

# A Dependent Dependency Calculus

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$$x{:}^L \mathbf{Int}, y{:}^H \mathbf{Bool}, z{:}^M \mathbf{Bool} \vdash \text{if } z \text{ then } x \text{ else } 3 :^M \mathbf{Int}$$

where type system parameterized by a lattice ( $L < M < H$ )

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- Noninterference:** If  $x:\ell_1 A \vdash b:\ell_2 B$  and  $\ell_1 \not\leq \ell_2$  then  $b$  cannot *depend* on  $x$  during computation.

# Dependency Analysis

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- Applications:* Security types (information flow, provenance), Compiler optimizations (binding-time analysis), etc.  
Related to Dependency Core Calculus: Abadi et al. (1999), Sealing Calculus: Shikuma and Igarashi (2006)

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- Generalize dependency analysis to *dependent type systems*
- **Why?** Use dependency to track two forms of *irrelevance*
  - **Run-time irrelevance:** some parts of terms can be *erased* before execution
  - **Compile-type irrelevance:** some parts of terms can be *ignored* when checking type equivalence

# Dependency and simple types

$$\boxed{\Gamma \vdash a :^\ell A}$$

SDC-VAR

$$\frac{\ell_0 \leq \ell \quad x :^{\ell_0} A \in \Gamma}{\Gamma \vdash x :^\ell A}$$

SDC-ABS

$$\frac{\Gamma, x :^\ell A \vdash b :^\ell B}{\Gamma \vdash \lambda x : A. b :^\ell A \rightarrow B}$$

SDC-APP

$$\frac{\Gamma \vdash b :^\ell A \rightarrow B \quad \Gamma \vdash a :^\ell A}{\Gamma \vdash b \ a :^\ell B}$$

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Internalize judgment with graded modal type

$T^{\ell_0} A$  describes terms of type  $A$  checked at least at level  $\ell_0$



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$$\frac{\Gamma \vdash a :^\ell T^{\ell_0} A \quad \Gamma, x :^{\ell \vee \ell_0} A \vdash b :^\ell B}{\Gamma \vdash \mathbf{bind}^{\ell_0} x = a \mathbf{in} b :^\ell B}$$

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No need for DCC's projection judgement due to label  $\ell$ .

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Equivalent elimination form:  $\mathbf{unseal}^{\ell_0} a \triangleq \mathbf{bind}^{\ell_0} x = a \mathbf{in} x$

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SEALING-UNSEAL

$$\frac{\Gamma \vdash a :^\ell T^{\ell_0} A \quad \ell_0 \leq \ell}{\Gamma \vdash \mathbf{unseal}^{\ell_0} a :^\ell A}$$

# Indexed indistinguishability

Define *indexed indistinguishability* as  $\boxed{\Phi \vdash a \sim_\ell b}$  when

- $a$  and  $b$  differ only in places marked by  $\eta^{\ell_0}$ , where  $\neg(\ell_0 \leq \ell)$ ,
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Public observers (at level  $L$ ) are oblivious to secret data (marked  $H$ ).

$$f: L \vdash f(\eta^H \mathbf{True}) \sim_L f(\eta^H \mathbf{False})$$

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Indexed indistinguishability is an equivalence relation and closed under substitution.



# Syntactic proof of Noninterference

Theorem (Operational semantics respects indexed indistinguishability)

*If  $\Phi \vdash a_1 \sim_\ell a'_1$  and  $a_1 \rightsquigarrow a_2$  then there exists some  $a'_2$  such that  $a'_1 \rightsquigarrow a'_2$  and  $\Phi \vdash a_2 \sim_\ell a'_2$ .*

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**Corollary**

*Given  $x :^H A \vdash b :^L \mathbf{Int}$  and  $\emptyset \vdash a_1, a_2 :^H A$ , if  $\vdash b\{a_1/x\} \rightsquigarrow^* v_1$  and  $\vdash b\{a_2/x\} \rightsquigarrow^* v_2$  then  $v_1 = v_2$ .*

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This follows because we can show that

$\emptyset \vdash \lambda y. \mathbf{bind} \ x = y \ \mathbf{in} \ b :^L T^H A \rightarrow \mathbf{Int}$  and

$\emptyset \vdash (\lambda y. \mathbf{bind} \ x = y \ \mathbf{in} \ b) (\eta^H a_1) \sim_L (\lambda y. \mathbf{bind} \ x = y \ \mathbf{in} \ b) (\eta^H a_2)$ .

Type soundness says that if both terms terminate, then both must be integer values. Theorem above states that they must be the same integer.

# Label-indexed definitional equality

Define *label-indexed definitional equality*,  $\Phi \vdash a \equiv_{\ell} b$  as the closure of indexed indistinguishability by  $\beta$ -reduction.

## Lemma (Substitution)

Given  $\Phi, x: \ell_0 \vdash b_1 \equiv_{\ell} b_2$ .

- ① If  $\ell_0 \leq \ell$  and  $\Phi \vdash a_1 \equiv_{\ell} a_2$  then  $\Phi \vdash b_1\{a_1/x\} \equiv_{\ell} b_2\{a_2/x\}$ .
- ② If  $\neg(\ell_0 \leq \ell)$  then  $\Phi \vdash b_1\{a_1/x\} \equiv_{\ell} b_2\{a_2/x\}$ .

# Dependent Dependency Calculus (DDC)

- DDC is a pure type system extended with an arbitrary lattice of dependency levels  $\ell$
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- Dependency levels track phase
  - Executable:  $\Gamma \vdash a :^\perp A$
  - Comparable:  $\Gamma \vdash a :^C A$
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- Results about DDC (noninterference, type soundness) proven in Coq and validated by artifact evaluation  
<https://github.com/sweirich/graded-haskell>

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  - Index definitional equality by observation level: equality at  $C$  cannot observe parts of the term marked at  $\top$
  - Noninterference tells us that indexed equality is *consistent*

# Example

Polymorphic identity function

$$\begin{aligned} \text{id} &:^\perp \Pi x:^\top \text{Type}. x^\perp \rightarrow x \\ \text{id} &= \lambda^\top x. \lambda y^\perp. y \end{aligned}$$

Type parameter  $x$  is both eraseable and ignorable.

Term parameter  $y$  is neither.

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To decrease clutter in examples, elide  $\perp$  labels

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- Label  $\top$  on  $\Pi$ -bound  $x$  describes level of  $\lambda$ -bound  $x$ .
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- $\Pi$ -bound  $x$  can be used in the body of the  $\Pi$ -type.
- When evaluating  $\text{id } A^\top$  true can erase argument  $A$
- During type checking, if comparing  $\text{id } A^\top$  true and  $\text{id } B^\top$  true for equality, can ignore  $A$  and  $B$

## Example: vectors (Haskell GADT-style)

```
Vec  : Nat -> Type -> Type
Nil  :  $\Pi n:\mathbb{T}Nat. \Pi a:\mathbb{T}Type. (n \sim Zero) \Rightarrow Vec\ n\ a$ 
Cons :  $\Pi n:\mathbb{T}Nat. \Pi a:\mathbb{T}Type. \Pi m:\mathbb{T}Nat. (n \sim Succ\ m) \Rightarrow$   
       $a \rightarrow Vec\ m\ a \rightarrow Vec\ n\ a$ 
```

- Applications of `Nil` and `Cons` can erase and ignore length and type parameters. (Will elide from examples.)
- Applications of `Vec` cannot. (Shouldn't equate vectors with different lengths/element types.)
- In type of `Nil` and `Cons`, `n` and `a` can be used freely.



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- Applications of Vec cannot. (Shouldn't equate vectors with different lengths/element types.)
- In type of Nil and Cons, n and a can be used freely.

```
vmap :  $\Pi n:\top \text{Nat}. \Pi a \ b:\top \text{Type}. (a \rightarrow b) \rightarrow \text{Vec } n \ a \rightarrow \text{Vec } n \ b$ 
vmap =  $\lambda^\top n \ a \ b. \lambda f \ xs.$   
      case xs of  
        Nil -> Nil  
        Cons  $m^\top \ x \ xs \rightarrow \text{Cons } m^\top \ (f \ x) \ (\text{vmap } m^\top \ a^\top \ b^\top \ f \ xs)$ 
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# Filter example

Suppose we have

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a :  $\top$  Type      -- type of vector elements, erasable  
f : a  $\rightarrow$  Bool   -- predicate to filter with
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Consider vector filter

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filter :  $\prod n : \top \text{Nat}. \text{Vec } n \ a \rightarrow \sum m : \top \text{Nat}. \text{Vec } m \ a$ 
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    case vec of  
      Nil -> (Zero $^\top$ , Nil)  
      Cons n1 $^\top$  x xs  
        | f x      ->  
          let (m1 $^\top$ , v1) = filter n1 $^\top$  xs in
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    Cons n1 $^\top$  x xs  
      | f x      ->  
        let (m1 $^\top$ , v1) = filter n1 $^\top$  xs in  
        ((Succ m1) $^\top$ , Cons m1 $^\top$  x v1)
```

# Filter example

Suppose we have

```
a:  $\top$  Type      -- type of vector elements, erasable  
f: a -> Bool   -- predicate to filter with
```

Consider vector filter

```
filter :  $\prod n: \top \text{Nat}. \text{Vec } n \text{ a} \rightarrow \sum m: \top \text{Nat}. \text{Vec } m \text{ a}$   
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```

This version is overly strict. Must filter entire list before returning anything.

# Filter example

Suppose we have

$$\text{fst} : \Sigma x:\ell A. B \rightarrow A$$
$$\text{snd} : \Pi p:(\Sigma x:\ell A. B). B \{ \text{fst } p / x \}$$



# Filter example

Suppose we have

$$\text{fst} : \Sigma x : \ell A. B \rightarrow A$$
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$$\text{filter} = \lambda^\top n. \lambda \text{vec}.$$
$$\text{case vec of}$$
$$\text{Nil} \rightarrow (\text{Zero}, \text{Nil})$$
$$\text{Cons } n1^\top \ x \ xs$$
$$\mid f \ x \quad \rightarrow$$
$$((\text{Succ } (\text{fst } ys)), \text{Cons } (\text{fst } ys)^\top \ x \ (\text{snd } ys))$$
$$\text{where}$$
$$ys : \Sigma m : \text{Nat}. \text{Vec } m \ a$$
$$ys = \text{filter } n1^\top \ xs$$
$$\mid \text{otherwise} \rightarrow \text{filter } n1^\top \ xs$$

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Can we mark  $m$  in the  $\Sigma$ -type as  $\top$  (ignorable)?

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Can we mark  $m$  in the  $\Sigma$ -type as  $\top$  (ignorable)?

**No!**  $\text{fst } ys$  cannot be ignored in the type of  $\text{snd } ys$ .

# Filter example

Use of  $C$  to mark erasable but not ignorable data.

```
filter :  $\prod n : \mathbb{N}^{\top} \text{Nat}. \text{Vec } n \text{ a} \rightarrow \Sigma m : C \text{Nat}. \text{Vec } m \text{ a}$   
filter =  $\lambda^{\top} n. \lambda \text{vec}.$   
  case vec of  
    Nil  $\rightarrow (\text{Zero}^C, \text{Nil})$   
    Cons  $n1^{\top} \text{ x xs}$   
      | f x  $\rightarrow$   
        ((Succ (fst ys)) $^C$ , Cons (fst ys) $^{\top} \text{ x (snd ys)}$ )  
        where  
          ys = filter  $n1^{\top} \text{ xs}$   
      | otherwise  $\rightarrow$  filter  $n1^{\top} \text{ xs}$ 
```

Three levels provides us with the precision that we need to write this code.

# Type system in depth

T-ABS

$$\frac{\begin{array}{c} \Gamma, x : {}^{\ell_0 \vee \ell} A \vdash b : {}^{\ell} B \\ C \wedge \Gamma \vdash (\Pi x : {}^{\ell_0} A. B) : {}^C s \end{array}}{\Gamma \vdash \lambda x : {}^{\ell_0} A. b : {}^{\ell} \Pi x : {}^{\ell_0} A. B}$$

T-PI

$$\frac{\begin{array}{c} \Gamma \vdash A : {}^{\ell} s_1 \\ \Gamma, x : {}^{\ell} A \vdash B : {}^{\ell} s_2 \\ \mathcal{R}(s_1, s_2, s_3) \end{array}}{\Gamma \vdash \Pi x : {}^{\ell_0} A. B : {}^{\ell} s_3}$$

T-APPC

$$\frac{\Gamma \vdash b : {}^{\ell} \Pi x : {}^{\ell_0} A. B \quad \Gamma \Vdash a : {}^{\ell_0 \vee \ell} A}{\Gamma \vdash b \ a^{\ell_0} : {}^{\ell} B\{a/x\}}$$

- $\Pi x : {}^{\ell} A. B$  acts a little like  $\Pi x : (T^{\ell} A). B$ , so rule T-ABS looks like rule SDC-BIND and rule T-APP looks like rule SDC-RETURN.

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- Resurrection ensures  $C$  is highest label on judgement.



DDC is only type system with multiple, independent levels of irrelevance. This distinction is essential for strong  $\Sigma$ -types with erasable first components.

- *Both run-time and compile-time irrelevance, but no distinction between them.* ICC (Miquel 2001, Barras and Bernardo 2009), Mishra-Linger Sheard (2008), Dependent Haskell (2017). Implicit version omits irrelevant data. Explicit version relies on erasure.

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- *Compile-time irrelevance only.* Pfenning (2001), Abel and Scherer (2012). Type-sensitive definitional equivalence, so fewer arguments can be ignored in types. Usage of variable in  $\Pi$  must match use in  $\lambda$ .

# Conclusion

- We have syntactic proofs of noninterference and type soundness for DDC, formalized using Coq  
<http://github.com/sweirich/graded-haskell/>
- These proofs are for an arbitrary pure type system and do not require the type system to be strongly normalizing. Future work: Prove consistency and decidable type checking for some instance of DDC.
- In DDC, indexed definitional equality is untyped. Future work: use a typed equality and type-directed equivalence.
- Type system is general enough to support lattice of run-time security levels below  $C$ . Future work: propositional form of indexed equivalence for reasoning about security-typed programs.

# Backup slides

# Typing rules for DDC

$$\boxed{\Gamma \vdash a :^\ell A}$$

(DDC typing rules)

$$\begin{array}{c} \text{T-VAR} \\ \ell_0 \leq \ell \\ x :^{\ell_0} A \in \Gamma \\ \ell \leq C \\ \hline \Gamma \vdash x :^\ell A \end{array}$$

$$\begin{array}{c} \text{T-PI} \\ \Gamma \vdash A :^\ell s_1 \\ \Gamma, x :^\ell A \vdash B :^\ell s_2 \\ \mathcal{R}(s_1, s_2, s_3) \\ \hline \Gamma \vdash \Pi x :^{\ell_0} A. B :^\ell s_3 \end{array}$$

$$\begin{array}{c} \text{T-ABSC} \\ \Gamma, x :^{\ell_0 \vee \ell} A \vdash b :^\ell B \\ \Gamma \Vdash (\Pi x :^{\ell_0} A. B) :^\top s \\ \hline \Gamma \vdash \lambda x :^{\ell_0} A. b :^\ell \Pi x :^{\ell_0} A. B \end{array}$$

$$\begin{array}{c} \text{T-APPC} \\ \Gamma \vdash b :^\ell \Pi x :^{\ell_0} A. B \\ \Gamma \Vdash a :^{\ell_0 \vee \ell} A \\ \hline \Gamma \vdash b a^{\ell_0} :^\ell B\{a/x\} \end{array}$$

$$\begin{array}{c} \text{T-CONVC} \\ \Gamma \vdash a :^\ell A \\ |C \wedge \Gamma| \vdash A \equiv_C B \\ \Gamma \Vdash B :^\top s \\ \hline \Gamma \vdash a :^\ell B \end{array}$$

$$\begin{array}{c} \text{T-TYPE} \\ \ell \leq C \quad \mathcal{A}(s_1, s_2) \\ \hline \Gamma \vdash s_1 :^\ell s_2 \end{array}$$

# Typing rules for DDC (continued)

$$\boxed{\Gamma \Vdash a :^\ell A}$$

(*Truncate at  $\top$* )

CT-LEQ

$$\frac{\Gamma \vdash a :^\ell A \quad \ell \leq C}{\Gamma \Vdash a :^\ell A}$$

CT-TOP

$$\frac{C \wedge \Gamma \vdash a :^C A \quad C < \ell}{\Gamma \Vdash a :^\ell A}$$

# Typing rules for $\Sigma$ -types

T-SPAIR

$$\frac{C \wedge \Gamma \vdash \Sigma x:\ell_0 A.B :^C s \quad \Gamma \vdash a :^{\ell_0 \vee \ell} A \quad \Gamma \vdash b :^\ell B\{a/x\} \quad \ell_0 \leq C}{\Gamma \vdash (a^{\ell_0}, b) :^\ell \Sigma x:\ell_0 A.B}$$

T-LETPAIRC

$$\frac{\Gamma \vdash a :^\ell \Sigma x:\ell_0 A.B \quad \Gamma, x:\ell_0 \vee \ell A, y:\ell B \vdash c :^\ell C\{(x^{\ell_0}, y)/z\} \quad \Gamma, z:^\top (\Sigma x:\ell_0 A.B) \Vdash C :^\top s}{\Gamma \vdash \mathbf{let} (x^{\ell_0}, y) = a \mathbf{in} c :^\ell C\{a/z\}}$$

T-PROJ1

$$\frac{\Gamma \vdash a :^\ell \Sigma x:\ell_0 A.B \quad \ell_0 \leq \ell}{\Gamma \vdash \pi_1^{\ell_0} a :^\ell A}$$

T-PROJ2

$$\frac{\Gamma \vdash a :^\ell \Sigma x:\ell_0 A.B \quad \ell_0 \leq C}{\Gamma \vdash \pi_2^{\ell_0} a :^\ell B\{\pi_1^{\ell_0} a/x\}}$$