

# ARITHMETIC OF K3 SURFACES

## ARIZONA WINTER SCHOOL 2015

ANTHONY VÁRILLY-ALVARADO  
ASSISTANT: SHO TANIMOTO

### 1. COURSE OUTLINE

The qualitative features of the arithmetic of curves is strongly governed by geometry. Elliptic curves form a fascinating class of varieties to study because they are varieties “of intermediate type”, i.e., they are neither (geometrically) birational to  $\mathbb{P}^1$ , nor are they varieties of general type. K3 surfaces occupy a similar place in the theory of surfaces. This class of surfaces includes double covers of  $\mathbb{P}^2$  ramified over a sextic plane curve, quartic surfaces in  $\mathbb{P}^3$ , and complete intersections of three quadrics in  $\mathbb{P}^5$ . The last fifteen years have seen a surge of activity on the arithmetic of K3 surfaces. The goal of this course is to survey some of these developments, with an emphasis on explicit methods and examples.

**Geometry of K3 surfaces.** We will start with a crash course (light on proofs) on the geometry of K3 surfaces: topological properties, including the lattice structure of  $H^2(X, \mathbb{Z})$  and simple connectivity; the period point of K3 surface, the Torelli theorem and surjectivity of the period map. Good references for this material include [BHPVdV04, Ch. VIII] and [LP80].

**Potential Density.** A variety  $X$  over a number field  $k$  is said to satisfy potential density if there is a finite extension  $L/k$  such that  $X(L)$  is Zariski dense in  $X$ . After a quick survey of some known results for several classes of varieties, we will explain work of Bogomolov and Tschinkel that shows that K3 surfaces  $X$  endowed with an elliptic fibration or with an infinite automorphism group satisfy potential density [BT98, BT99, BT00, Has03].

**Picard groups.** It is known that over a number field  $k$ , the (geometric) Picard group  $\text{Pic}(\overline{X})$  of a projective K3 surface  $X$  is a free  $\mathbb{Z}$ -module of rank  $1 \leq \rho(\overline{X}) \leq 20$ . Determining  $\rho(\overline{X})$  for a given K3 surface is a difficult task; we will explain how work of van Luijk, Kloosterman, Elsenhans-Jahnel and Charles [vL07, Klo07, EJ11, Cha14] solves this problem.

**Brauer Groups.** The Galois module structure of  $\text{Pic}(\overline{X})$  allows one to compute an important piece of the Brauer group  $\text{Br}(X) = H^2(X_{\text{et}}, \mathbb{G}_m)$  of a locally solvable K3 surface  $X$ , consisting of the classes of  $\text{Br}(X)$  that are killed by passage to an algebraic closure (modulo Brauer classes coming from the ground field). These classes can be used to construct counter-examples to the Hasse principle on K3 surfaces via Brauer-Manin obstructions, a topic which will dovetail with Viray’s course.

For surfaces of negative Kodaira dimension (e.g., cubic surfaces), we have  $\text{Br}_1(X) = \text{Br}(X)$ , so the algebraic Brauer group already gives all the information needed to determine

---

2000 *Mathematics Subject Classification.* Primary 11 G35; Secondary 14 G05.

Brauer-Manin obstructions to the Hasse principle and weak approximation. In contrast, for a K3 surface  $X$ , we know that  $\mathrm{Br}(X(\mathbb{C})) \cong (\mathbb{Q}/\mathbb{Z})^{22-\rho}$ . However, a remarkable theorem of Skorobogatov and Zarhin [SZ08] says that over a number field the quotient  $\mathrm{Br}(X)/\mathrm{Br}(k)$  is finite! The remainder of the course will be devoted to ongoing work by several authors on the computation of the non-algebraic Brauer classes on K3 surfaces, and their impact on the arithmetic of such surfaces [HVAV11, HVA13, MSTVA14].

## 2. PROJECT DESCRIPTION

**2.1. Diagonal K3 surfaces of degree 2.** The goal of this project is to understand the geometric Picard group, as a Galois module, of certain double covers of  $\mathbb{P}^2$  ramified along a sextic. More concretely, over a number field  $k$ , we want to study the hypersurface in the weighted projective space  $\mathbb{P}(1, 1, 1, 3) = \mathrm{Proj} k[x, y, z, w]$  given by

$$X_{A,B,C}/k : \quad w^2 = Ax^6 + By^6 + Cz^6$$

for some  $A, B$  and  $C \in k^\times$ .

- (1) What is the rank of  $\mathrm{Pic}(\overline{X}_{A,B,C})$ ? Note that to compute this number we may assume that  $A = B = C = 1$ . What upper bounds are suggested by reduction modulo 3 and point counting?
- (2) The double cover map  $\pi: X_{A,B,C} \rightarrow \mathbb{P}_k^2 = \mathrm{Proj} k[x, y, z]$  gives us a large supply of divisors on  $X_{A,B,C}$ , namely, the components of the pullback of a line in  $\mathbb{P}_k^2$  tritangent to the branch curve  $Ax^6 + By^6 + Cz^6 = 0$ . What is the rank of the sublattice of  $\mathrm{Pic}(\overline{X}_{A,B,C})$  generated by these divisors? Does it equal  $\rho(\overline{X}_{A,B,C})$ ? If so, is the sublattice saturated, i.e., is it all of the Picard group? If not, what are the missing divisor classes?
- (3) What is the Galois module structure of  $\mathrm{Pic}(\overline{X}_{A,B,C})$ ? The answer should depend on  $A, B$  and  $C$ . What is the group  $H^1(\mathrm{Gal}(\overline{k}/k), \mathrm{Pic}(\overline{X}_{A,B,C}))$ ?
- (4) The Hochschild-Serre spectral sequence gives rise to an isomorphism

$$\mathrm{Br}_1(X_{A,B,C})/\mathrm{Br}_0(X_{A,B,C}) \xrightarrow{\sim} H^1(\mathrm{Gal}(\overline{k}/k), \mathrm{Pic}(\overline{X}_{A,B,C})),$$

where  $\mathrm{Br}_1(X_{A,B,C}) = \ker(\mathrm{Br}(X_{A,B,C}) \rightarrow \mathrm{Br}(\overline{X}_{A,B,C}))$  is the algebraic Brauer group, and  $\mathrm{Br}_0(X_{A,B,C}) = \mathrm{im}(\mathrm{Br}(k) \rightarrow \mathrm{Br}(X_{A,B,C}))$  is the subgroup of constant algebras. Can you invert this map and produce central simple algebras over the function field  $k(X_{A,B,C})$  that represent nonconstant algebraic classes in  $\mathrm{Br}(X_{A,B,C})$ ? Can you use these classes to give examples of Brauer-Manin obstructions to weak approximation or the Hasse principle? The paper [VA08, §3] could be of help here.

- (5) Specialize to  $k = \mathbb{Q}$ . Look at the “box”

$$\mathcal{B} := \{(A, B, C) \in \mathbb{Z}^3 : |A|, |B|, |C| \leq 100\}.$$

For which  $(A, B, C) \in \mathcal{B}$  is there an algebraic obstruction to the Hasse principle on  $X_{A,B,C}$ ? If there is no obstruction, can you find a rational point on  $X_{A,B,C}$ ?

- (6) Can you construct a cubic fourfold containing a plane having  $X$  as its associated K3 surface? See [HVAV11] for details on this construction. If so, can you construct a transcendental element of  $\mathrm{Br}(X)[2]$  as a quaternion algebra over the function field

$k(X)$ ? How about transcendental elements in  $\text{Br}(X)[2]$  arising from K3 surfaces of degree 8? See [MSTVA14] for the geometry involved here.

**2.2. Twisted derived equivalence and rational points.** The goal of this project is to explore a recent question coming out of work of Hassett and Tschinkel. FYI: *You don't have to know much about twisted derived categories to work on this project!* However, a good understanding of the paper [HVA13] would be most helpful.

**Question 2.1.** *Let  $X$  and  $Y$  be locally solvable K3 surfaces over a number field, and suppose there is an equivalence of twisted derived categories  $D^b(X, \alpha) \cong D^b(Y, \beta)$  for some  $\alpha \in \text{Br}(X)$  and  $\beta \in \text{Br}(Y)$ . Assume that  $\alpha$  obstructs the Hasse principle on  $X$ . Is  $Y(k) = \emptyset$ ?*

Here is a concrete instance where we can explore this problem: Let  $W$  be a double cover of  $\mathbb{P}^2 \times \mathbb{P}^2$  ramified along a type  $(2, 2)$  divisor. The two projections  $\pi_i: W \rightarrow \mathbb{P}^2$  ( $i = 1, 2$ ) give quadric bundle fibrations, and the degeneracy locus of this fibration is a plane sextic in  $\mathbb{P}^2$ . Taking the double cover of  $\mathbb{P}^2$  ramified along the branch locus of  $\pi_i$  gives a K3 surface. We thus obtain two K3 surfaces  $X$  and  $Y$  out of  $W$ . In [HVA13] we explain how to use  $W$  to construct elements  $\alpha \in \text{Br}(X)[2]$  and  $\beta \in \text{Br}(Y)[2]$ . It turns out that  $D^b(X, \alpha) \cong D^b(Y, \beta)$ . This way we get a good supply of surfaces on which to test Question 2.1. Our goal is then to

- (1) Produce a supply of  $(X, \alpha)$  and  $(Y, \beta)$  as above over  $\mathbb{Q}$ , in such a way that  $X(\mathbb{Q}) = \emptyset$  on account of the class  $\alpha$ . The delicate point here is to do this in a way that the defining equations of  $W$  have small coefficients (this will require an implementation of invariant calculations on 2-adic points of  $X$ ). In order to do this, it'd be nice to guarantee that  $\rho(\bar{X}) = 1$  (this will ensure that  $\rho(\bar{Y}) = 1$ , and thus there is no “interference” from algebraic Brauer classes).
- (2) For the surfaces in our catalogue, does  $\beta$  obstruct rational points on  $Y$ ? If not, can we develop an efficient algorithm to search for points on K3 surfaces of degree 2?

## REFERENCES

- [BHPVdV04] W. P. Barth, K. Hulek, C. A. M. Peters, and A. Van de Ven, *Compact complex surfaces*, 2nd ed., Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge., vol. 4, Springer-Verlag, Berlin, 2004. ↑1
- [BT98] F. A. Bogomolov and Yu. Tschinkel, *Density of rational points on Enriques surfaces*, Math. Res. Lett. **5** (1998), no. 5, 623–628. ↑1
- [BT99] ———, *On the density of rational points on elliptic fibrations*, J. Reine Angew. Math. **511** (1999), 87–93. ↑1
- [BT00] ———, *Density of rational points on elliptic K3 surfaces*, Asian J. Math. **4** (2000), no. 2, 351–368. ↑1
- [Cha14] F. Charles, *On the Picard number of K3 surfaces over number fields*, Algebra Number Theory **8** (2014), no. 1, 1–17. ↑1
- [EJ11] A.-S. Elsenhans and J. Jahnel, *The Picard group of a K3 surface and its reduction modulo  $p$* , Algebra Number Theory **5** (2011), no. 8, 1027–1040. ↑1
- [Has03] B. Hassett, *Potential density of rational points on algebraic varieties*, Higher dimensional varieties and rational points (Budapest, 2001), Bolyai Soc. Math. Stud., vol. 12, Springer, Berlin, 2003, pp. 223–282. ↑1
- [HVA13] B. Hassett and A. Várilly-Alvarado, *Failure of the Hasse principle on general K3 surfaces*, J. Inst. Math. Jussieu **12** (2013), no. 4, 853–877. ↑1, 2.2, 2.2

- [HVAV11] B. Hassett, A. Várilly-Alvarado, and P. Varilly, *Transcendental obstructions to weak approximation on general K3 surfaces*, Adv. Math. **228** (2011), no. 3, 1377–1404. ↑1, 6
- [Klo07] R. Kloosterman, *Elliptic K3 surfaces with geometric Mordell-Weil rank 15*, Canad. Math. Bull. **50** (2007), no. 2, 215–226. ↑1
- [LP80] E. Looijenga and C. Peters, *Torelli theorems for Kähler K3 surfaces*, Compositio Math. **42** (1980/81), no. 2, 145–186. ↑1
- [vL07] R. van Luijk, *K3 surfaces with Picard number one and infinitely many rational points*, Algebra Number Theory **1** (2007), no. 1, 1–15. ↑1
- [MSTVA14] K. McKinnie, J. Sawon, S. Tanimoto, and A. Várilly-Alvarado, *Brauer groups on K3 surfaces and arithmetic applications* (2014). Preprint; arXiv:1404.5460. ↑1, 6
- [SZ08] A. N. Skorobogatov and Yu. G. Zarhin, *A finiteness theorem for the Brauer group of abelian varieties and K3 surfaces*, J. Algebraic Geom. **17** (2008), no. 3, 481–502. ↑1
- [VA08] A. Várilly-Alvarado, *Weak approximation on del Pezzo surfaces of degree 1*, Adv. Math. **219** (2008), no. 6, 2123–2145. ↑4

DEPARTMENT OF MATHEMATICS MS 136, RICE UNIVERSITY, HOUSTON, TX 77005, USA

*E-mail address:* varilly@rice.edu

*URL:* <http://www.math.rice.edu/~av15>