

## Week 03

### Things to Note ...

- **Assignment 1** released tomorrow
  - due **Wednesday** 30 August 23:59pm (week 6)
- Fun Quiz next week

### In This Lecture ...

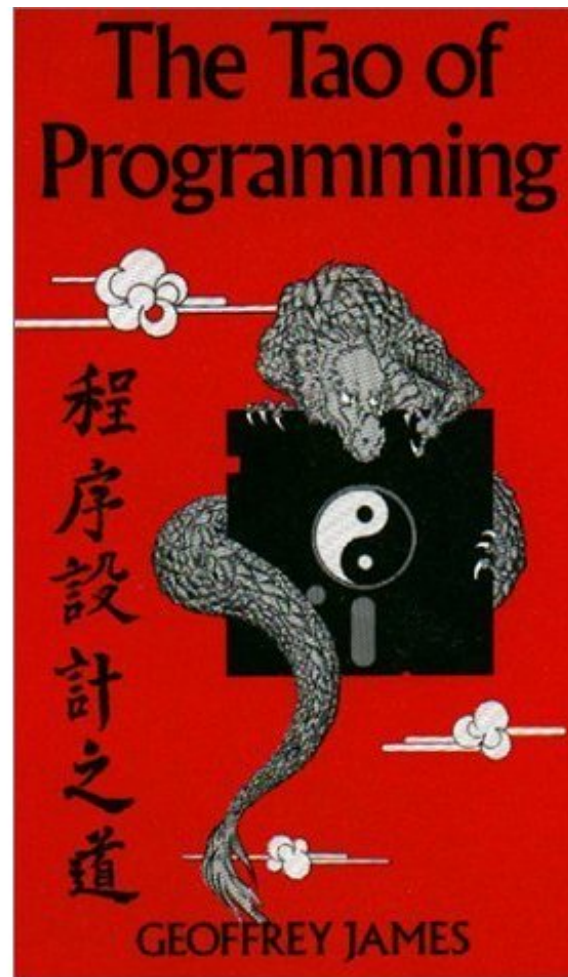
- Dynamic data structures ([Slides](#), [\[M\]](#) 10.1-10.2, [\[S\]](#) 3.3-3.5,4.4,4.6)

### Coming Up ...

- Analysis of algorithms ([Slides](#), [\[S\]](#) Ch.2)

# The Tao of Programming

First in a series of advices from the Tao of Programming ...



## The Tao of Programming (cont)

Book 1

Chapter 1.3

*In the beginning was the Tao. The Tao gave birth to Space and Time.*

*Therefore Space and Time are the Yin and Yang of programming.*

*Programmers that do not comprehend the Tao are always running out of time and space for their programs. Programmers that comprehend the Tao always have enough time and space to accomplish their goals.*

*How could it be otherwise?*

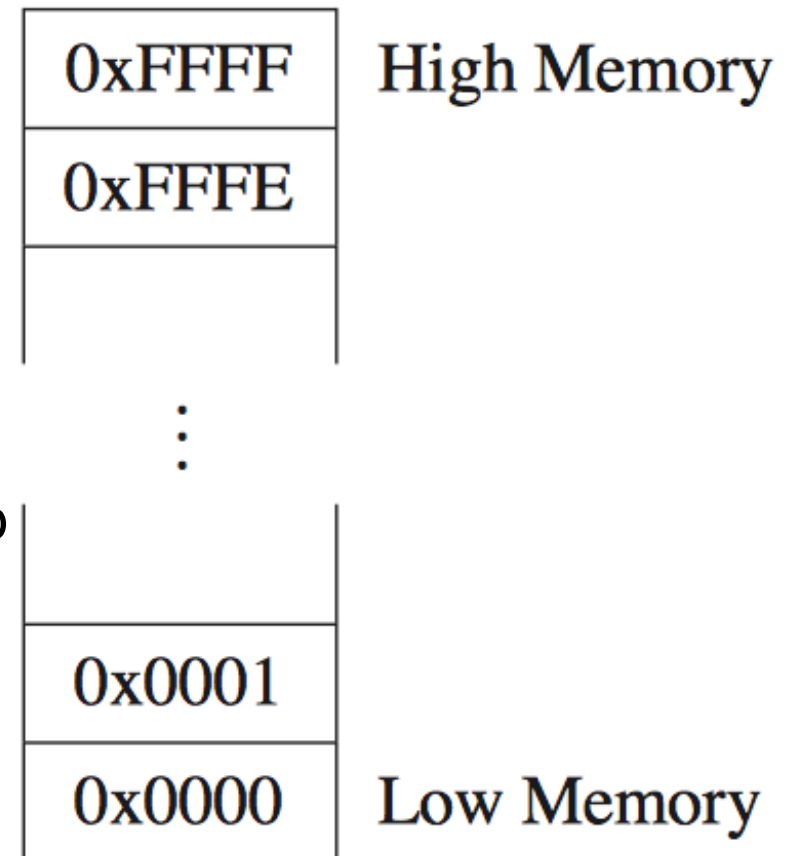
# Memory

Reminder:

Computer memory ... large array of consecutive data cells or bytes

- **char** ... 1 byte
- **int, float** ... 4 bytes
- **double** ... 8 bytes
- ***any\_type* \*** ... 4 bytes (on CSE lab computers)

Memory addresses shown in Hexadecimal notation

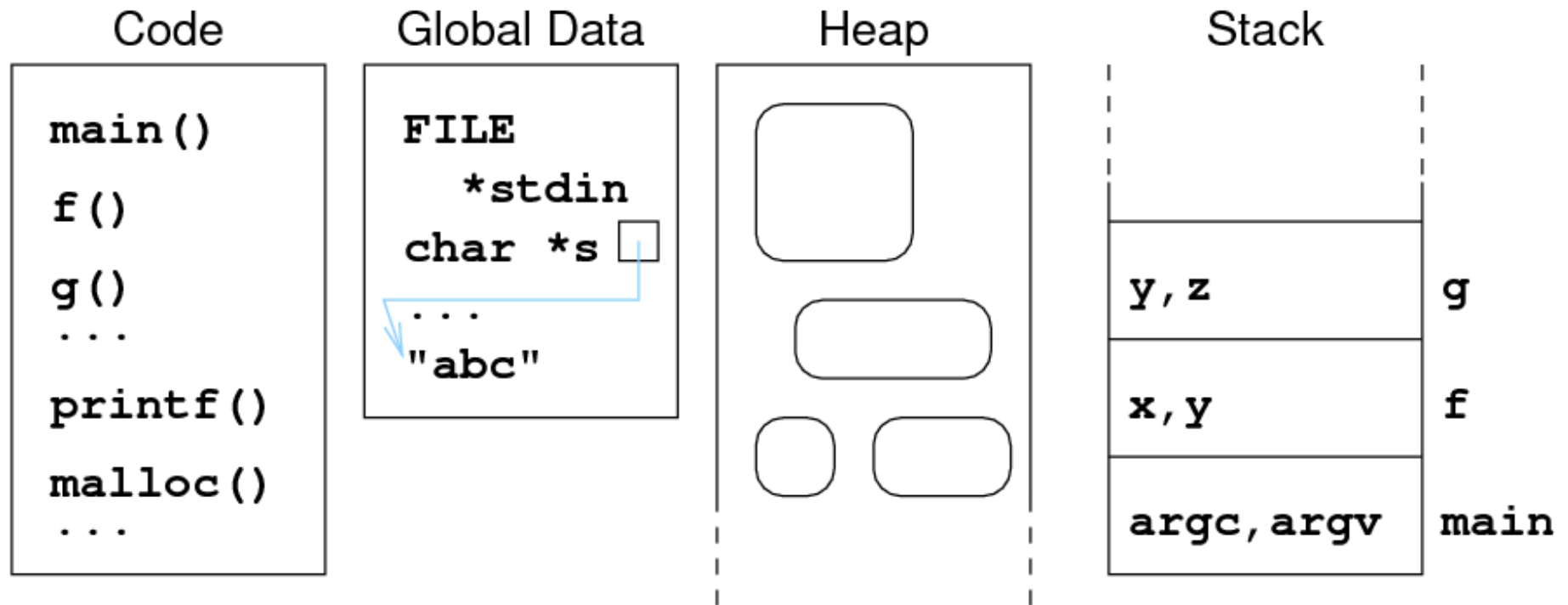


## C execution: Memory

An executing C program partitions memory into:

- **code** ... fixed-size, read-only region
  - contains the machine code instructions for the program
- **global data** .. fixed-size, read-write region
  - contain global variables and constant strings
- **heap** ... very large, read-write region
  - contains dynamic data structures created by `malloc()` (see later)
- **stack** ... dynamically-allocated data (function local vars)
  - consists of frames, one for each currently active function
  - each frame contains local variables and house-keeping info

## C execution: Memory (cont)



## Exercise #1: Memory Regions

```
int numbers[] = { 40, 20, 30 };

void insertionSort(int array[], int n) {
    int i, j;
    for (i = 1; i < n; i++) {
        int element = array[i];
        while (j >= 0 && array[j] > element) {
            array[j+1] = array[j];
            j--;
        }
        array[j+1] = element;
    }
}

int main(void) {
    insertionSort(numbers, 3);
    return 0;
}
```

Which memory region are the following objects located in?

1. **insertionSort()**
2. **numbers[0]**
3. **n**
4. **array[0]**
5. **element**

1. code
2. global
3. stack
4. global
5. stack



# Dynamic Data Structures

## Dynamic Memory Allocation

So far, we have considered **static** memory allocation

- all objects completely defined at compile-time
- sizes of all objects are known to compiler

Examples:

```
int    x;           // 4 bytes containing a 32-bit integer value
char  *cp;          // 4 bytes (on CSE machines)
                        // containing address of a char
typedef struct {float x; float y;} Point;
Point p;            // 8 bytes containing two 32-bit float values
char  s[20];        // array containing space for 20 1-byte chars
```

## Dynamic Memory Allocation (cont)

In many applications, fixed-size data is ok.

In many other applications, we need flexibility.

Examples:

```
char name[MAXNAME];    // how long is a name?
char item[MAXITEMS];   // how high can the stack grow?
char dictionary[MAXWORDS][MAXWORDLENGTH];
                        // how many words are there?
                        // how long is each word?
```

With fixed-size data, we need to guess sizes ("large enough").

## Dynamic Memory Allocation (cont)

Fixed-size memory allocation:

- allocate as much space as we might ever possibly need

Dynamic memory allocation:

- allocate as much space as we actually need
- determine size based on inputs

But how to do this in C?

- all data allocation methods so far are "static"
  - however, stack data (when calling a function) is created dynamically (size is known)

## Dynamic Data Example

Problem:

- read integer data from standard input (keyboard)
- first number tells how many numbers follow
- rest of numbers are read into a vector
- subsequent computation uses vector (e.g. sorts it)

Example input: **6 25 -1 999 42 -16 64**

How to define the vector?

## Dynamic Data Example (cont)

Suggestion #1: allocate a large vector; use only part of it

```
#define MAXELEMS 1000

// how many elements in the vector
int numberOfElems;
scanf("%d", &numberOfElems);
assert(numberOfElems <= MAXELEMS);

// declare vector and fill with user input
int i, vector[MAXELEMS];
for (i = 0; i < numberOfElems; i++)
    scanf("%d", &vector[i]);
```

Works ok, unless too many numbers; usually wastes space.

Recall that **assert()** terminates program with standard error message if test fails.

## Dynamic Data Example (cont)

Suggestion #2: use variables to give object sizes

```
// how many elements in the vector
int numberOfElems;
scanf("%d", &numberOfElems);

// declare vector and fill with user input
int i, vector[numberOfElems];
for (i = 0; i < numberOfElems; i++)
    scanf("%d", &vector[i]);
```

Produces compiler error (compiler needs to know object sizes)

## Dynamic Data Example (cont)

Suggestion #3: create vector after count read in

```
#include <stdlib.h>

// how many elements in the vector
int numberOfElems;
scanf("%d", &numberOfElems);

// declare vector and fill with user input
int i, *vector;
size_t numberOfBytes;
numberOfBytes = numberOfElems * sizeof(int);

vector = malloc(numberOfBytes);
assert(vector != NULL);

for (i = 0; i < numberOfElems; i++)
    scanf("%d", &vector[i]);
```

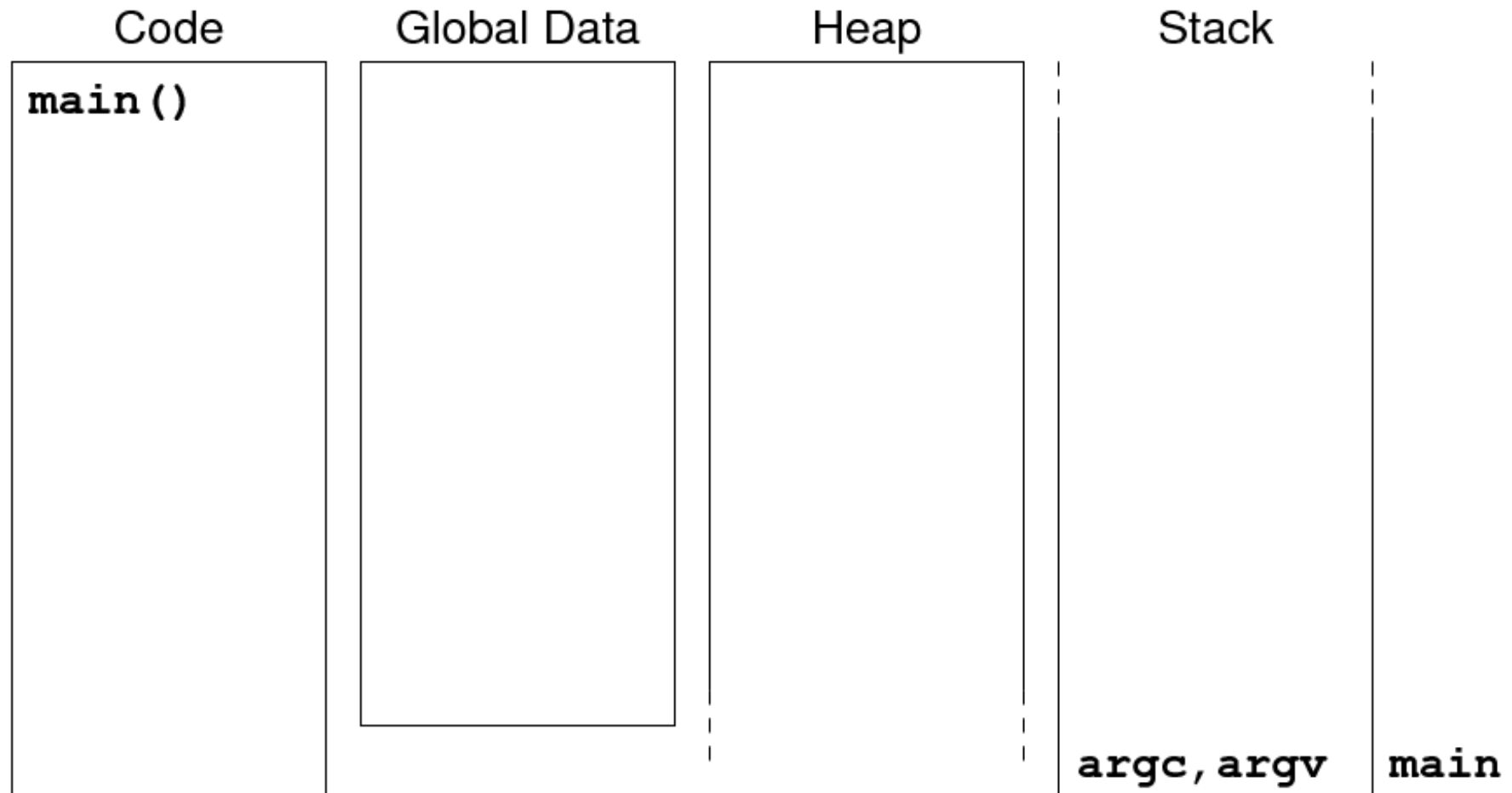
Works unless the [heap](#) is already full (very unlikely)

Reminder: because of pointer/array connection `&vector[i] == vector+i`



## The malloc() function

Recall memory usage within C programs:



## The malloc() function (cont)

malloc() function interface

```
void *malloc(size_t n);
```

What the function does:

- attempts to reserve a block of **n** bytes in the **heap**
- returns the address of the start of this block
- if insufficient space left in the heap, returns **NULL**

Note: **size\_t** is essentially an **unsigned int**

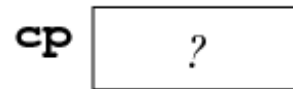
- but has specialised interpretation of applying to memory sizes measured in bytes

## The malloc() function (cont)

Example use of malloc:

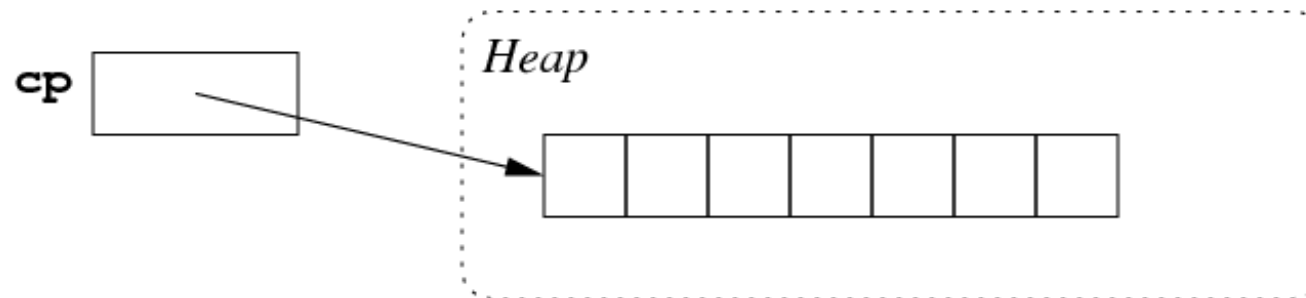
```
char *cp;
```

*Before:*



```
cp = (char *)malloc(7);
```

*After:*



## The `malloc()` function (cont)

Things to note about `void *malloc(size_t):`

- it is defined as part of `stdlib.h`
- its parameter is a size in units of *bytes*
- its return value is a **generic** pointer (`void *`)
- the return value must *always* be checked (may be `NULL`)

Required size is determined by `#Elements * sizeof(ElementType)`

## Exercise #2: Dynamic Memory Allocation

Write code to

1. create a dynamic  $m \times n$ -matrix of floating point numbers, given  $m$  and  $n$
2. create space for 1,000 speeding tickets (cf. Lecture Week 1)

How many bytes need to be reserved in each case?

## 1. Matrix:

```
float *matrix = malloc(m * n * sizeof(float));  
assert(matrix != NULL);
```

4·*mn* bytes allocated

## 2. Speeding tickets:

```
typedef struct {  
    int day, month, year;  
} DateT;  
typedef struct {  
    int hour, minute;  
} TimeT;  
typedef struct {  
    char plate[7];  
    DateT d;  
    TimeT t;  
} TicketT;  
  
TicketT *tickets = malloc(1000 * sizeof(TicketT));  
assert(tickets != NULL);
```

28,000 bytes allocated

## Exercise #3: Memory Regions

Which memory region is **`tickets`** located in? What about **`*tickets`**?

**tickets** is a variable located in the stack

**\*tickets** is in the heap (after **malloc**'ing memory)



## The `malloc()` function (cont)

`malloc()` returns a pointer to a data object of some kind.

Things to note about objects allocated by `malloc()`:

- they exist until explicitly removed (program-controlled lifetime)
- they are **accessible** while some variable references them
- if no active variable references an object, it is **garbage**

The function `free()` releases objects allocated by `malloc()`

## The malloc() function (cont)

Usage of `malloc()` should always be guarded:

```
int *vector, length, i;
...
vector = malloc(length*sizeof(int));
// but malloc() might fail to allocate
assert(vector != NULL);
// now we know it's safe to use vector[]
for (i = 0; i < length; i++) {
    ... vector[i] ...
}
```

Alternatively:

```
int *vector, length, i;
...
vector = malloc(length*sizeof(int));
// but malloc() might fail to allocate
if (vector == NULL) {
    fprintf(stderr, "Out of memory\n");
    exit(1);
}
// now we know its safe to use vector[]
for (i = 0; i < length; i++) {
    ... vector[i] ...
}
```

- `fprintf(stderr, ...)` outputs text to a stream called `stderr` (the screen, by default)
- `exit(v)` terminates the program with return value `v`

## Memory Management

**void free(void \*ptr)**

- releases a block of memory allocated by **malloc()**
- **\*ptr** is a dynamically allocated object
- if **\*ptr** was not **malloc()**'d, chaos will follow

Things to note:

- the contents of the memory block are not changed
- all pointers to the block still exist, but are not valid
- the memory may be re-used as soon as it is **free()**'d

## Memory Management (cont)

**Warning! Warning! Warning! Warning!**

Careless use of **malloc()** / **free()** / pointers

- can mess up the data in the heap
- so that later **malloc()** or **free()** cause run-time errors
- possibly well after the original error occurred

Such errors are **very difficult** to track down and debug.

Must be **very careful** with your use of **malloc()** / **free()** / pointers.

## Memory Management (cont)

If an uninitialised or otherwise invalid pointer is used, or an array is accessed with a negative or out-of-bounds index, one of a number of things might happen:

- program aborts immediately with a "**segmentation fault**"
- a mysterious failure much later in the execution of the program
- incorrect results, but no obvious failure
- correct results, but maybe not always, and maybe not when executed on another day, or another machine

The first is the most desirable, but cannot be relied on.

## Memory Management (cont)

Given a pointer variable:

- you can check whether its value is **NULL**
- you can (maybe) check that it is an address
- you **cannot** check whether it is a valid address

## Memory Management (cont)

Typical usage pattern for dynamically allocated objects:

```
// single dynamic object e.g. struct
Type *ptr = malloc(sizeof(Type));
assert(ptr != NULL);
... use object referenced by ptr e.g. ptr->name ...
free(ptr);

// dynamic array with "nelems" elements
int nelems = NumberOfElements;
ElemType *arr = malloc(nelems*sizeof(ElemType));
assert(arr != NULL);
... use array referenced by arr e.g. arr[4] ...
free(arr);
```

## Memory Leaks

Well-behaved programs do the following:

- allocate a new object via **malloc()**
- use the object for as long as needed
- **free()** the object when no longer needed

A program which does not **free()** each object before the last reference to it is lost contains a **memory leak**.

Such programs may eventually exhaust available heap space.



## Exercise #4: Dynamic Arrays

Write a C-program that

- prompts the user to input a positive number  $n$
- allocates memory for two  $n$ -dimensional floating point vectors **a** and **b**
- prompts the user to input  $2n$  numbers to initialise these vectors
- computes and outputs the inner product of **a** and **b**
- frees the allocated memory

## Sidetrack: Standard I/O Streams, Redirects

Standard file streams:

- **stdin** ... standard input, by default: keyboard
- **stdout** ... standard output, by default: screen
- **stderr** ... standard error, by default: screen
- **fprintf(stdout, ...)** has the same effect as **printf(...)**
- **fprintf(stderr, ...)** often used to print error messages

Executing a C program causes **main(...)** to be invoked

- with **stdin**, **stdout**, **stderr** already open for use

## Sidetrack: Standard I/O Streams, Redirects (cont)

The streams **stdin**, **stdout**, **stderr** can be **redirected**

- redirecting **stdin**

```
prompt$ myprog < input.data
```

- redirecting **stdout**

```
prompt$ myprog > output.data
```

- redirecting **stderr**

```
prompt$ myprog 2> error.data
```

# Abstract Data Types

# Abstract Data Types

Reminder: An **abstract data type** is ...

- an approach to implementing data types
- separates **interface** from **implementation**
- users of the ADT see only the interface
- builders of the ADT provide an implementation

E.g. does a client want/need to know how a Stack is implemented?

- ADO = **abstract data object** (e.g. a single stack)
- ADT = **abstract data type** (e.g. stack data type)

## Abstract Data Types (cont)

ADT **interface** provides

- an **opaque** user-view of the data structure (e.g. **stack** \*)
- function signatures (prototypes) for all operations
- semantics of operations (via documentation)
- a contract between ADT and its clients

ADT **implementation** gives

- concrete definition of the data structure
- function implementations for all operations
- ... including for **creation** and **destruction** of instances of the data structure

ADTs are important because ...

- facilitate decomposition of complex programs
- make implementation changes invisible to clients
- improve readability and structuring of software

## Stack as ADT

Interface (in **stack.h**)

```
// provides an opaque view of ADT
typedef struct StackRep *stack;

// set up empty stack
stack newStack();
// remove unwanted stack
void dropStack(stack);
// check whether stack is empty
int StackIsEmpty(stack);
// insert an int on top of stack
void StackPush(stack, int);
// remove int from top of stack
int StackPop(stack);
```

ADT **stack** defined as a **pointer** to an unspecified struct

## Static/Dynamic Sequences

Previously we have used an **array** to implement a stack

- fixed size collection of heterogeneous elements
- can be accessed via index or via "moving" pointer

The "fixed size" aspect is a potential problem:

- how big to make the (dynamic) array? (big ... just in case)
- what to do if it fills up?

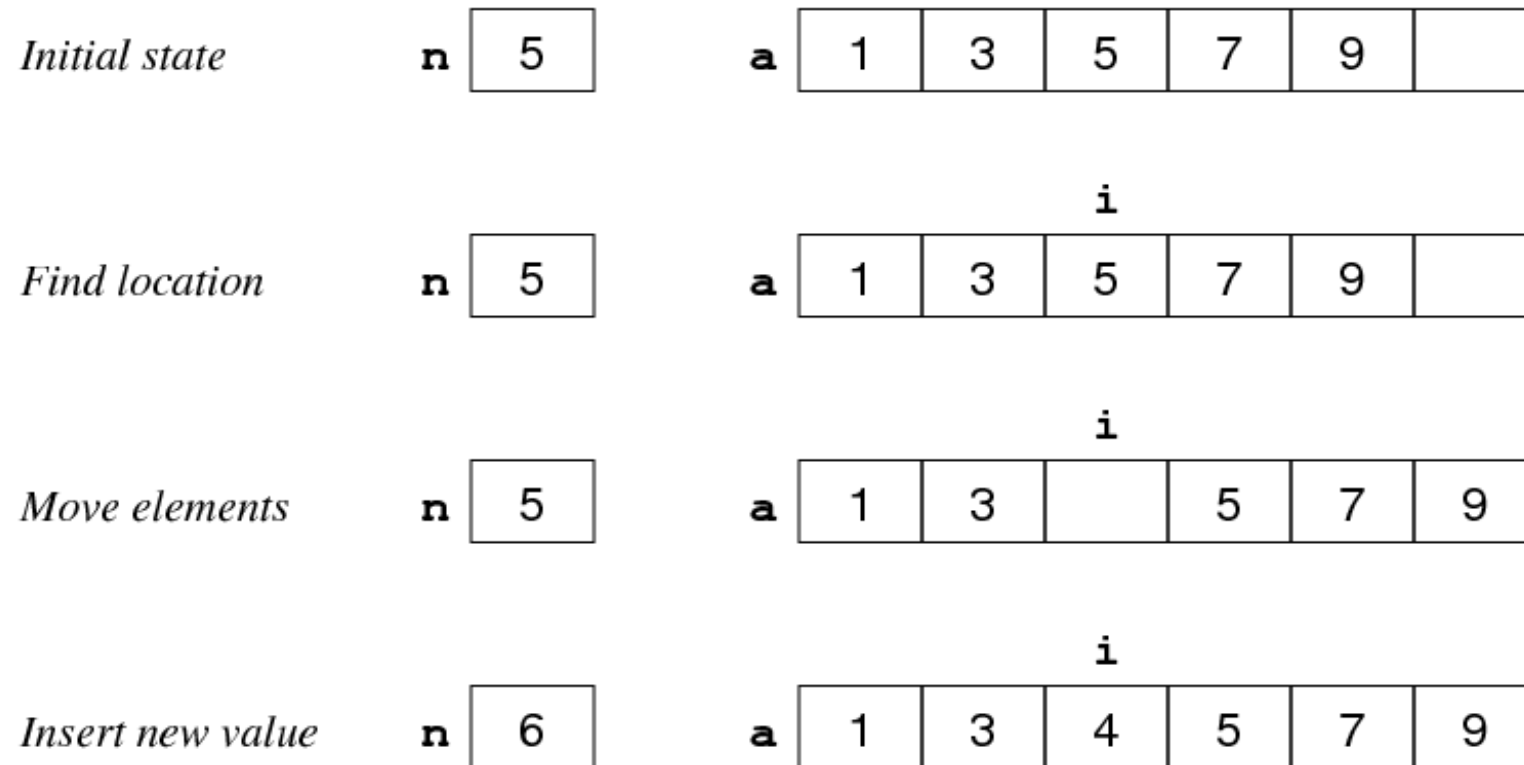
The rigid sequence is another problems:

- inserting/deleting an item in middle of array



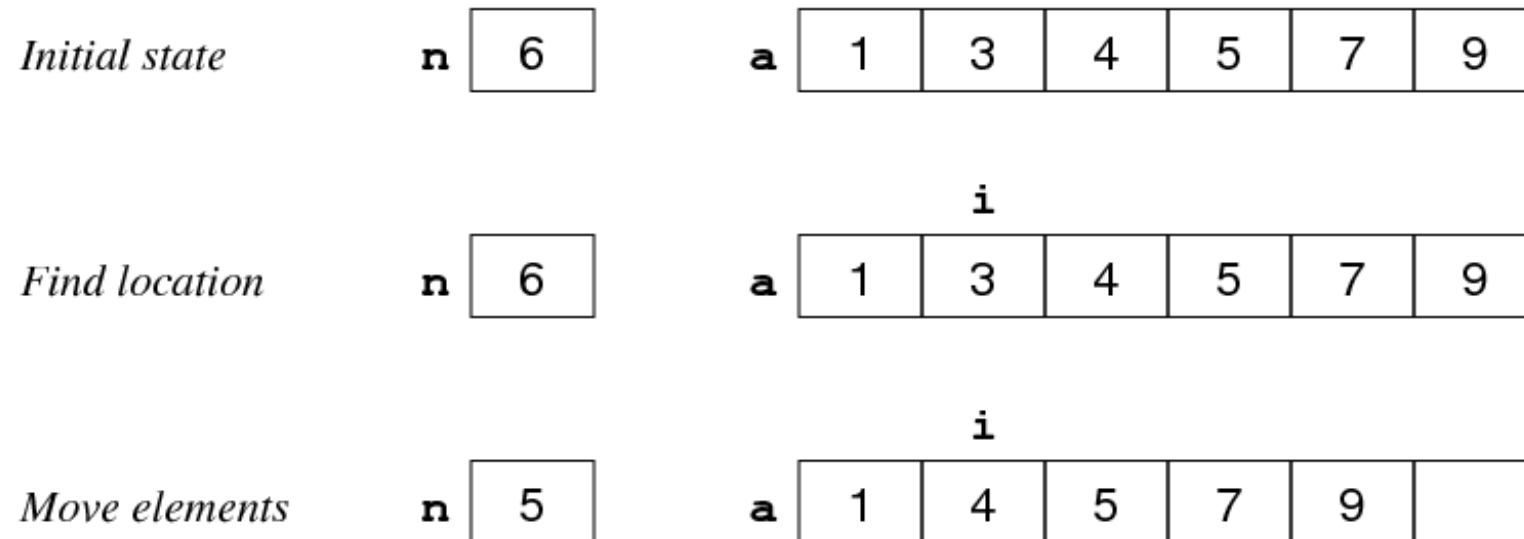
## Static/Dynamic Sequences (cont)

Inserting a value into a sorted array (**insert(a, &n, 4)**):



## Static/Dynamic Sequences (cont)

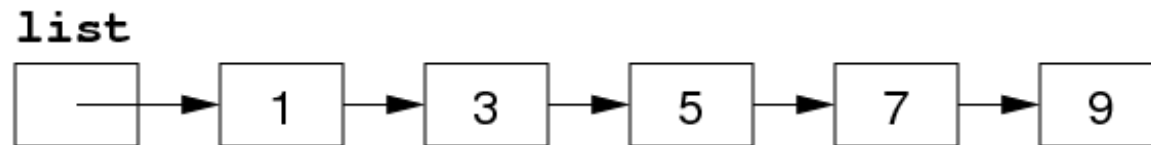
Deleting a value from a sorted array (`delete(a, &n, 3)`):



## Dynamic Sequences

The problems with using arrays can be solved by

- allocating elements individually
- linking them together as a "chain"



Benefits:

- insertion/deletion have minimal effect on list overall
- only use as much space as needed for values

## Self-referential Structures

To realise a "chain of elements", need a **node** containing

- a value
- a link to the next node

In C, we can define such nodes as:

```
struct node {  
    int data;  
    struct node *next;  
};
```

## Self-referential Structures (cont)

When defining self-referential types with **typedef**

```
typedef struct node {  
    int data;  
    struct node *next;  
} NodeT;
```

## Self-referential Structures (cont)

Note that the following definition does not work:

```
typedef struct {  
    int data;  
    NodeT *next;  
} NodeT;
```

Because **NodeT** is not yet known (to the compiler) when we try to use it to define the type of the **next** field.

The following is also illegal in C:

```
struct node {  
    int data;  
    struct node recursive;  
};
```

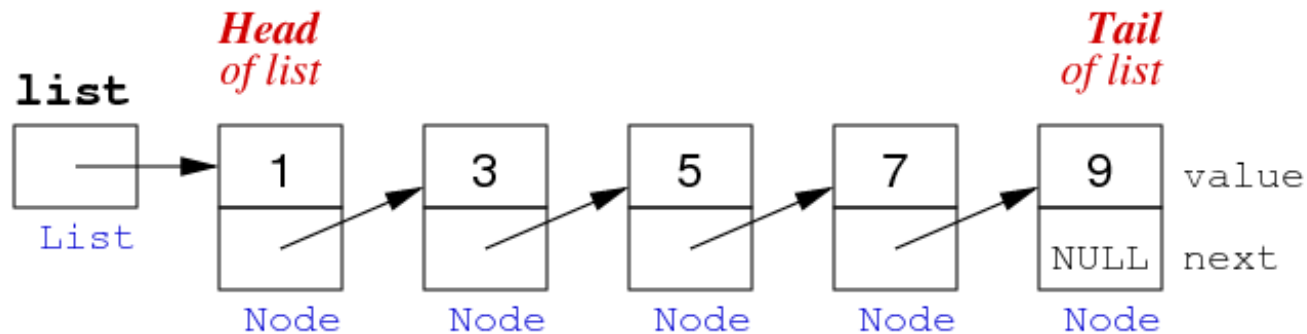
Because the size of the structure would have to satisfy **sizeof(struct node) = sizeof(int) + sizeof(struct node) = ∞**.

# Linked Lists in C

## Linked Lists

To represent a chained (linked) list of nodes:

- we need a pointer to the first node
- each node contains a pointer to the next node
- the **next** pointer in the last node is **NULL**





## Linked Lists (cont)

Linked lists are more flexible than arrays:

- values do not have to be adjacent in memory
- values can be rearranged simply by altering pointers
- the number of values can change dynamically
- values can be added or removed in any order

Disadvantages:

- it is not difficult to get pointer manipulations wrong
- each value also requires storage for **next** pointer

## Memory Storage for Linked Lists

Linked list nodes are typically located in the heap

- because nodes are dynamically created

Variables containing pointers to list nodes

- are likely to be local variables (in the stack)

Pointers to the start of lists are often

- passed as parameters to function
- returned as function results

## Memory Storage for Linked Lists (cont)

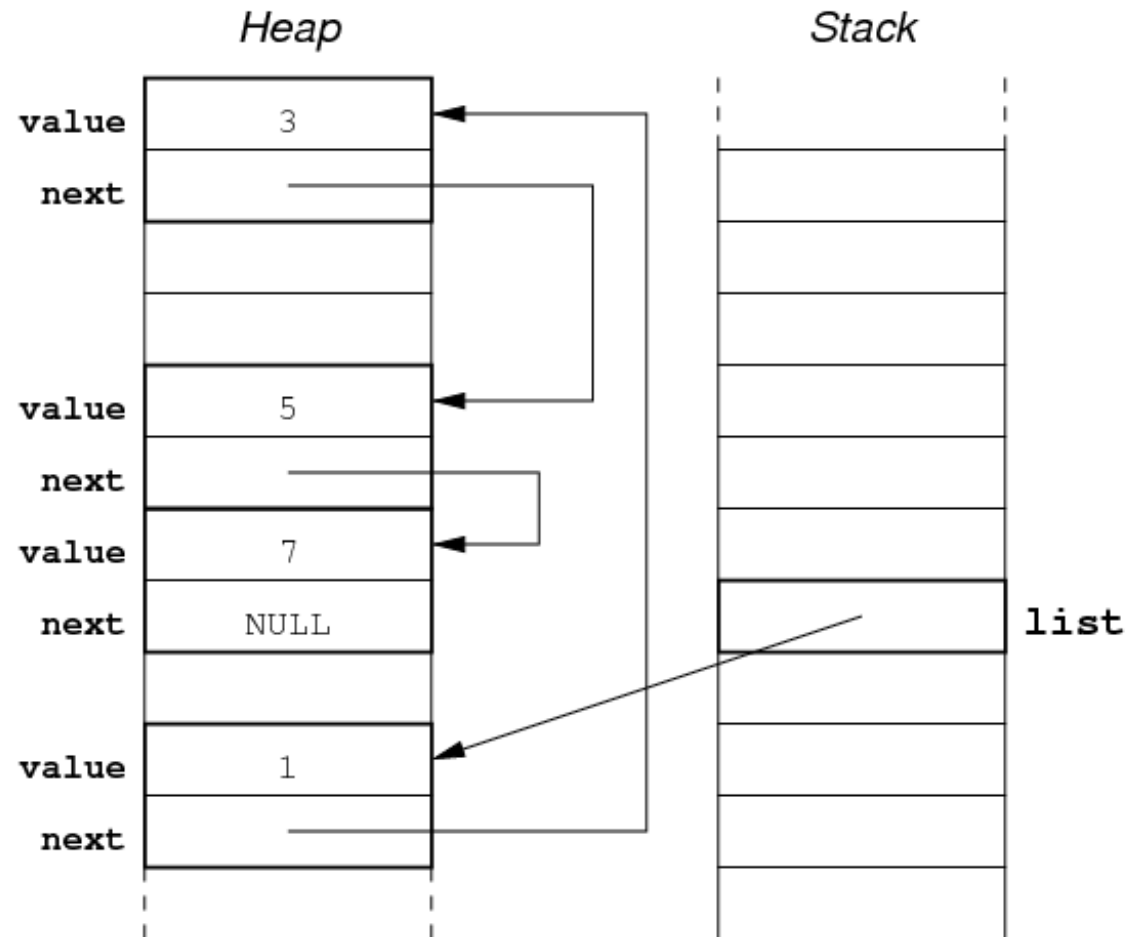
Create a new list node:

```
NodeT *makeNode(int v) {  
    NodeT *new = malloc(sizeof(NodeT));  
    assert(new != NULL);  
    new->data = v;           // initialise data  
    new->next = NULL;       // initialise link to next node  
    return new;            // return pointer to new node  
}
```

## Memory Storage for Linked Lists (cont)

Nodes may be created in any order in the heap.

Depends on `malloc()`



## **Exercise #5: Creating a linked list**

Write C-code to create a linked list of three nodes with values 1, 42 and 9024.

```
NodeT *list = makeNode(1);  
list->next  = makeNode(42);  
list->next->next = makeNode(9024);
```

## Iteration over Linked Lists

When manipulating list elements

- typically have pointer **p** to current node (**NodeT \*p**)
- to access the data in current node: **p->data**
- to get pointer to next node: **p->next**

To iterate over a linked list:

- set **p** to point at first node (head)
- examine node pointed to by **p**
- change **p** to point to next node
- stop when **p** reaches end of list (**NULL**)

## Iteration over Linked Lists (cont)

Standard method for scanning all elements in a linked list:

```
NodeT *list;    // pointer to first Node in list
NodeT *p;       // pointer to "current" Node in list

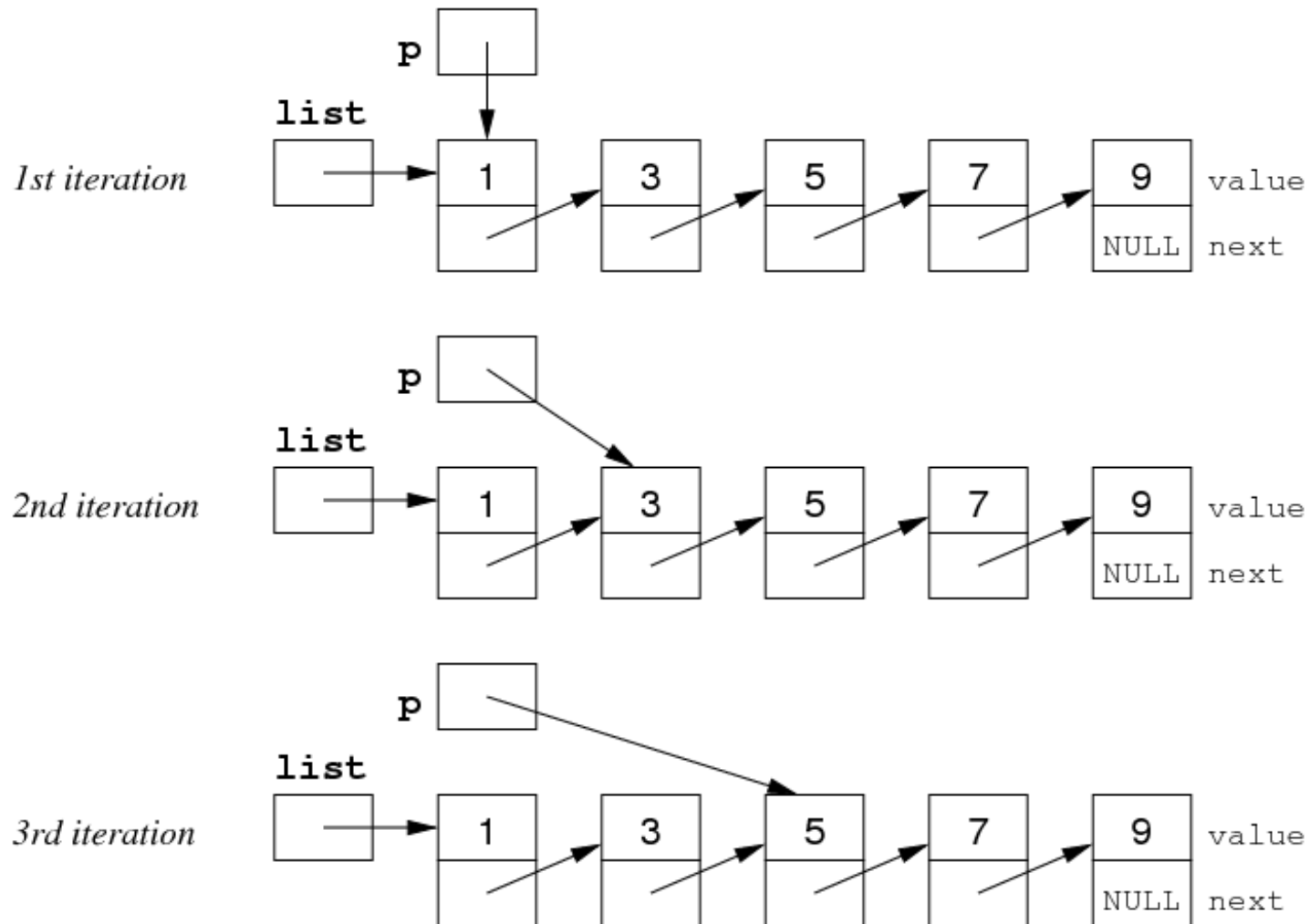
p = list;
while (p != NULL) {
    ... do something with p->data ...
    p = p->next;
}

// which is frequently written as

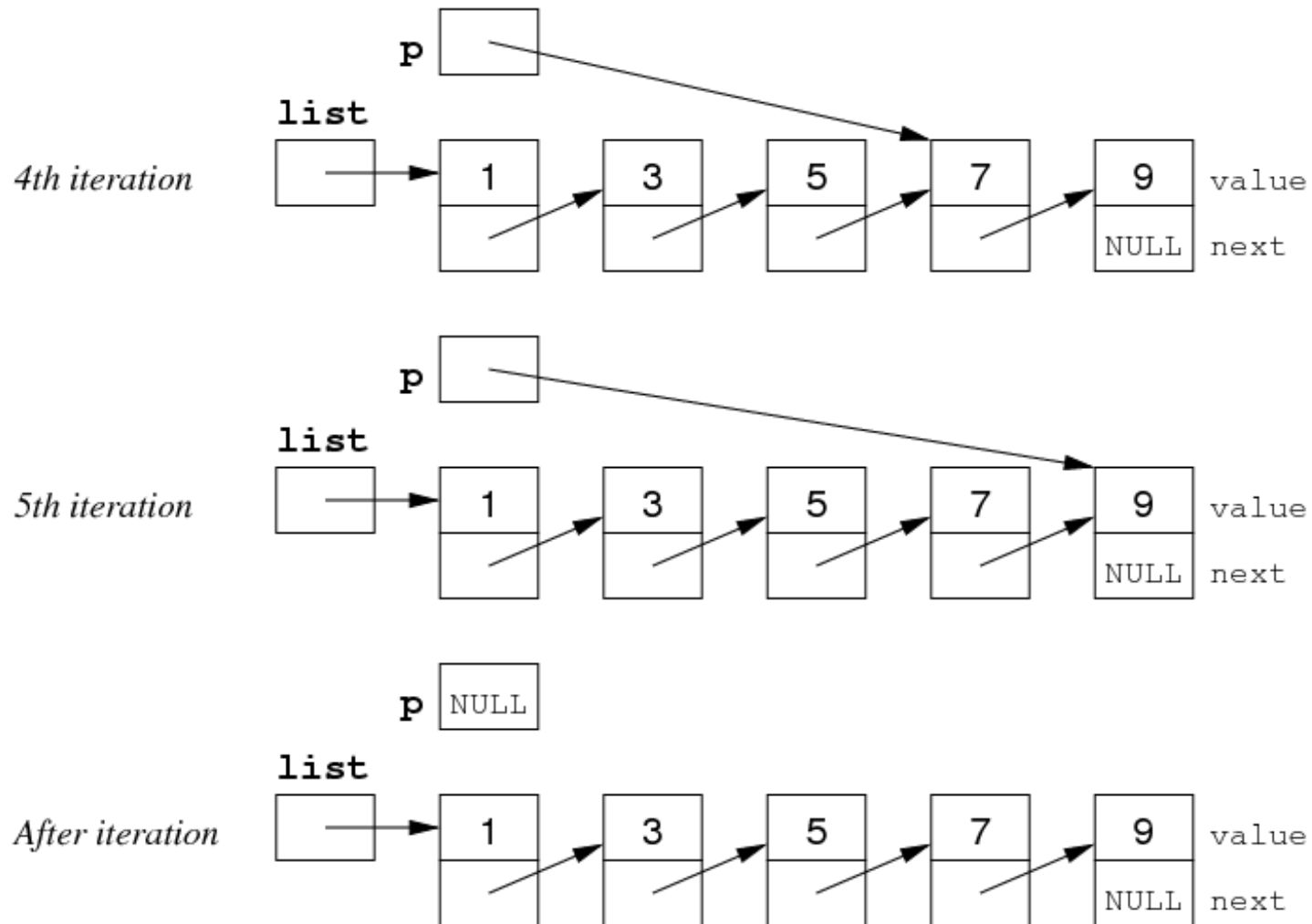
for (p = list; p != NULL; p = p->next) {
    ... do something with p->data ...
}
```



## Iteration over Linked Lists (cont)



## Iteration over Linked Lists (cont)



## Iteration over Linked Lists (cont)

Check if list contains an element:

```
int inLL(NodeT *list, int d) {
    NodeT *p;
    for (p = list; p != NULL; p = p->next)
        if (p->data == d)           // element found
            return 1;
    return 0;                       // element not in list
}
```

Print all elements:

```
showLL(NodeT *list) {
    NodeT *p;
    for (p = list; p != NULL; p = p->next)
        printf("%6d", p->data);
}
```

## Exercise #6: Traversing a linked list

What does this code do?

```
1  NodeT *p = list;
2  while (p != NULL) {
3      printf("%6d", p->data);
4      if (p->next != NULL)
5          p = p->next->next;
6      else
7          p = NULL;
9  }
```

What is the purpose of the conditional statement in line 4?

Every second list element is printed.

If **\*p** happens to be the last element in the list, then **p->next->next** does not exist.

The if-statement ensures that we do not attempt to assign an invalid address to **p** in line 5.

## Exercise #7: Traversing a linked list

Rewrite **showLL()** as a recursive function.

```
void printLL(NodeT *list) {  
    if (list != NULL) {  
        printf("%6d", list->data);  
        printLL(list->next);  
    }  
}
```

## Modifying a Linked List

Insert a new element at the beginning:

```
NodeT *insertLL(NodeT *list, int d) {
    NodeT *new = makeNode(d);    // create new list element
    new->next = list;            // link to beginning of list
    return new;                  // new element is new head
}
```

Delete the first element:

```
NodeT *deleteHead(NodeT *list) {
    assert(list != NULL);        // ensure list is not empty
    NodeT *head = list;         // remember address of first element
    list = list->next;           // move to second element
    free(head);                  // free the first element
    return list;                 // return pointer to second element
}
```

What would happen if we didn't **free** the memory pointed to by **head**?



## Modifying a Linked List (cont)

Delete a specific element (recursive version):

```
NodeT *deleteLL(NodeT *list, int d) {  
    if (list == NULL) {                // element not in list  
        return list;  
  
    } else if (list->data == d) {  
        return deleteHead(list);      // delete first element  
  
    } else {                           // delete element in tail list  
        list->next = deleteLL(list->next, d);  
        return list;  
    }  
}
```

## Exercise #8: Freeing a list

Write a C-function to destroy an entire list.

Iterative version:

```
void freeLL(NodeT *list) {  
    NodeT *p;  
  
    p = list;  
    while (p != NULL) {  
        NodeT *temp = p->next;  
        free(p);  
        p = temp;  
    }  
}
```

Why do we need the extra variable **temp**?

## Stack ADT Implementation

Linked list implementation (**stack.c**):

```
#include <stdlib.h>
#include <assert.h>
#include "stack.h"

typedef struct node {
    int data;
    struct node *next;
} NodeT;

typedef struct StackRep {
    int height; // #elements on stack
    NodeT *top; // ptr to first element
} StackRep;

// set up empty stack
stack newStack() {
    stack S = malloc(sizeof(StackRep));
    S->height = 0;
    S->top = NULL;
    return S;
}

// remove unwanted stack
void dropStack(stack S) {
    NodeT *curr = S->top;
    while (curr != NULL) { // free the list
        NodeT *temp = curr->next;
        free(curr);
        curr = temp;
    }
    free(S); // free the stack rep
}
```

```
// check whether stack is empty
int StackIsEmpty(stack S) {
    return (S->height == 0);
}

// insert an int on top of stack
void StackPush(stack S, int v) {
    NodeT *new = malloc(sizeof(NodeT));
    assert(new != NULL);
    new->data = v;
    // insert new element at top
    new->next = S->top;
    S->top = new;
    S->height++;
}

// remove int from top of stack
int StackPop(stack S) {
    assert(S->height > 0);
    NodeT *head = S->top;
    // second list element becomes new top
    S->top = S->top->next;
    S->height--;
    // read data off first element, then free
    int d = head->data;
    free(head);
    return d;
}
```

## Summary: Memory Management Functions

**void \*malloc(size\_t nbytes)**

- aim: allocate some memory for a data object
- attempt to allocate a block of memory of size **nbytes** in the heap
- if successful, returns a pointer to the start of the block
- if insufficient space in heap, returns **NULL**

Things to note:

- the location of the memory block within heap is random
- the initial contents of the memory block are random

## Summary: Memory Management Functions (cont)

**void free(void \*ptr)**

- releases a block of memory allocated by **malloc()**
- **\*ptr** is the start of a dynamically allocated object
- if **\*ptr** was not **malloc()**'d, chaos will ensue

Things to note:

- the contents of the memory block are not changed
- all pointers to the block still exist, but are not valid
- the memory may be re-used as soon as it is **free()**'d

## Summary

- Memory management
- Dynamic data structures
- Linked lists
  
- Suggested reading:
  - Moffat, Ch.10.1-10.2
  - Sedgewick, Ch.3.3-3.5,4.4,4.6