPHISMS OF FINITE TYPE).**

**EXERCISE 3.14.** It suffices to assume  $X$  is locally of finite type over  $k$ . In which case, there is a cover  $U_i = \text{Spec } A_i$  of  $X$  such that each  $A_i$  is a finitely generated  $k$ -algebra and hence, a Jacobson ring. Consequently, the closed points of  $U_i$  are dense in  $U_i$ , whence the closed points of  $X$  are dense in  $X$ .

As for a counterexample for arbitrary schemes, consider  $\text{Spec } A$  where  $A$  is a ring such that  $\mathfrak{N} \neq \mathfrak{N}$ .

## 4 Separated and Proper Morphisms

**DEFINITION.** A morphism  $\pi : X \rightarrow Y$  of schemes is said to be *separated* if the diagonal morphism  $\Delta : X \rightarrow X \times_Y X$  is a closed immersion.



**DEFINITION.** A morphism  $\pi : X \rightarrow Y$  is said to be *universally closed* if it is closed as a continuous map on the underlying topological spaces and for every morphism  $Y' \rightarrow Y$ , the map obtained by *base extension*  $X \times_Y Y' \rightarrow Y'$  is also closed.

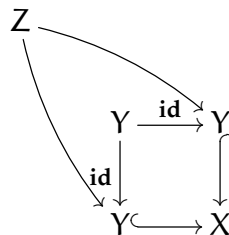
**DEFINITION.** A morphism  $\pi : X \rightarrow Y$  is said to be *proper* if it is separated, of finite type and universally closed.

Since a complete proof of the following is not provided in the text, I reproduce it here.

**COROLLARY (HARTSHORNE, II.4.6).** Assume that all schemes are noetherian in the following statements.

- (a) Open and closed immersions are separated.
- (b) A composition of two separated morphisms is separated.
- (c) Separated morphisms are stable under base extension.
- (d) If  $\pi : X \rightarrow Y$  and  $\pi' : X' \rightarrow Y'$  are separated morphisms of schemes over a base scheme  $S$ , then the *product morphism*  $\pi \times \pi' : X \times_S X' \rightarrow Y \times_S Y'$  is also separated.
- (e) If  $\pi : X \rightarrow Y$  and  $\varphi : Y \rightarrow Z$  are two morphisms and if  $\varphi \circ \pi$  is separated, then  $\pi$  is separated.
- (f) A morphism  $\pi : X \rightarrow Y$  is separated if and only if  $Y$  can be covered by open subsets  $V_i$  such that  $\pi^{-1}V_i \rightarrow V_i$  is separated for each  $i$ .

*Proof.* (a) We show more generally that “a monomorphism of schemes is separated”. Let  $Y \hookrightarrow X$  be a monomorphism in  $\mathcal{S}ch_{\mathbb{Z}}$ . Then, the fiber product  $Y \times_X Y$  is precisely  $Y$ , given by the following diagram.



Since  $Y \hookrightarrow X$  is a monomorphism, the two maps  $Z \rightarrow Y$  in the above diagram must be the same and it follows that  $Y = Y \times_X Y$ . Hence, the diagonal morphism  $\Delta : Y \rightarrow Y \times_X Y$  is the identity map, whence is a closed immersion.

- (b) We use the valuative criterion. Let  $R$  be a DVR and  $K$  its fraction field. Let  $U = \text{Spec } K$  and  $T = \text{Spec } R$  and suppose  $\pi : X \rightarrow Y$  and  $\varphi : Y \rightarrow Z$  are separated. Let there be a commutative diagram

$$\begin{array}{ccc} U & \longrightarrow & X \\ \downarrow & & \downarrow \\ T & \longrightarrow & Z \end{array}$$

Suppose there are two lifts  $\psi_1, \psi_2 : T \rightarrow X$  making the diagram commute. Then,  $\pi \circ \psi_1 = \pi \circ \psi_2$  since  $Y \rightarrow Z$  is separated. Finally, since  $X \rightarrow Y$  is separated, we must have  $\psi_1 = \psi_2$ . This shows that  $X \rightarrow Z$  is separated.

- (c) This is done in the book.
- (d) The same idea as in (b) works. Not writing this up because the diagram is too complicated to draw and I'm too lazy.
- (e) Again, begin with a commutative diagram

$$\begin{array}{ccc} U & \longrightarrow & X \\ \downarrow & & \downarrow \\ T & \longrightarrow & Y \\ & \searrow & \downarrow \\ & & Z \end{array}$$

and suppose there are two lifts  $\psi_1, \psi_2 : T \rightarrow X$  making the diagram commute. Since  $X \rightarrow Z$  is separated, we must have that  $\psi_1 = \psi_2$ . Hence,  $X \rightarrow Y$  is separated.

(f)

■

## 5 Sheaves of Modules

**DEFINITION.** An  $\mathcal{O}_X$ -module  $\mathcal{F}$  is said to be *free* if it is isomorphic to a direct sum of copies of  $\mathcal{O}_X$ . It is said to be *locally free* if  $X$  has an open cover by sets  $U$  for which  $\mathcal{F}|_U$  is a free  $\mathcal{O}_X|_U$ -module.

**EXERCISE 5.7.**

- (a) We reduce this to the affine case since  $\mathcal{F}$  is coherent on a noetherian scheme. Thus, we have a finitely generated  $A$ -module  $M$  and a prime ideal  $\mathfrak{p} \in \text{Spec } A$  such that  $M_{\mathfrak{p}}$  is a free  $A_{\mathfrak{p}}$ -module.

Choose a basis  $\{\frac{m_1}{1}, \dots, \frac{m_n}{1}\}$  of  $M_{\mathfrak{p}}$  over  $A_{\mathfrak{p}}$  and consider the exact sequence

$$0 \rightarrow K \rightarrow A^n \rightarrow M \rightarrow Q \rightarrow 0,$$

where the map  $A^n \rightarrow M$  is the natural map sending  $e_i \mapsto m_i$  for  $1 \leq i \leq n$ . Localising, we see that  $K_{\mathfrak{p}} = Q_{\mathfrak{p}} = 0$  and hence, there is an  $f \in A \setminus \mathfrak{p}$  such that  $K_f = Q_f = 0$  (since both  $K$  and  $Q$  are finitely generated). Localising the above exact sequence at  $f$ , we obtain an isomorphism  $A_f^n \xrightarrow{\sim} M_f$ . It follows that  $M_q$  is a free  $A_q$  module for all  $q \in D(f)$ .

- (b) Follows immediately from (a).  
(c) Let  $\mathcal{F}^{\vee}$  denote the dual sheaf. Recall that

$$\mathcal{F}^{\vee}(U) = \text{Hom}_{\mathcal{O}_X|_U}(\mathcal{F}|_U, \mathcal{O}_X|_U).$$

This gives a natural map  $\mathcal{F}(U) \otimes \mathcal{F}^{\vee}(U) \rightarrow \mathcal{O}_X(U)$  given by

$$s \otimes \varphi \mapsto \varphi_U(s).$$

It is easy to check that this is a morphism of presheaves  $\mathcal{F} \otimes \mathcal{F}^{\vee} \rightarrow \mathcal{O}_X$  and since the latter is a sheaf, it factors through the sheafification inducing a map on the tensor sheaf.

We contend that this induced map is an isomorphism. To this end, it suffices to show that the induced morphism on stalks is an isomorphism.