

# Category Theory

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April 24, 2023

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# Chapter 1

## Introduction and Elementary Definitions

### 1.1 Preliminary Definitions

**Definition 1.1 (Category).** A category  $\mathcal{A}$  consists of

1. a collection  $\text{ob}(\mathcal{A})$  of objects
2. for each  $A, B \in \text{ob}(\mathcal{A})$  a collection  $\mathcal{A}(A, B)$  of morphisms from  $A$  to  $B$
3. for each  $A, B, C \in \text{ob}(\mathcal{A})$ , a composition function

$$\circ : \mathcal{A}(B, C) \times \mathcal{A}(A, B) \rightarrow \mathcal{A}(A, C)$$

mapping  $(g, f) \mapsto g \circ f$ .

4. for each  $A \in \text{ob}(\mathcal{A})$ , an element  $\text{id}_A$  of  $\mathcal{A}(A, A)$  called the identity on  $A$ .

satisfying the following:

**associativity:** for each  $f \in \mathcal{A}(A, B)$ ,  $g \in \mathcal{A}(B, C)$  and  $h \in \mathcal{A}(C, D)$ , we have  $(h \circ g) \circ f = h \circ (g \circ f)$

**identity:** for each  $f \in \mathcal{A}(A, B)$ , we have  $f \circ \text{id}_A = f = \text{id}_B \circ f$

**Set** is the category of sets with morphisms as set maps.

**Definition 1.2 (Functor).** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories. A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  consists of

- a function  $\text{ob}(\mathcal{A}) \rightarrow \text{ob}(\mathcal{B})$  written as  $A \mapsto F(A)$
- for each  $A, A' \in \mathcal{A}$ , a function  $\mathcal{A}(A, A') \rightarrow \mathcal{B}(F(A), F(A'))$ , written as  $f \mapsto F(f)$

satisfying the following axioms

**covariancy:**  $F(f' \circ f) = F(f') \circ F(f)$  whenever  $A \xrightarrow{f} A' \xrightarrow{f'} A''$  in  $\mathcal{A}$

**identity consistency:**  $F(\text{id}_A) = \text{id}_{F(A)}$  whenever  $A \in \mathcal{A}$

Such a functor is sometimes also called a **covariant functor**.

Let  $\mathbf{Top}_*$  denote the category of topological spaces equipped with a basepoint. Let  $\pi$  be the map that maps a pointed topological space  $(X, x_0)$  to its fundamental group  $\pi(X, x_0)$ . We claim that this is a covariant functor. Let  $\phi : (X, x_0) \rightarrow (Y, y_0)$  be a continuous function. One knows from algebraic topology that the

above continuous map induces a homomorphism  $\phi_* : \pi(X, x_0) \rightarrow \pi(Y, y_0)$  given by  $[f] \mapsto [\phi \circ f]$ . It is not hard to see that this is a covariant functor.

**Definition 1.3 (Contravariant Functor).** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories. A contravariant functor from  $\mathcal{A}$  to  $\mathcal{B}$  is a functor  $F : \mathcal{A}^{\text{op}} \rightarrow \mathcal{B}$ .

Let **Top** be the category of topological spaces. For a topological space  $X$ , let  $C(X)$  denote the ring of continuous functions  $X \rightarrow \mathbb{R}$ . That is,  $C(X) \in \mathbf{Ring}$ . We claim that  $C(X)$  is a contravariant functor from **Top** to **Ring**. Indeed, let  $f : X \rightarrow Y$  be a continuous function. Then, we have the following commutative diagram:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow g \circ f & \downarrow g \\ & & \mathbb{R} \end{array}$$

The continuous function  $f$  induces a map  $f_* : C(Y) \rightarrow C(X)$  given by  $g \mapsto g \circ f$ . It is not hard to see now that the functor  $C$  is a contravariant functor from **Top** to **Ring** which maps a morphism  $f$  to a morphism  $f_*$ .

**Definition 1.4 (Presheaf).** A presheaf is a contravariant functor from  $\mathcal{A}$  to **Set**. That is, a functor  $F : \mathcal{A}^{\text{op}} \rightarrow \mathbf{Set}$ .

Let  $X$  be a topological space and let  $\mathcal{O}(X)$  denote the category of open subsets of  $X$  with inclusion morphisms. This gives  $\mathcal{O}(X)$  the structure of a poset. Consider now the map  $F : \mathcal{O}(X)^{\text{op}} \rightarrow \mathbf{Set}$  given by

$$F(U) = \{\text{continuous functions } U \rightarrow \mathbb{R}\}$$

That this is a functor follows from the fact that if  $U \subseteq V$ , then the restriction of a continuous function  $f : V \rightarrow \mathbb{R}$  to  $U$  is continuous.

**Definition 1.5.** A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  is *faithful* if for each  $A, A' \in \mathcal{A}$ , the map  $\mathcal{A}(A, A') \rightarrow \mathcal{B}(F(A), F(A'))$  given by  $f \mapsto F(f)$  is injective.

Similarly, it is said to be *full* if the map is surjective.

**Definition 1.6 (Natural Transformation).** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories and let  $F, G : \mathcal{A} \rightarrow \mathcal{B}$  be functors. A *natural transformation*  $\alpha : F \rightarrow G$  is a family  $\left( F(A) \xrightarrow{\eta_A} G(A) \right)_{A \in \mathcal{A}}$  of maps in  $\mathcal{B}$  such that for every map  $A \xrightarrow{f} A'$  in  $\mathcal{A}$ , the following diagram commutes

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(A') \\ \eta_A \downarrow & & \downarrow \eta_{A'} \\ G(A) & \xrightarrow{G(f)} & G(A') \end{array}$$

The maps  $\eta_A$  are called the *components* of  $\eta$ . When  $\eta_A$  is an isomorphism for all  $A \in \mathcal{A}$ , then  $\eta$  is said to be a natural isomorphism.

Consider **CRing**, the category of commutative rings and **Mon**, the category of monoids. Consider the covariant functor  $M_n : \mathbf{CRing} \rightarrow \mathbf{Mon}$  that maps a commutative ring  $R$  to the monoid  $M_n(R)$  of  $n \times n$  matrices with entries from  $R$ .

Consider now the forgetful functor  $U : \mathbf{CRing} \rightarrow \mathbf{Mon}$  that maps a ring  $R$  to its multiplicative monoid. It is not hard to see that  $\det_n$  is a natural transformation from  $M_n \rightarrow U$ .

## 1.2 Adjoints

**Definition 1.7.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories with  $F : \mathcal{A} \rightarrow \mathcal{B}$  and  $G : \mathcal{B} \rightarrow \mathcal{A}$  be functors. Then  $F$  is said to be *left adjoint* to  $G$  and  $G$  is said to be *right adjoint* to  $F$  if for all  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ , there is a natural isomorphism