

# Abelian varieties over finite fields

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## 1 Definition and properties of abelian varieties

We fix a field  $k$ , and let  $\bar{k}$  be an algebraic closure of  $k$ . We recall the definition and basic properties of abelian varieties. We give some indications as to how the theory is developed, but omit most of the arguments....

### 1.1 Definition

**Definition 1.1.** A algebraic variety  $X$  over  $k$  is a separated  $k$ -scheme  $X$  of finite type, which is geometrically integral (i.e.  $X \times_{\text{Spec}(k)} \text{Spec}(\bar{k})$  is integral). We say that  $X$  is complete if it is proper.

**Definition 1.2.** A group variety over a field  $k$  is a  $k$ -variety  $G$  together with  $k$ -morphisms  $m : G \times G \rightarrow G$  (the group law) and  $i : G \rightarrow G$  (the inverse) and a  $k$ -rational point  $e \in G(k)$  (the identity element) such that we have the following commutative diagrams:

(i) Associativity of the group law:

$$\begin{array}{ccccc}
 G \times G \times G & \xrightarrow{id_{G \times G \times G}} & (G \times G) \times G & \xrightarrow{m \times id_G} & G \times G \\
 id_{G \times G \times G} \downarrow & & & & \downarrow m \\
 G \times (G \times G) & \xrightarrow{id_G \times m} & G \times G & \xrightarrow{m} & G
 \end{array}$$

(ii) Identity element:

$$\begin{array}{ccccc}
 G \times \text{Spec}(k) & \xrightarrow{id_G \times e} & G \times G & \xleftarrow{e \times id_G} & \text{Spec}(k) \times G \\
 & \searrow j_1 & \downarrow m & \swarrow j_2 & \\
 & & G & & 
 \end{array}$$

where  $j_1 : \text{Spec}(k) \times G \rightarrow G$  and  $j_2 : G \times \text{Spec}(k) \rightarrow G$  are the projection maps on  $G$ .

(iii) Existence of inverse element:

$$\begin{array}{ccccc}
 G & \xrightarrow{\pi} & \text{Spec}(k) & \xleftarrow{\pi} & G \\
 (id_G, i) \downarrow & & \downarrow e & & \downarrow (i, id_G) \\
 G \times G & \xrightarrow{m} & G & \xleftarrow{m} & G \times G
 \end{array}$$

where  $\pi : G \rightarrow \text{Spec}(k)$  is the structure morphism.

**Definition 1.3.** An abelian variety  $A$  defined over  $k$  is a  $k$ -group variety which is complete as a  $k$ -variety.

## 1.2 Commutativity

We begin by explaining the most basic fact, which is commutativity. The main ingredient in proving this is the following general fact:

**Lemma 1.4** (Rigidity Lemma). *Let  $X$  be a complete variety over  $k$ , and  $Y$  and  $Z$  be arbitrary varieties. Let  $f : X \times Y \rightarrow Z$  be a map of varieties. Suppose there exists  $x_0 \in X$  and  $y_0 \in Y$  such that the restrictions of  $f$  to  $X \times \{y_0\}$  and  $\{x_0\} \times Y$  are constant. Then  $f$  is constant.*

**Corollary 1.5.** *Let  $X$  and  $Y$  be abelian varieties and let  $f : X \rightarrow Y$  be any map of varieties such that  $f(0) = 0$ . Then  $f$  is a morphism of abelian varieties, i.e.,  $f$  respects the group structure.*

*Proof.* Consider the map

$$\begin{aligned} h : X \times X &\rightarrow Y \\ (x, y) &\mapsto f(x + y) - f(x) - f(y). \end{aligned}$$

Then  $h(x, 0) = h(0, x) = 0$  for all  $x \in X$ . So, by the Rigidity Lemma  $h = 0$ , meaning that  $f$  is a homomorphism.  $\square$

**Corollary 1.6.** *An abelian variety is commutative.*

*Proof.* The map  $x \mapsto -x$  takes 0 to 0 and is therefore a homomorphism, which implies commutativity.  $\square$

## 1.3 Theorem of the cube

**Theorem 1.7** (Theorem of the cube). *Let  $X, Y$  and  $Z$  be varieties such that  $X$  and  $Y$  are complete. Let  $x_0 \in X, y_0 \in Y$  and  $z_0 \in Z$  be points. Let  $\mathcal{L}$  be a line bundle on  $X \times Y \times Z$  such that the restrictions of  $\mathcal{L}$  to  $X \times Y \times \{z_0\}, X \times \{y_0\} \times Z$  and  $\{x_0\} \times Y \times Z$  are trivial. Then  $\mathcal{L}$  is trivial.*

**Corollary 1.8.** *Let  $A$  be an abelian variety. Let  $\pi_i : A \times A \times A \rightarrow A$  denote the projection map on the  $i$ -th factor, and set  $\pi_{ij} := \pi_i + \pi_j$  and  $\pi_{123} := \pi_1 + \pi_2 + \pi_3$ . Let  $\mathcal{L}$  be a line bundle on  $A$ . Then the line bundle*

$$\mathcal{L}' := \pi_{123}^* \mathcal{L} \otimes \pi_{12}^* \mathcal{L}^{-1} \otimes \pi_{13}^* \mathcal{L}^{-1} \otimes \pi_{23}^* \mathcal{L}^{-1} \otimes \pi_1^* \mathcal{L} \otimes \pi_2^* \mathcal{L} \otimes \pi_3^* \mathcal{L}$$

*on  $A \times A \times A$  is trivial.*

*Proof.* This follows immediately from the theorem of the cube. For example, if we restrict to  $A \times A \times \{0\}$  then  $\pi_{123}^* \mathcal{L} = \pi_{12}^* \mathcal{L}, \pi_{13}^* \mathcal{L} = \pi_1^* \mathcal{L}$ , and  $\pi_3^* \mathcal{L} = 1$ , so all factors cancel.  $\square$

**Corollary 1.9.** *Let  $A$  be an abelian variety, and  $X$  an arbitrary variety. Let  $f, g, h : X \rightarrow A$  be maps of varieties, and  $\mathcal{L}$  a line bundle on  $A$ . Then the line bundle*

$$\mathcal{L}' := (f + g + h)^* \mathcal{L} \otimes (f + g)^* \mathcal{L}^{-1} \otimes (f + h)^* \mathcal{L}^{-1} \otimes (g + h)^* \mathcal{L}^{-1} \otimes f^* \mathcal{L} \otimes g^* \mathcal{L} \otimes h^* \mathcal{L}$$

*on  $X$  is trivial.*

*Proof.* This follows from Corollary 1.8 by considering the map  $X \rightarrow A \times A \times A$  given by  $(f, g, h)$ .  $\square$

### 1.4 Theorem of the square

**Theorem 1.10** (Theorem of the square). *Let  $A$  be an abelian variety and  $\mathcal{L}$  a line bundle on  $A$ , and  $x, y \in A(\bar{k})$ . Then  $t_{x+y}^* \mathcal{L} \otimes \mathcal{L} = t_x^* \mathcal{L} \otimes t_y^* \mathcal{L}$ . (Here  $t_x$  denotes translation by  $x$ .)*

*Proof.* Apply Corollary 1.9 with  $f = t_x$  (constant map),  $g = t_y$ , and  $h = id_A$ .  $\square$

Define  $\text{Pic}(A)$  to be the set of isomorphism classes of line bundles on  $A$ . For a line bundle  $\mathcal{L}$ , let  $\phi_{\mathcal{L}} : A(\bar{k}) \rightarrow \text{Pic}(A)$  be the map  $\phi_{\mathcal{L}}(x) = t_x^* \mathcal{L} \otimes \mathcal{L}^{-1}$ . The theorem of the square states exactly that  $\phi_{\mathcal{L}}$  is a group homomorphism.

### 1.5 Isogenies

**Proposition 1.11.** *Let  $f : A \rightarrow B$  be a homomorphism of abelian varieties. Then the following conditions are equivalent:*

- (a)  *$f$  is surjective and  $\dim(A) = \dim(B)$ ;*
- (b)  *$\ker(f)$  is a finite group scheme and  $\dim(A) = \dim(B)$ ;*
- (c)  *$f$  is a finite, flat and surjective morphism.*

**Definition 1.12.** *Let  $f : A \rightarrow B$  be a homomorphism of abelian varieties. We say that  $f$  is an isogeny if it satisfies the three equivalent conditions (a), (b) and (c) in Proposition 1.11. The degree of an isogeny  $f$  is  $[k(A) : k(B)]$ , the degree of the function field extension  $k(A)/k(B)$ . (Note that we have a homomorphism  $k(B) \rightarrow k(A)$ , since an isogeny is surjective.)*

**Definition 1.13.** *Let  $f : A \rightarrow B$  be an isogeny. Then, we say that*

- (i)  *$f$  is separable if  $k(A)/k(B)$  is a separable extension.*
- (ii)  *$f$  is (purely) inseparable if  $k(A)/k(B)$  is a (purely) inseparable extension.*

**Proposition 1.14.** *Let  $f : A \rightarrow C$  be an isogeny. Then, there exist*

- (i) *an abelian variety  $B$ ;*
- (ii) *an inseparable isogeny  $g : A \rightarrow B$ ; and*
- (iii) *a separable isogeny  $h : B \rightarrow C$*

*such that  $f = h \circ g$ . This factorisation is unique up to isomorphism. In other words, if  $f = h' \circ g' : A \rightarrow B' \rightarrow C$  is a second such factorisation then there is an isomorphism  $\alpha : B \rightarrow B'$  such that  $g' = \alpha \circ g$  and  $h = h' \circ \alpha$ .*

### 1.6 Structure of torsion

For an integer  $n$ , let  $[n]_A$  (or simply  $[n]$ ) be the morphism

$$\begin{aligned} A(\bar{k}) &\rightarrow A(\bar{k}) \\ x &\mapsto nx. \end{aligned}$$

**Proposition 1.15.** *Let  $A$  be an abelian variety,  $\mathcal{L}$  a line bundle on  $A$ , and  $n \in \mathbb{Z}$ . Then, we have*

$$[n]^* \mathcal{L} = \mathcal{L}^{(n^2+n)/2} \otimes [-1]^* \mathcal{L}^{(n^2-n)/2}.$$

In particular,

(i) if  $\mathcal{L}$  is symmetric (i.e.  $[-1]^* \mathcal{L} = \mathcal{L}$ ) then  $[n]^* \mathcal{L} = \mathcal{L}^{n^2}$ ;

(ii) if  $\mathcal{L}$  is anti-symmetric (i.e.  $[-1]^* \mathcal{L} = \mathcal{L}^{-1}$ ) then  $[n]^* \mathcal{L} = \mathcal{L}^n$ .

*Proof.* Applying Corollary 1.9 to the maps  $[n]$ ,  $[1]$ , and  $[-1]$ , we see that

$$\mathcal{L}' := [n]^* \mathcal{L} \otimes [n+1]^* \mathcal{L}^{-1} \otimes [n-1]^* \mathcal{L}^{-1} \otimes [n]^* \mathcal{L} \otimes \mathcal{L} \otimes [-1]^* \mathcal{L}$$

is trivial. In other words, we have

$$[n+1]^* \mathcal{L} = [n]^* \mathcal{L}^2 \otimes [n-1]^* \mathcal{L}^{-1} \otimes \mathcal{L} \otimes [-1]^* \mathcal{L}.$$

The result now follows by induction.  $\square$

**Theorem 1.16.** *Let  $A$  be an abelian variety of dimension  $g$ , and  $n > 0$  an integer. Then  $[n]_A : A \rightarrow A$  is an isogeny; it is étale if and only if  $(\text{char}(k), n) = 1$ .*

*Proof.* One can show that abelian varieties are projective. Let  $\mathcal{L}$  be an ample line bundle on  $A$ . Replacing  $\mathcal{L}$  by  $\mathcal{L} \otimes [-1]^* \mathcal{L}$ , we can assume  $\mathcal{L}$  is symmetric. Since  $[n]^* \mathcal{L} = \mathcal{L}^{n^2}$ , it is ample. However, the restriction of this to the  $n$ -torsion is obviously trivial. Since the  $n$ -torsion is a complete variety on which the trivial bundle is ample, it must be finite. This implies that  $[n]$  is surjective, by reasoning with dimension.  $\square$

**Proposition 1.17.** *The degree of  $[n]_A$  is  $n^{2g}$ .*

*Proof.* Let  $f : X \rightarrow Y$  be a finite map of complete varieties of degree  $d$ . If  $D_1, \dots, D_n$  are divisors on  $Y$ , where  $n = \dim(X) = \dim(Y)$ , then there is an equality of intersection numbers:

$$(f^* D_1 \cdots f^* D_n) = d(D_1 \cdots D_n).$$

Now, let  $D$  be an ample divisor such that  $[-1]^* D$  is linearly equivalent to  $D$  (e.g., the divisor associated to the line bundle used above). Then  $[n]^* D$  is linearly equivalent to  $n^2 D$ . We thus find

$$\deg([n])(D \cdots D) = ((n^2 D) \cdots (n^2 D)) = n^{2g}(D \cdots D).$$

Since  $D$  is ample,  $(D \cdots D) \neq 0$ , and thus  $\deg([n]) = n^{2g}$ .  $\square$

One can show that  $[n] : A \rightarrow A$  induces multiplication by  $n$  on the tangent space. This shows that  $[n]$  is separable if and only if  $n$  is prime to the characteristic. Combined with the above (and the usual induction argument), we see that:

**Corollary 1.18.** *If  $(\text{char}(k), n) = 1$ , then  $A[n](\bar{k})$  is isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^{2g}$ .*

Since  $[p]$  is not separable,  $A[p](\bar{k})$  must have fewer than  $p^{2g}$  points. We will see later, when studying group schemes, that it can have at most  $p^g$  points.

**Corollary 1.19.** *Let  $f : A \rightarrow B$  be an isogeny of degree  $n$ . Then there exists an isogeny  $g : B \rightarrow A$  such that  $g \circ f = [n]_A$  and  $f \circ g = [n]_B$ .*

## 2 The dual variety

### 2.1 Definition of the dual

Let  $k$  be an arbitrary field, and  $A$  an abelian variety defined over  $k$ . We define  $\text{Pic}(A)$  to be the set of isomorphism classes of line bundles on  $A$ . Then, we let  $\text{Pic}^0(A)$  be the subgroup consisting of those line bundles  $\mathcal{L}$  which are translation invariant, i.e., which satisfy  $t_x^*(\mathcal{L}) \simeq \mathcal{L}$  for all  $x \in A$ . We define the following functor. For each variety  $T$  over  $k$ , let  $F(T)$  be the set of isomorphism classes of line bundles  $\mathcal{L}$  on  $A \times T$  satisfying the following two conditions:

- (a) for all  $t \in T$ , the restriction of  $\mathcal{L}$  to  $A \times \{t\}$  belongs to  $\text{Pic}^0(A)$ ; and
- (b) the restriction of  $\mathcal{L}$  to  $\{0\} \times T$  is trivial.

We see that  $F(k) = \text{Pic}^0(A)$ . We define the *dual abelian variety*  $A^\vee$  to be the variety that represents  $F$ , if it exists. We will always assume that the dual variety  $A^\vee$  exists. Then, it automatically comes with a universal bundle  $\mathcal{P}$  on  $A \times A^\vee$ , which is called the *Poincaré bundle*.

### 2.2 Construction of the dual

Let  $\mathcal{L}$  be an ample bundle on  $A$ . We then have the map

$$\begin{aligned} \phi_{\mathcal{L}} : A &\rightarrow \text{Pic}^0(A) \\ x &\mapsto [t_x^* \mathcal{L} \otimes \mathcal{L}^{-1}]. \end{aligned}$$

By the theorem of the square, the image is in  $\text{Pic}^0(A)$ . One can prove the map  $\phi_{\mathcal{L}}$  is surjective, and has finite kernel  $K(\mathcal{L})$ . In fact,  $K(\mathcal{L})$  has a natural structure of a group scheme. This suggests that  $A^\vee$  should be the quotient  $A/K(\mathcal{L})$ , and one can show that this is indeed the case.

**Proposition 2.1.** *Let  $f : A \rightarrow B$  be a homomorphism of abelian varieties over  $k$ , and  $\mathcal{P}_A$  and  $\mathcal{P}_B$  be the Poincaré line bundles on  $A$  and  $B$ , respectively. Then, there exists an induced homomorphism  $f^\vee : B^\vee \rightarrow A^\vee$ , called the dual or transpose of  $f$ . Thus,  $f^\vee$  is the unique homomorphism such that*

$$(id_A \times f^\vee)^* \mathcal{P}_A \simeq (f \times id_B)^* \mathcal{P}_B$$

*as line bundles on  $A \times B^\vee$  with rigidification along  $\{0\} \times B^\vee$ .*

### 2.3 Polarisations

**Definition 2.2.** *Let  $A$  be an abelian variety. A polarisation on  $A$  is an isogeny  $\lambda : A \rightarrow A^\vee$  such that  $\lambda_{\bar{k}} : A(\bar{k}) \rightarrow \text{Pic}^0(A)$  is given by  $\lambda_{\bar{k}} = \phi_{\mathcal{L}}$  for some ample line bundle  $\mathcal{L}$  on  $A$  over  $\bar{k}$ . The degree of the polarisation  $\lambda$  is its degree as an isogeny. An abelian variety together with a polarisation is called a polarised abelian variety.*

There is an obvious notion of morphisms of polarised abelian varieties. If  $\lambda$  has degree 1, then we say that  $(A, \lambda)$  is a *principally polarised* abelian variety.

### 3 Structure of the isogeny category

#### 3.1 Poincaré reducibility

**Theorem 3.1** (Poincaré reducibility). *Let  $A$  be an abelian variety, and let  $B$  be an abelian subvariety. Then there exists an abelian subvariety  $C$  such that  $B \cap C$  is finite and  $B \times C \rightarrow A$  is an isogeny.*

*Proof.* Choosing polarisations on  $A$  and  $A/B$  to identify them with their duals, the dual to the quotient map  $A \rightarrow A/B$  is a map  $A/B \rightarrow A$ . We let  $C$  be its image. The properties are easy to verify.  $\square$

We say that an abelian variety  $A$  is *simple* if the only abelian subvarieties of  $A$  are 0 and  $A$ .

*Proof.* Every abelian variety is isogenous to a product of simple varieties.  $\square$

#### 3.2 The isogeny category

Define a category **Isog** as follows. The objects are abelian varieties. For two abelian varieties  $A$  and  $B$ , we put

$$\mathrm{Hom}_{\mathbf{Isog}}(A, B) = \mathrm{Hom}(A, B) \otimes \mathbb{Q}.$$

One can show that if  $f : A \rightarrow B$  is an isogeny then there exists an isogeny  $g : B \rightarrow A$  such that  $gf = [n]$ , for some  $n$ ; it follows that  $\frac{1}{n}g$  is the inverse to  $f$  in **Isog**. Thus isogenies become isomorphisms in **Isog**.

It is not difficult to see that **Isog** is in fact an abelian category. The simple objects of this category are exactly the simple abelian varieties. Poincaré's theorem shows that **Isog** is semi-simple as an abelian category. From this formalism, and general facts about abelian varieties, we deduce two results:

1. The decomposition (up to isogeny) into a product of simple abelian varieties is unique (up to isogeny). (Reason: in any semi-simple abelian category, the decomposition into simples is unique up to isomorphism.)
2. If  $A$  is a simple abelian variety then  $\mathrm{End}(A) \otimes \mathbb{Q}$  is a division algebra over  $\mathbb{Q}$ . (Reason: if  $A$  is a simple object in an abelian category and  $\mathrm{End}(A)$  contains a field  $k$ , then it is a division algebra over  $k$ .)

### 4 Basic example: elliptic curves

We will assume throughout this section, that  $k$  is a field of characteristic different from 2.

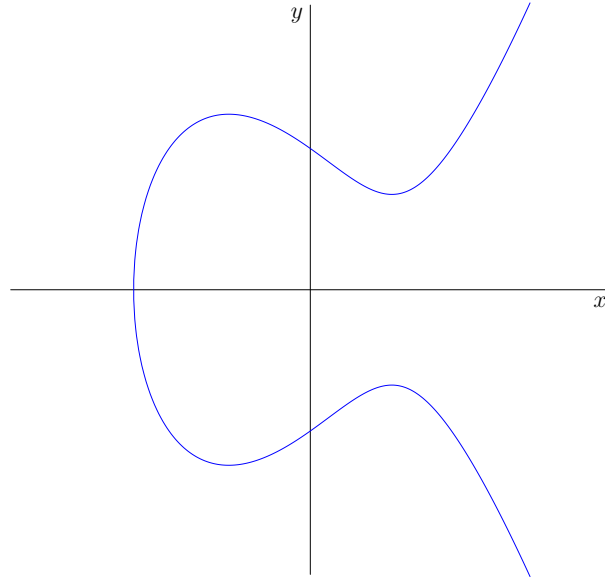
#### 4.1 Definition of an elliptic curve

**Definition 4.1.** *Let  $E : y^2 = f(x)$  be a cubic curve, where  $f(x) = x^3 + ax^2 + bx + c$ . Then, the discriminant  $\Delta_E$  of  $E$  is the discriminant  $\Delta_f$  of the polynomial  $f$ :*

$$\Delta_E := \Delta_f = -4a^3c + a^2b^2 + 18abc - 4b^3 - 27c^2.$$

**Example 4.2.** For a cubic curve  $E : y^2 = x^3 + ax + b$ ,  $a, b \in k$ , the discriminant  $\Delta_E = -4a^3 - 27b^2$ .



Figure 1: Real points of the elliptic curve  $y^2 = x^3 - 8$ 

We can now give the definition of an elliptic curve.

**Definition 4.3.** Let  $k$  be a field with characteristic different from 2. An elliptic curve over  $k$  is a cubic curve  $E: y^2 = f(x) = x^3 + ax^2 + bx + c$ , with  $a, b, c \in k$ , such that  $\Delta_E \neq 0$ .

The following lemma expresses the discriminant of a cubic polynomial in terms of its roots.

**Lemma 4.4.** Let  $f(x) = x^3 + ax^2 + bx + c$ , with  $a, b, c \in k$ , and  $e_1, e_2, e_3$  the roots of  $f$  in  $\bar{k}$ . Then the discriminant of  $f$  is given by

$$\Delta_f = [(e_1 - e_2)(e_2 - e_3)(e_1 - e_3)]^2.$$

A useful criteria to check whether a cubic is an elliptic curve.

**Proposition 4.5.** Let  $E: y^2 = f(x)$  be a cubic curve, with  $f(x) = x^3 + ax^2 + bx + c$  and  $a, b, c \in k$ . Then, we have  $E$  is an elliptic curve  $\iff f$  has **no** repeated roots  $\iff \Delta_E \neq 0$ .

**Example 4.6.** (a) The cubic  $E: y^2 = x^3 - 2x + 1$  is an elliptic curve over  $\mathbb{Q}$  since  $\Delta_E = -4(-2)^3 - 27(1) = 5 \neq 0$ .

(b) For  $c \in \mathbb{Z}$  non-zero, the curve  $E: y^2 = x^3 + c$  is an elliptic curve over  $\mathbb{Q}$  since  $\Delta_E = -27c^2 \neq 0$ . (See Figure 1 for the real locus of this curve.)

(c) The curve  $E: y^2 = x^3 + x^2 + 1$  is an elliptic curve over  $\mathbb{F}_3$ . Definition 4.1 shows that  $\Delta_E = -1 \neq 0 \in \mathbb{F}_3$ . Alternatively, letting  $f(x) = x^3 + x^2 + 1$ , we see that  $f'(x) = 3x^2 + 2x = 2x$  ( $\text{char}(\mathbb{F}_3) = 3$ ). So  $\gcd(f, f') = 1$ , which implies that  $f$  has distinct roots.

## 4.2 Definition of the group law

The homogenisation of the curve  $E$  in Definition 4.3 is given by

$$E : Y^2Z = X^3 + aX^2Z + bXZ^2 + cZ^3. \quad (1)$$

The *only* point at infinity on  $E$  is  $[0 : 1 : 0]$ , which we denote by  $\infty$  from now on. We will see that this point is the *neutral* element in the group structure on  $E$ .

**Definition 4.7.** Let  $E$  be an elliptic curve over  $k$ , and  $k'$  a field containing  $k$ . The set of  $k'$ -rational points of  $E$  is the set of  $k'$ -rational points on the homogenisation of  $E$ , namely

$$E(k') := \{[x : y : z] \in \mathbf{P}^2(k') : zy^2 = x^3 + ax^2z + bxz^2 + cz^3\}.$$

Since  $\mathbf{P}^2(k') = \mathbf{A}^2(k') \sqcup \{Z = 0\}$ , and  $\infty = [0 : 1 : 0]$  is the unique point at infinity, we can write

$$E(k') := \{(x, y) \in K'^2 : y^2 = x^3 + ax^2 + bx + c\} \sqcup \{\infty\}.$$

**Example 4.8.** Let  $k = \mathbb{Q}$ , and  $E : y^2 = x^3 + 1$ . The set of  $\mathbb{Q}$ -rational points  $E(\mathbb{Q})$  is given by

$$E(\mathbb{Q}) = \{(-1, 0), (0, \pm 1), (2, \pm 3)\} \cup \{\infty\}.$$

We have the natural inclusions  $E(\mathbb{Q}) \subset E(\mathbb{R}) \subset E(\mathbb{C})$ . (See Figure 3 for the sets  $E(\mathbb{Q}) \subset E(\mathbb{R})$ .)

**Example 4.9.** Let  $E : y^2 = x^3 + 2x + 5$  be the curve over  $\mathbb{F}_{11}$ . Then, we have

$$E(\mathbb{F}_{11}) = \{(0, \pm 4), (3, \pm 4), (4, 0), (-3, \pm 4), (-2, \pm 2)\} \cup \{\infty\}.$$

Let  $h \in k[x]$  be a polynomial of degree  $n$ . The number of roots of  $h$  counted with multiplicity in  $\bar{k}$  is  $n$ . The following theorem can be seen as a generalisation of that statement to elliptic curves.

**Theorem 4.10** (Bézout). Let  $k$  be a field,  $E : y^2 = x^3 + ax^2 + bx + c$  an elliptic curve over  $k$ , and  $L \subset \mathbf{P}^1(\bar{k})$  a line. The set  $L \cap E$  contains three points counted with multiplicity.

Let  $L : \alpha x + \beta y + \gamma = 0$  be a line, with  $\alpha, \beta, \gamma \in k$ . We want to find  $L \cap E \subset \mathbf{P}^1(\bar{k})$ , so we first homogenise  $L : \alpha X + \beta Y + \gamma Z = 0$ . Then we have two cases:

**Case 1:** The *unique* point infinity  $\infty = [0 : 1 : 0] \in L \cap E$ .

In that case, we see that  $\alpha x + \beta y + \gamma z = 0$  implies that  $\beta = 0$ . This means that either:

- (a)  $L$  is the line at infinity  $Z = 0$ . In that case  $P = \infty$  is the *only* point of intersection, hence has multiplicity *three*.
- (b)  $L$  is vertical line  $\alpha X + \gamma Z = 0$  ( $\alpha \neq 0$ ). The other points of intersection are  $(x_0, \pm y_0)$ , where  $x_0 = -\frac{\gamma}{\alpha}$  and  $y_0 = \sqrt{f(x_0)}$ . If  $y_0 = 0$ , then we get a unique point  $P = (x_0, 0)$  with multiplicity *two*; otherwise, we get two distinct points  $P = (x_0, y_0)$  and  $Q = (x_0, -y_0)$ , with multiplicity *one* each. In either case, the point  $\infty$  has multiplicity *one*.

**Case 2:**  $L \cap E$  consists of three *affine* points counted with multiplicity.

- (a)  $L \cap E$  has *two distinct* points  $P$  and  $Q$ : In this case,  $L$  is a tangent to  $E$  at  $P$  or  $Q$ . The tangent point has multiplicity *two*, and the other point has multiplicity *one*.

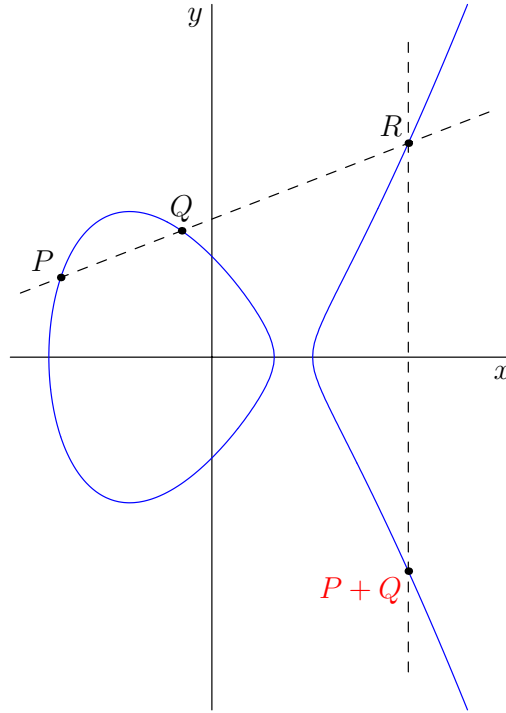


Figure 2: Group addition law

(b)  $L \cap E$  has three distinct points  $P$ ,  $Q$  and  $R$ . In that case, each point has multiplicity one.

We are now ready to define the group structure on  $E(\bar{k})$ .

**Definition 4.11.** Let  $E$  be an elliptic curve over  $k$ , and

$$E(\bar{k}) = \{(x, y) \in \bar{k}^2 : y^2 = x^3 + ax^2 + bx + c\} \sqcup \{\infty\}.$$

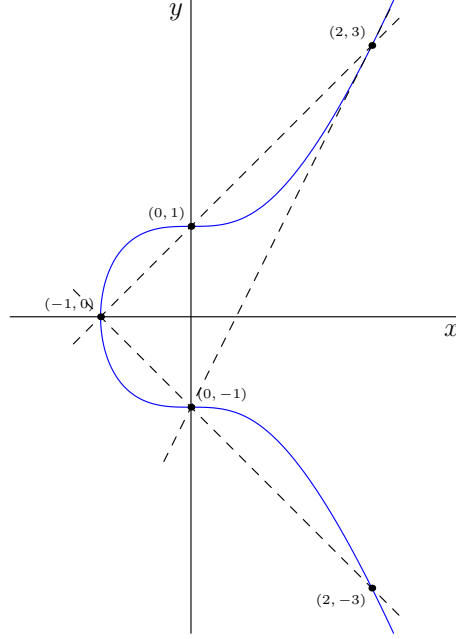
The addition law  $+$  on  $E(\bar{k})$  is defined as follows:

- (i) The neutral element is  $\infty$ ;
- (ii) If  $P, Q, R \in E(\bar{k})$  are collinear, then  $P + Q + R = \infty$  ( $\Leftrightarrow P + Q = -R$ ).

In words, to obtain the sum  $P + Q$ , we first draw the line  $L$  through  $P$  and  $Q$  (if  $P \neq Q$ ) or the tangent line (if  $P = Q$ ), and let  $R$  be its third intersection point with  $E(\bar{k})$ . If  $R = (x_R, y_R)$  is affine, then  $P + Q = -R = (x_R, -y_R)$ ; otherwise,  $P + Q = \infty$ . (See Figure 2.)

**Remark 4.12.** By Definition 4.11 and the discussion preceding it, if  $P = (x, y)$  is affine, then the negative of  $P$  is  $-P = (x, -y)$  since  $(x, y)$  and  $(x, -y)$  are on a vertical line, which intersects  $E$  at  $\infty$ .

**Example 4.13.** Let  $E : y^2 = x^3 + 1$  over  $\mathbb{Q}$  be the curve in Example 4.8. Let  $P = (-1, 0)$  and  $Q = (0, 1)$ . The equation of the line through  $P$  and  $Q$  is  $y = x + 1$ . So, we see that the point  $R = (2, 3)$ . The line through  $R$  and  $\infty$  is the vertical line  $x = 2$ . It intersects  $E$  at  $(2, -3)$ , so  $P + Q = (2, -3)$  (see Figure 3). Similarly, one can compute the sum of any two points in  $E(\mathbb{Q})$ .

Figure 3: Euler cubic:  $y^2 = x^3 + 1$ 

The theorem below says that Definition 4.11 makes  $E(\bar{k})$  into an abelian group.

**Theorem 4.14.** *Let  $E$  be an elliptic curve defined over a field  $K$ . Then,  $E(\bar{k})$  is an abelian group under the operation  $+$ , with identity element  $\infty (= [0 : 1 : 0])$ . In other words, we have*

- (i)  $P + Q = Q + P \quad \forall P, Q \in E(\bar{k})$  (commutativity).
- (ii)  $P + \infty = P \quad \forall P \in E(\bar{k})$  (identity element).
- (iii) If  $P = (x, y)$ , then  $-P = (x, -y)$  (opposite element).
- (iv)  $P + (Q + R) = (P + Q) + R, \quad \forall P, Q, R \in E(\bar{k})$  (associativity).

*Proof.* Properties (i)-(iii) follow easily from Definition 4.11 and the discussion preceding it. However, the last statement (iv) is very hard to prove, and beyond the scope of this course.  $\square$

### 4.3 Computing with the group law

We now give a more explicit description of the group law on  $E(\bar{k})$ .

**Proposition 4.15.** *Let  $E$  be as above, and  $P_1, P_2 \in E(\bar{k})$ . Then  $P_1 + P_2$  is given by*

- (1) If  $P_1 = \infty$  then  $P_1 + P_2 = P_2$ ; if  $P_2 = \infty$ , then  $P_1 + P_2 = P_1$ .

Assume that  $P_1, P_2 \neq \infty$ , so that  $P_i = (x_i, y_i)$ ,  $i = 1, 2$ ; then

- (2) If  $x_1 = x_2$  and  $y_1 = -y_2$  then  $P_1 + P_2 = \infty$ .

- (3) If  $x_1 = x_2$  and  $y_1 = y_2 \neq 0$  then set  $\lambda = \frac{3x_1^2 + 2ax_1 + b}{2y_1}$ ; otherwise, set  $\lambda = \frac{y_1 - y_2}{x_1 - x_2}$ . Let  $x_3 = \lambda^2 - a - x_1 - x_2$ ,  $y_3 = y_1 + \lambda(x_3 - x_1)$  and  $P_3 = (x_3, -y_3)$ , then  $P_1 + P_2 = P_3$ .

*Proof.* We note that (1) and (2) are just a restatement of Theorem 4.14 (ii) and (iii). So we only need to prove (3). In that case, let  $L : y = \lambda x + \nu$  be the line through  $P_1, P_2$ , and  $R = (x_3, y_3)$  its 3rd point of intersection with  $E$ . If  $P_1 = P_2$ , then  $L$  is the tangent line at  $P_1$  with  $\lambda = \frac{3x_1^2 + 2ax_1 + b}{2y_1}$  and  $\nu = y_1 - \lambda x_1$ . Otherwise,  $L$  is the line with slope  $\lambda = \frac{y_2 - y_1}{x_2 - x_1}$  and  $x$ -intercept  $\nu = y_1 - \lambda x_1 = y_2 - \lambda x_2$ . The  $x$ -coordinates  $x_1, x_2$  and  $x_3$  of the points in  $L \cap E$  (counted with multiplicity) satisfy the equation

$$(\lambda x + \nu)^2 = x^3 + ax^2 + bx + c.$$

By moving all terms to the same side, expanding and then factorising, we get

$$x^3 + (a - \lambda^2)x^2 + (b - 2\lambda\nu)x + c - \nu^2 = (x - x_1)(x - x_2)(x - x_3) = 0.$$

By equating the terms of degree 2, we get  $x_1 + x_2 + x_3 = -(a - \lambda^2)$ . From this, we recover  $R = (x_3, y_3)$ , which gives  $P_1 + P_2 = P_3 = (x_3, -y_3)$ .  $\square$

**Remark 4.16.** From proof above, we note that if  $x_i \in k$ , then  $y_i = \lambda x_i + \nu \in k$  and the intersection point  $(x_i, y_i)$  is defined over  $k$ . We also note that, if two of the roots  $x_1, x_2, x_3$  are defined over  $k$ , then so is the third one since  $x_1 + x_2 + x_3 = -(a - \lambda^2) \in k$ .

**Example 4.17.** Let  $E : y^2 = x^3 + 73$ , and  $P = (2, 9)$ ,  $Q = (3, 10)$ .

- (a) The slope of the line through  $P$  and  $Q$  is  $\lambda = \frac{y_Q - y_P}{x_Q - x_P} = \frac{10 - 9}{3 - 2} = 1$ . Let  $R = (x_R, y_R)$  be the 3rd point of intersection of this line with  $E$ . Then, we have  $x_P + x_Q + x_R = \lambda^2$ . So  $x_R = (1)^2 - 2 - 3 = -4$ , and  $y_R = y_P + \lambda(x_R - x_P) = 9 + (-4 - 2) = 3$ . Hence  $P + Q = -R = (-4, -3)$ .
- (b) The slope of the tangent line at  $P$  is  $\lambda = \frac{3x_P^2}{2y_P} = \frac{3(2)^2}{2(9)} = \frac{2}{3}$ . For the 3rd point of intersection  $R = (x_R, y_R)$ , we have  $2x_P + x_R = \lambda^2$ . So  $x_R = (\frac{2}{3})^2 - 2(2) = -\frac{32}{9}$ , and  $y_R = y_P + \lambda(x_R - x_P) = 9 + \frac{2}{3}(-\frac{32}{9} - 2) = \frac{143}{27}$ . Hence  $2P = -R = -(x_R, y_R) = (x_R, -y_R) = (-\frac{32}{9}, -\frac{143}{27})$ .

**Example 4.18.** Let  $E : y^2 = x^3 + 2x + 5$  be the curve defined  $\mathbb{F}_{11}$  in Example 4.9, and  $P = (-3, 4)$ . We compute  $2P$  using Proposition 4.15. We have  $\lambda = \frac{3x_P^2 + 2}{2y_P} = \frac{3(-3)^2 + 2}{2(4)} = 5 \pmod{11}$ . So, we have  $x_{2P} = \lambda^2 - 2x_P = (5^2) - 2(-3) = 25 + 6 = -2 \pmod{11}$ . So, we get that  $-y_{2P} = y_P + \lambda(x_{2P} - x_P) = 4 + 5(-2 - (-3)) = -2 \pmod{11}$ . This gives  $y_{2P} = 2$  and  $2P = (-2, 2)$ . If we compute  $4P$ , we obtain  $4P = 2(2P) = 2(-2, 2) = (-3, -4) = -P$ .

This means that  $5P = (4 + 1)P = \infty$ . Since  $P \neq \infty$ , we see that  $P$  is a point of order 5. Now, let us observe that  $Q = (4, 0) \in E(\mathbb{F}_{11})$  is a point of order 2 since  $y_Q = 0$ , hence  $Q = -Q$ . (Observe that, if  $Q = (x, y) \in E(K)$  then  $-Q = (x, -y)$ .) This means that  $P + Q$  is a point of order 10. Since  $\#E(\mathbb{F}_{11}) = 10$ , we deduce from these computations that  $E(\mathbb{F}_{11})$  is a cyclic group of order 10.

**Corollary 4.19.** If  $k \subseteq k' \subseteq \bar{k}$  is a subfield, then  $E(k')$  is a subgroup of  $E(\bar{k})$ .

*Proof.* By definition, the identity element  $\infty \in E(k')$ ; also  $P = (x, y) \in E(k')$  implies that  $-P = (x, -y) \in E(k')$ . So we only need to show that

$$P, Q \in E(k') \Rightarrow P + Q \in E(k').$$

But this follows from Proposition 4.15 and Remark 4.16.  $\square$