

# Discussion 7

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CS180: Introduction to Algorithms and Complexity

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# Algorithmic Paradigms

**Greedy.** Build up a solution incrementally, myopically optimizing some local criterion.

**Divide-and-conquer.** Break up a problem into sub-problems, solve each sub-problem independently, and combine solution to sub-problems to form solution to original problem.

**Dynamic programming.** Break up a problem into a series of overlapping sub-problems, and build up solutions to larger and larger sub-problems.

# Dynamic Programming Applications

## Areas.

- Bioinformatics.
- Control theory.
- Information theory.
- Operations research.
- Computer science: theory, graphics, AI, compilers, systems, ....

## Some famous dynamic programming algorithms.

- Unix diff for comparing two files.
- Viterbi for hidden Markov models.
- Smith-Waterman for genetic sequence alignment.
- Bellman-Ford for shortest path routing in networks.
- Cocke-Kasami-Younger for parsing context free grammars.

# Outline

## Dynamic Programming

- Maximum subarray sum
- Weighted interval scheduling
- Knapsack Problem

# Maximum subarray sum

Given an integer array `nums`, find the contiguous subarray (containing at least one number) which has the largest sum and return *its sum*.

A subarray is a contiguous part of an array.

**Example 1:**

**Input:** `nums = [-2,1,-3,4,-1,2,1,-5,4]` **Output:** 6

**Explanation:** `[4,-1,2,1]` has the largest sum = 6.

**Example 2:**

**Input:** `nums = [1]` **Output:** 1

**Example 3:**

**Input:** `nums = [5,4,-1,7,8]` **Output:** 23

# Kadane's algorithm

Initialize:

`max_so_far = INT_MIN`

`max_ending_here = 0`

Loop for each element of the array

(a) `max_ending_here = max_ending_here + a[i]`

(b) if(`max_so_far < max_ending_here`)

`max_so_far = max_ending_here`

(c) if(`max_ending_here < 0`)

`max_ending_here = 0`

return `max_so_far`

## Example

$[-2, 1, -3, 4, -1, 2, 1, -5, 4]$

## Related Questions

- <https://leetcode.com/problems/maximum-subarray/>
- <https://leetcode.com/problems/maximum-product-subarray/>



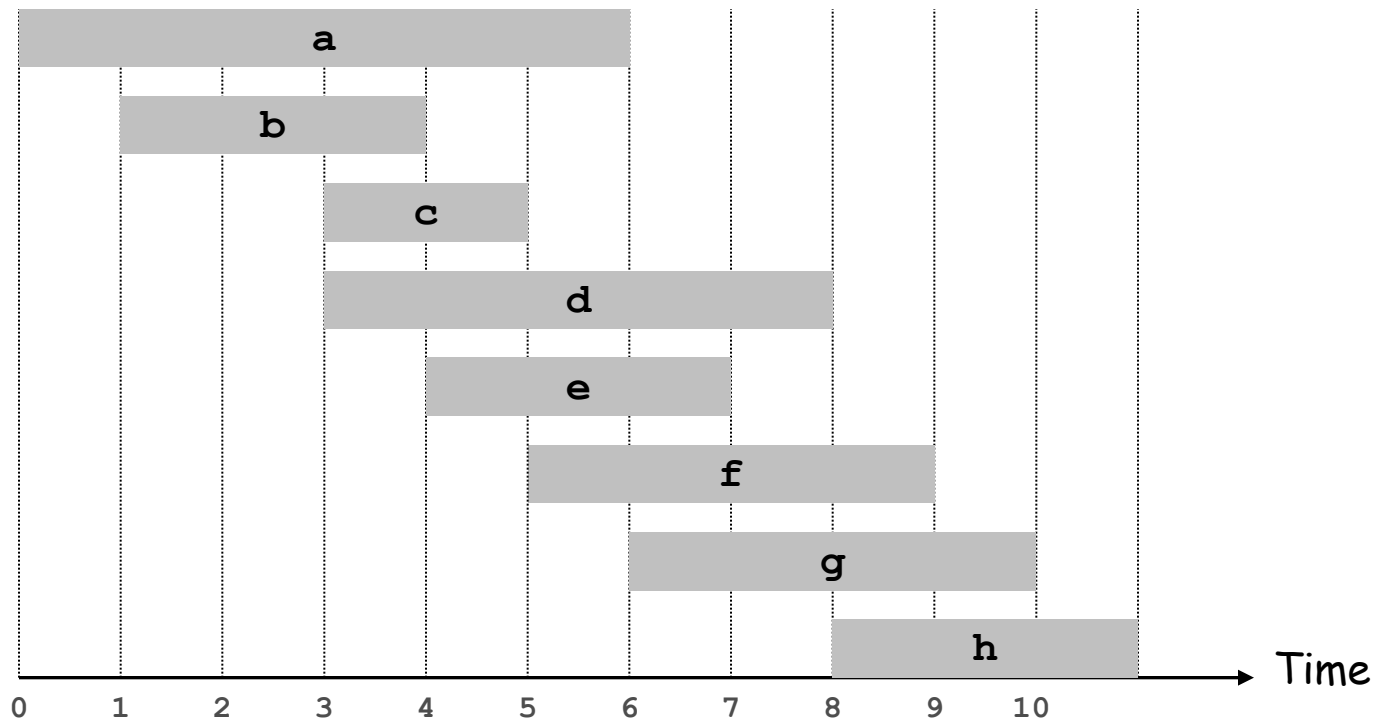
## 6.1 Weighted Interval Scheduling

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# Weighted Interval Scheduling

## Weighted interval scheduling problem.

- Job  $j$  starts at  $s_j$ , finishes at  $f_j$ , and has weight or value  $v_j$ .
- Two jobs **compatible** if they don't overlap.
- Goal: find maximum **weight** subset of mutually compatible jobs.

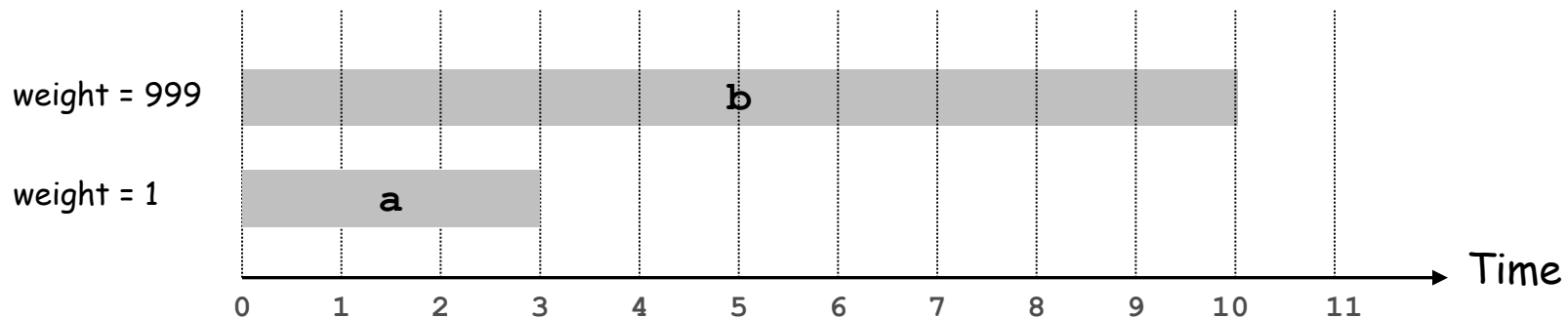


# Unweighted Interval Scheduling Review

**Recall.** Greedy algorithm works if all weights are 1.

- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

**Observation.** Greedy algorithm can fail spectacularly if arbitrary weights are allowed.

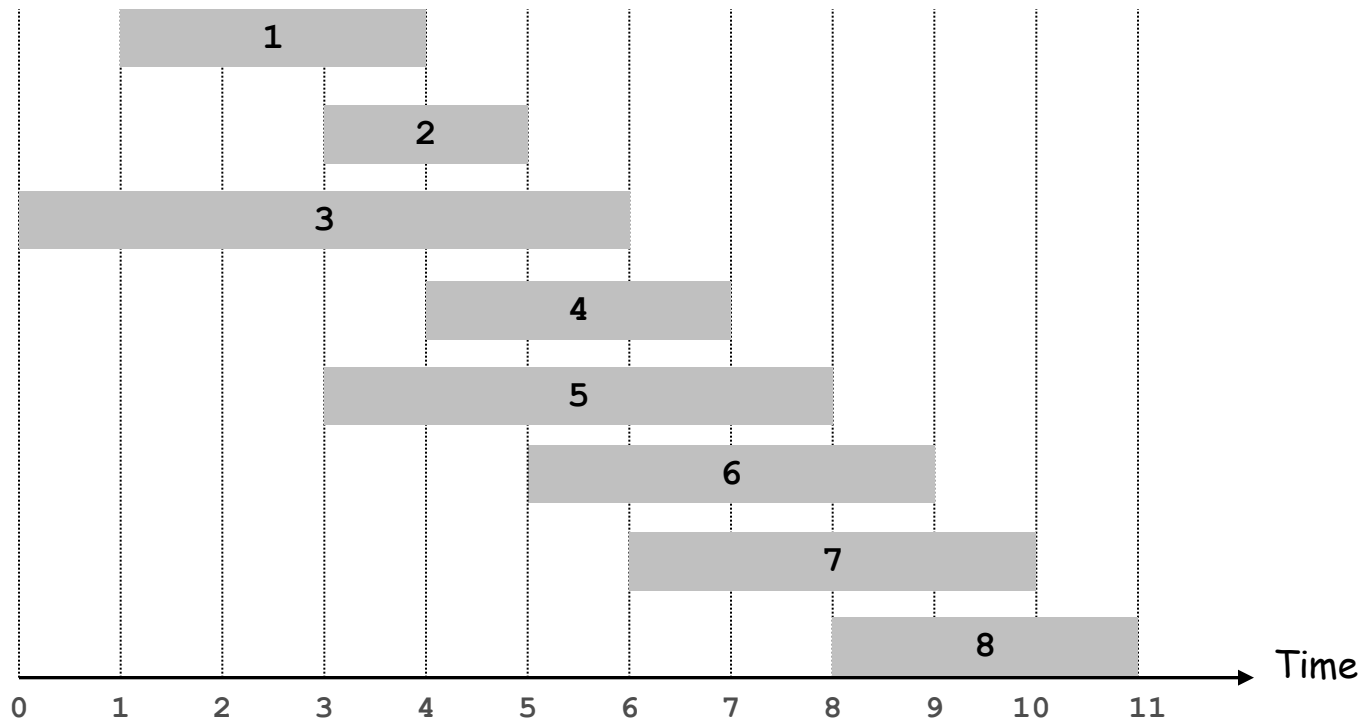


# Weighted Interval Scheduling

**Notation.** Label jobs by finishing time:  $f_1 \leq f_2 \leq \dots \leq f_n$ .

**Def.**  $p(j)$  = largest index  $i < j$  such that job  $i$  is compatible with  $j$ .

**Ex:**  $p(8) = 5$ ,  $p(7) = 3$ ,  $p(2) = 0$ .



# Dynamic Programming: Binary Choice

**Notation.**  $OPT(j)$  = value of optimal solution to the problem consisting of job requests  $1, 2, \dots, j$ .

- Case 1: OPT selects job  $j$ .
  - collect profit  $v_j$
  - can't use incompatible jobs  $\{ p(j) + 1, p(j) + 2, \dots, j - 1 \}$
  - must include optimal solution to problem consisting of remaining compatible jobs  $1, 2, \dots, p(j)$
- Case 2: OPT does not select job  $j$ .
  - must include optimal solution to problem consisting of remaining compatible jobs  $1, 2, \dots, j-1$

↖  
↙  
optimal substructure

# Weighted Interval Scheduling: Brute Force

Brute force algorithm.

**Input:**  $n, s_1, \dots, s_n, f_1, \dots, f_n, v_1, \dots, v_n$

**Sort** jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

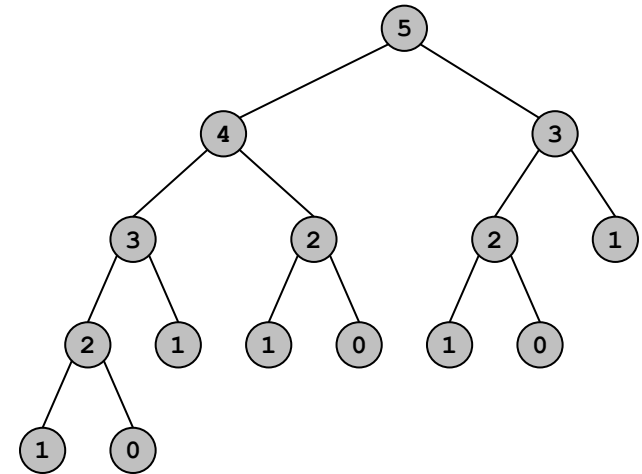
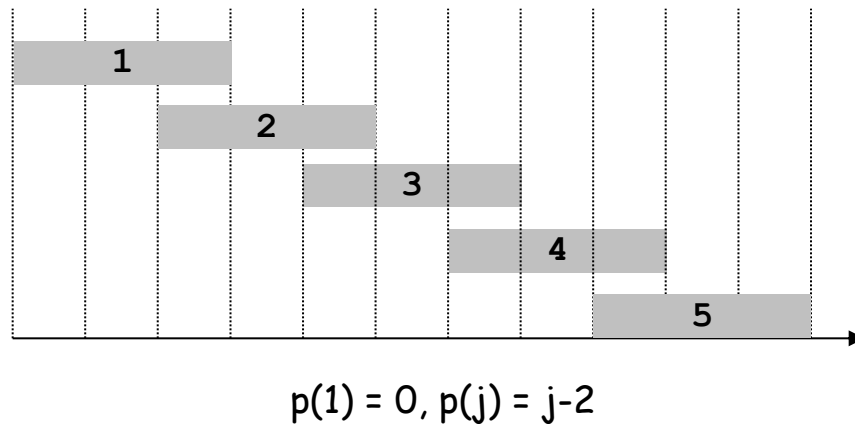
**Compute**  $p(1), p(2), \dots, p(n)$

```
Compute-Opt(j) {  
    if (j = 0)  
        return 0  
    else  
        return max( $v_j + \text{Compute-Opt}(p(j))$ ,  $\text{Compute-Opt}(j-1)$ )  
}
```

# Weighted Interval Scheduling: Brute Force

**Observation.** Recursive algorithm fails spectacularly because of redundant sub-problems  $\Rightarrow$  exponential algorithms.

**Ex.** Number of recursive calls for family of "layered" instances grows like Fibonacci sequence.



# Weighted Interval Scheduling: Memoization

**Memoization.** Store results of each sub-problem in a cache; lookup as needed.

**Input:**  $n, s_1, \dots, s_n, f_1, \dots, f_n, v_1, \dots, v_n$

**Sort** jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

**Compute**  $p(1), p(2), \dots, p(n)$

**for**  $j = 1$  to  $n$

$M[j] = \text{empty}$

$M[0] = 0$

 global array

**M-Compute-Opt**( $j$ ) {

**if** ( $M[j]$  is empty)

$M[j] = \max(v_j + \text{M-Compute-Opt}(p(j)), \text{M-Compute-Opt}(j-1))$

**return**  $M[j]$

}



# Weighted Interval Scheduling: Running Time

**Claim.** Memoized version of algorithm takes  $O(n \log n)$  time.

- Sort by finish time:  $O(n \log n)$ .
- Computing  $p(\cdot)$ :  $O(n \log n)$  via sorting by start time.
- $M\text{-Compute-Opt}(j)$ : each invocation takes  $O(1)$  time and either
  - (i) returns an existing value  $M[j]$
  - (ii) fills in one new entry  $M[j]$  and makes two recursive calls
- Progress measure  $\Phi = \#$  nonempty entries of  $M[\ ]$ .
  - initially  $\Phi = 0$ , throughout  $\Phi \leq n$ .
  - (ii) increases  $\Phi$  by 1  $\Rightarrow$  at most  $2n$  recursive calls.
- Overall running time of  $M\text{-Compute-Opt}(n)$  is  $O(n)$ . ▪

**Remark.**  $O(n)$  if jobs are pre-sorted by start and finish times.

# Weighted Interval Scheduling: Finding a Solution

- Q. Dynamic programming algorithms computes optimal value.  
What if we want the solution itself?
- A. Do some post-processing.

```
Run M-Compute-Opt(n)
Run Find-Solution(n)

Find-Solution(j) {
    if (j = 0)
        output nothing
    else if ( $v_j + M[p(j)] > M[j-1]$ )
        print j
        Find-Solution(p(j))
    else
        Find-Solution(j-1)
}
```

- # of recursive calls  $\leq n \Rightarrow O(n)$ .

# Weighted Interval Scheduling: Bottom-Up

Bottom-up dynamic programming. Unwind recursion.

**Input:**  $n, s_1, \dots, s_n, f_1, \dots, f_n, v_1, \dots, v_n$

**Sort** jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

**Compute**  $p(1), p(2), \dots, p(n)$

```
Iterative-Compute-Opt {  
    M[0] = 0  
    for j = 1 to n  
        M[j] = max(vj + M[p(j)], M[j-1])  
}
```

## Related Questions

<https://leetcode.com/problems/maximum-profit-in-job-scheduling/>

## 6.4 Knapsack Problem

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# Knapsack Problem

## Knapsack problem.

- Given  $n$  objects and a "knapsack."
- Item  $i$  weighs  $w_i > 0$  kilograms and has value  $v_i > 0$ .
- Knapsack has capacity of  $W$  kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: { 3, 4 } has value 40.

$$W = 11$$

#	value	weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

**Greedy:** repeatedly add item with maximum ratio  $v_i / w_i$ .

Ex: { 5, 2, 1 } achieves only value = 35  $\Rightarrow$  greedy not optimal.

# Dynamic Programming: False Start

**Def.**  $OPT(i)$  = max profit subset of items  $1, \dots, i$ .

- Case 1:  $OPT$  does not select item  $i$ .
  - $OPT$  selects best of  $\{ 1, 2, \dots, i-1 \}$
- Case 2:  $OPT$  selects item  $i$ .
  - accepting item  $i$  does not immediately imply that we will have to reject other items
  - without knowing what other items were selected before  $i$ , we don't even know if we have enough room for  $i$

**Conclusion.** Need more sub-problems!

## Dynamic Programming: Adding a New Variable

**Def.**  $\text{OPT}(i, w)$  = max profit subset of items  $1, \dots, i$  **with weight limit  $w$ .**

- Case 1: OPT does not select item  $i$ .
  - OPT selects best of  $\{ 1, 2, \dots, i-1 \}$  using weight limit  $w$
- Case 2: OPT selects item  $i$ .
  - new weight limit =  $w - w_i$
  - OPT selects best of  $\{ 1, 2, \dots, i-1 \}$  using this new weight limit



# Knapsack Problem: Bottom-Up

Knapsack. Fill up an  $n$ -by- $W$  array.

```
Input:  $n, W, w_1, \dots, w_N, v_1, \dots, v_N$ 

for  $w = 0$  to  $W$ 
     $M[0, w] = 0$ 

for  $i = 1$  to  $n$ 
    for  $w = 1$  to  $W$ 
        if  $(w_i > w)$ 
             $M[i, w] = M[i-1, w]$ 
        else
             $M[i, w] = \max \{M[i-1, w], v_i + M[i-1, w-w_i]\}$ 

return  $M[n, W]$ 
```

# Knapsack Algorithm

		W + 1 →											
		0	1	2	3	4	5	6	7	8	9	10	11
n + 1 ↓	$\phi$	0	0	0	0	0	0	0	0	0	0	0	0
	{ 1 }	0	1	1	1	1	1	1	1	1	1	1	1
	{ 1, 2 }	0	1	6	7	7	7	7	7	7	7	7	7
	{ 1, 2, 3 }	0	1	6	7	7	18	19	24	25	25	25	25
	{ 1, 2, 3, 4 }	0	1	6	7	7	18	22	24	28	29	29	40
	{ 1, 2, 3, 4, 5 }	0	1	6	7	7	18	22	28	29	34	34	40

OPT: { 4, 3 }  
value = 22 + 18 = 40

W = 11

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

# Knapsack Problem: Running Time

Running time.  $\Theta(n W)$ .

- Not polynomial in input size!
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete. [Chapter 8]

**Knapsack approximation algorithm.** There exists a poly-time algorithm that produces a feasible solution that has value within 0.01% of optimum. [Section 11.8]

<https://leetcode.com/problems/coin-change-2/>  
<https://leetcode.com/tag/dynamic-programming/>

# Dynamic Programming Summary

## Recipe.

- Characterize structure of problem.
- Recursively define value of optimal solution.
- Compute value of optimal solution.
- Construct optimal solution from computed information.

## Dynamic programming techniques.

- Binary choice: weighted interval scheduling.
- Multi-way choice: segmented least squares.
- Adding a new variable: knapsack.
- Dynamic programming over intervals: RNA secondary structure.

Viterbi algorithm for HMM also uses DP to optimize a maximum likelihood tradeoff between parsimony and accuracy

CKY parsing algorithm for context-free grammar has similar structure

Top-down vs. bottom-up: different people have different intuitions.