# ABELIAN VARIETIES OVER FINITE FIELDS: PROBLEM SET 5

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**Instructions:** The goal of this problem set is to venture into the world of p-divisible groups and Dieudonné modules. Problems marked  $(\star)$ ,  $(\star\star)$ , and  $(\star\star\star)$  denote beginner, intermediate, and advanced problems, respectively.

**Notation:** As customary, p will be a prime, and q will be a power of p.

In the first two problems, we explore the Newton polygon of a polynomial and use it to define the q-Newton polygon of an abelian variety. These problems are inspired by problems from [Poo06], which serves as a good complementary reference.

# Problem 1 (\*)

Let K be a field with a non-archimedean valuation  $v: K^{\times} \to \mathbb{R}$ . The Newton polygon of a polynomial  $P(T) = a_0 T^n + a_1 T^{n-1} + \cdots + a_{n-1} T + a_n$  is the lower convex hull of the finite set  $\{(j, v(a_j)) \in \mathbb{R}^2 : 0 \le j \le n \text{ and } a_j \ne 0\}$ . We will denote it by  $\mathcal{N}(P) = \mathcal{N}(P, v)$ . We define the width of a line segment from (a, b) to (c, d) (with a < c) to be c - a.

**Theorem A.** Suppose that (K, v) above is complete, so that there is a unique extension  $v_L$  of v to any algebraic field extension  $L \supset K$ . Let  $\bar{K}$  be an algebraic closure of K, and let  $\bar{v}$  denote the extension of v to  $\bar{K}$ . Then,

$$\#\{\alpha \in \bar{K} : P(\alpha) = 0 \text{ and } \bar{v}(\alpha) = s\} = \text{ width of the segment of slope } s \text{ in } \mathcal{N}(P).$$

- (1) Prove Theorem A.<sup>a</sup>
- (2) Let m be a positive integer. How does  $\mathcal{N}(P)$  compare to  $\mathcal{N}(P^m)$ ?
- (3) How does the Newton polygon of a product of polynomials relate to the Newton polygons of the factors?

<sup>a</sup>Hint: By changing P(T) to  $P(\lambda T)$  for some suitable  $\lambda \in \overline{K}$ , reduce to the case of slope s=0. Start with P(T) in factored form, and in terms of the number of zeros with positive and negative valuation, determine the location of the slope-zero part of the Newton polygon.

In the context of abelian varieties over finite fields, we focus on the case where  $K = \mathbb{Q}_p$ , and p is the characteristic of our base field  $\mathbb{F}_q$ .

## Problem 2 (\*)

Let the q-valuation  $\bar{v} \colon \overline{\mathbb{Q}}_p^{\times} \to \mathbb{R}$  to be the p-adic valuation renormalized so that  $\bar{v}(q) = 1$ . We can define the q-Newton polygon of an abelian variety  $A/\mathbb{F}_q$  to be the Newton polygon of the characteristic polynomial of Frobenius  $P_A(T)$  with respect to the q-valuation  $\bar{v}$ . We write  $\mathcal{N}(A) := \mathcal{N}(P_A(T), \bar{v})$ . Newton polygons of g-dimensional abelian varieties over  $\mathbb{F}_q$  satisfy the following properties:

- a. The left endpoint is (0,0) and the right endpoint is (2q,q).
- b. The vertices are all integer points with nonnegative second coordinate.
- c. The vertices are symmetric: (i, j) is a vertex if and only if (2g i, g j) is a vertex. Equivalently, (i, j) lies above the polygon if and only if (2g i, g j) does so.

We say a Newton polygon is admissible if it satisfies properties a, b, c.

- (1) Describe the admissible Newton polygons for  $g \leq 3$ .
- (2) Are all admissible Newton polygons realized by some abelian variety of dimension  $g \leq 3$ ? Find explicit examples in the LMFDB for each one.
- (3) How does the Newton polygon of an abelian variety relate to the Newton polygons of its simple factors in the isogeny category?
- (4) How does the q-Newton polygon of A compare to the  $q^r$ -Newton polygon of  $A_{\mathbb{F}_{q^r}}$ ?
- (5) Calculate the Newton polygon of the varieties described in PSET 4 Problem 11.

 ${}^a\!\mathrm{See}$  how many of these you can prove!

The following problem establishes the basics of the ring of Witt vectors attached to a commutative ring. It is taken from [Neu13, Chapter II. Exercise 2-5].

# Problem 3 (\*\*)

Let  $X_0, X_1, \ldots$  be an infinite sequence of variables, and p a prime number. For each  $n \in \mathbb{Z}_{\geq 1}$ , let  $W_n(X_0, \ldots, X_n) := X_0^{p^n} + pX_1^{p^{n-1}} + \cdots + p^nX_n$ .

(1) Show that there exists polynomials  $S_0, S_1, \ldots; P_0, P_1, \cdots \in \mathbb{Z}[X_0, X_1, \ldots; Y_0, Y_1, \ldots]$  such that

$$W_n(S_0, S_1, \dots, S_n) = W_n(X_0, X_1, \dots, X_n) + W_n(Y_0, Y_1, \dots, Y_n)$$
  
$$W_n(P_0, P_1, \dots, P_n) = W_n(X_0, X_1, \dots, X_n) \cdot W_n(Y_0, Y_1, \dots, Y_n)$$

Now, let A be a commutative ring such that pA = 0. Let  $\underline{a} := (a_0, a_1, \dots)$  be an infinite tuple with  $a_i \in A$ . We make the set of such tuples into a commutative ring W(A) as follows. For two such tuples  $\underline{a} = (a_0, a_1, \dots), \underline{b} = (b_0, b_1, \dots)$ , define addition and multiplication

$$\underline{a} + \underline{b} := (S_0(a, b), S_1(a, b), \dots)$$
 and  $\underline{a} \cdot \underline{b} := (P_0(a, b), P_1(a, b), \dots)$ .

W(A) is the ring of (p-typical) Witt vectors attached to A.

- (2) Check that 1 := (1, 0, ...) is the multiplicative identity of W(A), and that p := 1 + 1 + ... + 1 is the element (0, 1, 0, ...) in W(A).
- (3) Show that  $\underline{a} = \underline{b}$  in W(A) if and only if  $W_n(\underline{a}) = W_n(\underline{b})$ .
- (4) For every Witt vector  $\underline{a} = (a_0, a_1, \dots) \in W(A)$ , we define the ghost components  $a^{(n)}$  as

$$\underline{a}^{(n)} := W_n(\underline{a}) = a_0^{p^n} + p a_1^{p^{n-1}} + \dots + p^n a_n.$$

Consider mappings  $V, F: W(A) \to W(A)$  defined by

$$V(a) := (0, a_0, a_1, \dots)$$
 and  $F(a) := (a_0^p, a_1^p, \dots)$ .

Show that

$$V(\underline{a})^{(n)} = p\underline{a}^{(n-1)} \text{ and } \underline{a}^{(n)} = (F(\underline{a}))^{(n)} + p^n a_n.$$

(5) Now let K be a field of characteristic p. Show that V is a homomorphism of W(K) as an additive group, F is a homomorphism of W(K) as a ring, and

$$V \circ F(\underline{a}) = F \circ V(\underline{a}) = p \cdot \underline{a} = (0, a_0^p, a_1^p, \dots)^a$$

- (6)  $(\star \star \star)$  If K is a perfect field of characteristic p, then W(K) is a complete discrete valuation ring with residue field K and maximal ideal pW(K).
- (7)  $(\star \star \star)$  Show that  $W(\mathbb{F}_{p^n}) \cong \mathbb{Z}_{p^n}$ , which is the valuation ring of  $\mathbb{Q}_{p^n}$ , the unique degree n unramified extension of  $\mathbb{Q}_p$ .

The next problem is Exercise 7.4.5 in [BC09], which gives a different way to understand the Witt vectors.

#### Problem 4 (\*\*)

Let k be an arbitrary field of characteristic p > 0.

<sup>&</sup>lt;sup>a</sup>To show that f, g are the same map from  $W(A) \to W(A)$ , it suffices to show that  $W_n \circ f = W_n \circ g$  from W(A) to A.

- (1) Use the addition law on the truncated Witt ring  $W_n$  defined in Problem 3 (applied to all k-algebras), to explain how this gives  $\mathbb{A}^n_k$  the structure of a smooth group variety  $W_n$ .
- (2) Describe the group variety structure explicitly for n=2 and any k.
- (3) Recall from PSET 1, Problem 8 the idea of a ring variety. Write down the axioms to define a "commutative ring scheme" and exhibit  $W_n$  as such an example.

The following is Lemma/Exercise after Definition 4.28 in [CO09]. It introduces the notion of the Dieudonné ring and the local Cartier ring.

## Problem 5 (\*)

Let K be a perfect field of characteristic p. Let W(K) be the ring of Witt vectors and let  $\sigma \colon W(K) \to W(K)$  be the homomorphism  $(a_0, a_1, \ldots) \mapsto (a_0^p, a_1^p, \ldots)$ . The Dieudonné ring  $D_K$  is defined to the polynomial ring W(K)[F, V] satisfying FV = VF = p,  $F\underline{a} = \underline{a}^{\sigma}F$ ,  $V\underline{a}^{\sigma} = \underline{a}V$ .

- (1) Show that the Dieudonné ring  $D_K$  can be naturally identified with the  $\mathbb{Z}$ -graded ring  $\bigoplus_{i\in\mathbb{Z}} c_i V^i W(K)$  with the relation  $\underline{a}V^n = V^n \underline{a}^{\sigma^n}$ , where  $c_i = p^{-i}$  if i < 0 and  $c_i = 1$  otherwise. This means W(k)[F, V] is the ring consisting of finite sums  $\sum_i a_i V^i$  where  $a_i \in W(K)$ ,  $\underline{v}_K(a_i) \geq \max\{0, -i\}$ .
- (2) Let  $W(K)[[V, F]\rangle$  be the ring consisting of formal Laurent series  $\sum_i a_i V^i$  where  $a_i \in W(K), v_K(a_i) \ge \max\{0, -i\}$ , and  $v_p(a_i) + i \to \infty$  as  $|i| \to \infty$ . Again the relation  $\underline{a}V^n = V^n\underline{a}^{\sigma^n}$  is given. Let  $v \colon W(K)[[V, F]\rangle \to \mathbb{Z}$  be defined by  $v(\sum_i a_i V^i) = \min_i \{v_K(a_i) + i\}$ . Show that v is a discrete valuation on  $W(K)[[V, F]\rangle$ .
- (3) Show that the inclusion  $W(K)[F,V] \hookrightarrow W(K)[[V,F])$  is a ring homomorphism whose image is dense.

We compute the Cartier duals of some finite flat group schemes.

### Problem 6 (\*\*)

Let k be a field. Compute the Cartier duals of the following commutative k-groups.

- (1)  $\underline{\mathbb{Z}/n\mathbb{Z}}$ . Recall that as a k-scheme, this is given by Spec A where  $A := \prod_{i \in \mathbb{Z}/n\mathbb{Z}} e_i k$ . The multiplication on A is defined by  $e_i \cdot e_j = \delta_{ij} e_i$ , and the co-multiplication is given by  $\Delta(e_r) = \sum_{i+j=r} e_i \otimes e_j$ .
- (2) When k has characteristic p, the group  $\alpha_p := \operatorname{Spec} k[x]/(x^p)$ , considered as a subgroup of  $\mathbb{A}^1_k$ .

In problem 7 and 8, we use Dieudonné modules to classify the commutative finite flat group schemes of order p defined over an algebraically closed field k of characteristic p, and apply this to study the p-torsion group scheme of a supersingular elliptic curve over k. If you get stuck, the solutions can be found here.

#### Problem 7 (\*)

Let k be an algebraically closed field of characteristic p. Let  $D_k = W(k)[F, V]$  be the Dieudonné ring.

- (1) Using [BC09, Theorem 7.2.4], there is an equivalence of categories between commutative order p finite flat group schemes over k and  $D_k$ -modules M whose underlying W(k)-module is of length 1. Use (6) from Problem 3 to show that such an M must be isomorphic to W(k)/(p) as a W(k)-module.
- (2) To specify the  $D_k$ -module structure on M, it suffices to write down the action of F and V. Let e be a basis element of M as a 1-dimensional k-vector space. Let  $\alpha, \beta \in k$  be such that

$$Fe = \alpha e, \quad Ve = \beta e.$$

Show that at least one of  $\alpha, \beta$  is zero.

- (3) Conversely, show that upon fixing a basis element e, any choice of  $(\alpha, \beta)$  with at least one of  $\alpha$  and  $\beta$  being 0 uniquely determines a Dieudonné module over  $W(\bar{k})$  of length 1.
- (4) Use a change of basis  $e' := \lambda e$  for some  $\lambda \in k^{\times}$  to show that if one of  $\alpha, \beta$  is nonzero, then it equals 1.

<sup>&</sup>lt;sup>a</sup>This ring can be naturally identified with the local Cartier ring  $Cart_p(K)$ .

 $<sup>^{</sup>b}$ This indicates that the Dieudonné ring can be naturally identified as a dense subring of the local Cartier ring.

- (5) Now we have reduced to the cases  $(\alpha, \beta)$  being (0,0), (1,0), or (0,1). There are three well-known finite flat group schemes of order p over a characteristic p field:  $\mu_p$ ,  $\mathbb{Z}/p\mathbb{Z}$ , and  $\alpha_p$ . For each group scheme, find out whether it is connected, étale, or neither.
- (6) Show that the relative Frobenius kills a connected order p group scheme over k, and is trivial on an étale group scheme. <sup>a</sup> Deduce that the (1,0) Dieudonné module must correspond to  $\mathbb{Z}/p\mathbb{Z}$ .
- (7) Use the definition of the Verschiebung morphism on a group scheme together with Problem 6 to decide which of  $\mu_p$ ,  $\alpha_p$  correspond to (0,1), and which to (0,0).

<sup>a</sup>Hint: See these notes by Andrew Snowden.

### Problem 8 (\*\*)

Let  $E/\overline{\mathbb{F}}_p$  be a supersingular elliptic curve. We will show there is a unique group scheme G over  $\overline{\mathbb{F}}_p$  of order  $p^2$  such that  $E[p] \cong G$ .

- (1) Using [BC09, Theorem 7.2.4] again, a group scheme G over k of order  $p^2$  corresponds to a Dieudonné module M(G) of length 2 as a  $W(\overline{\mathbb{F}}_p)$ -module. Show that if G is p-torsion, then so is M(G). In particular, M(G) must be isomorphic to  $W(\overline{\mathbb{F}}_p)/(p) \oplus W(\overline{\mathbb{F}}_p)/(p)$  as a  $W(\overline{\mathbb{F}}_p)$ -module.
- (2) (\*\*) Use the connected-étale sequence and the fact that  $\#E[p](\overline{\mathbb{F}}_p) = 1$  to show that E[p] is connected.
- (3) (\*\*) As an extension of Part (6) of Problem 7, one can show the relative Frobenius  $\phi_G$  is a finite flat morphism of degree p, and is nilpotent on any connected finite flat group scheme G over a field. Use this to show that the kernel of  $\phi_G$  is an order p flat group scheme, and so the Dieudonné module of  $\ker(\phi_G)$  must be isomorphic to  $\overline{\mathbb{F}}_p$  as a  $W(\overline{\mathbb{F}}_p)$ -module.
- (4) The induced action of Frobenius on the Dieudonné module M(E[p]) is also nilpotent by functoriality, so we can choose an  $\overline{\mathbb{F}}_p$ -basis  $e_1, e_2$  of M(E[p]) so that

$$Fe_1 = e_2, \quad Fe_2 = 0.$$

Show that  $Ve_2 = 0$ , and  $Ve_1 = \alpha e_2$  for some  $\alpha \in \overline{\mathbb{F}}_p$ . Show that  $\alpha \neq 0$ .

(5) By scaling  $e_1$  and using that  $\overline{\mathbb{F}}_p$  is algebraically closed, show that we can let  $\alpha = 1$ . In particular, there is a unique Dieudonné module corresponding to the group scheme E[p] for a supersingular elliptic curve.

The case of E ordinary is more straightforward. Use the fact that  $\#E[p](\overline{\mathbb{F}}_p) = p$  and the fact that the connected-étale exact sequence splits for group schemes over a perfect field to show that  $E[p] \cong \mu_p \times \mathbb{Z}/p\mathbb{Z}$ .

The following problem is adapted from [CO09, Exercise 4.6]. Here we investigate the endomorphism algebra of simple Dieudonné modules over an algebraically closed base field.

### Problem 9 (\*\*)

Let k be an algebraically closed field containing  $\mathbb{F}_p$ . Let  $D_k$  be the Dieudonné ring as in Problem 5, and  $D_k[\frac{1}{p}]$  be the rational Dieudonné ring. Now, let (m,n) be a pair of non-negative integer such that  $\gcd(m,n)=1$ . Let  $N_{m,n}:=D_k[\frac{1}{p}]/D_k[\frac{1}{p}](F^m-V^n)$ .  $N_{m,n}$  is a simple object in the isogeny category of Dieudonné module over k. We want to compute  $\operatorname{End}_{D_k[\frac{1}{p}]}(N_{m,n})$ .

- (1) Show that  $N_{m,n} \cong D_k[\frac{1}{p}]/D_k[\frac{1}{p}](F^{m+n} p^n)$ .
- (2) Let  $\varphi \in \operatorname{End}_{D_k[\frac{1}{p}]}(N_{m,n})$ . Suppose  $\varphi(1) = \sum_{i=0}^{m+n-1} a_i F^i$  with  $a_i \in W(k)[\frac{1}{p}]$ . Use the fact that  $(F^{m+n} p^n)\varphi(1) \in D_k[\frac{1}{p}](F^{m+n} p^n)$  to show that all the  $a_i$ 's lie in  $W(\mathbb{F}_{p^{m+n}})[\frac{1}{p}] = \mathbb{Q}_{p^{m+n}}$
- (3) Show that the center of  $\operatorname{End}_{D_k[\frac{1}{p}]}(N_{m,n})$  is  $\mathbb{Q}_p$ .
- (4) Use the fact that  $N_{m,n}$  is a simple left  $D_k[\frac{1}{p}]$ -module, show that  $\operatorname{End}_{D_k[\frac{1}{p}]}(N_{m,n})$  is a central division algebra over  $\mathbb{Q}_p$ .

- (5) Recall the definition and notation of  $D_{p,h,n}$  from PSET 2, Problem 4. It can be written as  $\mathbb{Q}_{p^h}[F]/(F^h-p^n)$ , where  $F\alpha=\alpha^{\sigma}F$  for  $\alpha\in\mathbb{Q}_{p^h}$ . Show that  $\varphi\mapsto\varphi(1)$  gives an isomorphism  $\mathrm{End}_{D_k[\frac{1}{p}]}(N_{m,n})\cong\mathbb{Q}_{p^{m+n}}[F]/(F^{m+n}-p^n)$ .
- (6) Conclude that  $\operatorname{End}_{D_k[\frac{1}{n}]}(N_{m,n})$  is a central simple algebra over  $\mathbb{Q}_p$  with Hasse-invariant  $\frac{n}{m+n}$ .

<sup>a</sup>That is, show that  $a_i^{\sigma^{m+n}} = a^i$  for all  $a_i$ .

The next problem is Exercise 7.4.8 in [BC09]. It displays the role p-divisible groups play compared to  $\ell$ -adic Tate-modules: they are more suitable for encoding information at p!

### Problem 10 $(\star \star \star)$

Let A and B be abelian varieties over a perfect field k of characteristic p > 0. Recall that there is an additive antiequivalence of categories  $G \mapsto \mathbb{D}(G)$  between the category of p-divisible groups over k and the category of left W(k)[F,V]-modules which are also finite as W(k)-modules.

(1) Show that the natural map

$$\operatorname{Hom}_k(A,B) \otimes_{\mathbb{Z}} \mathbb{Z}_p \to \operatorname{Hom}_{W(k)[F,V]}(\mathbb{D}(B[p^{\infty}]), \mathbb{D}(A[p^{\infty}]))$$

is injective.

(2) Show however, the natural map

$$\operatorname{Hom}_k(A,B) \otimes_{\mathbb{Z}} \mathbb{Z}_p \to \operatorname{Hom}_{\mathbb{Z}_p}(T_pA, T_pB)$$

is never injective.

(3) If  $f \in \operatorname{End}_k(A)$  is a nonzero endomorphism of A then the common characteristic polynomial  $P_f \in \mathbb{Z}[T]$  of all  $T_\ell(f) \in \operatorname{End}_{\mathbb{Z}_\ell}(T_\ell A)$  with  $\ell \neq \operatorname{char} k$  is also the characteristic polynomial of  $\mathbb{D}(f) \in \operatorname{End}_{W(k)}(\mathbb{D}(A[p^{\infty}]))$ .

In Problem 7, we have considered examples of finite flat group schemes of order p. The following problem expands on these examples to give examples of p-divisible groups of height 1.

#### Problem 11 (\*\*)

Let k be an algebraically closed field of characteristic p.

- (1) Let  $\mathbb{G}_m/k$  be the multiplicative group scheme defined over k.
  - (a) Show that the multiplication  $[p^i]$  is given by  $x \mapsto x^{p^i}$  on the coordinate ring. Determine the Hopf algebra of the group scheme  $\mathbb{G}_m[p^i]$ , i.e. the kernel of  $[p^i]$ .
  - (b) Define  $G_i := \mathbb{G}_m[p^i]$ . Show that  $\mathbb{G}_m[p^\infty] := \{G_i\}_{i \geq 1}$ , together with the inclusion  $j_i : G_i \to G_{i+1}$ , is a p-divisible group of height 1.
  - (c) Show that the relative Frobenius  $F_{G_i/k}: G_i \to G_i^{(p)} \cong G_i$ , agrees with  $[p]: G_i \to G_i$ . Conclude that  $V_{G_i/k}: G_i \to G_i$  is the identity.
  - (d) Let  $G_{m,n}$  be the p-divisible group whose Dieudonné module is  $M_{m,n} := D_k/D_k(F^m V^n)$ . By comparing the action of Frobenius and Verschiebung and using the Dieudonné-Mannin classfication<sup>a</sup>, show that  $\mathbb{G}_m[p^{\infty}]$  is isogenous to  $G_{0,1}$ . That is,  $\mathbb{D}(\mathbb{G}_m[p^{\infty}]) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \cong M_{0,1} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ .
- (2) Let  $H_i = p^{-i}\mathbb{Z}/\mathbb{Z}_k$  be the constant group scheme over k attached to the finite group  $p^{-i}\mathbb{Z}/\mathbb{Z}$ .
  - (a) Show that  $\underline{\mathbb{Q}_p}/\mathbb{Z}_{p_k} := \{H_i\}_{i\geq 1}$ , together with the inclusion  $j_i: H_i \to H_{i+1}$ , is a p-divisible group of height 1.
  - (b) Show that  $F_{H_i/k}: H_i \to H_i^{(p)} \cong H_i$  is the identity. Conclude that  $V_{H_i/k}$  is [p].
  - (c) Show that  $\mathbb{Q}_p/\mathbb{Z}_{p_k}$  is isogenous to  $G_{1,0}$ .

<sup>&</sup>lt;sup>a</sup>Use the statement of [BC09, Theorem 8.1.4].  $M_{m,n} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$  is  $D_{m,m+n}$  in the notation of Theorem 8.1.4, and is  $N_{m,n}$  in the notation of Problem 9.

 $<sup>{}^</sup>b\mathrm{Use}$  the fact that  $\mathbb{D}(G^t)=\mathbb{D}(G)^\vee$  and  $M_{m,n}^\vee=M_{n,m}$ , we see that  $\mathbb{G}_m[p^\infty]$  is the Serre dual (see below) of  $\mathbb{Q}_p/\mathbb{Z}_{p_k}$ .

The following problem gives the construction of Dieudonné module associated to the Serre dual of a p-divisible group.

## Problem 12 (\*\*)

Let k be an algebraically closed field of characteristic p. Let M be a Dieudonné module<sup>a</sup>, i.e. a finite free W(k)-module with left  $D_k$  action. We construct its dual  $M^{\vee}$  as follows. As a W(k) module,  $M^{\vee} = \operatorname{Hom}_{W(k)}(M, W(k))$ , with the action of V and F given as

$$(V \cdot h)(m) = (h(F(m))^{\sigma^{-1}}, (F \cdot h)(m) = (h(V(m))^{\sigma})^{\sigma^{-1}}$$

for all  $h \in M^{\vee}$  and  $m \in M$ .

- (1) For a pair of non-negative integer (m,n) such that gcd(m,n) = 1, let  $M_{m,n}$  be as in Problem 11. Show that  $M_{m,n}^{\vee} \cong M_{n,m}$ .
- (2) We have the following facts:
  - Let X/k an abelian variety. Let  $X[p^{\infty}]$  denote its p-divisible group, and  $X[p^{\infty}]^t$  the Serre dual of  $X[p^{\infty}]$ , then

$$X[p^{\infty}]^{\mathrm{t}} \cong X^{\vee}[p^{\infty}],$$

where  $X^{\vee}$  is the dual abelian variety.

• If G is a p-divisible group over k, and D(G) is its Dieudonné module, then

$$D(G^t) \cong D(G)^{\vee}$$

Use the above facts, show that the Newton polygon of an abelian variety is symmetric. That is,  $X[p^{\infty}]$  is isogenous to  $\bigoplus_i (G_{m_i,n_i} \oplus G_{n_i,m_i})^{r_i}$  for some  $(m_i,n_i)$  non-negative and  $\gcd(m_i,n_i) = 1$ .

The following problem explores examples of p-divisible groups attached to an abelian variety and compute their Newton polygons.

## Problem 13 (\*\*)

- (1) Recall that if  $f: X \to Y$  is an isogeny between abelian varieties over a field k, then  $\deg(f) = \operatorname{rank}(\ker(f))$ , i.e. the rank of the finite group scheme  $\ker(f)$  over k. Show that the p-divisible group of a g-dimensional abelian variety over k is of height 2g.
- (2) Now let  $E/\mathbb{F}_q$  be an elliptic curve.
  - (a) Suppose  $E/\mathbb{F}_q$  is supersingular. Recall in PSET 3, problem 7, we have shown that  $\operatorname{End}^0(E) \otimes_{\mathbb{Q}} \mathbb{Q}_p \cong D_{p,2,1}$ , the central division algebra over  $\mathbb{Q}_p$  with Hasse-invariant  $\frac{1}{2}$ . Combine Problem 10 and Problem 9 Part (5) to conclude that  $E_{\overline{\mathbb{F}}_q}[p^{\infty}]$  is isogenous to  $G_{1,1}$ .
  - (b) Suppose  $E/\mathbb{F}_q$  is ordinary. Recall in PSET 3, problem 9, we have shown that  $L = \operatorname{End}^0(E)$  is an imaginary quadratic extension over  $\mathbb{Q}$  generated by  $\phi_q$ . Furthermore, the characteristic polynomial of  $\phi_q$  is  $T^2 aT + q$ , where  $v_p(a) = 0$ . Show that  $L \otimes_{\mathbb{Q}} \mathbb{Q}_p \cong \mathbb{Q}_p \oplus \mathbb{Q}_p$ . Use the injection

$$\operatorname{End}^0(E) \otimes_{\mathbb{Q}} \mathbb{Q}_p \to \operatorname{End}^0(E_{\overline{\mathbb{F}}_q}) \otimes_{\mathbb{Q}} \mathbb{Q}_p \to \operatorname{End}(E_{\overline{\mathbb{F}}_p}[p^{\infty}]) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

to conclude that  $E_{\mathbb{F}_a}[p^{\infty}]$  is isogenous to  $G_{1,0} \oplus G_{0,1}$ .

(3) Recall that in PSET 4, Problem 11, for a pair of non-negative integers (m,n) with n < m and  $\gcd(m,n)=1$ , we have a simple abelian variety  $A/\mathbb{F}_q$  of dimension g=m+n, and the Frobenius  $\phi_q$  on A has minimal polynomial  $h_A(T)=T^2-p^nT+p^g$ . Moreover,  $\operatorname{End}^0(A)\otimes_{\mathbb{Q}}\mathbb{Q}_p\cong D_{p,g,m}\oplus D_{p,g,n}$ . Use these to show that  $A_{\mathbb{F}_q}[p^\infty]$  is isogenous to  $G_{n,m}\oplus G_{m,n}$ .

As an important notion to study p-divisible groups, we introduce the Tate module of a p-divisible group.

 $<sup>^{</sup>a}$ There is an unfortunate clash of terminology with the Dieudonné module of a finite flat group scheme, which isn't necessarily torsionfree. We hope that the meanings are clear from the context.

# Problem 14 (\*\*)

Let G be a p-divisible group over a perfect scheme S of characteristic p. Consider the inverse limit

$$TG := \varprojlim_{p} G[p^n].$$

Show that this limit exists in the category of schemes and TG is an affine scheme, flat over S. This is called the (schematic) Tate module of the p-divisible group G.

(1) Show that the functor of points of TG identifies with the following functor

$$(T \to S) \mapsto \operatorname{Hom}(\mathbb{Q}_p/\mathbb{Z}_p, G_T),$$

where  $\mathbb{Q}_p/\mathbb{Z}_p$  is the constant p-divisible group over T, and  $G_T$  denotes the base change.

(2) Show that over a noetherian scheme of characteristic p, the Tate module of  $\mu_{p^{\infty}}$  is trivial.

<sup>a</sup>Depending on conventions, sometimes the Tate module of G refers to the sections of TG, which is a finite free  $\mathbb{Z}_p$ -module.

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